North Carolina’s Equity Plan For Highly Qualified Teachers

December 2010

Prepared by the NC Department of Public Instruction (NCDPI)
North Carolina’s Equity Plan
For Highly Qualified Teachers

North Carolina is committed to ensuring that every public school student will graduate from high school, globally competitive for work and postsecondary education and prepared for life in the 21st Century. Because of the critical role of teachers in actualizing this commitment, North Carolina is also committed to ensuring that every child has competent, caring, and qualified teachers. In an effort to recruit and retain quality teachers, North Carolina has implemented many initiatives, including scholarships for prospective teachers that are paid back through working in the public schools, revising licensure policies to eliminate barriers and facilitate the licensing of teachers from other states, creating accelerated alternate routes to teaching, providing a three-year induction program for new teachers, providing salary incentives for teachers who earn National Board Certification and/or master’s degrees, and assessing teacher working conditions. (A summary of these initiatives can be found in Appendix A.)

The Office of the Governor and the North Carolina General Assembly are acutely aware of the State’s need for quality teachers, and the State has allocated significant resources to this end. Even with these efforts, North Carolina, like a number of other states, has a teacher shortage. North Carolina’s need for teachers is a result of a growing student population, efforts to reduce class size, and teacher attrition. North Carolina’s current projection for the number of teachers to fill vacancies in 2010 is 11,847, with the number rising to nearly 13,000 in the next five years. North Carolina’s 47 colleges and universities with approved teacher education programs produce between 4600 and 5000 candidates annually. Approximately 5,300 new hires come from alternative route (lateral entry) programs, out of state programs, or are teachers reentering the workforce.

TEACHER DISTRIBUTION
While systems in all geographic areas of the State report difficulty recruiting and retaining teachers, there are differences between and within school systems in the need for teachers. Systems throughout the State consistently report difficulty in finding math, science, and special education teachers. This is in line with the fact that nearly half (42.93%) of all the alternative route (lateral entry) licenses issued in North Carolina are in math, science, and Special Education. In addition, of the elementary teachers not yet highly qualified, 40.6% are Special Education teachers. At the secondary level, 39.7% of the not yet highly qualified teachers are in Special Education. Analysis of the courses taught by teachers not yet highly qualified (exclusive of special education) reveals that 13% are math and 12% are science. There are systems in the State, however, that even have difficulty finding elementary teachers, and in fact, 9.35% of the lateral entry licenses issued are for elementary teachers. These latter systems tend to be the rural, low-wealth systems.

Based on extended discussions with personnel administrators across the State and extensive analysis of the data at the state level on a variety of teacher characteristics at the school system and school levels, it is clear that North Carolina does not have a single, isolated distribution problem. Rather, North Carolina has a multi-faceted problem of teacher shortage and teacher distribution.

1 Workforce analysis conducted by the University of North Carolina General Administration (UNC GA) in 2008.
3 Out-of-field teaching assignments are included in the not HQT percentages.
4 Statistics referenced in this section were based on 2009-2010 data.
North Carolina needs special education, math, and science teachers. North Carolina also needs elementary teachers, and arts teachers, and middle school teachers, and English as a Second Language teachers, and second language teachers willing to teach in rural, low-wealth areas, hard-to-staff urban school areas, and high growth areas. Because NC has a multi-faceted problem, the State has adopted a variety of strategies (described later in this plan) to address the shortage and distribution of teachers in schools across the State.

Information on teacher experience and highly qualified teacher (HQT) status based on whether or not schools made annual yearly progress (AYP), whether or not schools made high growth, school performance composites, school poverty levels, and school minority populations is reflected in the following tables.

<table>
<thead>
<tr>
<th>Comparison of Highly Qualified Teacher Status and Experience Characteristics Based on Annual Yearly Progress (AYP) Status and High Growth Status 2009-2010</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
<tr>
<td>% HQT</td>
</tr>
<tr>
<td>School Made AYP</td>
</tr>
<tr>
<td>School Did Not Make AYP</td>
</tr>
<tr>
<td>School Made High Growth</td>
</tr>
<tr>
<td>School Did Not Make High Growth</td>
</tr>
<tr>
<td>% of Teachers with 0-3 Years Experience</td>
</tr>
<tr>
<td>% of Teachers with 4-10 Years Experience</td>
</tr>
<tr>
<td>% of Teachers with 10+ Years of Experience</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Comparison of Highly Qualified Teacher Status and Experience Based on School Performance Composite Quartiles 2009-2010</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
<tr>
<td>Teachers</td>
</tr>
<tr>
<td>Quartile 1 &gt;= 80.6%</td>
</tr>
<tr>
<td>% HQT</td>
</tr>
<tr>
<td>98.15%</td>
</tr>
<tr>
<td>% of Teachers with 0-3 Years Experience</td>
</tr>
<tr>
<td>% of Teachers with 4-10 Years Experience</td>
</tr>
<tr>
<td>% of Teachers with 10+ Years of Experience</td>
</tr>
</tbody>
</table>

Note: Quartile 1 is comprised of schools with the highest levels of performance, and Quartile 4 is comprised of schools with the lowest levels of performance.
Comparison of Highly Qualified Teacher Status and Experience
Based on School Poverty Quartiles
2009-2010

<table>
<thead>
<tr>
<th></th>
<th>Quartile 1</th>
<th>Quartile 2</th>
<th>Quartile 3</th>
<th>Quartile 4</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>&gt;= 82.41%</td>
<td>64.67% - 82.39%</td>
<td>46.81% - 64.65%</td>
<td>&lt;= 46.77%</td>
</tr>
<tr>
<td>%HQT</td>
<td>98.91%</td>
<td>99.51%</td>
<td>99.33%</td>
<td>99.55%</td>
</tr>
<tr>
<td>% of Teachers with 0-3 Years Experience</td>
<td>23.20%</td>
<td>20.80%</td>
<td>18.00%</td>
<td>17.00%</td>
</tr>
<tr>
<td>% of Teachers with 4-10 Years Experience</td>
<td>32.10%</td>
<td>31.30%</td>
<td>30.90%</td>
<td>32.60%</td>
</tr>
<tr>
<td>% of Teachers with 10+ Years of Experience</td>
<td>44.70%</td>
<td>47.90%</td>
<td>55.10%</td>
<td>50.40%</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>Quartile 1</th>
<th>Quartile 2</th>
<th>Quartile 3</th>
<th>Quartile 4</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>&gt;= 74.96%</td>
<td>58.85% - 74.82%</td>
<td>43.55% - 58.80%</td>
<td>&lt;= 43.28%</td>
</tr>
<tr>
<td>%HQT</td>
<td>94.09%</td>
<td>96.59%</td>
<td>97.38%</td>
<td>97.06%</td>
</tr>
<tr>
<td>% of Teachers with 0-3 Years Experience</td>
<td>25.70%</td>
<td>21.10%</td>
<td>17.70%</td>
<td>17.90%</td>
</tr>
<tr>
<td>% of Teachers with 4-10 Years Experience</td>
<td>32.10%</td>
<td>32.00%</td>
<td>31.30%</td>
<td>31.90%</td>
</tr>
<tr>
<td>% of Teachers with 10+ Years of Experience</td>
<td>42.20%</td>
<td>46.90%</td>
<td>51.00%</td>
<td>50.20%</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>Quartile 1</th>
<th>Quartile 2</th>
<th>Quartile 3</th>
<th>Quartile 4</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>&gt;= 59.54%</td>
<td>46.22% - 59.40%</td>
<td>35.04% - 46.2%</td>
<td>&lt;= 34.93%</td>
</tr>
<tr>
<td>%HQT</td>
<td>92.76%</td>
<td>96.34%</td>
<td>96.46%</td>
<td>96.98%</td>
</tr>
<tr>
<td>% of Teachers with 0-3 Years Experience</td>
<td>23.90%</td>
<td>18.80%</td>
<td>17.60%</td>
<td>16.00%</td>
</tr>
<tr>
<td>% of Teachers with 4-10 Years Experience</td>
<td>27.20%</td>
<td>26.90%</td>
<td>26.90%</td>
<td>29.00%</td>
</tr>
<tr>
<td>% of Teachers with 10+ Years of Experience</td>
<td>49.00%</td>
<td>54.30%</td>
<td>55.50%</td>
<td>55.00%</td>
</tr>
</tbody>
</table>

Note: Quartile 1 is comprised of schools with the highest levels of poverty, and Quartile 4 is comprised of schools with the lowest levels poverty.
## Comparison of Highly Qualified Teacher Status and Experience
Based on School Minority Population Quartiles
2009-2010

### Elementary Schools

<table>
<thead>
<tr>
<th>Quartile</th>
<th>&gt;= 70.9%</th>
<th>44.4% - 70.8%</th>
<th>23.5% - 44.3%</th>
<th>&lt;= 23.3%</th>
</tr>
</thead>
<tbody>
<tr>
<td>%HQT</td>
<td>98.95%</td>
<td>99.46%</td>
<td>99.44%</td>
<td>99.53%</td>
</tr>
<tr>
<td>% of Teachers with 0-3 Years Experience</td>
<td>24.9%</td>
<td>20.4%</td>
<td>17.5%</td>
<td>14.8%</td>
</tr>
<tr>
<td>% of Teachers with 4-10 Years Experience</td>
<td>32.2%</td>
<td>31.7%</td>
<td>31.7%</td>
<td>31.4%</td>
</tr>
<tr>
<td>% of Teachers with 10+ Years of Experience</td>
<td>42.9%</td>
<td>47.9%</td>
<td>50.8%</td>
<td>53.8%</td>
</tr>
</tbody>
</table>

### Middle Schools

<table>
<thead>
<tr>
<th>Quartile</th>
<th>&gt;= 68.3%</th>
<th>46.5% - 68.0%</th>
<th>26.4% - 46.4%</th>
<th>&lt;= 26.3%</th>
</tr>
</thead>
<tbody>
<tr>
<td>%HQT</td>
<td>95.41%</td>
<td>96.57%</td>
<td>97.86%</td>
<td>98.97%</td>
</tr>
<tr>
<td>% of Teachers with 0-3 Years Experience</td>
<td>27.7%</td>
<td>22.7%</td>
<td>20.8%</td>
<td>17.4%</td>
</tr>
<tr>
<td>% of Teachers with 4-10 Years Experience</td>
<td>31.3%</td>
<td>30.5%</td>
<td>30.2%</td>
<td>28.5%</td>
</tr>
<tr>
<td>% of Teachers with 10+ Years of Experience</td>
<td>41.0%</td>
<td>46.8%</td>
<td>48.9%</td>
<td>54.1%</td>
</tr>
</tbody>
</table>

### High Schools

<table>
<thead>
<tr>
<th>Quartile</th>
<th>&gt;= 63.0%</th>
<th>40.6% - 62.9%</th>
<th>22.1% - 40.4%</th>
<th>&lt;= 21.9%</th>
</tr>
</thead>
<tbody>
<tr>
<td>%HQT</td>
<td>93.51%</td>
<td>96.88%</td>
<td>97.51%</td>
<td>97.94%</td>
</tr>
<tr>
<td>% of Teachers with 0-3 Years Experience</td>
<td>25.9%</td>
<td>21.2%</td>
<td>19.3%</td>
<td>16.8%</td>
</tr>
<tr>
<td>% of Teachers with 4-10 Years Experience</td>
<td>27.3%</td>
<td>26.9%</td>
<td>27.4%</td>
<td>25.4%</td>
</tr>
<tr>
<td>% of Teachers with 10+ Years of Experience</td>
<td>46.8%</td>
<td>52.0%</td>
<td>53.3%</td>
<td>57.8%</td>
</tr>
</tbody>
</table>

Note: Quartile 1 is comprised of schools with higher levels of minority students, and Quartile 4 is comprised of schools with fewer of minority students.
The maps that follow show LEAs by percent of minority student population, poverty, and percent of teachers not yet highly qualified. In all cases red represents the greatest percent (Quartile 1), yellow represents Quartile 2, green is Quartile 3, and blue is Quartile 4.

**Non-Highly Qualified Teachers by LEA**

**Non-Highly Qualified Teachers by LEA Minority Student Population Quartile**
Because many schools and school systems across the State are struggling to find highly qualified teachers, North Carolina needs to continue its efforts to help recruit and retain highly qualified teachers. Additionally though, based on analysis of the data contained in the preceding tables, efforts that focus at the middle school and high school levels, as well as in the areas of math, science, and special education are needed. While there are differences across the quartiles in terms of teacher HQT status and experience, the more striking differences are across the school levels.

STRATEGIES TO ENSURE EQUITABLE DISTRIBUTION
North Carolina has a multi-faceted problem of teacher shortage and distribution. To ensure the equitable distribution of teachers, NC must increase the overall supply of teachers available to schools. To do this, the State of North Carolina and the Department of Public Instruction (DPI) must focus efforts on increasing public awareness of the problem, recruiting teachers to our schools, and retaining the teachers in our schools. The State must also find ways to enhance the effectiveness of our current supply of teachers to ensure that all students are provided quality instruction. North Carolina has adopted or accelerated a variety of strategies to address the shortage and distribution of highly qualified teachers. These strategies are described in the following pages.

Strategy 1: Public Reporting
North Carolina publicly reports data on teacher qualifications in the annual School Report Card. The report card is web-based and contains information at the school, district, and State levels on the percent of highly qualified teachers, the number of teachers with advanced degrees, the number of teachers with 0-3, 4-10, and 10+ years of experience, retention of teachers at the school level, number of National Board Certified teachers, and results of the Teacher Working Conditions Survey. Schools are expected to send copies of their report card home. The school report card is published on the Department of Public Instruction website and can be found at: [www.ncreportcards.org](http://www.ncreportcards.org). System level personnel are provided training on the School Report Card annually so that they can answer any questions parents may have.
Rationale for the Strategy: To ensure the equitable distribution of teachers, the public must be aware of the problems the State faces regarding teacher shortage and distribution. The public reporting of teacher qualification data allows parents and other interested individuals to compare schools within and across systems. Public reporting of the data will raise awareness of inequities and the need for teachers and keep focus on the issue.

Strategy 2: LEA Equity Plans
North Carolina requires LEAs that have not met Highly Qualified Teacher (HQT) targets to develop equity and improvement plans. For three years, the State has been providing technical assistance and monitoring to various LEAs that are having difficulty increasing their HQT percentages. This technical assistance was provided through a contract with a retired Assistant Superintendent of Human Resources. The State will continue to monitor and provide assistance to LEAs not meeting HQT goals, and the State will assist them in developing equity and improvement plans. The State encourages the LEAs to make use of low wealth funds and the disadvantaged student supplemental funds mentioned in Strategy 5 to implement their equity plans.

Rationale for the Strategy: Because teachers are hired by local school systems and because it is ultimately the local system that assigns teachers to schools and classes, requiring local systems to have and to implement teacher equity plans is critical to ensure equitable distribution. The implementation of the equity plans will be monitored by DPI. The development of equity plans by local school systems will ensure that local school systems are aware of inequities with their schools and that they have developed and are implementing strategies to address inequity.

Strategy 3: Teacher Working Conditions
Since 2002, the State has surveyed all school-based licensed educators about their teaching conditions, including time, leadership, empowerment, professional development, facilities and resources, and induction. The most recent iteration of the Teacher Working Conditions (TWC) survey was in the spring of 2010, and over 105,688 (88.81%) educators responded, providing every public school with their own data to use as a tool to improve student learning conditions. Analyses conducted by the New Teacher Center demonstrate significant connections between positive teacher working conditions and student achievement and teacher retention. The results of the survey are available on-line at http://www.ncteachingconditions.org/. A detailed report (available on the website) provides a question by question comparison of responses at the district and school levels. Schools and school systems can also request the results in excel file format.

The TWC survey continues to inform local communities on ways to improve each school, and data from the survey assist district and State policymakers in decisions affecting the recruitment and retention of quality teachers, teacher induction practices, and quality training of principals. The TWC data and accompanying research findings continue to shape statewide policy, leading to newly established 21st century standards and evaluations and support for school leaders and classroom teachers.
Rationale for the Strategy: On an annual basis, North Carolina hires approximately 11,000 – 12,000 new teachers. These teachers are needed not only because of student growth, but to replace teachers LEAs have lost due to retirement, other opportunities, and teacher working conditions. Focusing on teacher working conditions will help improve teacher retention. This in turn will result in more experienced teaching staff in our schools. The State can address the shortage, in part, by retaining more teachers in our schools.

Strategy 4: Increased Accountability for Title II, Part A, Improving Teacher Quality Grants

The North Carolina Department of Public Instruction (NCDPI) developed a Highly Qualified Teacher (HQT) Improvement Plan for required submission from local education agencies (LEAs) in receipt of Title II, Part A, improving Teacher Quality funds who have not had 100% of their teachers deemed highly qualified in the two last consecutive years. The plan requires the LEAs to develop a more comprehensive approach to ensuring each teacher becomes highly qualified in the most expeditious manner. The agency also developed an HQT Financial Accountability Agreement for LEAs who had neither satisfied the 100% HQT requirement, nor met Adequate Yearly Progress in any of the past three consecutive years. In addition to addressing the data deficiencies, the HQT Financial Agreement requires LEAs to reallocate its use of funds to specific areas of need. This new level of accountability will produce a larger concentration of highly qualified teachers across the state.

Rationale for the Strategy: The Elementary and Secondary Education Act, reauthorized as No Child Left Behind (NCLB) in 2001, required that all teachers be highly qualified in the core subjects they teach by the year 2006. Section 2141(a) and (c) of NCLB mandates increased accountability on behalf of the state and individual school districts to ensure that 100% of core subjects are taught by highly qualified personnel.

Strategy 5: Mentoring and Induction into Teaching

The NC State Board of Education has enacted policies to encourage optimum working conditions for new teachers\(^5\). The policy reads:

To ensure that beginning teachers have the opportunity to develop into capable teachers, the following working conditions are strongly recommended:

- assignment in the area of licensure;
- mentor assigned early, in the licensure area, and in close proximity;
- orientation that includes state, district, and school expectations;
- limited preparations;
- limited non-instructional duties;
- limited number of exceptional or difficult students; and
- no extracurricular assignments unless requested in writing by the beginning teacher.

The term “non-instructional duties” refers to those that are not directly involved

with the instructional program or the implementation of the standard course of study, but that all teachers are expected to do. Examples would be bus duty, lunch duty, and hall duty. The term “extracurricular activities” refers to those activities performed by a teacher involving students that are outside the regular school day and not directly related to the instructional program.

In 2010, the State Board adopted new Mentor and Beginning Teacher Support Standards, which align directly to the North Carolina Professional Teaching Standards and the Teacher Evaluation Instrument. These new standards are based on professional growth, and they provide a strong opportunity for capacity building across the state for mentors and beginning teachers alike. A new peer review process will go in effect in 2012 where districts will share ideas to assess and support each other’s Beginning Teacher Support Programs to maximize available resources for the induction and retention of teachers.

Rationale for the Strategy: In line with national statistics, North Carolina loses almost 50% of its new teachers within five years. This results in the need to continuously recruit new teachers to replace those that leave. By retaining more new teachers, North Carolina will increase the supply of teachers available to school systems throughout the State. In addition, North Carolina will be better able to ensure that schools are staffed by more experienced teachers. A variety of research studies support the need for strong induction programs for new teachers. Mentoring new teachers impacts retention and helps teachers develop as professionals. While NC has had a mentoring program for over twenty years, the State needs to identify specific strategies to increase the impact of our program on teacher retention.

Strategy 6: Performance-Based Teacher and Principal Evaluation

As of the 2010-2011 school year, the new North Carolina teacher and principal evaluation processes were fully implemented statewide, with student achievement growth data used as a significant component in a balanced evaluation system. The utility of the aligned evaluations based on the revised North Carolina Professional Teaching Standards will provide improved evidence of teacher and principal effectiveness and inform decisions about ensuring the most capable educators are distributed equitably to the students and schools most in need.

Through the new teacher and principal evaluation system and the local flexibility provided by the Race to the Top Grant, opportunities for teachers and principals to earn incentives based on student performance increases significantly. These incentives will help recruit and retain effective teachers and principals in rural and urban districts that traditionally have difficulty in this area. NC DPI is developing one additional standard to the evaluation instrument which will

Rationale for the Strategy: The new evaluation system promotes continual professional growth, effective leadership, quality teaching, and student learning. Through its focus on professional growth, collaboration, and student outcomes, the evaluation instrument has direct implications for teacher preparation programs and sustainable staff development. Both endeavors will ensure the identification, hiring, and retention of more well-trained personnel. Moreover, through the new teacher and principal evaluation system and the local flexibility provided by the Race to the Top Grant, opportunities for teachers and principals to earn incentives based on student performance increases significantly. These incentives will
particularly help recruit and retain effective teachers and principals in rural and urban districts that traditionally have difficulty in these areas.

**Strategy 7: Financial Incentives**

The State and LEAs offer teachers a variety of incentives to enter the field, stay in teaching, and work in hard-to-staff schools and content areas. One way the State offers incentives is through its teacher compensation scale. North Carolina uses a step scale for teacher compensation. The scale provides greater percent increases for teachers after three years of experience through the eighth year of experience and generally flattens out for the remainder of the scale. This increase from years three through eight was designed to provide an increased incentive for teachers to stay in the classroom during the time in which teachers tend to leave the field and when teachers are growing in terms of their effectiveness in the classroom.

In addition, the State provides an incentive to LEAs to hire teachers based upon their quality, rather than the cost required to compensate them. Teachers and other school personnel in North Carolina are paid on a state salary schedule based on education level and experience. School systems are allotted teaching positions based on student enrollment, rather than dollar amounts. Therefore, the salary is paid for whatever person the LEA hires into the position. Consequently, there is an incentive for LEAs to hire teachers with more experience and pay them higher salaries, since the base pay is borne by the State.

North Carolina provides State supplemental funds to LEAs in counties that do not have the ability to generate revenue to support public schools (per a legislated formula) at the state average level. The funding is to allow those counties to enhance the instructional program and student achievement. Eligible LEAs are those located in counties in which the calculated county wealth (per the legislated formula) is less than 100% of the state average wealth. In FY 2010-2011, 223.3 million was allotted for low-wealth funding, and 78 LEAs qualify for these funds. This funding can be used by school systems for instructional positions, substitutes, instructional support positions, teacher assistant positions, clerical positions, overtime pay, instructional equipment, instructional supplies and materials, staff development, and textbooks.

In the 2010-2011 school year, LEAs will receive approximately $78 million through state Disadvantaged Student Supplemental Funding to address the capacity needs of their system to meet the needs of disadvantaged students. Funds are allocated based on a formula that considers the percentage of students living in a single parent family, the percentage of students eligible for federal ESEA Title I, and the percentage of students who have at least one parent with less than a high school diploma. Each LEA must submit an action plan and budget to the State Board of Education. It is expected that LEAs will include teacher recruitment and retention, using the Teacher Working Conditions Survey as a tool, in their plans.

**Rationale for the Strategy:** Several studies have identified salary as a factor when potential employees decide whether or not to accept a particular assignment. Using the low wealth funding and the disadvantaged student supplemental funding, local systems can offer salary incentives to attract teachers to hard-to-staff schools or address working conditions (such as

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class size, teacher assistants, instructional materials) that might attract teachers to the schools.

Strategy 8: Focus on Teacher Retention

Each year LEAs report to the State Board of Education the reasons that teachers left their system. The data have been analyzed and four categories of teacher turnover have been identified: Remained/Remaining in Education, Turnover that Might be Reduced, Turnover Initiated by the LEA, and Turnover Beyond Control. The category Remained/Remaining in Education includes individuals resigning to teach in another NC LEA or charter school and individuals who moved to non-teaching positions in education. The category Turnover that Might be Reduced includes individuals retiring with reduced benefits, individuals resigning to teach in a non-public school in NC, individuals resigning to teach in another state, individuals dissatisfied with teaching, individuals seeking a career change, and individuals who resigned for unknown and other reasons. The category Turnover Initiated by the LEA includes individuals who were non-renewed, dismissed, or resigned in lieu of dismissal. The category Turnover Beyond Control included those individuals who retired with full benefits, individuals who resigned for health reasons, individuals who resigned due to family responsibilities and/or childcare, and individuals who resigned due to family relocation. The teacher turnover report is presented to the State Board of Education in October.

Rationale for the Strategy: Teacher turnover contributes to the differences in teacher experience levels at schools. Teacher turnover is higher at hard-to-staff schools and schools where working conditions are less than desirable. Teacher turnover can be reduced, and reducing it will positively impact student achievement. Retaining more teachers will increase the supply of teachers available to schools.

Strategy 9: Expansion of the Turnaround Teams for Low-Performing Schools

Through the Division of District and School Transformation (DST), DPI provides support for North Carolina schools and districts to increase student achievement and reduce dropouts, utilizing a Statewide System of Support. Additionally, District and School Transformation provides specific support to districts and schools identified under federal law through No Child Left Behind and under state statute and/or executive or judicial mandate as having challenges with equity, growth, basic level of proficiency, or district capacity. Low-performing schools, schools with gaps in subgroup performance and secondary schools with performance composites below 60% proficient are supported through District and School Transformation’s providing direct instructional and leadership coaching, comprehensive needs assessments, and/or strategic professional development.

The most important elements of the strategies implemented through District and School Transformation (DST) are those focused on changing behaviors and practices of school leaders and instructional staff. Without significant change and re-culturing in the classroom, student achievement will not advance. Therefore, the work of DST intensively focuses on change in the professional practice of school leaders and teachers.

This model, focused on developing two levels of capacity, school and district, has produced significant positive results. Capacity-building with current staff when schools and districts are located in areas that make recruitment and retention more difficult is a viable option having
documented success. Rather than redistributing existing highly qualified teachers, this model increases the supply of highly qualified teachers, builds the skills and abilities of current staff, and significantly increases instructional effectiveness. The model also allows flexibility among districts with greater and less capacity to effect internal changes.

Expansion of DST services:
In addition, North Carolina has targeted the schools for turnaround that are in the lowest 5 percent based on student achievement, high schools with graduation rates below 60 percent, and local school districts with aggregate performance composites of less than 65 percent. Schools and districts that have been involved in transformation and turnaround activities over the past four years have shown significant improvements and have built internal capacity to continue the pattern of improvement even after NCDPI staff have ended their on-site commitment with the schools or districts.

Rationale for the Strategy: In areas in which recruitment and retention of teachers is more of a challenge and the supply of highly qualified and effective teachers is smaller, the DST model both allows for and encourages LEA efforts to make policy and staffing changes that will more equitably distribute highly qualified and highly effective personnel.

Strategy 10: Expansion of Access to Teacher Preparation Programs
The North Carolina Community College System, the University of North Carolina (UNC) System, and the independent colleges and universities throughout the State are working to increase the supply of teachers. With special funding from the North Carolina General Assembly, community colleges and UNC campuses, as well as some independent universities, have established 2+2 programs to make teacher preparation accessible to prospective teachers throughout the State. The University System has received special funding to make courses available through distance learning, including internet courses, and institutions are offering courses during late afternoons, evenings, and weekends to increase accessibility for non-traditional students.

The NC General Assembly has charged UNC with developing plans to address the teacher shortage in North Carolina. UNC is in process of developing system and campus-level plans using the following strategies: recruitment, preparation, and better support to improve the retention of new teachers and school leaders. UNC, in conjunction with the General Administration, the UNC Dean’s Council on Teacher Education, and NCDPI, have developed plans for the first two of the three strategies; recruitment and preparation. The plans include a focus on preparing more teachers in mathematics education, science education, middle grades education, and special education – highest need licensure areas identified by NCDPI. Outcomes and accomplishments from each of the plans are reported to the UNC Board of Governors, shared with the NC General Assembly and the North Carolina State Board of Education, and discussed with all levels of UNC campus leadership each year. The third strategic plan, new teacher and school leader support, is being finalized with external funding sources being sought to support the implementation of this important work.

Rationale for the Strategy: NCDPI believes that increasing access to teacher preparation programs, particularly in rural areas of the State, will help ensure the equitable distribution of teachers for two reasons. First, analysis of teacher education program graduate data
demonstrates that many program completers stay in the area in which they completed their programs. Second, individuals who already have roots/ties in an area/community, particularly rural or low wealth areas, are more likely to remain in those areas/communities. Increasing the supply of teachers will help address inequities in teacher distribution by providing a larger pool of qualified candidates.

**Strategy 11: NC Virtual Public School**

Through the NC Virtual Public School (NCVPS) program, e-learning opportunities have been prioritized for students residing in rural and low-wealth county LEAs through strategic professional development waves with credit recovery, advanced placement, and blended learning modules, with courses being offered to the schools and districts who need the service the most. As of the fall of 2009, students from 114 of the 115 school districts in the State took at least 10 online courses from NCVPS. The course catalog contains over 100 courses, which include: AP courses, EOC courses, honors and general courses in Math, Science, English, Social Studies, World Languages, Arts, CTE, and Healthful Living, as well as courses available for credit recovery. The courses are offered in block and year-long format, as well as on both traditional and early calendars in order to meet the varied scheduling needs across the State. In addition, NCVPS employs over 300 teachers on an adjunct basis. All are certified to teach in North Carolina and are considered “highly qualified” as required by No Child Left Behind.

The 2009-2011 State budget appropriation includes expansion funding for the North Carolina Virtual Public School. Since 2007, The NCVPS has been second in the nation in terms of enrollment, having served over 60,000 students since its inception. (Florida Virtual is the first.) It has been recognized by the Center for Digital Education, The Chief State Schools Officers, and the International Association of Online Learning as the number eight virtual school in the country. In addition, the organization also partners with Learn and Earn Online to bring free college tuition opportunities to these areas through [www.nclearnandearn.gov](http://www.nclearnandearn.gov).

**Rationale for the Strategy:** NC Virtual Public School helps ensure equity in teacher distribution by providing students access to courses and other opportunities they might otherwise not have. NCVPS enables students throughout the State, regardless of geographic area, to have access to highly qualified, experienced teachers. Schools and school systems unable to employ highly qualified teachers for specific subjects are often able to access them through the Virtual Public School.

**Strategy 12: Regional Leadership Academies**

At the onset of the 2010-2011 school year, NC DPI, in conjunction with other educational partners, began a leadership academy for aspiring principals who have committed to serve in the low-performing and high-need schools in the rural northeast region of the state. Two more similar academies have been approved by the North Carolina State Board of Education (SBE) to begin in the 2011-2012 school year.

**Rationale for the Strategy:** By identifying and nurturing effective teacher leaders in regional areas which have difficulty recruiting and retaining highly qualified personnel, the Regional Leadership Academies offer an incentive for the most capable educators to stay and build local capacity to bolster positive school culture and student outcomes.
Other Planned Strategies to Address Recruitment, Retention, and Distribution

Strategic Staffing Initiatives – Completed by August 2014

• Expand teacher recruitment and licensure programs by August 2014.
  Based on compelling data regarding the program’s effectiveness, the SBE approved the
development of a plan to expand the number of Teach for America participants to work in low-
performing schools. In addition, the SBE approved the establishment of the “NC Teacher
Corp,” a teacher recruitment and training program based on the Teach for America model which
will prepare selected North Carolina college graduates to teach in low-performing schools not
already served by Teach for America. Furthermore, the SBE has called for the development of
a comprehensive three-year induction program specifically designed to support beginning
teachers who work in low-achieving schools.

• Provide effective teachers via virtual and blended courses
  North Carolina Virtual Public Schools has begun the process to expand the availability and use
of virtual courses in mathematics and science in low-performing schools and other schools in
which curriculum offerings are limited and qualified teachers are unavailable locally.

Microsoft IT Academy
Thanks to a three-year contract between the Department of Public Instruction and Microsoft,
students and teachers in more than half of the state’s school districts are currently using software
and taking courses through the Microsoft IT Academy Program for free. This contract was paid
mostly with Microsoft settlement funds provided to North Carolina. In August 2011, North
Carolina will become the first state in the country to offer the program in high schools
statewide. The Microsoft Academy provides equal access to participating students across the
state.

Teacher and Administrator Preparation Programs
Revised higher education teacher and administrator education programs will align with National
Common Core Standards. As a result, all teachers and principals will have the opportunity to
experience the same rich curriculum and be better prepared for challenges in school across the
state.

Professional Development- ongoing through 2014
As requested by the SBE, NCDPI has established a system to create, train, and support a cadre
of teacher and principal leaders in professional development to build capacity for sustainable
professional development statewide. Through available online workshops, webinars, virtual
courses and other electronic media, teachers from across the entire state will have access to
quality professional development.

Technology Integration
NCDPI is leading the execution of the SBE approved School Connectivity Implementation and
Operating Plan, a three-year deployment approved by the State Board of Education in 2007 to
connect all local school districts into a statewide network for equitable broadband connectivity
to all schools and classrooms. This infrastructure is critical to maximize the impact of available
technology. As of May 2009, all 115 school districts are connected to the NC Research and Education Network. School districts have received more than $63 million in federal e-Rate funds. A total of $34 million in technology funding was distributed to North Carolina school in fiscal year 2010 ($24 million in e-Rate funds and $10 million from the General Assembly). There were 100 On-site Network Consultancy engagements in fiscal year 2010. Fifteen districts are participating in the UNC School of Government Certified Education Chief Technology Officer program.

Science, Technology, Engineering and Mathematics (STEM) Thematic Schools
The SBE has requested the development of four STEM anchor schools, each focused on a major area relevant to North Carolina economic development by August 2011. The anchor schools will be centers for professional development, curriculum development, technology use and innovation to impact networks of STEM schools throughout North Carolina (ongoing through August 2014).

EVALUATION OF THE EQUITY PLAN
This equity plan for highly qualified teachers will be reviewed and updated on an annual basis by the Department of Public Instruction, with input from LEAs and other stakeholders. The results of the review and update will be reported to the State Board of Education. Needed additions and/or modifications of the strategies will be included in the review and update.
Appendix A
Summary of Teacher Recruitment and Retention Initiatives
## SUMMARY OF TEACHER RECRUITMENT AND RETENTION INITIATIVES

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### PROGRAMS/SERVICES

- Troops to Teachers (T3)
- Prezell R. Robinson Scholars
- Teacher Cadet (NCAE)
- NC TEACH
- **[www.teach4nc.org](http://www.teach4nc.org)**
- On-line application
- Teachers-teachers.com
- Regional Alternative Licensure Centers
TEACHER RECRUITMENT AND RETENTION INITIATIVES
IN NORTH CAROLINA

FINANCIAL INCENTIVES
The following financial programs were established as ways to enhance the quality of the North Carolina teacher pool. Programs offer incentives to enter and remain in the field, remain in their school, pursue National Board Certification and produce high levels of student achievement.

Future Teachers of North Carolina Scholarship Loan Fund (FTNC)
The Future Teachers of North Carolina Scholarship/Loan program was established by the 2005 North Carolina General Assembly to provide scholarships/loans to college juniors and seniors who are seeking licensure to teach math, science, special education or English as a second language in North Carolina’s public schools.

This two-year scholarship-loan can be used at any North Carolina four-year institution that offers a teacher education program. Future Teachers of North Carolina is administered by the North Carolina State Education Assistance Authority. The annual award will be $6,500 per year for students enrolled full time (a minimum of 12 credit hours for both the fall and spring semesters). One half of the award is made available to the recipients in the fall semester the remainder in the spring semester. Recipients may renew the scholarship-loan up to two years. Funding for the program is contingent each year upon appropriations made available to the State Education Assistance Authority by the General Assembly.

Prospective Teacher Scholarship Loan (PTSL)
The Prospective Teacher Scholarship Loan is a competitive, merit-based scholarship-loan program created by the North Carolina General Assembly in 1957. This program was designed to provide scholarship loans to qualified individuals who are pursuing college degrees to become teachers in the public schools of North Carolina.

The annual award is:
1. $4,000 per year for juniors and seniors.
2. $2,500 per year for freshmen and sophomores and community college students planning to transfer into a teacher education program at a four-year institution.

One half of the award is made available to the recipients in the fall semester and the remainder in the spring semester. Recipients may renew the scholarship-loan up to three years. Funding for the program is contingent each year upon appropriations made available to the State Education Assistance Authority by the General Assembly.

Teacher Education Assistance for College and Higher Education (TEACH) Grant Program
Beginning July 1, 2008, the Teacher Education Assistance for College and Higher Education (TEACH) Grant Program will provide up to $4,000 a year in grant aid to undergraduate and graduate students and students enrolled in a post-baccalaureate teacher credential program, or current or prospective teachers.

Eligible undergraduate and post-baccalaureate students may not receive more than $16,000 and graduate students may receive no more than $8,000 in total TEACH Grants.
Teacher Assistant Scholarship Fund (TASF)
The Teacher Assistant Scholarship Fund provides funding to full-time teacher assistants pursuing initial licensure through a baccalaureate program at a NC college or university with an approved teacher education program or enrolled in a North Carolina community college transfer program leading to teacher certification at a qualifying four-year campus. Students already holding baccalaureate degrees seeking initial licensure are eligible to apply. Funding levels for new recipients are dependent upon where the student is enrolled. The maximum amount that a student can receive over time through this program is $25,200.

North Carolina Millennium Teacher Scholarship Loan Program
The North Carolina Millennium Teacher Scholarship Loan Program is a competitive program for worthy and needy North Carolina resident high school seniors who are interested in teaching in North Carolina public schools. Students who are selected for the NC Millennium Teacher Scholarship will receive $6,500 per year for four years to be applied toward the cost of attendance. Up to 20 recipients will be selected at each of the three participating campuses.

Teaching Fellows Program
Enacted by the NC General Assembly in 1986, the Teaching Fellows Program seeks to recruit talented high school graduates into the teaching profession and to help them develop leadership qualities such as visionary thinking and risk-taking. The Program provides a $6,500 per year scholarship for four years to 500 outstanding North Carolina high school seniors to pursue a degree in teacher education. Teaching Fellows are required to participate in a number of experiences designed to enhance their education and give insights into the challenges facing them when they enter the classroom. The student agrees to teach for four years following graduation from college in one of North Carolina’s public schools or United States Government schools in North Carolina.

Principal Fellows Program
Created by the 1993 General Assembly, this program was established to train qualified individuals to enter school-based administrative positions in the public schools of North Carolina. The program provides a full year of academic study at the master's level, followed by a one-year internship in a school system. A 12-member Principal Fellows Commission selects the scholarship/loan recipients of the program. First-year Principal Fellows receive $30,000 per year, and 2nd year Principal Fellows receive 60% of a beginning assistant principal's salary. Second year Principal Fellows also receive a stipend over a 10 month period from the Department of Public Instruction.

DSSF and Low Wealth Funding
DSSF Funds are allocated based on a formula that considers the percentage of students living in a single parent family, the percentage of students eligible for federal ESEA Title I, and the percentage of students who have at least one parent with less than a high school diploma. Each LEA must submit an action plan and budget to the State Board of Education. It is expected that LEAs will include teacher recruitment and retention, using the Teacher Working Conditions Survey as a tool, in their plans.

Low Wealth Funds are allocated to school systems in counties that do not have the ability to generate revenue to support public schools (per a legislated formula) at the state average level.
The funding is provided to allow those counties to enhance the instructional program and student achievement.

In-state Tuition
Individuals from other states employed as teachers in North Carolina qualify for in-state tuition rates at the public universities upon establishing residency (this waives the one-year residency requirement).

Non-Teaching Work Experience Credit
Individuals can be granted experience credit (for salary purposes) for full-time non-teaching work experience relevant to their teaching license. Beginning July 1, 2001, credit has also been granted for part-time work experience.

National Board Certification Support
NC State legislation provides support to teachers seeking advanced certification through the National Board for Professional Teaching Standards. Since 1994, teachers with a continuing license and a minimum of three years teaching experience in North Carolina have been eligible to receive support for certification, including funding for the cost of the assessment fee, up to three days of paid release time, grant renewal credit, and a 12% salary differential upon completion, as long as teachers agree to remain in the classroom. Due to economic conditions, the State was unable to provide funding for assessment fees for 2009 and 2010; however, teachers continue to receive three days of paid leave and a 12% pay increase upon completion. The State anticipates the legislature will resume funding of fees in FY 2011.

Job Sharing
The General Assembly has authorized, and the State Board of Education has adopted a policy, providing teachers the opportunity to share teaching positions. Two teachers, each working half-time, can share a teaching position designated by the local board of education. The teachers receive prorated benefits and retirement service credit.

PROGRAMS/SERVICES

Troops to Teachers (T3)
Troops-to-Teachers is a federally funded program designed to assist former (exiting) military personnel interested in a teaching career. The program provides individuals assistance in locating teaching positions and affiliating with college/university teacher education programs to complete licensure requirements.

Prezell R. Robinson Scholars

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7 Due to economic conditions, the State was unable to provide funding for assessment fees for 2009 and 2010; however, teachers continue to receive three days of paid leave and a 12% pay increase upon completion.
The Prezell R. Robinson Scholars Program is designed to encourage high school students to pursue careers in teaching. Low-wealth school systems and school systems with documented difficulty in recruiting qualified teachers are eligible to participate. Robinson Scholars participate in system-sponsored activities designed to foster their commitment to teaching and enhance the likelihood they will be accepted to and complete an approved teacher education program.

**Teacher Cadet Program**
The General Assembly has appropriated funds to support teacher cadet programs in high schools throughout the state. This program is administered by the North Carolina Association of Educators.

**NC TEACH**
NC TEACH is a statewide lateral entry teacher licensure program developed jointly by the State Board of Education and the Board of Governors of the University of North Carolina. It is designed to recruit, train, support, and retain highly skilled mid-career professionals who seek to enter the teaching profession. The program includes an intensive summer experience prior to entering the classroom, seminars focused on professional development throughout the academic year, and on-line support. It is offered at seven sites throughout the state. Federal funds were used to support the development and implementation of the program. The federal funding for this program has now ended and it is supported with state funds.

**On-line Applications, Posting of Job Vacancies**
The HRMS (Human Resource Management System) has been significantly upgraded and the Department has contracted with two individuals to assist LEAs in using the system. LEAs can post job vacancies through the system. Individuals interested in employment as teachers in North Carolina can complete an application on-line. The applications are downloaded to LEAs weekly.

**Teachers-Teachers.com**
The state participates in an online recruiting initiative that aids schools in recruiting quality teachers. Candidates can apply to positions using a common application form. North Carolina is one of only three states to have a common application form that can be used for any district in the state.

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**LICENSENCE POLICIES**

**Alternative Entry**
Individuals with valid out-of-state licenses and one year teaching experience, teaching experience at the college level, or three years relevant work experience are eligible for alternative entry. Individuals in the first category (out-of-state license) can be exempted from Praxis testing requirements under this route. Individuals in the second and third categories (college teaching experience or three years relevant experience) who satisfy Praxis testing requirements during their first year of teaching are not required to affiliate with a
college/university to complete course work to be granted a clear license.  

**LEA Lateral Entry Program**

Since establishing the innovative/experimental lateral entry policy in June 2008, the State Board of Education has approved several such programs. Guilford County established the first such program. It offers lateral entry candidates the option of selecting a 19-month long, locally-customized licensure and support program as an alternative to an IHE or RALC program. Since then, Moore County has partnered with Sandhills Community College to create a similar program. In July 2009, the State Board approved a program for career and technical education teachers in Charlotte-Mecklenburg, as well as a program offered through Mount Olive College for eastern LEAs. Iredell-Statesville also is currently in the process of developing a program.

**Alternative Programs for Administrators**

The State Board of Education can approve alternative licensure programs for school administrators. In June 2008, the State Board gave approval for the Charlotte-Mecklenburg Schools to recommend individuals who complete the *New Leaders for New Schools* program (NLNS) for a full state license as a school administrator. The NLNS is designed to provide intensive instruction, hands-on experience, and ongoing support to create a pathway for current and former educators to become principals who specialize in leading urban public schools.

**Licensure Policies To Facilitate Transition of Highly Qualified Teachers From Other States**

License reciprocity has expanded in North Carolina. Beginning teachers (0-2 years of experience) who have completed another state’s approved alternative route to licensure, have met the federal requirements to be designated as “Highly Qualified,” and have earned a bachelor’s degree from a regionally accredited college are eligible for North Carolina licensure. Similarly, experienced teachers who are fully licensed and “Highly Qualified” in another state and who either meet North Carolina’s Praxis requirements or hold National Board Certification are eligible for licensure.

**Accelerated Track for Lateral Entry Teachers**

The NC State Board of Education created an accelerated track for lateral entry teachers who have 5 years of experience considered relevant by the employing LEA. The five years of experience can substitute for the 2.5 grade point average. In addition, individuals with the five years of experience who pass the required Praxis II tests in the first year can be issued a Standard Professional 1 License, upon completion of designated instructional modules (rather than entire programs of study), and completion of one year of successful teaching as verified by the employing LEA.

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Validation of Expired Licenses
The State Board of Education has implemented a policy to encourage individuals with expired licenses to return to teaching. Rather than requiring that all license renewal hours be completed before issuing a license, individuals whose licenses have expired are granted validated licenses upon employment by an LEA. The validated license allows an individual up to three years to earn required renewal hours.\(^\text{14}\)

High Objective Uniform State Standard of Evaluation (HOUSSE)
In compliance with No Child Left Behind, North Carolina has developed a High, Objective, Uniform, State Standard of Evaluation (HOUSSE) that allows veteran teachers who hold clear North Carolina teaching licenses to be designated highly-qualified without additional testing. Individuals who hold a clear license, but have been teaching out-of-field for at least three years can also use the HOUSSE to obtain a full license in the teaching area.

Expansion of Lateral Entry Pathways for Teachers
NC Session Law 2009-0451, passed in August 2009, requires the State Board to identify and remove barriers to lateral entry into teaching for skilled individuals from the private sector. It also requires the Board to trim current course requirements and to allow opportunities for candidates to complete coursework online. A progress report was presented to the Joint Legislative Oversight Committee in January 2010.

SYSTEM-INITIATIVES
Local school systems utilize a number of incentives to recruit teachers. These included signing bonuses, local job fairs, issuing contracts “on-the-spot,” attending out-of-state recruitment fairs, and working with local businesses to provide incentive packages.

PROFESSIONAL DEVELOPMENT AND SUPPORT
Three-Year Induction Program, Mentors, Orientation, Optimum Working Conditions
To facilitate entry into the teaching profession, the State has implemented a number of initiatives. Beginning teachers are issued an initial license 3-year license and participate in an induction program designed to provide them support and assistance. State-funded beginning teachers are provided mentors for two years and can be paid for three additional days of employment to participate in orientation activities designed by the LEAs. School systems can apply for the flexible use of their mentor funds to support the employment of full-time mentors. Legislation has been enacted that specifies teachers with less than 3 years of teaching experience not be assigned any extra-curricular activities unless they are requested in writing. The Board has articulated optimum working conditions for beginning teachers which include minimal non-instructional duties and no extra-curricular duties unless requested in writing.\(^\text{16}\)

Salary Increases
Under the Excellent Schools Act, the State raised the salary paid to teachers. The revised salary schedules include a 12% pay differential for teachers with National Board Certification and a 10% pay differential for teachers with master’s level licenses.\(^{17}\)

Enhancement/Recognition Programs
The State participates in several programs designed to recognize and honor in-service educators. The Teacher of the Year Program recognizes outstanding teachers. The State Teacher of the Year receives a $7500 award and serves as an ambassador for teaching for one year. Seven regional Teachers of the Year receive $5000 each. Through the generosity of corporate sponsors, the State Teacher of the Year also receives a new car. The State Teacher of the Year and the seven regional winners are also provided a trip to a national professional development conference. Additionally, the North Carolina Center for International Understanding provides the State Teacher of the Year the opportunity to participate in an international study trip.

The Principal of the Year Program, conducted in conjunction with Wachovia Bank, honors outstanding principals. Cash awards are made to the State Principal of the Year and seven regional Principals of the Year by Wachovia Bank.

North Carolina participates in the Milken Educator Program. Between one and four educators per year are selected to receive $25,000 from the Milken Foundation. These educators are recognized at the local level and honored at a State Board of Education meeting.

NC Center for the Advancement of Teacher (NCCAT)
The NC Center for the Advancement of Teaching was established in 1985 to develop and retain high-quality teachers by providing a continuum of research-based professional development programs for beginning teachers, National Board candidates, teacher leaders, and teachers focused on core content areas. NCCAT provides programming to over 4,000 teachers annually. www.nccat.org

Regional Leadership Academies
At the onset of the 2010-2011 school year, NC DPI, in conjunction with other educational partners, began a leadership academy for aspiring principals who have committed to serve in the low-performing and high-need schools in the rural northeast region of the state. Two more similar academies have been approved by the North Carolina State Board of Education (SBE) to begin in the 2011-2012 school year.