**OMB Approval No.: 1840-0640**

**Expiration Date: 12/31/2022**

Ronald E. McNair Postbaccalaureate Achievement (McNair) Program

Annual Performance Report – Program Year 2019-20

# Section I, Part 1—Project Identification/Characteristics Certification and Warning Statements

| **Field** | **Response** |
| --- | --- |
| 1. **Project Identification** |  |
| 1. Project Identification:   *[pre-populated]* | P217A\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| 1. Name of Grantee Institution*:*   *[pre-populated]* |  |
| Address of Institution: |  |
| Line 1: |  |
| Line 2: |  |
| City, State, Zip + 4 |  |
| 1. IPEDS Unit ID: *[pre-populated]* |  |
| 1. Institutional Sector:   *[pre-populated]* |  |
| 1. GPA Scale: *[pre-populated)* | 4 point  5 point  Other |
| 1. **APR and Academic Year Reporting Periods:** |  |
| 6a. APR Reporting Period:  *[pre-populated]* | \_\_\_\_\_\_\_/\_\_\_\_\_\_\_/\_\_\_\_\_\_\_  Month Day Year |
| 6b. Date Courses Began/First Day of Classes: | \_\_\_\_\_\_\_/\_\_\_\_\_\_\_/\_\_\_\_\_\_\_  Month Day Year |

| **Field** | **Response** |
| --- | --- |
| **B. Project Director and Data Entry Person Information** |  |
| **7. Project Director and Date Entry Person Information** |  |
| 7a. Name of Project Director: |  |
| First Name |  |
| MI |  |
| Last Name |  |
| 7b. Telephone No.  *(Area Code, Number, and Ext.)* |  |
| 7c. Fax No.  *(Area Code, Number, and Ext.)* |  |
| 7d. Email Address |  |
| 8a. Name of Data Entry Person |  |
| First Name |  |
| MI |  |
| Last Name |  |
| 8b. Telephone No.  *(Area Code, Number, and Ext.)* |  |
| 8c. Email Address |  |

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| **C. Certification:** We certify that the performance report information reported and submitted electronically on \_\_\_\_/\_\_\_\_/\_\_\_\_\_\_ is readily verifiable. The information reported is accurate and complete to the best of our knowledge. |

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| Name of Project Director  Print: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | Name of Certifying Official  (Print)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Name of Project Director  Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | Name of Certifying Official  Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Date: \_\_\_\_/ \_\_\_\_/ \_\_\_\_\_\_ | Date: \_\_\_\_/ \_\_\_\_/ \_\_\_\_\_\_ |

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| **D. Warnings:** Any person who knowingly makes a false statement or misrepresentation on this report is subject to penalties, which may include fines, imprisonment, or both, under the United States Criminal Code and 20 U.S.C. 1097. Further Federal funds or other benefits may be withheld under this program unless this report is completed and filed as required by existing law (20 U.S.C. 1231a) and regulations (34 CFR 75.590 and 75.720).  **Authority: Public Law 104-13, as amended.** *Paperwork Burden Statement:* According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1840-0640. The time required to complete this information collection is estimated to average 11 hours per response, including the time to review instructions, search existing data resources, gather required data, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, D.C. 20202-4536. If you have comments or concerns regarding the status of your individual submission of this form, write directly to: Federal TRIO Programs, U.S. Department of Education, 400 Maryland Avenue SW, Washington, D.C. 20202. |

# Section I, Part 2—Project Services

Enter the number of participants who received the required services and permissible services. A participant may be counted only once in each category. For example, if the number of participants served is 30, none of the categories below should have a number exceeding 30.

For further information regarding the definition of “required” and “permissible” services, please refer to the instructions under “*Definitions That Apply.*”

| **Required Services** | **Number of participants**  **that received the following project services** | |
| --- | --- | --- |
| * Research or Other Scholarly Activities | | **\_\_\_\_\_\_** |
| * Summer Internships | | **\_\_\_\_\_\_** |
| * Seminars and Other Educational Activities | |  |
| * Tutoring | | **\_\_\_\_\_\_** |
| * Academic Counseling | | **\_\_\_\_\_\_** |
| * Assistance in Securing Admission to Graduate School | | **\_\_\_\_\_\_** |
| * Assistance in Securing Financial Aid to Graduate School | | **\_\_\_\_\_\_** |
| **Permissible Services** | |  |
| * Education or Counseling to Improve Financial and Economic Literacy | | **\_\_\_\_\_\_** |
| * Mentoring | | **\_\_\_\_\_\_** |
| * Exposure to Cultural Events and Academic Programs | | **\_\_\_\_\_\_** |
| * Other | | **\_\_\_\_\_\_** |

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**RONALD E. MCNAIR POSTBACCALAUREATE ACHIEVEMENT (MCNAIR) PROGRAM  
2019-20 ANNUAL PERFORMANCE REPORT (APR)  
SECTION II -- RECORD STRUCTURE FOR PARTICIPANT LIST**

Projects must submit student records in Excel or CSV format. For information on how to submit data, refer to the *Instructions for Completing the 2019-20 Annual Performance Report (APR)* specifically the Web Registration and Web Features sections.Also, at a minimum, the following records must be on the APR data file: (1) all records in your **final** 2018-19 McNair APR data file *(excluding records whose bachelor’s degree cohort is prior to 2009-10)*; (2) all records for participants who were served in ***this*** reporting period; and (3) all records for participants who attained a baccalaureate degree in ***this*** reporting period. **NOTE**: The Department of Education’s disclosure policies for data collected, such as personal identifiable information, adhere to the provisions of the Privacy Act - PL 95-379, 5 USC 552a; however, there is no guarantee of confidentiality given for grant required reports.

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| **II.A. Project Identifiers (pre-populated)** |

| **Field #** | **Field Name** | **Database Column Name** | **Valid Field Content** |
| --- | --- | --- | --- |
| 1 | PR/Award Number | PR | The eleven-digit PR/Award Number is in Block 5 of the project’s Grant Award Notification for the reporting year. |
| 2 | Batch Year | BatchAY | 2019 for Project Year 2019-20 |

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| **II.B. Participant’s Personal Information *(Fields #3 through #5 and field 7 cannot be updated.)*** |

| **Field #** | **Field Name** | **Database Column Name** | **Valid Field Content** |
| --- | --- | --- | --- |
| 3 | Student Case Number | CaseNumber | **The case number is a TRIO generated number assigned to every current and prior year participant and is used to ensure that grantees include all of the records that need to be on the McNair APR data file. The case number is also used to match McNair data records with the McNair longitudinal file.** |
| 4 | Student’s Last Name | LastNM | 0 to 9  Uppercase A to Z  . (period)  ‘ (apostrophe)  - (dash)  **NOTE:** First position will be justified with an uppercase A-Z. **Blanks are not accepted.** The information in this field should be the same as provided in the previous reporting period and cannot be changed in future reporting years. |
| 5 | Student’s First Name | FirstNM | 0 to 9  Uppercase A to Z  . (period)  ‘ (apostrophe)  - (dash)  **NOTE:** First position will be justified with an uppercase A-Z. **Blanks are not accepted.** The information in this field should be the same as provided in the previous reporting period and cannot be changed in future reporting years. |
| 6 | Student’s Middle Initial | MI | Uppercase A to Z  Blank = No response |
| 7 | Student’s Date of Birth | DOB | **Participant’s date of birth is:**  **Format is MM/DD/CCYY**  MM = 01– 12  DD = 01 – 31  CC = 19 – 20  YY = 00 – 99  00/00/0000 = No response/Unknown  **NOTE:** The information in this field should be the same as provided in the previous reporting period and cannot be changed in future reporting years. |

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| **II.C. Participant’s Demographic Information** |

| **Field #** | **Field Name** | **Database Column Name** | **Valid Field Content** |
| --- | --- | --- | --- |
| 8 | Gender | GenderCD | **Participant’s gender is:**  1 = Male  2 = Female  0 = Unknown/Not disclosed |
| 9 | Ethnicity—Hispanic/ Latino | Hispanic | **Participant is identified as Hispanic/Latino?**  1 = Yes  2 = No  0 = Unknown/Not disclosed  Hispanic/Latino refers to a person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race. |
| 10 | Race—American Indian/Alaskan Native | AmIndAK | **Participant is identified as American Indian/Alaskan Native?**  1 = Yes  2 = No  0 = Unknown/Not disclosed  American Indian/Alaskan Native refers to a person having origins in any of the original peoples of North and South America (including Central America), and who maintains tribal affiliation or community attachment. |
| 11 | Race—Asian | Asian | **Participant is identified as Asian?**  1 = Yes  2 = No  0 = Unknown/Not disclosed  Asian refers to a person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent, including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam. |
| 12 | Race—Black or African American | BlackAfrAm | **Participant is identified as Black or African American?**  1 = Yes  2 = No  0 = Unknown/Not disclosed  Black or African American refers to a person having origins in any of the black racial groups of Africa. Terms such as "Haitian" or "Negro" can be used in addition to "Black or African American." |
| 13 | Race—White | White | **Participant is identified as White?**  1 = Yes  2 = No  0 = Unknown/Not disclosed  White refers to a person having origins in any of the original peoples of Europe, the Middle East, or North Africa. |
| 14 | Race—Native Hawaiian or Other Pacific Islander | HIPacIslndr | **Participant is identified as Native Hawaiian or Other Pacific Islander?**  1 = Yes  2 = No  0 = Unknown/Not disclosed  Native Hawaiian or Other Pacific Islander refers to a person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands. |

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| **II.D. Participant’s Eligibility Status Information** |

| **Field #** | **Field Name** | | **Database Column Name** | | **Valid Field Content** | | |
| --- | --- | --- | --- | --- | --- | --- | --- |
| 15 | Low-income | | EligLowInc | | **Is the participant low-income?**  1 = Yes  2 = No  0 = Unknown | | |
| 16 | First-generation | | EligFirstGen | | | **Is the participant a first-generation college student?**  1 = Yes  2 = No  0 = Unknown | |
| 17 | Under-represented group | | EligUnderrep | | | **Is the participant from a group underrepresented in graduate education?**  1 = Yes, based on race/ethnicity (see note)  2 = No  3 = Yes, based on criteria accepted and approved by the Secretary on a case-by-case basis.  0 = Unknown  **NOTE:** The following ethnic and racial groups are currently underrepresented in graduate education: Black (non-Hispanic), Hispanic, American Indian/Alaskan Native, and Native Hawaiian or other Pacific Islander. Option 3 should not be used unless the grantee has confirmed in advance with the grant program officer that the eligibility criteria has been approved. | |
| **II.E. Participant’s Enrollment Status Information** | | | | | | |
| **Field #** | | **Field Name** | | **Database Column Name** | **Valid Field Content** | | | |
| 18 | | First Postsecondary Education Enrollment Date | | FirstEnrollDT | **Participant’s date of first enrollment in postsecondary education is:**  **Format is MM/DD/YYYY**  MM = 01–12  DD = 01–31  YYYY = 1900 - 2020  00/00/0000 = Unknown  **NOTE**: Enter participant’s date of first enrollment in postsecondary education. The first postsecondary enrollment date is the first date a participant enrolled in a program of postsecondary education. For participants who stopped-out for a period of time and subsequently re-enrolled, enter the date the participant first enrolled in postsecondary education. Please note that this date does not include the date a participant enrolled in a high school bridge-to-college program, dual enrollment program or the first enrollment date a participant took college courses while enrolled in high school. Once the first postsecondary enrollment date is reported, you should not have to update it in subsequent reporting periods. | | | |

| **Field #** | **Field Name** | **Database Column Name** | **Valid Field Content** |
| --- | --- | --- | --- |
| 19 | Attended Community College/2-Year Institution | AttCommColl | **Did the participant attend a community college/2-year institution?**  1 = Yes  2 = No  0 = Unknown  Select “Yes” if the participant attended a community college and/or a 2-year institution following high school graduation or following receipt of a GED. Once you provide a response, you should not have to update it in subsequent reporting periods. |
| 20 | Project Entry Date | ProjEntryDT | **Participant’s date of first project service is:**  **Format is MM/DD/YYYY**  MM = 01–12  DD = 01–31  YYYY = 1900 - 2020  00/00/0000 = Unknown  **NOTE**: Once the project entry date has been reported, you should not have to update it in subsequent reporting periods. |
| 21 | Grade Level at Project Entry | EnterGradeLV | **Participant’s college grade level at entry into the project is:**  1 = 1st yr., never attended  2 = 1st yr., attended before  3 = 2nd yr./sophomore  4 = 3rd yr./junior  5 = 4th yr./senior  6 = 5th yr./other undergraduate  0 = Unknown  **NOTE:** Use options 3, 4, 5, and 6 only for students who had the required number of credits and GPA to be classified, at the institution, at the time of project entry as sophomore, junior, senior, and other undergraduate, respectively. Once a grade level has been reported, you should not have to update it in subsequent reporting periods. |

| **Field #** | **Field Name** | **Database Column Name** | **Valid Field Content** |
| --- | --- | --- | --- |
| 22 | Participant Status  *(during academic year being reported)* | PartCD | **Participant is a:**  1 = New Participant  2 = Continuing Participant  3 = Prior-Year Participant  4 = Prior-Year Participant, Deceased  **NOTES:**   * A **new participant** is an individual who was served by the project for the **first time** in the 2019-20 reporting period. * A **continuing participant** is an individual who was served by the project for the first time in **any** prior reporting period and also received services in the 2019-20 reporting period. * A **prior-year participant** is an individual served by the project in **any** prior reporting period but did not receive services in the 2019-20 reporting period. * A **prior-year participant who is deceased** is an individual served by the project in **any** prior reporting period but is now deceased. |
| 23 | Enrollment Status  *(during academic year being reported)* | EnrollCD | **Participant’s enrollment status is:**  1 = Enrolled  2 = Not Enrolled  0 = Unknown  **NOTE:** Select “Yes” if the participant was enrolled in undergraduate or graduate studies at **any** time during the reporting period; otherwise, select “No”. Provide this information for both undergraduate and graduate students. |
| 24 | Project Participation *(during academic year being reported)* | ProjPart | **Participated in the project during:**  1 = Full academic year (both semesters or three quarters)  2 = Part of academic year (one semester or one or two quarters)  3 = Summer only  4 = Full academic year and summer  5 = Part of academic year and summer  9 = Not applicable – prior-year participant  0 = Unknown  **NOTE:** Complete this field for new and continuing students. Select “9” for prior-year participants. |

| **Field #** | **Field Name** | **Database Column Name** | **Valid Field Content** |
| --- | --- | --- | --- |
| 25 | Funding Source  *(during academic year being reported)* | FundSource | **Type of funds used to support this participant during the reporting year are:**  1 = Federal funds only  2 = Partially supported with non-federal funds  9 = Not applicable, prior-year participant  0 = Unknown  **NOTE**: Complete this field for new and continuing students. Select “9” for prior-year participants. |

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| **II.F. Participant’s Academic and Degree Status Information** |

| **Field #** | **Field Name** | **Database Column Name** | **Valid Field Content** |
| --- | --- | --- | --- |
| 26 | STEM Major | STEM | **Participant’s major is in:**  1 = Math/Computer/Sciences/Engineering/Technologies (“hard sciences”)  2 = Social/Behavioral Sciences  3 = Non-STEM field  4 = Undeclared major  9 = Not applicable, prior year participant  0 = Unknown  **NOTE**: For participants that were served in this reporting period, select the participant’s major field of study at the time of project entry. For assistance and guidance, refer to the instructions under “*Definitions That Apply*” as well as the *Main Field of Study List*. For prior year participants, select option 9. |
| 27 | Bachelor’s Degree (or equivalent) | BADegree | **Did the participant earn a bachelor’s degree or equivalent of a bachelor’s degree?**  1 = Yes  2 = No  **NOTES:** Select “Yes” if the participant received a bachelor’s degree (or equivalent) in this [2019-20] reporting period. If the participant is on your 2018-19 McNair APR data file as having earned a bachelor’s degree, you must select “Yes”. If the participant has not yet received a bachelor’s degree (or equivalent), select “No”. Once you select “Yes”, **do not update** this field in subsequent reporting periods. |
| 28 | Date of Bachelor’s Degree (or equivalent) | BADegreeDate | **Date participant earned bachelor’s degree (or equivalent) is:**  **Format is MM/DD/YYYY**  MM = 01–12  DD = 01–31  YYYY = 1900 - 2020  99/99/9999 = No bachelor’s degree earned yet  00/00/0000 = Unknown  **NOTE**: Once you provide the date, **do not update** this field in subsequent reporting periods. |
| 29 | Graduating Cohort Year of Bachelor’s Degree | BADegCohortYr | **Graduating cohort year the participant earned their bachelor’s degree is:**  9 = 2009-10  10 = 2010-11  11 = 2011-12  12 = 2012-13  13 = 2013-14  14 = 2014-15  15 = 2015-16  16 = 2016-17  17 = 2017-18  18 = 2018-19  19 = 2019-20  88 = Earned a bachelor’s degree in a previous reporting period but failed to report it at the time.  99 = No first bachelor’s degree earned yet  **NOTE:** Select option 19 (2019-20) if the participant earned a first bachelor’s degree (or equivalent) in this reporting period. The graduating cohort year of the bachelor’s degree should align with field #27, Bachelor’s Degree (or equivalent), and field #28, Date of Bachelor’s Degree. For example, if the participant earned a bachelor’s degree or equivalent) on May 15, 2012, then the participant’s bachelor’s degree cohort year is option 11 (2011-12), field #27 is option 1 (Yes), and field #28 is 05/15/2012. Refer to your 2018-19 McNair APR data file for additional information regarding the cohort year. Once the cohort year is established, it **cannot** **be changed** in subsequent reporting periods.  \*\* Prior participants in cohorts established earlier than 2009-10 have already been evaluated for the degree attainment standard objective and will not be evaluated by the Department for any administrative purpose. The Department will not be accepting reports for students from these cohorts on the Student Record File. |
| 30 | Main field of Study (Bachelor’s Degree Earned) | BAMainField | **Participant’s main field of study at the time they earned a bachelor’s degree (or equivalent) is:**  ****  001 = Not applicable, participant has not earned a bachelor’s degree  000 = Unknown  **NOTE:** Using the *Main Field of Study List* located in the instructions, enter the three-digit code for the main field of study for a participant who has **EARNED** a bachelor’s degree or equivalent of a bachelor’s degree. If the participant is a graduate student or has earned a doctorate degree (including first professional), enter the three-digit code for the main of field of study for their undergraduate degree. For those participants with double majors, the project may use its discretion in selecting the main field of study. If the participant has not earned a bachelor’s degree, enter “001”. If you do not know the participant’s undergraduate degree main field of study, enter “000”. Once you report the main field of study, do not update it in subsequent reporting periods. |
| 31 | Cumulative GPA *(upon graduation with a bachelor’s degree)* | CumGPA | **Participant’s cumulative GPA upon graduation with a bachelor’s degree is:**  0.001 to 5.000  9.999 = Not applicable, participant has not earned a bachelor’s degree  0.000 = Unknown  **NOTE**: If the participant has graduated with a bachelor’s degree (or equivalent)**, e**nter the participant’s cumulative GPA. If you do not know the participant’s GPA, enter “0.000”. Once you report the cumulative GPA, you should not have to update it in subsequent reporting periods. |

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| **II.G. Participant’s Research or Other Scholarly Activities Information**  **For fields 32, 33, and 34 research or other scholarly activities** means an educational activity that meets **all** of the following criteria:   * is more rigorous than is typically available to undergraduates in a classroom setting, * is definitive in its start and end dates, * contains appropriate benchmarks for completion of various components; **and** * is conducted under the guidance of an appropriate faculty member with experience in the relevant discipline. |

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| --- | --- | --- | --- |
| 32 | Research  *(2019-20 academic year)* | Research | **To what extent did the student participate in a research activity?**  1 = Participated in research but did not complete all of the components of the research activity  2 = Participated and completed **all** of the components of the research activity  3 = Did **not** participate in research  99 = Prior-year participant  0 = Unknown |
| 33 | Other Scholarly Activity  *(2019-20 academic year)* | OthSchlAct | **To what extent did the student participate in a scholarly activity?**  1 = Participated in a scholarly activity but did not complete all of the components of the scholarly activity  2 = Participated and completed **all** of the components of the scholarly activity  3 = Didnotparticipate in a scholarly activity  99 = Prior-year participant  0 = Unknown |
| 34 | McNair Research Internship  *(2019-20 academic year)* | MCNRsrchIntern | **To what extent did the student participate in a McNair research internship activity?**  1 = Participated in a McNair research internship activity but did not complete all of the components of the McNair research internship activity  2 = Participated and completed **all** of the components of the McNair research internship activity  3 = Didnotparticipate in a McNair research internship activity  99 = Prior-year participant  0 = Unknown |

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| **II.H. Participant’s Graduate School Status Information**  **Fields 35 – 37** reference students’ status during the academic year being reported (2018-19). |

| **Field #** | **Field Name** | **Database Column Name** | **Valid Field Content** |
| --- | --- | --- | --- |
| 35 | Graduate School Admissions Test  (Bachelor’s Degree Earned) | GradAdmTest | **Did the participant complete a graduate school admissions test?**  1 = Yes  2 = No  99 = Not applicable (for participants who were not required to take a graduate admissions test or have not yet earned a bachelor’s degree)  0 = Unknown  **NOTE:** Select “Yes” only if the participant has earned a bachelor’s degree (or equivalent) and has taken a graduate admissions test. Select “No” if the participant has not earned a bachelor’s degree (or equivalent) regardless of whether or not they have taken a graduate admissions test. If you do not know if the participant has taken a graduate admissions test, select “0” (Unknown). If a participant has taken multiple admissions tests, only select “Yes” at the time the participant earned their bachelor’s degree (or equivalent). Once you provide a response, you should not have to update it in subsequent reporting periods. |
| 36 | Date of First Graduate School Enrollment | FirstGradEnrollDT | **Graduate student’s first enrollment date in graduate school is:**  **Format is MM/DD/YYYY**  MM = 01–12  DD = 01–31  YYYY = 1900 - 2020  99/99/9999 = Has not yet enrolled in graduate school  00/00/0000 = Unknown  **NOTES**:   * Enter the date the participant **FIRST** enrolled in graduate school. * Typically, the date of first graduate school enrollment is between August 1 and July 31 of the next academic year. * Do not enter the date the participant was accepted for enrollment unless both dates are the same. For example, if the participant was accepted for enrollment on June 1, 2019 but they first enrolled in graduate school on August 25, 2019, enter 8/25/2019. * If you do not know the exact date, use 15 for the day and use your best estimate for the month and year. * Once you report the first enrollment date in graduate school, **do not** update it in subsequent reporting periods. |
| 37 | Graduate Institution First Attended | GradIPEDSID | **Participant’s NCES IPEDS ID of the graduate institution first attended is:**  ****  888888 = Not applicable, participant has not completed undergraduate studies or has completed undergraduate studies but did not enroll in graduate school  000000 = Unknown  Enter the six-digit postsecondary institution NCES IPEDS ID for graduate institution first attended. Enter “888888” if the participant has not completed undergraduate studies or has completed undergraduate studies but did not enroll in graduate school. If you do not know the participant’s graduate institution first attended or the participant enrolled in an institution not listed in IPEDS (includes international institution), enter “000000”. You can obtain the IPEDS ID from the following web site: <http://nces.ed.gov/globallocator>. Once you report the graduate institution first attended NCES IPEDS ID, you should not have to update it in subsequent reporting periods. |
| **NOTE on fields 38 and 39 only**: These fields reference the students’ status at the beginning of the ***upcoming*** academic year (2020-21). | | | |
| 38 | Graduate School Enrollment Status  *(at the beginning of the* ***2020-21*** *academic year)* | GradEnrollStatus | **Participant’s graduate enrollment status by the Fall term of the 2020-21 academic year is:**  1 = Enrolled in a postbaccalaureate degree program (for participants who received a bachelor’s degree or equivalent in the 2019-20 reporting period)  2 = Did not enroll in a postbaccalaureate degree program (for participants who received a bachelor’s degree or equivalent in the 2019-20 reporting period)  3 = Did not enroll in a postbaccalaureate degree program (for participants who received a bachelor’s degree or equivalent in the 2019-20 reporting period but did not enroll by the Fall term of the 2020-21 academic year because the participant enrolled in competitive programs or activities such as Fulbright, AmeriCorps, Teach Abroad, etc.)  4 = Did not enroll in a postbaccalaureate degree program (for participants who received a bachelor’s degree or equivalent in the 2019-20 reporting period but did not enroll by the Fall term of the 2020-21 academic year in a postbaccalaureate degree program because the participant died)  5 = Other (for students who did not receive a bachelor’s degree or equivalent in this reporting period)  0 = Unknown  Select option #1 if the student **received** a bachelor’s degree (or equivalent) in ***this*** reporting period and **enrolled** in a postbaccalaureate degree program by the Fall term of the 2020-21 academic year.  Select option #2 if the student **received** a bachelor’s degree (or equivalent) in ***this***reporting period but **did not enroll** in a postbaccalaureate degree program by the Fall term of the 2020-21 academic year.  Select option #3 if the student **received** a bachelor’s degree (or equivalent) in ***this***reporting period but **did not enroll** in a postbaccalaureate degree program by the Fall term of the 2020-21 academic year because they enrolled and/or participated in competitive programs or activities such as Fulbright, AmeriCorps, Teach Abroad, etc.  Select option #4 if the student **received** a bachelor’s degree (or equivalent) in ***this*** reporting period but **did not enroll** in a postbaccalaureate degree program by the Fall term of the 2020-21 academic year because the student died.  Select option #5 if the student **did not receive** a bachelor’s degree (or equivalent) in ***this*** reporting period or received a bachelor’s degree (or equivalent) in a previous reporting period.  **NOTES**: If you selected option 1, 2, or 3, you must **always** update this field in subsequent reporting periods. Graduate education is defined as “studies beyond the bachelor's degree leading to a postbaccalaureate degree. |
| 39 | First-Year Graduate Student Persistence Status  *(at the beginning of the* ***2020-21*** *academic year)* | PersistStatus\_1stYrGrad | **Graduate enrollment status at the beginning of the 2020-21 academic year for a first-year graduate student is:**  1 = Still enrolled in a postbaccalaureate degree program (for participants who were 1st year graduate students in the 2019-20 reporting period)  2 = No longer enrolled in a postbaccalaureate degree program (for participants who were 1st year graduate students in the 2019-20 reporting period)  3 = No longer enrolled in a postbaccalaureate program (for participants who were 1st year graduate students in the 2019-20 reporting period but did not enroll in a graduate degree program at the beginning of the 2020-21 academic year because the participant completed an accelerated graduate program)  4 = No longer enrolled in a postbaccalaureate degree program (for participants who were 1st year graduate students in the 2019-20 reporting period but did not enroll in a postbaccalaureate degree program at the beginning of the 2020-21 academic year because the participant died)  5 = Other (for students who were not first-year graduate students in this reporting period)  0 = Unknown  Select option #1 if the participant **was a first-year graduate student** in ***this*** reporting period and **was still enrolled** in a postbaccalaureate degree program at the beginning of the 2020-21 academic year.  Select option #2 if the participant **was a first-year graduate student** in ***this*** reporting period and **was not enrolled** in a postbaccalaureate degree program at the beginning of the 2020-21 academic year.  Select option #3 if the participant **was a first-year graduate student** in ***this*** reporting period and **was not enrolled** in a postbaccalaureate degree program at the beginning of the 2020-21 academic year because the participant completed an accelerated graduate program.  Select option #4 if the participant **was a first-year graduate student** in ***this*** reporting period and **was not enrolled** in a postbaccalaureate degree program at the beginning of the 2020-21 academic year because the participant died.  Select option #5 if the participant **was not a first-year graduate student** in this reporting period.  **NOTES**: If you selected option 1, 2, or 3, you must **always** update this field in subsequent reporting periods. Graduate education is defined as “studies beyond the bachelor's degree leading to a postbaccalaureate degree. |
| **NOTE on fields 40 – 47 only**: These fields reference students’ status during the academic year being reported (2019-20). | | | |
| 40 | Current Year of Graduate Study  *(during academic year reported)* | YRGradStudy | **Participant’s current year of graduate study is:**  1 = 1st yr. graduate/professional including those who received program services as an undergraduate during the reporting year  2 = 2nd yr. graduate/professional  3 = 3rd yr. graduate/professional  4 = Beyond 3rd yr. graduate/professional program  5 = Other  98 = Participant was previously recorded as a 1st yr. graduate/professional student incorrectly  99 = Not enrolled in a graduate degree program during the academic year being reported including all undergraduate students who are not enrolled in graduate school in this reporting period  0 = Unknown  **NOTES**:   * Select option 1 if the participant is a “1st year graduate/professional” in this reporting period. * If you select option 1, please ensure that the date in field 36 (Date of First Graduate School Enrollment) is within the 2019-20 academic year. * For the purposes of reporting the date of first graduate school enrollment, the academic year is generally between August 1 and July 31. * If the participant is a new entrant in a doctorate program, do not select option 1; rather count the years in graduate school including the years in a master’s program and select the appropriate option. * For the purposes of APR reporting, a participant can only be a “1st year graduate/professional” once; therefore, you should update this field annually. For example, if the participant was a first-year graduate in 2018-19, then you must update this field to something other than “1st year graduate/professional”. * A participant cannot revert to an earlier graduate level. A participant’s year of graduate study should increase by one if they enrolled in the reporting period. * Select option 98 if in a previous APR the participant was incorrectly listed as having already entered a graduate program. A participant cannot be reported as a 1st yr. graduate/professional student in more than one APR reporting period. For example, if the student was reported as a first-year graduate student in 2017-18, but it has since been determined that he/she is a first year graduate student in 2019-20, then select option 98. |
| 41 | Graduate Student’s Main Field of Study *(at time of entry)* | GradStudField | **Graduate student’s main field of study at time of entry into graduate school is:**  ****  001 = Not applicable, participant did not (has not) enroll in graduate school  000 = Unknown  **NOTE:** Using the *Main Field of Study List* provided in the instructions, enter the three-digit code for the main field of study at the time the participant entered graduate school. Enter “001” for participants who have not earned a bachelor’s degree or earned a bachelor’s degree but did not enroll in graduate school. Enter “989” for participants who enrolled in medical school. Enter “250” for participants who enrolled in veterinary school. For students who are no longer enrolled in graduate school or for students who completed their graduate studies, the project should provide, to the extent possible, the main field of study at the time the participant first entered graduate school. However, if you do not know the participant’s main field of study at time of entry into graduate school, enter “000”. Once you report the main field of study, you should not update it in subsequent reporting periods. |
| 42 | Graduate Assistantships  *(For 1st year graduate students only)* | Asstshp\_1stYrGrad | **What type of assistantship did the first-year graduate student receive in this [2019-20] reporting period?**  1 = Teaching Assistantship  2 = Research Assistantship  3 = Other (such as fellowship support)  4 = No Assistantship  99 = Not applicable, participant is not a first-year graduate student  0 = Unknown  **NOTE**: If the participant is not a first-year graduate student in this reporting period (e.g., undergraduate, 2nd year graduate, etc.), select option 99. |
| 43 | Reason Left Graduate School | ReaLftGradSch | **Reason participant left graduate school is:**  1 = Academic problems  2 = Change in family status  3 = Change in program  4 = Scheduling or availability  5 = Military obligation  6 = Job, needed to work or other financial reasons  7 = Taking time off  8 = Personal/health problems other than financial  9 = Pursue other interests  10 = Complete graduate program requirements (e.g., required for doctoral program admission)  11 = Attained master’s degree and did not enroll in doctoral degree program (including students who consider their education complete)  12 = Student is deceased  13 = Other  77 = Not applicable, undergraduate student or never enrolled in graduate school  88 = Not applicable, still enrolled in graduate school  99 = Not applicable, completed doctoral degree program  0 = Unknown  **NOTE:** Select option 10 if the participant left graduate school because they needed to complete program requirements such as a requirement for doctorate program admission, gain work experience, etc. Once you report a reason the participant left graduate school, only update the field if in a subsequent reporting period the participant re-enrolls in graduate school; otherwise, do not update this field in subsequent reporting periods. |
| 44 | Highest Graduate Degree Earned  *(by the end of the academic year)* | HiGradDegreeCD | **Participant’s highest graduate degree earned to date, by the end of the academic year is:**  1 = Master’s Degree  2 = Doctor of Philosophy (Ph.D.)  3 = Doctor of Education (Ed.D.)  4 = Other research-intensive doctorate degree (e.g., D.Eng., D.Sc., D.N.Sc., D.P.H, etc.)  5 = Other non-research doctorate degree (e.g., D.Rec., etc.)  6 = First-professional degree (e.g., Psy.D., J.D., M.D., D.D.S., O.D., D.V.M, D.O., etc.)  99 = Not applicable, has not earned a graduate degree  0 = Unknown  **NOTE**: Select the option that indicates the highest graduate degree attained by the end of the academic year. Do not update this information in subsequent reporting period unless a more advanced degree has been earned. Select option 4 only if the doctorate degree was in a research-intensive program. For a list of research doctoral degrees recognized by the National Science Foundation, please go to: https://ncses.nsf.gov/pubs/nsf21308/technical-notes#technical-tables and select Table A-1. If you are not certain about whether the doctorate is research-based, please contact the institution where the doctorate was granted (e.g., Dean of Graduate Studies).  Select option 99 if the participant has not earned a graduate degree including participants who have earned a bachelor’s degree only. Also, select option 99 if the participant has not earned any degree.  If a participant for whom you are required to provide information earned a professional degree and subsequently earned a research-intensive doctorate degree, select the type of research doctorate earned. **Once the research-intensive doctorate degree has been provided (i.e., option 2, 3, or 4), do not change the response in subsequent reporting periods.** |
| 45 | Date Highest Graduate Degree Earned | HiGradDegDate | **Date participant earn highest graduate degree is:**  **Format is MM/DD/YYYY**  MM = 01–12  DD = 01–31  YYYY = 1900 - 2020  99/99/9999 = No graduate degree earned  00/00/0000 = Unknown  **NOTE**: Repeat the same date until a more advanced degree is earned. If you do not know the exact date, use 15 for the day and use your best estimate for the month. If no graduate degree has been earned including those who have earned a bachelor’s degree **only**, enter 99/99/9999. **Once the research-intensive doctorate degree date has been provided (i.e., option 2, 3, or 4); do not change the date in subsequent reporting periods.** |
| 46 | Institution Where Doctorate was Earned | GradDocIPEDSID | **NCES IPEDS ID where the participant earned their doctorate is:**  ****  999999 = Not applicable, has not completed undergraduate/graduate studies, earned a professional degree only, or completed undergraduate/graduate studies but did not or has not yet earned a doctorate degree.  000000 = Unknown  **NOTE:** If you selected option 2, 3, 4, or 5 in field 44, enter the six-digit postsecondary institution NCES IPEDS ID where the doctorate degree was earned. You can obtain the NCES IPEDS ID from the following web site: <http://nces.ed.gov/globallocator/>. If you selected option 1 or 6, in field 44, enter “999999”. If the student earned a doctorate and you do not know the institution where the doctorate was earned or the participant earned a doctorate from an institution not listed in IPEDS (includes international institution), enter “000000”. Once you report the NCES IPEDS ID, you should not have to update it in subsequent reporting periods. |
| 47 | Doctorate Recipient’s Employment Activity | DocRecpEmpAct | **Doctorate recipient primarily employed in a:**  1 = Teaching activity  2 = Research activity  3 = Both teaching and research activity  4 = Other activity  88 = Not applicable, participant earned doctorate but is not currently employed  99 = Not applicable, participant has not earned a doctorate degree  0 = Unknown  **NOTE:** If the participant earned a first professional degree (e.g., MD, JD, DDS, etc.), select option “99”. A teaching or research activity, although oftentimes will be at a university setting, it does not necessarily have to occur in this type of setting (e.g., employment may be at the National Institutes of Health, Centers for Disease Control and Prevention, private industry, etc.). Once you report the doctorate recipient’s primary employment activity, you should not have to update it in subsequent reporting periods. |
| **II.I. Additional Participant Information** | | | |

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| 48 | Student’s Name Change—Optional  (Full Name) | FullNameChange | If the participant changed their name and you need this information to assist you in further tracking the participant, please enter the participant’s full name (i.e., first and last name). If the participant’s name is the same as provided in fields 4 and 5, you may leave this field blank. Examples of how to enter a participant’s full name: John Doe, John D. Doe; Dr. John Doe; Jane Doe-John; John Doe II; John Doe, Jr. **Note**: The information in this field can be changed in subsequent reporting periods. |

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| **Note to data collector: When you collect this information from students or parents, please make sure that you inform them why they are asked to provide Social Security numbers. Please see Privacy Act statement below.**  **PRIVACY ACT**  In accordance with the Privacy Act of 1974 (Public Law No. 93-579, 5 U.S.C. 552a), you are hereby notified that the Department of Education is authorized to collect information to implement the Ronald E. McNair Post-baccalaureate Achievement (McNair) Program under Title IV of the Higher Education Act of 1965, as amended (Pub. Law 102-325, Sec. 402E). In accordance with this authority, the Department receives and maintains personal information on participants in the McNair program. The principal purpose for collecting this information is to administer the program, including tracking and evaluating participant progress. Providing the information on this form, is voluntary. The information collected on this form will be retained in the program files and may be released to other Department officials in the performance of their official duties. |