

**U. S. Department of Education
Office of Postsecondary Education/Federal TRIO Programs
Policies and Procedures for Prior Experience (PE) Assessments
Ronald E. McNair Post-baccalaureate Achievement Program
2017–22 Grant Award Cycle**

Brief summary of policies and procedures for assessing Prior Experience (PE) points

The purpose of this appendix is to provide information on the Department's policies, procedures and calculation rules used to award Prior Experience (PE) points for the FY 2017-22 McNair grant cycle.

Generally, during a TRIO grant award competition, the Department uses the second, third and fourth year the of expiring grants as PE assessment years. However, due to the challenges that current grantees face as a result of the global pandemic, the highest scoring **two** years of data from the following **four** assessment years: 2017-18, 2018-19, 2019-20, and 2020-21; will be used.

- After submitting the APR, you will receive a summary of your reported information, including tabulations of PE points earned during this reporting period.
- As noted above, the PE assessment years are 2017-18, 2018-19, 2019-20, and 2020-21.
- This current reporting year addresses the fourth academic year of the 2017-22 grant award cycles.
- Since PE point calculations entail tracking participants over multiple years, information gathered during this reporting period will be consequential for PE point calculations in future assessment years.
- The calculation of PE points for the four assessment years is based on:
 - A project's approved number of participants to be served;
 - A project's approved objectives; and
 - The student-level data a project submits in its annual performance report (APR) for each assessment year.
 - The Department has not cleaned or changed any of the student-level data and will not accept any changes to the APR data submitted in prior years.
- A project may earn up to a total of 15 points for each year assessed (see 34 CFR 646.20(a)(2)). The final PE score is generally the average of the scores for the three years assessed, however, the highest scoring two years of data from the following four assessment years: 2017-18, 2018-19, 2019-20, and 2020-21; will be used and will be averaged by two instead of three.
- A project that served less than 90 percent of the number of students the project was funded to serve in the assessment year is not eligible to earn points for any of the PE criteria in the assessment year (see 34 CFR 646.22(b)).
 - To determine if a project has served at least 90 percent of the approved number of students to be served, standard rounding rules are applied; if the calculated Number Served rate is between 89.5 and 89.9 percent, it is rounded up to 90%.
 - To earn PE points for the Funded Number criterion, a project must serve at least the number funded to serve. Except for the one situation noted above, no rounding is applied to the Number Served rates. For example, if the calculated rate is 99.6%, the rate will be 99%, not 100%.
- A McNair project funded in the current grant cycle (2017-22) that submits the APR for each of the assessment years is eligible to earn PE points for the following PE criteria:
 - Funded Number;
 - Research or Other Scholarly Activities;
 - Post-baccalaureate Program—Graduate School Enrollment; and

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- Post-baccalaureate Program—Continued Enrollment in Graduate School.
- PE points are awarded based on meeting or exceeding the approved objectives. No partial credit is given.
If the calculated rate for the PE criterion (i.e., actual rate) is greater than or equal to the approved rate, PE points are awarded. Except where noted above, standard rounding rules are used (e.g., 79.6% rounds to 80%).
- To be eligible to earn PE points for the doctorate degree attainment criterion, a McNair project must have been operating a project and must have submitted an APR for the year in which the cohort was established. Unless the Department decides otherwise, the project must have been continuously funded for the ten-year period in which PE is being assessed.
- A project that does not submit an APR in the assessment year (i.e., 2017-18, 2018-19, 2019-20, and 2020-21) is not eligible to earn any PE points for that assessment year.
- The Department will not accept any changes to the project’s APR data (or re-calculate the PE points) once the APR has been submitted for the assessment year. However, if other information such as audit reports, site visit reports, and project evaluation reports indicates the APR data used to calculate PE points are incorrect, the Department may decide to adjust (decrease) the PE scores or not award PE points (see 34 CFR 646.22(a)(3)).

Eligibility to Receive PE Points by Years Funded and by PE Criteria

Each McNair project funded in the current grant cycle (FY 2017-22) is eligible to earn up to 13 or 15 PE points for each assessment year. The following two tables depict the maximum number of PE points a project is eligible to receive for each of the four assessment years based on length of time the project has been continuously funded. Again, due to the challenges that current grantees face as a result of the global pandemic, the highest scoring **two** years of data from the PE assessment years shown below will be used. A McNair project will fall into only one of the two tables below. An “✖” indicates the grantee is not eligible to earn PE points because the grantee was not funded in the year the cohort was established and/or the assessment year.

**Table 1—Number of PE Points that may be Earned by a Project First Funded in 2007-08
PE Assessment Years**

PE Criteria	2017-18	2018-19	2019-20	2020-21	Maximum Points Allowed
Funded Number	✓	✓	✓	✓	12
Research or Other Scholarly Activities	✓	✓	✓	✓	12
Post-baccalaureate Program – Graduate School Enrollment	✓	✓	✓	✓	12
Post-baccalaureate Program – Continued Enrollment in Graduate School	✓	✓	✓	✓	16
Doctoral Degree Attainment	✓	✓	✓	✓	8
Total	15	15	15	15	60
Average PE Score = 15					

Table 2—Number of PE Points that may be Earned by a Project First Funded in 2012-13 or 2017-18

PE Assessment Years

PE Criteria	2017-18	2018–19	2019–20	2020–21	Maximum Points Allowed
Funded Number	✓	✓	✓	✓	12
Research or Other Scholarly Activities	✓	✓	✓	✓	12
Post-baccalaureate Program – Graduate School Enrollment	✓	✓	✓	✓	12
Post-baccalaureate Program – Continued Enrollment in Graduate School	✓	✓	✓	✓	16
Doctoral Degree Attainment	x	x	x	x	0
Total	13	13	13	13	52
Average PE Score = 13					

How is the Rate for the Funded Number Criterion Calculated?

PE points are awarded based on the project having served the approved number of participants for the reporting year. The actual number of participants served must be equal to or greater than the number of participants the project was funded to serve.

- The **denominator** is the project’s approved number of participants to be served.
- The **numerator** is actual number of participants served during the assessment year and is the sum of field #22 (Participant Status), options 1 and 2.

How is the Rate for the Research or Other Scholarly Activities Criterion Calculated?

PE points are awarded to eligible projects that met or exceeded their approved objective for completing research or other scholarly activities. For a given assessment year, a project needed to have served students in the target population (i.e., students that participated in research or other scholarly activities).

The calculation rule for the “**percent of participants who completed research or other scholarly activities**” is as follows:

- The **denominator** is an unduplicated count of the number of students that participated in Research, Other Scholarly, or McNair Internships and is derived from:
 - Field #32 (Research) options 1 or 2, **OR**
 - Field #33 (Other Scholarly Activities) options 1 or 2, **OR**

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- Field #34 (McNair Internships) options 1 or 2.

The denominator represents all those students that participated in research, other scholarly activities, or McNair internships, regardless of whether they completed the activities or not. Thus, all students served during the assessment year who participated in research, other scholarly activities, or McNair internships were included in the denominator, but only once.

- The **numerator** is an unduplicated count of the number of students who participated in and completed all components of the *research, other scholarly activities, or McNair internships* and is derived from:
 - Field #32 (Research) option 2, **OR**
 - Field #33 (Other Scholarly Activities) option 2, **OR**
 - Field #34 (McNair Internships) option 2

The numerator represents all those students that completed their research, other scholarly activities, or McNair internships. Thus, all students served during the assessment year who completed research, other scholarly activities, or McNair internships were included in the numerator, but only once.

How is the Rate for the Post-baccalaureate Program—Graduate School Enrollment Criterion Calculated?

PE points are awarded to eligible projects that met or exceeded their approved objective for post-baccalaureate program—graduate school enrollment. For a given assessment year, a project needed to have served students in the target population (i.e., participants who attained a bachelor’s degree during the assessment year).

The calculation rule for the “**post-baccalaureate program—graduate school enrollment rate**” is as follows:

- The **denominator** is the number of participants who attained a bachelor’s degree during the assessment year and is derived from:
 - Field #38, (Graduate School Enrollment Status) option 1, 2, and 3.
- The **numerator** is the number of participants who attained a bachelor’s degree during the assessment year and enrolled in a post-baccalaureate program by the following fall term and is derived from:
 - Field #38, (Graduate School Enrollment Status) option 1

How is the Rate for the Post-baccalaureate Program—Continued Enrollment in Graduate School Criterion Calculated?

PE points are awarded to eligible projects that met or exceeded their approved objective for post-baccalaureate program persistence. For a given assessment year, a project needed to have served participants in the target population (i.e., students who were *First Year Graduate Students*).

The calculation rule for the “**post-baccalaureate program—continued enrollment in graduate school rate**” is as follows:

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- The **denominator** is the number of participants who were first-year graduate students during the assessment year and is derived from:
 - Field #39, (First-Year Graduate Student Persistence Status) option 1, 2, and 3.
- The **numerator** is the number of participants who were first-year graduate students during the assessment year and were still enrolled in a post-baccalaureate degree program by the following fall term and is derived from:
 - Field #39, (First-Year Graduate Student Persistence Status) option 1

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How is the Rate for the Doctoral Degree Attainment objective calculated?

PE points are awarded to eligible projects that met or exceeded their approved objective for doctoral degree attainment. To receive PE points for a given assessment year, a project needed to have (1) served participants in the year in which the cohort for the doctoral degree attainment was established (e.g., 2010-11 for the 2020-21 assessment year), and (2) except as noted under “*Brief summary of policies and procedures for assessing Prior Experience (PE) points,*” been continuously funded for the duration of the PE assessment period (e.g., 2010-11 through 2020-21 for the 2020-21 assessment year).

The calculation rule for the “**doctoral degree attainment rate**” is as follows:

- The **denominator** is the number of participants who attained a bachelor’s degree in the applicable cohort (e.g., 2010-11 for the 2020-21 assessment year) and is derived from:

- Field #29 (Graduating Cohort Year of Bachelor’s Degree) option 10.

Minus

- Field #22 (Participant Status) option 4

IF

- Field #44 (Highest Graduate Degree Earned) not equal to options 2, 3, or 4.

- The **numerator** is the number of program participants in the graduating cohort year of the bachelor’s degree (e.g., 2010-11) that attained a doctoral degree *within* ten years and is derived from those in the denominator where:

Field #44 (Highest Graduate Degree Earned) option 2, 3, and 4