

**Educational Opportunity Centers (EOC) Program
Form for Annual Performance Report
for Program Year 2011-12**

Section I: Project Identification, Certification and Warning

A. Project Identification

1. PR/Award Number *[pre-populated]*: _____
2. Name of Grantee *[pre-populated]*: _____
3. Address (City, State, Zip) *[pre-populated]*: _____
4. Name of Project Director *[pre-populated]*: _____
5. Phone Number *[pre-populated]*: _____ Fax Number *[pre-populated]*: _____
E-mail Address *[pre-populated]*: _____
6. Report Period *[pre-populated]*: _____ to _____
MM/DD/YYYY MM/DD/YYYY
7. Name of Data Entry Person: _____
Phone Number: _____ E-mail Address: _____

B. Certification: We certify that the performance report information reported and submitted electronically on _____ is readily verifiable. The information reported is accurate and complete to the best of our knowledge.

Name of Project Director (Print)

Name of Certifying Official (Print)

Signature and Date

Signature and Date

C. Warning: Any person who knowingly makes a false statement or misrepresentation on this report is subject to penalties which may include fines, imprisonment, or both, under the United States Criminal Code and 20 U.S. C. 1097. Further Federal funds or other benefits may be withheld under this program unless this report is completed and filed as required by existing law (20 U.S.C. 1231a) and regulations (34 CFR 75.591 and 75.720)

Authority: Public Law 102-325, as amended.

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. Public reporting burden for this collection of information is estimated to average 8 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The obligation to respond to this collection is required to obtain or retain benefit (Education General Administrative Regulations, 34 CFR 75.591 and 75.720; program regulations, 34 CFR Part 644 and Title IV, Section 402A and 402F of Higher Education Act of 1965, as amended (Public Law 102-325). Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202-4536 or e-mail ICDocketMgr@ed.gov and reference the OMB Control Number 1840-0830. Note: Please do not return the completed annual performance report to this address.

Section II: Demographic Profile of Project Participants, Target Schools, Invitational Priorities

	NUMBER
Participants Funded to serve [pre-populated]	_____
A. Types of Participants Assisted	
1. New participants	_____
2. Continuing participants	_____
3. Total participants	_____
B. Participant Distribution by Eligibility	
1. Low-income and potential first-generation college students	_____
2. Low-income only	_____
3. Potential first-generation college students only	_____
4. Other	_____
5. Total (must equal A3)	_____
C. Participant Distribution by Race and Ethnicity	
1. American Indian or Alaska Native, non-Hispanic/Latino	_____
2. Hispanic/Latino of any race	_____
3. Asian, non-Hispanic/Latino	_____
4. Black or African American, non-Hispanic/Latino	_____
5. White, non-Hispanic/Latino	_____
6. Native Hawaiian or Other Pacific Islander, non-Hispanic/Latino	_____
7. Two or more races, non-Hispanic/Latino	_____
8. Race or Ethnicity Unknown	_____
9. Total (must equal A3)	_____
D. Participant Distribution by Gender	
1. Male	_____
2. Female	_____
3. Total (must equal A3)	_____
E. Participant Distribution by Age (age of participants at time of first service in budget period)	
1. 10-13	_____
2. 14-18	_____
3. 19-27	_____
4. 28 and above	_____
5. Unknown	_____
6. Total (should equal A3)	_____

F. Military connected students

- 1. Veterans _____
- 2. Active duty military _____
- 3. Spouse of active duty military _____
- 4. Child of active duty military _____
- 5. Total _____

G. Participants with Limited English Proficiency (see 34 CFR 644.4(k)) _____

H. EOC participants also served during the reporting year by another federally funded program (see 34 CFR 644.32(c)(4))

- 1. Upward Bound (UB) _____
- 2. Upward Bound (UBMS) _____
- 3. Veterans Upward Bound (VUB) _____
- 4. Talent Search (TS) _____
- 5. GEAR UP _____
- 6. Student Support Services _____
- 7. Other _____
- 8. Served by more than one other federally funded program _____
- 9. Total _____

I. TARGET SCHOOLS (if applicable)

Please list all the target schools served during this budget period. For each target school, include the school’s identification number listed in the Common Core of Data (CCD) of the National Center for Education Statistics (NCES), the name of the school, its city, state, zip code, and the number of participants served during the reporting period. A Web link to the CCD data will appear on the Web application to assist you in finding the NCES school identification numbers for your target schools.

This section will be pre-populated for projects that had grants in 2010-11. Please enter the requested information for each of the target schools served by the project and/or update or correct the data in the pre-populated list of target schools. Also, please check pre-populated NCES identification numbers for accuracy.

NCES School ID #	School name	City	State	Zip Code	Number served

J. INVITATIONAL PRIORITIES (if applicable)

Indicate whether your project addressed the following invitational priorities.

1. The Secretary encourages applicants to work with appropriate State agencies to use data from State longitudinal data systems or to obtain data from reliable third-party sources when providing information on the implementation of their EOC projects and their participants' outcomes.

1A. Not applicable

1B. Yes

If "Yes," list below the names of the State and third-party data systems your project is using.

State longitudinal data systems	Third-party data systems

2. The Secretary encourages applicants to coordinate project services with school-level partners and other community resources in order to carry out projects that are cost-effective and best meet the needs of adult learners, including veterans.

2A. Not applicable

2B. Yes

If "Yes," list below the community organizations and postsecondary institutions with whom your project is coordinating the delivery of project services.

Community Organizations	School-level (Postsecondary) Partners

SECTION III: Educational Status of EOC Participants (at the time of first service in the reporting year)

	NUMBER
A. Educational Status of Project Participants Aged 19 or Older (at time of first service in the reporting year)	
1. Adult without a secondary school credential, enrolled as a high school senior or in an alternative education program at a level equivalent to a high school senior	_____
2. Adult without a secondary school credentials who belong neither in A1 nor A5.	_____
3. High school graduate or high school equivalency graduate not already enrolled in postsecondary education	_____
4. Postsecondary dropout with a secondary school diploma or credential	_____
5. Postsecondary dropout without a secondary school diploma or credential	_____
6. Potential postsecondary transfer	_____
7. Postsecondary student	_____
8. Other participant, 19 years or older	_____
9. Unknown	_____
10. Total	_____

B. Educational Status of Secondary School-Age Students (at time of first service in the reporting year)	
1. High school non-senior (9th–11th grade)	_____
2. High school senior or in alternative education program (12th grade only)	_____
3. Secondary school dropout (not older than 18 years)	_____
4. Other participant, not older than 18 years	_____
5. Total	_____

Section IV: Educational Status of EOC Participants (at end of reporting period or for the following fall)

In this section all the standard objectives are listed for your EOC project. The percentage for each of these standard objectives will be pre-populated in the online Web application for all grantees. The pre-populated numbers are based on the information provided on the Program Profile sheet submitted with your approved FY 2011 application. No changes may be made to these percentages on this form. However, if any of the pre-populated numbers reflects a data entry error, you must contact your assigned program specialist to resolve the problem. So as to allow the Department to report aggregated data gathered in a uniform manner, all EOC grantees must report outcomes based on the standard objectives identified in the FY 2011 application.

To better facilitate data collection, please enter a positive numeric value in each field. For fields not applicable to your project, please enter zero (0). If more than one response is possible for a given participant, choose the most recent status. Also, please refer to the “Definitions that Apply” section in the APR instructions for additional information on commonly used terms, e.g., secondary school diploma, and alternative education program, etc., that are new in this APR.

A. OBJECTIVE: Secondary School Diploma

_____ % of participants served during the project year that did not have a secondary school diploma or its equivalent at the time of first service in the project year will receive a secondary school diploma or its equivalent during the project year.

Educational Status of Such Participants	NUMBER
A1. Received a secondary school diploma or its equivalent	_____
A2. Enrolled in an alternative education program but did not complete	_____
A3. Enrolled in high school but did not complete	_____
A4. Not enrolled in high school or an alternative education program	_____
A5. Deceased	_____
A6. Unknown	_____
A7. Total	_____

The **denominator** is the number of participants who did not have a secondary school diploma or its equivalent at the time of first service in the reporting year project year (sum of Section III A1, A2, A5, B1, B2, B3, and B4) minus the deceased.

The **numerator** is the number of participants who received a secondary school diploma or equivalent during the project year (Section IV A1).

B. OBJECTIVE: Financial Aid Applications

_____ % of participants served during the project year who at time of first service in the project year were not already enrolled in a postsecondary education program and who: (1) were high school seniors or equivalents in alternative education programs; (2) were high school graduates; or (3) had obtained a high school equivalency certificate will apply for financial aid during the project year.

Financial Aid Completion	NUMBER
B1. Completed a financial aid application	_____
B2. Did not complete a financial aid application	_____
B3. Unknown	_____
B4. Total	_____

The **denominator** is the number of participants, at the time first service in the reporting period, who are not enrolled in postsecondary education, and who are high school seniors or equivalents in alternative programs or who have a secondary diploma or equivalent (Section III, A1, A3, A4, A6, and B2)

The **numerator** is the number of participants who applied for financial aid during the project year (Section IV, B1).

C. OBJECTIVE: Postsecondary Education Admissions

____% of participants served during the project year who at time of first service in the project year were not already enrolled in a postsecondary education program and who: (1) were high school seniors or equivalents in alternative education programs; (2) were high school graduates; or (3) had obtained a high school equivalency certificate will apply for postsecondary admissions during the project year.

Postsecondary Education Admissions	NUMBER
C1. Applied for admission to a postsecondary education program	_____
C2. Did not apply for admission to a postsecondary education program	_____
C3. Unknown	_____
C4. Total	_____

The **denominator** is the number of participants, at the first time of service in the reporting period, who are not enrolled in postsecondary education, and who are high school seniors or equivalents in alternative programs or who have a secondary diploma or equivalent (Section III, A1, A3, A4, A6, and B2)

The **numerator** is the number of participants who applied for admission to a postsecondary education programs (Section IV, C1)

D. OBJECTIVE: Postsecondary Education Enrollment

____% of secondary school graduates (or equivalents) who were served during the project year, and who were not already enrolled in a postsecondary education school at time of first service in the project year, will enroll in a postsecondary education program during the project year (or by the end of the next fall term), or will have received notification by the fall term of acceptance but deferred enrollment until the next academic term (e.g., spring term).

Postsecondary Education Status of Participants	NUMBER
D1. Received a secondary school diploma or equivalent during the reporting year and enrolled in a postsecondary education program	_____
D2. Had a secondary school diploma or credential at the time of first service in the reporting year and enrolled in a postsecondary education program	_____
D3. Did not enroll in a postsecondary education program	_____
D4. Deceased	_____
D5. Unknown	_____
D6. Total (Should equal the sum of Section IV, A1 and Section III, A3, A4, and A6)	_____

Please indicate, by selecting the appropriate option below, which interpretation of the objective was followed when the project established the postsecondary enrollment objective.

- Option 1: The **denominator** for this objective would include participants that received a secondary school diploma or its equivalent during the reporting year (Section IV, A1). The **numerator** is the number of participants served who received a secondary school diploma or

equivalent during the reporting year and enrolled in a postsecondary education program (Section IV, D1).

or

- **Option 2:** The **denominator** for this objective would include participants that received a secondary school diploma or its equivalent during the reporting year (Section IV, A1) **and** those participants who had a secondary school diploma or credential at the time of first service (Section III, A3, A4, and A6 minus deceased reported in Section IV, D4) during the reporting year. The **numerator** is the number of participants served who received a secondary school diploma or equivalent during the reporting year and enrolled in a postsecondary education program (Section IV, D1) **and** the number of participants who already had a secondary school diploma or credential at the time of first service and enrolled in a postsecondary education program (Section IV, D2).

Note: Because Section IV, A5 identified deceased participants, IV, A1 did not include such students; the calculation for Option 1 will therefore not involve subtracting deceased participants from the denominator. On the other hand, Section IIIA *did not* identify deceased students; therefore, in the calculation for Option 2, deceased students in IV, D4 will be subtracted from the denominator.

Important: Once an interpretation has been selected in the 2011-12 APR, it cannot be changed for the remainder of the current grant cycle to maintain consistent PE calculations across all PE assessment years.

E. Postsecondary Placements (Types of Institutions)

Please indicate the number of participants enrolled in postsecondary education for each type of postsecondary institution listed

TYPE AND CONTROL OF POSTSECONDARY INSTITUTIONS	NUMBER
E1. Public, two-year institution	_____
E2. Private, non-profit, two-year institution	_____
E3. Public, four-year institution	_____
E4. Private, non-profit, four-year institution	_____
E5. Public or non-profit vocational/technical institution	_____
E6. Proprietary school	_____
E7. Unknown	_____
E8. Total (should equal the sum of Section IV, D1 and D2)	_____