State of Rhode Island and Providence Plantations
DEPARTMENT OF EDUCATION
Shepard Building
255 Westminster Street
Providence, Rhode Island 02903-3400

Deborah A. Gist
Commissioner

May 4, 2010

Dr. Joseph C. Conaty, Director
Academic Improvement and Teacher Quality Programs
Office of Elementary and Secondary Education
US Department of Education
400 Maryland Avenue, SW Room 3E314
Washington, DC 20202

Dear Dr. Conaty:

Enclosed please find Rhode Island's revised State Fiscal Stabilization Funds Phase II Application. I have included an original and two copies in accordance with grant instructions. Please contact me at carolyn.dias@ride.ri.gov or 401-222-4645 if you have any questions or if you need additional information.

Thank you for your support.

Sincerely,

Carolyn Dias
Chief of Fiscal Integrity and Efficiencies

Enclosure

The Board of Regents does not discriminate on the basis of age, color, sex, sexual orientation, race, religion, national origin, or disability.

Application for Funding
for Phase II of the Education Fund under the
State Fiscal Stabilization Fund Program

CFDA Number: 84.394

Submitted by

The State of Rhode Island and Providence Plantations

The Honorable Donald L. Carcieri

Governor

Submitted to

The Office of Elementary and Secondary Education

U.S. Department of Education

400 Maryland Avenue, S.W., Room 3E314

Washington, D.C. 20202

January 8, 2010

Revised April 23, 2010

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STATE FISCAL STABILIZATION FUND PHASE II APPLICATION

PART 1: APPLICATION COVER SHEET

(CFDA No. 84.394)

Legal Name of Applicant (Office of the Governor):	Applicant's Mailing Address:
Office of the Governor	**One State House, Room 115** **Providence, Rhode Island 02903**

State Contact for the Education Stabilization Fund

Name: **Jamia R. McDonald**

Position and Office: **Deputy Chief of Staff, Office of the Governor**

Contact's Mailing Address: **State House**
Executive Office, Room 228
Providence, Rhode Island 02903

Telephone: **401-222-8070**

Fax: **401-222-8096**

E-mail address: **jmcdonald@gov.state.ri.us**

To the best of my knowledge and belief, all of the information and data in this application are true and correct.

Governor or Authorized Representative of the Governor (Printed Name):	Telephone:
Donald L. Carcieri	**401-222-2080.**
Signature of Governor or Authorized Representative of the Governor: X	Date: April 30, 2010

Recommended Statement of Support from the Chief State School Officer *(Optional)*:
The State educational agency will cooperate with the Governor in the implementation of the State Fiscal Stabilization Fund program.

Chief State School Officer (Printed Name):	Telephone:
Deborah A. Gist	**401-222-4690**
Signature of the Chief State School Officer: X	Date: April 30, 2010

Form Approved OMB Number: 1810-0695; Expiration Date: 05/31/2010

PART 2: MAINTENANCE-OF-EFFORT INFORMATION

In the SFSF Phase I Application, States were required to submit the following in order to receive the first portion of funds:

- A *Maintenance-of-Effort Assurance* (Part 4, Section A) of maintaining State support for elementary and secondary education and for public institutions of higher education (IHEs) at least at the level of such support in FY 2006 for FYs 2009, 2010, and 2011.
- A *Maintenance-of-Effort Waiver Assurance* (Part 4, Section B). In the event that a State anticipated being unable to comply with one or more of the Stabilization program MOE requirements referenced in the Maintenance-of-Effort Assurance, the State would provide an assurance that it met the eligibility criteria for a MOE waiver.[1]
- A *Maintenance-of-Effort Baseline Data* form.

[1] Guidance on the Maintenance of Effort Requirements for SFSF and MOE Waiver Form are available at http://www.ed.gov/policy/gen/leg/recovery/statutory/moe-guidance.pdf.

PART 2A: UPDATE OF MAINTENANCE-OF-EFFORT DATA

SPECIAL NOTES:

- In the SFSF Phase I Application, States were required to submit MOE data. The Department is requesting that States reaffirm these data for Phase II, and in particular, to update FY 2009 data to actual levels of State support.
- *For further information, see* Appendix D – Instructions for Part 2: Maintenance of Effort.

1. **Levels of State support for elementary and secondary education** *(the amounts may reflect the levels of State support on either an aggregate basis or a per-student basis):*

FY 2006	**$ 834,246,326**
FY 2009	**$ 825,849,130**
FY 2010*	**$ 857,726,770**
FY 2011*	**$ unknown**

(* Provide data to the extent that data are currently available.)

2. **Levels of State support for public institutions of higher education** *(enter amounts for each year)*:

FY 2006	**$ 180,371,797**
FY 2009	**$ 170,883,078**
FY 2010*	**$ 173,306,844**
FY 2011*	**$ unknown**

(* Provide data to the extent that data are currently available.)

PART 2A: UPDATE OF MAINTENANCE-OF-EFFORT DATA

3. Additional Submission Requirements:

(a) Identify and describe the data sources used in determining the levels of State support for elementary and secondary education.

The data source for support for Elementary and Secondary Education is the entire General Revenue budget for Elementary and Secondary Education and includes charter school aid, support for state schools, teachers' retirement, school construction aid, etc. For determining the distribution between higher education and elementary and secondary education, distributed education aid to school districts was used in accordance with the federal guidance as reference in Part 5, Section A, #2, *State's Primary Education Funding Formulae*. For 2006 and 2008 actual expenditures are used. For 2009, the preliminary (unaudited) closing statement from the Controller's Office is used. For 2010, the budget enacted by the General Assembly and signed by the Governor in June 2009 is used.

For 2006, data can be found here:
http://www.budget.ri.gov/Documents/Prior%20Year%20Budgets/Operating%20Budget%202009/TechAppendix/ED/3023_Elementary%20And%20Secondary%20Education.pdf

For 2008, data can be found here:
http://www.budget.ri.gov/Documents/CurrentFY/TechAppendix/ED/1_Department%20of%20Elementary%20and%20Secondary%20Education.pdf

For 2009, the preliminary closing data can be found here:

http://controller.admin.ri.gov/documents/Financial%20Reports//Preliminary%20Closing%20Report_2009.pdf

For 2010, data enacted by the legislature can be found here (sum the General Revenues lines under Elementary and Secondary Education on pages 16 and 17):
http://www.rilin.state.ri.us/BillText/BillText09/HouseText09/Article-001-SUB-A-as-amended.pdf

PART 2A: UPDATE OF MAINTENANCE-OF-EFFORT DATA

3. Additional Submission Requirements:

(b) Identify and describe the data sources used in determining the levels of State support for public IHEs.

The data source for support for Institutions of Higher Education is the entire General Revenue budget for Public Higher Education. For 2006-2008 actual expenditures are used. For 2009, the preliminary (unaudited) closing statement from the Controller's Office is used. For 2010, the budget enacted by the General Assembly and signed by the Governor in June 2009 is used.

For 2006, data can be found here:

http://www.budget.ri.gov/Documents/Prior%20Year%20Budgets/Operating%20Budget%202009/TechAppendix/ED/3024_Board%20Of%20Governors%20For%20Higher%20Education.pdf

For 2008, data can be found here:
http://www.budget.ri.gov/Documents/CurrentFY/TechAppendix/ED/2_Public%20Higher%20Education.pdf.

For 2009, the preliminary (unaudited) closing data can be found here:

http://controller.admin.ri.gov/documents/Financial%20Reports//Preliminary%20Closing%20Report_2009.pdf

For 2010, data enacted by the legislature can be found here (sum the General Revenues lines under Public Higher Education on pages 18 and 19):
http://www.rilin.state.ri.us/BillText/BillText09/HouseText09/Article-001-SUB-A-as-amended.pdf

For all years, Research and Development funding and Student Tuition funding are not included in the General Revenue budget. In the Technical Appendix documents linked to above Research and Development can be found under the Other Funds category as "Sponsored Research" and "Sponsored and Contract Research" under each institution of higher learning. Student Tuition and Fees can be found under the Other Funds category as "Education and General" under each institution of higher education.

Note: Since the FY 2010 budget was enacted revenues have continued to decline at a precipitous pace. As a result, further changes will have to be made to the FY 2010 budget enacted in June 2009. The Governor has released a proposed corrective action plan to cut spending to match the lower level of revenue and the General Assembly is now considering it. The result will likely mean a lower dollar level of state support for education, but may be teamed with a lower cost for pension obligations and other cost-saving initiatives for local school districts. The Governor and the State continue to maintain their assurances that the State will abide by the percentage spending requirements in FY 2008-FY 2011 in order to be eligible for the waiver.

PART 2B: ATTESTATION OF MAINTENANCE-OF-EFFORT COMPLIANCE

The Governor or his/her authorized representative attests to the following:

To the best of his/her knowledge and based on the best available data, the State has met all maintenance-of-effort requirements for the State Fiscal Stabilization Program for FY 2009 (check all that apply):

☐ for elementary and secondary education.

☐ for public Institutions of Higher Education (IHEs).

Governor or Authorized Representative of the Governor (Printed Name): Donald L. Carcieri	
Signature: Donald L. Carcieri	Date: 1/4/2010

<u>If a State has not met or cannot meet MOE for either elementary and secondary education or public IHEs, or both, it must complete the following:</u>

The State has not met all maintenance-of-effort requirements for the State Fiscal Stabilization Program for FY 2009 and

(check one):

☒ has already submitted a MOE Waiver Request to the US Department of Education.

☐ is submitting a MOE Waiver Request with this application package.

PART 3: DATA COLLECTION, PUBLIC REPORTING, AND PLANNING

Requirements

The State plan must describe the State's current ability to collect the data or other information needed for the assurance indicators and descriptors as well as the State's current ability to publicly report (as defined in the Notice of Final Requirements, included here as Appendix E) the data. If the State is currently able to fully collect and publicly report the required data or other information, the State must provide a URL where the most recent data or information may be accessed. If a State is not currently able to collect or publicly report the data or other information, the plan must describe the State's process and timeline for developing and implementing the means to do so as soon as possible but no later than September 30, 2011. These requirements apply to the assurance indicators and descriptors in the following education reform assurance areas: (a) Achieving Equity in Teacher Distribution, (c) Standards and Assessments (with the exception, in many cases, of Indicators (c)(11) and (c)(12)), and (d) Supporting Struggling Schools. Sections related to these assurances are located in sections I, III, and IV of Part 3A and Section I of Part 3B in the application.

In the event that a State will develop, but not implement, the ability to fully collect and publicly report the data for Indicator(s) (c)(11) and/or (c)(12), its plan need not meet the requirements of Section I of Part 3B. Rather, a State should complete a plan that meets the requirements of Section V of Part 3B for the relevant indicator(s). If a State will be able to both develop and implement collection and public reporting of either of these indicators, the plan requirements of Section I of Part 3B will apply to the relevant indicator(s).

Regarding education reform assurance area (b) Improving Collection and Use of Data, the State must describe in the State plan whether the State's data system includes the required elements of a statewide longitudinal data system and whether the State provides teachers with their students' growth data and information related to individual teacher impact. If the State does not meet the requirement, the State plan must describe the State's process and timeline for developing and implementing the means to meet the requirement in accordance with the requirements in the notice. Sections related to this assurance are Section II of Part 3A and Sections II, III, and IV of Part 3B.

The data or information needed for an assurance indicator or descriptor are in some cases already reported to the Department by the State, or are provided by the Department. In those cases, it is understood that the State does and is currently able to collect the data or information. For those elements, the State's plan only needs to address the State's ability to publicly report the data or information, and the State does not need to include a plan for collecting the data or information in Part 3B. The indicators and descriptors involving data or information currently reported to the Department or provided by the Department are marked below with a Confirm icon (see Icon Key below). Sections requiring States to confirm data or information already reported to the Department contain specific links to the appropriate Department webpage. The overall webpage housing all information for indicators requiring confirmation is http://www.ed.gov/programs/statestabilization/confirm-indicators.html.

Part 3A, *Indicators and Descriptors under the Assurances*, is designed to collect short answers about the State's current status with respect to each indicator and descriptor. If you are using the macro-enabled[2] MS Word version of this form, you will be able to check boxes and type your answers directly into the form. If you wish to attach narrative answers in a separate document, you may do so, but be sure to clearly note in the relevant text box that the response is attached and mark the attachment with the citation of the indicator or descriptor to which you are responding.

Part 3B is the *State Plan* section. For those indicators and descriptors for which the State is not currently collecting and/or publicly reporting the requested data and information in such a way that addresses the program requirements, you must provide a plan for doing so in Part 3B. If, based on your answer, you are directed to address the element in Part 3B, write the element reference in the Plan Element Verification chart in Part 3B to keep a running list of the items you will need to address in your State Plan. Directions for which elements must be addressed in the State Plan are embedded into each indicator and descriptor boxes below. Part 3B contains five subsections. The subsections provide separate instructions for the plan elements that must be included for:

I. Assurances (a), (c) (with the exception of Indicators (c)(11) and (c)(12)), and (d);
II. Indicator (b)(1);
III. Indicator (b)(2);
IV. Indicator (b)(3); and,
V. If applicable, Indicators (c)(11) and (c)(12) (Section V).

[2] To enable macros in Microsoft Word, select Save As (if you are using the 2007 version, Save As is under the round icon in the top left hand corner; in older versions, Save As is under the File menu) and from the Save File as Type menu, select Word Macro-Enabled Document.

I. Assurance (a): Achieving Equity in Teacher Distribution

A State must collect and publicly report data and other information on: (1) the extent that students in high- and low-poverty schools in the State have access to highly qualified teachers; (2) the extent that current strategies and efforts to address inequities in the distribution of inexperienced, unqualified, or out-of-field teachers; (3) how teacher and principal performance is evaluated and how performance ratings are used; and (4) the distribution of performance evaluation ratings or levels among teachers and principals.

Indicator (a)(1)	Confirm, for the State, the number and percentage (including numerator and denominator) of core academic courses taught, in the highest-poverty and lowest-poverty schools, by teachers who are highly qualified consistent with section 9101(23) of the Elementary and Secondary Education Act of 1965, as amended (ESEA).

Please respond (Yes or No): Are the data related to this indicator at http://www.ed.gov/programs/statestabilization/indicator-a1.xls correct?

[1] ☐ Yes, the data are correct.

[2] ☒ No, the data are not correct.

If checked, provide below or in an attachment the correct data and any supporting information. A URL linking to the correct data on the State's website is also sufficient:[3] http://www.ride.ri.gov/EducatorQuality/DOCS/SFSF/teacher_quality_2009.pdf

Please respond (check only one):

[4] ☒ The State makes the data *publicly available* and updates the data *annually* on a website.

Provide the State website where the data are provided by the State to the public:[5]
http://www.ride.ri.gov/EducatorQuality/DOCS/SFSF/teacher_quality_2009.pdf

[6] ☐ The State makes the data *publicly available* on a website but updates it *less than annually*.

➔ Provide the State's plan for making the data publicly available and updating it annually on a website in Part 3B. Cite "Indicator (a)(1)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

Provide the State website where the most recently updated data are provided by the State to the public: [7] Click here to enter text.

[8] ☐ The State does not make the data publicly available on a website.

➔ Provide the State's plan for making the data publicly available and updating the data annually on a website in Part 3B. Cite "Indicator (a)(1)" in the Plan Element Verification chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

Indicator (a)(2)	Confirm whether the State's Teacher Equity Plan (as part of the State's Highly Qualified Teacher Plan) fully reflects the steps the State is currently taking to ensure that students from low-income families and minority students are not taught at higher rates than other students by inexperienced, unqualified, or out-of-field teachers (as required in section 1111(b)(8)(C) of the ESEA).

Please respond (Yes or No): Is the State's Teacher Equity Plan located at http://www.ed.gov/programs/teacherqual/hqtplans/index.html correct?

[1]☒ Yes, the information is correct.

[2]☐ No, the information is not correct.

➔ If checked, provide below or in an attachment the State's most updated Teacher Equity Plan. A URL linking to the correct data on the State's website is also sufficient:[3] Click here to enter text.

Please respond (check only one):

[4]☒ The State makes the information *publicly available* and updates the information *annually* on a website.

Provide the State website where the information is provided by the State to the public:[5] http://www.ride.ri.gov/EducatorQuality/DOCS/SFSF/RI Teacher Equity Plan.doc

[6]☐ The State makes the information *publicly available* on a website but updates it *less than annually*.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 2B. Cite "Indicator (a)(2)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the most recently updated information is provided by the State to the public:[7] Click here to enter text.

[8]☐ The State does not make the information publicly available on a website.

➔ Provide the State's plan for making the information publicly available and updating the information annually on a website in Part 3B. Cite "Indicator (a)(2)" in the Plan Element Verification chart in Part 4B, Section I and mark both the Collection and Public Reporting columns.

Descriptor (a)(1)	Describe, for each local educational agency (LEA) in the State, the systems used to evaluate the performance of teachers and the use of results from those systems in decisions regarding teacher development, compensation, promotion, retention, and removal.

Please respond (check Yes or No): Does the State collect a description of the system each LEA uses to evaluate the performance of teachers?

[1] ☐ Yes, the State collects this information.

If Yes, please respond (check one):

[2] ☐ The State makes the information *publicly available* and updates the information *at least annually* on a website.

➔ Provide the State website where the information is collected and publicly available:[3] Click here to enter text.

[4] ☐ The State makes the information *publicly available* on a website and updates the information *less than annually*.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Descriptor (a)(1)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the most recently updated information are provided by the State to the public: [5] Click here to enter text.

[6] ☐ The State does not make the information publicly available on a website.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Descriptor (a)(1)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[7] ☒ No, the State does not collect this information.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Descriptor (a)(1)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns. **SEE PLAN ATTACHED**

Please respond (check Yes or No): Does the State collect a description of the manner in which each LEA uses the results of the evaluation systems described above related to the performance of teachers in decisions regarding teacher development, compensation, promotion, retention, and removal?

[8] ☐ Yes, the State collects this information.

If Yes, please respond (check one):

[9] ☐ The State makes the information *publicly available* and updates the information *at least annually* on a website.

➔ Provide the State website where the information is collected and publicly available:[10] Click here to enter text.

[11] ☐ The State makes the information *publicly available* on a website and updates the information *less than annually*.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Descriptor (a)(1)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the most recently updated information are provided by the State to the public: [12] Click here to enter text.

[13] ☐ The State does not make the information publicly available on a website.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Descriptor (a)(1)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[14] ☒ No, the State does not collect this information.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Descriptor (a)(1)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns. **SEE PLAN ATTACHED**

Indicator (a)(3)	Indicate, for each LEA in the State, whether the systems used to evaluate the performance of teachers include student achievement outcomes or student growth data as an evaluation criterion.

Please respond (check Yes or No): Does the State request information on whether the system each LEA uses to evaluate the performance of teachers includes student achievement outcomes or student growth data as an evaluation criterion?

[1] ☐ Yes, the State collects this information.

If Yes, please respond (check one):

[2] ☐ The State makes the information *publicly available* and updates the information *at least annually* on a website.

➔ Provide the State website where the information is collected and publicly available:[3] Click here to enter text.

[4] ☐ The State makes the information *publicly available* on a website and updates the information *less than annually*.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (a)(3)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the most recently updated information is provided by the State to the public:
[5] Click here to enter text.

[6] ☐ The State does not make the information publicly available on a website.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (a)(3)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[7] ☒ No, the State does not collect this information.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (a)(3)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns. **SEE PLAN ATTACHED**

Indicator (a)(4)	Provide, for each LEA in the State whose teachers receive performance ratings or levels through an evaluation system, the number and percentage (including numerator and denominator) of teachers rated at each performance rating or level.

Please respond (check Yes or No): Does the State collect, for each LEA in the State whose teachers receive performance ratings or levels through an evaluation system, the number and percentage of teachers rated at each performance rating or level?

[1] ☐ Yes, the State collects these data.

If Yes, please respond (check one):

[2] ☐ The State makes the data *publicly available* and updates the data *at least annually* on a website.

➔ Provide the State website where the data are collected and publicly available:[3] Click here to enter text.

[4] ☐ The State makes the data *publicly available* on a website and updates the data *less than annually*.

➔ Provide the State's plan for making the data publicly available and updating the data annually on a website in Part 3B. Cite "Indicator (a)(4)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the most recently updated data are provided by the State to the public:
[5] Click here to enter text.

[6] ☐ The State does not make the data publicly available on a website.

➔ Provide the State's plan for making the data publicly available and updating the data annually on a website in Part 3B. Cite "Indicator (a)(4)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[7] ☒ No, the State does not collect these data.

➔ Provide the State's plan for making the data publicly available and updating the data annually on a website in Part 3B. Cite "Indicator (a)(4)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns. **SEE PLAN ATTACHED**

Indicator (a)(5)	Indicate, for each LEA in the State whose teachers receive performance ratings or levels through an evaluation system, whether the number and percentage (including numerator and denominator) of teachers rated at each performance rating or level are publicly reported for each school in the LEA.

Please respond (check Yes or No): Does the State collect, for each LEA in the State whose teachers receive performance ratings or levels through an evaluation system the number and percentage (including numerator and denominator) of teachers rated at each performance rating or level?

[1] ☐ Yes, the State collects these data.

If Yes, please respond (check one):

[2] ☐ The State makes the data *publicly available* and updates the data *at least annually* on a website.

➔ Provide the State website where the data are collected and publicly available:[3] Click here to enter text.

[4] ☐ The State makes the data *publicly available* on a website and updates the data *less than annually*.

➔ Provide the State's plan for making the data publicly available and updating the data annually on a website in Part 3B. Cite "Indicator (a)(5)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column. Provide the State website where the most recently updated data are provided by the State to the public: [5] Click here to enter text.

[6] ☐ The State does not make the data publicly available on a website.

➔ Provide the State's plan for making the data publicly available and updating the data annually on a website in Part 3B. Cite "Indicator (a)(5)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[7] ☒ No, the State does not collect these data.

➔ Provide the State's plan for making the data publicly available and updating the data annually on a website in Part 3B. Cite "Indicator (a)(5)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns. **SEE PLAN ATTACHED**

Descriptor (a)(2)	Describe, for each LEA in the State, the systems used to evaluate the performance of principals and the use of results from those systems in decisions regarding principal development, compensation, promotion, retention, and removal.

Please respond (check Yes or No): Does the State collect a description of the system each LEA uses to evaluate the performance of principals?

[1] ☐ Yes, the State collects this information.

If Yes, please respond (check one):

[2] ☐ The State makes the information *publicly available* and updates it *at least annually* on a website.

➔ Provide the State website where the information is collected and publicly available:[3] Click here to enter text.

[4] ☐ The State makes the information *publicly available* on a website and updates the information *less than annually*.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Descriptor (a)(2)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the most recently updated information is provided by the State to the public:
[5] Click here to enter text.

[6] ☐ The State does not make the information publicly available on a website.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Descriptor (a)(2)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[7] ☒ No, the State does not collect this information.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Descriptor (a)(2)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns. **SEE PLAN ATTACHED**

Please respond (check Yes or No): Does the State collect a description of the manner in which each LEA uses the results of the evaluation systems described above related to the performance of principals in decisions regarding principal development, compensation, promotion, retention, and removal?

[8] ☐ Yes, the State collects this information.

If Yes, please respond (check one):

[9] ☐ The State makes the information *publicly available* and updates the information *at least annually* on a website.

➔ Provide the State website where the information is collected and publicly available:[10] Click here to enter text.

[11] ☐ The State makes the information *publicly available* on a website and updates the information *less than annually*.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Descriptor (a)(2)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the most recently updated information are provided by the State to the public: [12] Click here to enter text.

[13] ☐ The State does not make the information publicly available on a website.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Descriptor (a)(2)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[14] ☒ No, the State does not collect this information.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Descriptor (a)(2)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns. **SEE PLAN ATTACHED**

Indicator (a)(6)	Indicate, for each LEA in the State, whether the systems used to evaluate the performance of principals include student achievement outcomes or student growth data as an evaluation criterion.

Please respond (check one): Does the State collect information on whether the system each LEA uses to evaluate the performance of principals includes student achievement outcomes or student growth data as an evaluation criterion?

[1] ☐ Yes, the State collects this information.

If Yes, please respond (check one):

[2] ☐ The State makes the information *publicly available* and updates it *at least annually* on a website.

➔ Provide the State website where the information is collected and publicly available:[3] Click here to enter text.

[4] ☐ The State makes the information *publicly available* on a website and updates it *less than annually*.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (a)(6)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the most recently updated information is provided by the State to the public:
[5] Click here to enter text.

[6] ☐ The State does not make the information publicly available on a website.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (a)(6)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[7] ☒ No, the State does not collect this information.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (a)(6)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns. **SEE PLAN ATTACHED**

Indicator (a)(7)	Provide, for each LEA in the State whose principals receive performance ratings or levels through an evaluation system, the number and percentage (including numerator and denominator) of principals rated at each performance rating or level.

Please respond (check one): Does the State collect and publicly report, for each LEA in the State whose principals receive performance ratings or levels through an evaluation system, the number and percentage of principals rated at each performance rating or level?

[1] ☐ Yes, the State collects these data.

If Yes, please respond (check one):

[2] ☐ The State makes the data *publicly available* and updates the data *at least annually* on a website.

➔ Provide the State website where the data are collected and publicly available:[3] Click here to enter text.

[4] ☐ The State makes the data *publicly available* on a website and updates the data *less than annually*.

➔ Provide the State's plan for making the data publicly available and updating the data annually on a website in Part 3B. Cite "Indicator (a)(7)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the most recently updated data are provided by the State to the public:
[5] Click here to enter text.

[6] ☐ The State does not make the data publicly available on a website.

➔ Provide the State's plan for making the data publicly available and updating the data annually on a website in Part 3B. Cite "Indicator (a)(7)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[7] ☒ No, the State does not collect these data.

➔ Provide the State's plan for making the data publicly available and updating the data annually on a website in Part 3B. Cite "Indicator (a)(7)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns. **SEE PLAN ATTACHED**

II. Assurance (b): Improving Collection and Use of Data

A State must collect and publicly report information on the elements of its statewide longitudinal data system, on whether teachers receive data on student growth in a manner that is timely and informs instructional programs, and on whether the State provides teachers with reports of individual teacher impact on student achievement.

Indicator (b)(1)	Indicate which of the 12 elements described in section 6401(e)(2)(D) of the America COMPETES Act are included in the State's statewide longitudinal data system.

Instructions: Please indicate which of the 12 elements of the America COMPETES Act are included in the State's statewide longitudinal data system.

Please respond (check Yes or No): For pre-K through postsecondary education, does the State's statewide longitudinal data system include the following elements:

(1) A unique statewide student identifier that does not permit a student to be individually identified by users of the system?

- ☒ Yes.
- ☐ No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #1 in the Plan Element Verification Chart in Part 3B, Section II.

(2) Student-level enrollment, demographic, and program participation information?

- ☒ Yes.
- ☐ No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #2 in the Plan Element Verification Chart in Part 3B, Section II.

(3) Student-level information about the points at which students exit, transfer in, transfer out, drop out, or complete pre-K through postsecondary education programs?

- ☒ Yes.
- ☐ No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #3 in the Plan Element Verification Chart in Part 3B, Section II.

4) The capacity to communicate with higher education data systems?

☐ Yes.
☒ No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #4 in the Plan Element Verification Chart in Part 3B, Section II. **SEE PLAN ATTACHED**

(5) An audit system assessing data quality, validity, and reliability?

☒ Yes.
☐ No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #5 in the Plan Element Verification Chart in Part 3B, Section II.

Please respond (check Yes or No): For pre-K through grade 12 education, does the State's statewide longitudinal data system include the following elements:

(6) Yearly State assessment records of individual students?

☒ Yes.
☐ No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #6 in the Plan Element Verification Chart in Part 3B, Section II.

(7) Information on students not tested, by grade and subject?

☒ Yes.
☐ No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #7 in the Plan Element Verification Chart in Part 3B, Section II.

(8) A teacher identifier system with the ability to match teachers to students?

☒ Yes.
☐ No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #8 in the Plan Element Verification Chart in Part 3B, Section II.

(9) Student-level transcript information, including on courses completed and grades earned?

☐ Yes.
☒ No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #9 in the Plan Element Verification Chart in Part 3B, Section II. **SEE PLAN ATTACHED**

(10) Student-level college readiness test scores?

☐ Yes.
☒ No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #10 in the Plan Element Verification Chart in Part 3B, Section II. **SEE PLAN ATTACHED**

Please respond (check Yes or No): For postsecondary education, does the State's statewide longitudinal data system include the following elements:

(11) Information regarding the extent to which students transition successfully from secondary school to postsecondary education, including whether students enroll in remedial coursework?

☐ Yes.
☒ No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #11 in the Plan Element Verification Chart in Part 3B, Section II. **SEE PLAN ATTACHED**

(12) Other information determined necessary to address alignment and adequate preparation for success in postsecondary education?

☐ Yes.
☒ No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #12 in the Plan Element Verification Chart in Part 3B, Section II. **SEE PLAN ATTACHED**

Indicator (b)(2)	Indicate whether the State provides student growth data on their current students and the students they taught in the previous year to, at a minimum, teachers of reading/language arts and mathematics in grades in which the State administers assessments in those subjects in a manner that is timely and informs instructional programs.

Please respond (check Yes or No): Does the State provide student growth data on their current students and the students they taught the previous year to, at a minimum, teachers of reading/language arts and mathematics in grades in which the State administers assessments in those subjects, in a manner that is timely and informs instructional programs?

☒ Yes. You are not required to provide further information. In Part 3B, Section III, check "Not Applicable."

☐ No. Provide a plan for providing this information to teachers in Part 3B, Section III.

Indicator (b)(3)	Indicate whether the State provides teachers of reading/language arts and mathematics in grades in which the State administers assessments in those subjects with reports of individual teacher impact on student achievement on those assessments.

Please respond (check Yes or No): Does the State provide teachers of reading/language arts and mathematics in grades in which the State administers assessments in those subjects with reports of individual teacher impact on student achievement on those assessments?

☐ Yes. You are not required to provide further information. In Part 3B, Section IV, check "Not Applicable."

☒ No. Provide a plan for providing this information to teachers in Part 3B, Section IV. **SEE PLAN ATTACHED**

III. Assurance (c): Standards and Assessments

A State must collect and publicly report data and other information on whether students are provided high-quality State assessments; whether students with disabilities and limited English proficient students are included in State assessment systems; whether the State makes information available regarding student academic performance in the State compared to the academic performance of students in other States; and on the extent to which students graduate from high school in four years with a regular high school diploma and continue on to pursue a college education.

Indicator (c)(1)	Confirm the approval status, as determined by the Department, of the State's assessment system under section 1111(b)(3) of the ESEA with respect to reading/language arts, mathematics, and science assessments.

Please respond (check one): Is the status of the Department's approval, available at http://www.ed.gov/programs/statestabilization/indicator-c1.xls correct?

[1] ☒ Yes, the status is correct.

➔ [2] ☐ No, the status is not correct. If checked, provide below or in an attachment the correct information and any other supporting information. A URL linking to the correct data on the State's website is also sufficient: [3]

Please respond (check one):

[4] ☒ The State makes the status information *publicly available* and keeps it *up-to-date* on a website.

➔ Provide the State website where the status is provided by the State to the public:[5]
http://www.ride.ri.gov/Assessment/DOCS/NECAP/AdditionalResources/UsdoeApprovalOfStateAssessmentSystems.pdf

➔ [6] ☐ The State makes the status information *publicly available* on a website but *does not keep it up-to-date*.

➔ If checked, provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (c)(1)" in the Plan Element Verification chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the most recently updated information is provided by the State to the public: [7]

[8] ☐ The State does not make the status information publicly available on a website.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (c)(1)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔

Indicator (c)(2)	Confirm whether the State has developed and implemented valid and reliable alternate assessments for students with disabilities that are approved by the Department.

Please respond (Yes or No): Is the information related to this indicator, available at http://www.ed.gov/programs/statestabilization/indicator-c1.xls, correct?

[1] ☒ Yes, the status is correct.

➔ [2] ☐ No, the status is not correct. If checked, provide below or in an attachment the correct information and any other supporting information. A URL linking to the correct data on the State's website is also sufficient: [3]

Please respond (check one):

[4] ☒ The State makes the status information *publicly available* and keeps it *up-to-date* on a website.

Provide the State website where the status is provided by the State to the public:[5]
http://www.ride.ri.gov/Assessment/DOCS/NECAP/AdditionalResources/UsdoeApprovalOfStateAssessmentSystems.pdf

[6] ☐ The State makes the status information *publicly available* on a website and *does not keep it up-to-date*.

➔ Provide the State's plan for making the status publicly available and up-to-date on a website in Part 3B. Cite "Indicator (c)(2)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the information is collected and publicly available:[7]

[8] ☐ The State does not make the status information publicly available on a website.

➔ Provide the State's plan for making the status publicly available and up-to-date on a website in Part 3B. Cite "Indicator (c)(2)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

Indicator (c)(3)	Confirm whether the State's alternate assessments for students with disabilities, if approved by the Department, are based on grade-level, modified, or alternate academic achievement standards.

Please respond (check one): Is the information related to this indicator, available at http://www.ed.gov/programs/statestabilization/indicator-c1.xls, correct?

[1] ☒ Yes, the information is correct.

[2] ☐ No, the information is not correct.

➔ If checked, provide below or in an attachment the correct information and any other supporting information. A URL linking to the correct data on the State's website is also sufficient: [3]

Please respond (check one):

[4] ☒ The State makes the information *publicly available* and keeps it *up-to-date* on a website.

➔ Provide the State website where the information is collected and publicly available: [5]
http://www.ride.ri.gov/assessment/DOCS/NECAP/Tech_Manual/2008-09_TechReport/Appendix_D_Appropriateness_of_the_Accommodations.pdf
(Also *see page 2 of the RIAA tech. report):* http://www.ride.ri.gov/assessment/DOCS/Alternate/2007-08_RIAA_TechReport.pdf

[6] ☐ The State makes the information *publicly available* on a website but *does not keep it up-to-date*.

➔ Provide the State's plan for making the information publicly available and up-to-date on a website in Part 3B. Cite "Indicator (c)(3)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.
➔ Provide the State website where the information is collected and publicly available: [7]

[8] ☐ The State does not make the information publicly available on a website.

➔ Provide the State's plan for making the information publicly available and up-to-date on a website in Part 3B. Cite "Indicator (c)(3)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

Indicator (c)(4)	Indicate whether the State has completed, within the last two years, an analysis of the appropriateness and effectiveness of the accommodations it provides students with disabilities to ensure their meaningful participation in State assessments.

Please respond (check one): Has the State, within the last two years, completed an analysis of the appropriateness and effectiveness of the accommodations it provides students with disabilities to ensure their meaningful participation in State assessments?

[1]☒ Yes, this has been completed within the last two years.

[2]☐ No, this has been completed, but it occurred more than two years ago.

[3]☐ No, this has never been completed.

Please respond (check one):

[4]☒ The State makes the information *publicly available* and keeps it *up-to-date* on a website.

Provide the State website where the information is collected and publicly available:[5]

http://www.ride.ri.gov/assessment/DOCS/NECAP/Tech_Manual/2008-09_TechReport/Appendix_D_Appropriateness_of_the_Accommodations.pdf

[6]☐ The State makes the information *publicly available* on a website but *does not keep it up-to-date*.

- ➔ Provide the State's plan for making the information publicly available and up-to-date on a website in Part 3B. Cite "Indicator (c)(4)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.
- ➔ Provide the State website where the information is collected and publicly available:[7]

[8]☐ The State does not make the information publicly available on a website.

- ➔ Provide the State's plan for making the information publicly available and up-to-date on a website in Part 3B. Cite "Indicator (c)(4)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

Indicator (c)(5)	Confirm the number and percentage (including numerator and denominator) of students with disabilities who are included in State reading/language arts and mathematics assessments.

Please respond (check one): Can the State confirm that the number and percentage of students with disabilities who are included in State *reading/language arts* assessments, available at http://www.ed.gov/programs/statestabilization/indicator-c5r.xls , are correct?

[1] ☒ Yes, the data are correct.

[2] ☐ No, the data are not correct.

➔ If checked, provide below or in an attachment the correct data and any supporting information. A URL linking to the correct data on the State's website is also sufficient:
[3] Click here to enter text.

Please respond (check one):

[4] ☒ The State makes the data relative to the inclusion of students with disabilities on State assessments in *reading/language arts publicly available* and keeps it *up-to-date* on a website.

Provide the State website where the data are collected and publicly available:[5]

http://www.ride.ri.gov/Assessment/DOCS/NECAP/AdditionalResources/StateNcapParticipationRatesFall2008.pdf

[6] ☐ The State makes the data relative to the inclusion of students with disabilities on State assessments in *reading/language arts publicly available* on a website but *does not keep it up-to-date*.

➔ Provide the State's plan for making the data publicly available and up-to-date on a website in Part 3B. Cite "Indicator (c)(5)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.
➔ Provide the State website where the data are collected and publicly available:[7] Click here to enter text.

[8] ☐ The State does not make the data relative to the inclusion of students with disabilities on State assessments in *reading/language arts publicly available* on a website.

➔ Provide the State's plan for making the data publicly available and up-to-date on a website in Part 3B. Cite "Indicator (c)(5)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

Please respond (check one): Can the State confirm that the number and percentage of students with disabilities who are included in State *mathematics* assessments, available at http://www.ed.gov/programs/statestabilization/indicator-c5m.xls , are correct?

[9]☒ Yes, the data are correct.

[10]☐ No, the data are not correct.

➔ If checked, provide below or in an attachment the correct data and any supporting information. A URL linking to the correct data on the State's website is also sufficient:

Please respond (check one):

[12]☒ The State makes the data relative to the inclusion of students with disabilities on State assessments in *mathematics publicly available* and keeps it *up-to-date* on a website.

Provide the State website where the data are collected and publicly available:[13]

http://www.ride.ri.gov/Assessment/DOCS/NECAP/AdditionalResources/StateNcapParticipationRatesFall2008.pdf

[14]☐ The State makes the data relative to the inclusion of students with disabilities on State assessments in *mathematics publicly available* on a website but *does not keep it up-to-date*.

➔ Provide the State's plan for making the data publicly available and up-to-date on a website in Part 3B. Cite "Indicator (c)(5)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the data are collected and publicly available:

[16]☐ The State does not make the data relative to the inclusion of students with disabilities on State assessments in *mathematics* publicly available on a website.

➔ Provide the State's plan for making the data publicly available and up-to-date on a website in Part 3B. Cite "Indicator (c)(5)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

Indicator (c)(6)	Indicate whether the State has completed, within the last two years, an analysis of the appropriateness and effectiveness of the accommodations it provides limited English proficient students to ensure their meaningful participation in State assessments.

Please respond (check one): Has the State completed, within the last two years, an analysis of the appropriateness and effectiveness of the accommodations it provides limited English proficient students to ensure their meaningful participation in State assessments?

[1]☒ Yes, this was completed within the last two years.

[2]☐ No, this was completed more than two years ago.

[3]☐ No, this has never been completed.

Please respond (check one):

[4]☒ The State makes the information *publicly available* and keeps it *up-to-date* on a website.

➔ Provide the State website where the information is collected and publicly available:[5]
http://www.ride.ri.gov/assessment/DOCS/NECAP/Tech_Manual/2008-09_TechReport/Appendix_D_Appropriateness_of_the_Accommodations.pdf
(Also *see 2009 NECAP Accommodations Guide discussion about 2008 review and update of accommodations, which now include specific recommendations about the use of accommodations for LEP students)*:
http://www.ride.ri.gov/assessment/DOCS/NECAP/Test_Admin/2009_TestAdminManuals/2009_NECAP_Accommodations_Guide.pdf

[6]☐ The State makes the information *publicly available* on a website but *does not keep it up-to-date*.

➔ Provide the State's plan for making the information publicly available and up-to-date on a website in Part 3B. Cite "Indicator (c)(6)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.
➔ Provide the State website where the information is collected and publicly available:[7] Click here to enter text.

[8]☐ The State does not make the information publicly available on a website.

➔ Provide the State's plan for making the information publicly available and up-to-date on a website in Part 3B. Cite "Indicator (c)(6)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

Indicator (c)(7)	Confirm whether the State provides native language versions of State assessments for limited English proficient students that are approved by the Department.

Please respond (check one): Is the information related to this indicator, available at http://www.ed.gov/programs/statestabilization/indicator-c1.xls, correct?

[1] ☒ Yes, the information is correct.

[2] ☐ No, the information is not correct.

➔ If checked, provide below or in an attachment the correct information and any supporting information. A URL linking to the correct data on the State's website is also sufficient:

Please respond (check one): Is the State's current status available on the State's website?

[4] ☒ The State makes the information *publicly available* and keeps it *up-to-date* on a website

Note: No native versions of NECAP were created due to budgetary constraints. In the future NECAP states may opt to create native language assessments if resources (e.g., personnel and funds) are made available.

➔ Provide the State website where the information is collected and publicly available:[5]
http://www.ride.ri.gov/Assessment/DOCS/NECAP/AdditionalResources/UsdoeApprovalOfStateAssessmentSystems.pdf
and http://www.ride.ri.gov/Assessment/DOCS/NECAP/AdditionalResources/NativeLanguageVersionsOfStateAssessments.pdf

[6] ☐ The State makes the information *publicly available* on a website but *does not keep it up-to-date*.

➔ Provide the State's plan for making the information publicly available and up-to-date on a website in Part 3B. Cite "Indicator (c)(7)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the information is collected and publicly available:[7] Click here to enter text.

[8] ☐ The State does not make the information publicly available on a website.

➔ Provide the State's plan for making the information publicly available and up-to-date on a website in Part 3B. Cite "Indicator (c)(7)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

Indicator (c)(8)	Confirm the number and percentage (including numerator and denominator) of limited English proficient students who are included in State reading/language arts and mathematics assessments.

Please respond (check one): Can the State confirm that the number and percentage of limited English proficient students who are included in State *reading/language arts* assessments, available at http://www.ed.gov/programs/statestabilization/indicator-c8r.xls , are correct?

[1] ☒ Yes, the data are correct.

[2] ☐ No, the data are not correct.

➔ If checked, provide below or in an attachment the correct data and any supporting information. A URL linking to the correct data on the State's website is also sufficient:

Please respond (check one):

[4] ☒ The State makes the data relative to the inclusion of limited English proficient students on State assessments in *reading/language arts publicly available* and keeps it *up-to-date* on a website.

Provide the State website where the data are collected and publicly available:[5]

http://www.ride.ri.gov/Assessment/DOCS/NECAP/AdditionalResources/StateNcapParticipationRatesFall2008.pdf

[6] ☐ The State makes the data relative to the inclusion of limited English proficient students on State assessments in *reading/language arts publicly available* on a website but *does not keep it up-to-date*.

➔ Provide the State's plan for making the data publicly available and up-to-date on a website in Part 3B. Cite "Indicator (c)(8)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the data are collected and publicly available:[7] Click here to enter text.

[8] ☐ The State does not make the data relative to the inclusion of limited English proficient students on State assessments in *reading/language arts publicly available* on a website.

➔ Provide the State's plan for making the data publicly available and up-to-date on a website in Part 3B. Cite "Indicator (c)(8)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

Please respond (check one): Can the State confirm that the number and percentage of limited English proficient students who are included in State *mathematics* assessments, available at http://www.ed.gov/programs/statestabilization/indicator-c8m.xls , are correct?

[9]☒ Yes, the data are correct.

[10]☐ No, the data are not correct.

➔ If checked, provide below or in an attachment the correct data and any supporting information. A URL linking to the correct data on the State's website is also sufficient:
[11] Click here to enter text.

Please respond (check one):

[12]☒ The State makes the data relative to the inclusion of limited English proficient students on State assessments in *mathematics publicly available* and keeps it *up-to-date* on a website.

Provide the State website where the data are collected and publicly available:[13]
http://www.ride.ri.gov/Assessment/DOCS/NECAP/AdditionalResources/StateNcapParticipationRatesFall2008.pdf

[14]☐ The State makes the data relative to the inclusion of limited English proficient students on State assessments in *mathematics publicly available* on a website but *does not keep it up-to-date*.

➔ Provide the State's plan for making the data publicly available and up-to-date on a website in Part 3B. Cite "Indicator (c)(8)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.
➔ Provide the State website where the data are collected and publicly available:[15] Click here to enter text.

[16]☐ The State does not make the data relative to the inclusion of limited English proficient students on State assessments in *mathematics* publicly available on a website.

➔ Provide the State's plan for making the data publicly available and up-to-date on a website in Part 3B. Cite "Indicator (c)(8)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

Indicator (c)(9)	Confirm that the State's annual State Report Card (under section 1111(h)(1) of the ESEA) contains the most recent available State reading and mathematics National Assessment of Educational Progress (NAEP) results as required by 34 CFR 200.11(c).

Please respond (check one): Does the State Report Card include the most recent available State reading and math National Assessment of Educational Progress (NAEP) results?

☒ Yes, the State Report Card includes this information.

☐ No, the State Report Card does not include this information.

➔ If checked, please provide a plan for including this information on the State Report Card in Part 3B. Cite "Indicator (c)(9)" in the Plan Element Verification Chart in Part 3B, Section I, and mark the Public Reporting column.

Please supply the following information:

Please attach the State Report Card or provide the URL where the State Report Card is provided to the public:

The Rhode Island report on NAEP results is found on pages 40-41 as part of our state report card, and is accessible either directly from the home page for our state report cards, www.infoworks.ride.uri.edu, or with links from the RIDE home page, www.ride.ri.gov (click on "School Report Cards" under "Public Schools", then click on "Infoworks" in the second paragraph. Once on the Infoworks page, one of the state charts CLEARLY LISTED on the left-hand menu is "National Assessment of Educational Progress.")

Here is the direct link to that report: http://infoworks.ride.uri.edu/2009/state/naep.pdf

If you dowload the printed copy of the state report or if you have a printed copy in hand, (http://infoworks.ride.uri.edu/2009/state/infoworks2009-full.pdf) , the Table of Contents CLEARLY states that the NAEP results are available on pp. 40-41.

Indicator (c)(10)	**Provide, for the State, for each LEA in the State, for each high school in the State and, at each of these levels, by student subgroup (consistent with section 1111(b)(2)(C)(v)(II) of the ESEA), the number and percentage (including numerator and denominator) of students who graduate from high school using a four-year adjusted cohort graduation rate as required by 34 CFR 200.19(b)(1)(i).**

Please respond (check one): Does the State collect these data (as defined in Indicator (c)(10))?

[1] ☒ Yes, the State collects these data.

If Yes, please respond (check one):

[2] ☒ The State makes the data *publicly available* and updates the data *at least annually* on a website.

➔ Provide the State website where the data are collected and publicly available:[3]

This link, http://www.ride.ri.gov/ride/graduationrates.aspx, provides information on graduation rates as published in our state report card (Information Works!). To view graduation rates by student group, click on "Cohort Graduation Rates" on that page.

In addition, 2008 graduation rate information can be found directly on pp 36-39 of Information Works, at http://infoworks.ride.uri.edu/2009/state/infoworks2009-full.pdf ..

[4] ☐ The State makes the data *publicly available* on a website and updates the data *less than annually*.

➔ Provide the State's plan for making the data publicly available and updating it annually on a website in Part 3B. Cite "Indicator (c)(10)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the data are collected and publicly available:[5] Click here to enter text.

[6] ☐ The State does not make the data publicly available on a website.

➔ Provide the State's plan for making the data publicly available and updating it annually on a website in Part 3B. Cite "Indicator (c)(10)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[7] ☐ No, the State does not collect these data.

➔ Provide the State's plan for making the data publicly available and updating it annually on a website in Part 3B. Cite "Indicator (c)(10)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Collection and Public Reporting column.

Indicator (c)(11)	**Provide, for the State, for each LEA in the State, for each high school in the State and, at each of these levels, by student subgroup (consistent with section 1111(b)(2)(C)(v)(II) of the ESEA), of the students who graduate from high school consistent with 34 CFR 200.19(b)(1)(i), the number and percentage (including numerator and denominator) who enroll in an institution of higher education (IHE) (as defined in section 101(a) of the Higher Education Act of 1965, as amended (HEA)) within 16 months of receiving a regular high school diploma.**

Please respond (check one): Does the State collect these data (as defined in Indicator (c)(11))?

[1] ☐ Yes, the State collects these data.

If Yes, please respond (check one):

[2] ☐ The State makes the data *publicly available* and updates the data *at least annually* on a website.

➔ Provide the State website where the data are collected and publicly available:[3] Click here to enter text.

[4] ☐ The State makes the data *publicly available* on a website and updates the data *less than annually*.

➔ Provide the State's plan for making the data publicly available and updating it annually on a website in Part 3B. Mark the Public Reporting column next to "Indicator (c)(11)" in the Plan Element Verification Chart in Part 3B, Section I.

➔ Provide the State website where the data are collected and publicly available:[5] Click here to enter text.

[6] ☐ The State does not make the data publicly available on a website.

➔ Provide the State's plan for making the data publicly available and updating it annually on a website in Part 3B. Mark the Public Reporting column next to "Indicator (c)(11)" in the Plan Element Verification Chart in Part 3B, Section I.

[7] ☒ No, the State does not collect these data.

If No, please respond (check one):

☒ The State will develop and implement the means to collect and publicly report the data (i.e., the State will collect and publicly report the data) by September 30, 2011.

➔ Provide the State's plan for collecting, making the data publicly available, and updating it annually on a website in Part 3B, Section I. Mark both the Collection and Public Reporting columns next to "Indicator (c)(11)" in the Plan Element Verification Chart in Part 3B, Section I. **SEE PLAN ATTACHED**

☐ The State will develop but not implement the means to collect and publicly report the data (i.e., the State will not collect and publicly report the data) by September 30, 2011.

➔ Provide the State's plan for developing the means to collect and to publicly report the data (but not the State's implementation of those means) in Part 3B, Section V.

Indicator (c)(12)	**Provide, for the State, for each LEA in the State, for each high school in the State and, at each of these levels, by student subgroup (consistent with section 1111(b)(2)(C)(v)(II) of the ESEA), of the students who graduate from high school consistent with 34 CFR 200.19(b)(1)(i) who enroll in a public IHE (as defined in section 101(a) of the HEA) in the State within 16 months of receiving a regular high school diploma, the number and percentage (including numerator and denominator) who complete at least one year's worth of college credit (applicable to a degree) within two years of enrollment in the IHE.**

Please respond (check one): Does the State collect these data (as defined in Indicator (c)(12))?

[1] ☐ Yes, the State collects these data.

If Yes, please respond (check one):

[2] ☐ The State makes the data *publicly available* and updates the data *at least annually* on a website.

➔ Provide the State website where the data are collected and publicly available:[3] Click here to enter text.

[4] ☐ The State makes the data *publicly available* on a website and updates the data *less than annually*.

➔ Provide the State's plan for making the data publicly available and updating it annually on a website in Part 3B. Mark the Public Reporting column next to "Indicator (c)(12)" in the Plan Element Verification Chart in Part 3B, Section I.

➔ Provide the State website where the data are collected and publicly available:[5] Click here to enter text.

[6] ☐ The State does not make the data publicly available on a website.

➔ Provide the State's plan for making the data publicly available and updating it annually on a website in Part 3B. Mark the Public Reporting column next to "Indicator (c)(12)" in the Plan Element Verification Chart in Part 3B, Section I.

[7] ☒ No, the State does not collect these data.

If No, please respond (check one):

☒ The State will develop and implement the means to collect and publicly report the data (i.e., the State will collect and publicly report the data) by September 30, 2011.

➔ Provide the State's plan for collecting, making the data publicly available, and updating it annually on a website in Part 3B, Section I. Mark both the Collection and Public Reporting columns next to "Indicator (c)(12)" in the Plan Element Verification Chart in Part 3B, Section I. **SEE PLAN ATTACHED**

☐ The State will develop but not implement the means to collect and publicly report the data (i.e., the State will not collect and publicly report the data) by September 30, 2011.

➔ Provide the State's plan for developing the means to collect and to publicly report the data (but not the State's implementation of those means) in Part 3B, Section V.

IV. Assurance (d): Supporting Struggling Schools

A State must collect and publicly report data and other information on the progress of certain groups of schools in the State on State assessments in reading/language arts and mathematics; on the extent to which reforms to improve student academic achievement are implemented in the persistently lowest-achieving schools in the State; and on the extent to which charter schools are operating in the State.

Indicator (d)(1)	**Provide, for the State, the average statewide school gain in the "all students" category and the average statewide school gain for each student subgroup (as under section 1111(b)(2)(C)(v) of the ESEA) on the State assessments in reading/language arts and for the State and for each LEA in the State, the number and percentage (including numerator and denominator) of Title I schools in improvement, corrective action, or restructuring that have made progress (as defined in this notice) on State assessments in reading/language arts in the last year.**

Please respond (check one): Does the State collect these data?

[1] ☒ Yes, the State collects these data.

If Yes, please respond (check one):

[2] ☒ The State makes the data *publicly available* and updates the data *at least annually* on a website.

➔ Provide the State website where the data are collected and publicly available:[3]
http://www.ride.ri.gov/Assessment/DOCS/NECAP/AdditionalResources/StatewideGainsOfSubgroupsOnNecapMathematicsAndLanguageArts.pdf and http://www.ride.ri.gov/Assessment/DOCS/NECAP/AdditionalResources/StatewideGainsOfTitleISchoolsInImprovement.pdf

[4] ☐ The State makes the data *publicly available* on a website and updates the data *less than annually*.

➔ Provide the State's plan for making the data publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(1)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.
➔ Provide the State website where the most recently updated data are provided by the State to the public:
[5] Click here to enter text.

[6] ☐ The State does not make the data publicly available on a website.
➔ Provide the State's plan for making the data publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(1)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[7] ☐ No, the State does not collect these data.

➔ Provide the State's plan for making the data publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(1)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

Indicator (d)(2)	**Provide, for the State, the average statewide school gain in the "all students" category and the average statewide school gain for each student subgroup (as under section 1111(b)(2)(C)(v) of the ESEA) on State assessments in mathematics and for the State and for each LEA in the State, the number and percentage (including numerator and denominator) of Title I schools in improvement, corrective action, or restructuring that have made progress on State assessments in mathematics in the last year.**

Please respond (check one): Does the State collect these data?

[1] ☒ Yes, the State collects these data.

If Yes, please respond (check one):

[2] ☒ The State makes the data *publicly available* and updates the data *at least annually* on a website.

Provide the State website where the data are collected and publicly available:[3]
http://www.ride.ri.gov/Assessment/DOCS/NECAP/AdditionalResources/StatewideGainsOfSubgroupsOnNecapMathematicsAndLanguageArts.pdf and http://www.ride.ri.gov/Assessment/DOCS/NECAP/AdditionalResources/StatewideGainsOfTitleISchoolsInImprovement.pdf

[4] ☐ The State makes the data *publicly available* on a website and updates the data *less than annually*.

➔ Provide the State's plan for making the data publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(2)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.
➔ Provide the State website where the most recently updated data are provided by the State to the public:
[5] Click here to enter text.

[6] ☐ The State does not make the data publicly available on a website.

➔ Provide the State's plan for making the data publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(2)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[7] ☐ No, the State does not collect these data.

➔ Provide the State's plan for making the data publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(2)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

Descriptor (d)(1)	Provide the definition of "persistently lowest-achieving schools" (consistent with the requirements for defining this term set forth in the Definitions section of the NFR) that the State uses to identify such schools.

Please respond (check Yes or No): Does the State have a definition of "persistently lowest achieving schools" (consistent with the requirements for defining this term set forth in the Definitions section of the NFR) for the purposes of this indicator?

[1] ☒ Yes, the State has a definition of "persistently lowest achieving schools" for the purposes of this indicator.

➔ Provide the definition here:[2]

If Yes, please respond (check one):

[3] ☒ The State has made the definition *publicly available* on a website.

➔ Provide the State website where the definition is publicly available:[4]
Available through RIDE's website, www.ride.ri.gov. Click on "Offices", then on "Asssessment and Accountability." On left hand side of page, click on "Additional Resources". On that page, under "Supporting Struggling Schools", you will find "RI's Definition of Persistently Lowest Achieving Schools". Direct link below:

http://www.ride.ri.gov/Assessment/DOCS/NECAP/AdditionalResources/RiDefinitionOfPersistentlyLowestAchievingSchools.pdf

[5] ☐ The State does not make the definition publicly available on a website.

➔ Provide the State's plan for making the definition publicly available in Part 3B. Cite "Descriptor (d)(1)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[6] ☐ No, the State does not have a definition of "persistently lowest achieving schools" for the purposes of this indicator.

➔ Provide the State's plan for developing a definition and making it publicly available on a website in Part 3B. Cite "Descriptor (d)(1)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

Indicator (d)(3)	Provide, for the State, the number and identity of the schools that are Title I schools in improvement, corrective action, or restructuring, that are identified as persistently lowest-achieving schools.

Please respond (check one): Does the State collect this information?

[1] ☒ Yes, the State collects this information.

If Yes, please respond (check one):

[2] ☒ The State makes the information *publicly available* and updates the data *at least annually* on a website.

➔ Provide the State website where the information is collected and publicly available:[3]
Available through RIDE's website, www.ride.ri.gov. Click on "Offices", then on "Asssessment and Accountability." On left hand side of page, click on "Additional Resources". On that page, under "Supporting Struggling Schools", you will find "List and Status of Persistently Lowest Achieving Schools". Direct link below:

http://www.ride.ri.gov/Assessment/DOCS/NECAP/AdditionalResources/TitleISchoolsIdentifiedAsPersistentlyLow_PerformingIn2009.pdf

[4] ☐ The State makes the data *publicly available* on a website and updates the information *less than annually*.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(3)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.
➔ Provide the State website where the most recently updated information is provided by the State to the public:
[5] Click here to enter text.

[6] ☐ The State does not make the information publicly available on a website.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(3)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[7] ☐ No, the State does not collect this information.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(3)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

Indicator (d)(4)	**Provide, for the State, of the persistently lowest-achieving schools that are Title I schools in improvement, corrective action, or restructuring, the number and identity of those schools that have been turned around, restarted, closed, or transformed (as defined in the NFR) in the last year.**

Please respond (check one): Does the State collect this information?

[1] ☒ Yes, the State collects this information.

If Yes, please respond (check one):

[2] ☒ The State makes the information *publicly available* and updates the data *at least annually* on a website.

➔ Provide the State website where the information is collected and publicly available:[3]
Available through RIDE's website, www.ride.ri.gov. Click on "Offices", then on "Asssessment and Accountability." On left hand side of page, click on "Additional Resources". On that page, under "Supporting Struggling Schools", you will find "List and Status of Persistently Lowest Achieving Schools". Please see footnote 1 on page. Direct link below:

http://www.ride.ri.gov/Assessment/DOCS/NECAP/AdditionalResources/TitleISchoolsIdentifiedAsPersistentlyLow_PerformingIn2009.pdf

[4] ☐ The State makes the data *publicly available* on a website and updates the information *less than annually*.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(4)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.
➔ Provide the State website where the most recently updated information is provided by the State to the public:
[5] Click here to enter text.

[6] ☐ The State does not make the information publicly available on a website.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(4)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[7] ☐ No, the State does not collect this information.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(4)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

Indicator (d)(5)	Provide, for the State, the number and identity of the schools that are secondary schools that are eligible for but do not receive, Title I funds, that are identified as persistently lowest-achieving schools.

Please respond (check one): Does the State collect this information?

[1] ☒ Yes, the State collects this information.

If Yes, please respond (check one):

[2] ☒ The State makes the information *publicly available* and updates the data *at least annually* on a website.

➔ Provide the State website where the information is collected and publicly available:[3]
Available through RIDE's website, www.ride.ri.gov. Click on "Offices", then on "Asssessment and Accountability." On left hand side of page, click on "Additional Resources". On that page, under "Supporting Struggling Schools", you will find "List and Status of Persistently Lowest Achieving Schools". Please see footnote 2 on page. Direct link below:

http://www.ride.ri.gov/Assessment/DOCS/NECAP/AdditionalResources/TitleISchoolsIdentifiedAsPersistentlyLow_PerformingIn2009.pdf

[4] ☐ The State makes the data *publicly available* on a website and updates the information *less than annually*.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(5)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.
➔ Provide the State website where the most recently updated information is provided by the State to the public:
[5] Click here to enter text.

[6] ☐ The State does not make the information publicly available on a website.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(5)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[7] ☐ No, the State does not collect this information.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(5)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

Indicator (d)(6)	Provide, for the State, of the persistently lowest-achieving schools that are secondary schools that are eligible for, but do not receive, Title I funds, the number and identity of those schools that have been turned around, restarted, closed, or transformed in the last year.

Please respond (check one): Does the State collect this information?

[1] ☒ Yes, the State collects this information.

If Yes, please respond (check one):

[2] ☒ The State makes the information *publicly available* and updates the data *at least annually* on a website.

➔ Provide the State website where the information is collected and publicly available:[3]
Available through RIDE's website, www.ride.ri.gov. Click on "Offices", then on "Asssessment and Accountability." On left hand side of page, click on "Additional Resources". On that page, under "Supporting Struggling Schools", you will find "List and Status of Persistently Lowest Achieving Schools". Please see footnote 1 on page. Direct link below:

http://www.ride.ri.gov/Assessment/DOCS/NECAP/AdditionalResources/TitleISchoolsIdentifiedAsPersistentlyLow_PerformingIn2009.pdf

[4] ☐ The State makes the data *publicly available* on a website and updates the information *less than annually*.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(6)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.
➔ Provide the State website where the most recently updated information is provided by the State to the public:
[5] Click here to enter text.

[6] ☐ The State does not make the information publicly available on a website.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(6)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[7] ☐ No, the State does not collect this information.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(6)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

Indicator (d)(7)	Provide, for the State and, if applicable, for each LEA in the State, the number of charter schools that are currently permitted to operate under State law.

Please respond (check one): Does the State collect this information?

[1] ☒ Yes, the State collects this information.

If Yes, please respond (check one):

[2] ☒ The State makes the information *publicly available* and updates the data *at least annually* on a website.

➔ Provide the State website where the information is collected and publicly available:[3]
http://www.rilin.state.ri.us/Statutes/TITLE16/16-77/16-77-8.HTM

[4] ☐ The State makes the data *publicly available* on a website and updates the information *less than annually*.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(7)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the most recently updated information is provided by the State to the public:
[5] Click here to enter text.

[6] ☐ The State does not make the information publicly available on a website.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(7)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[7] ☐ No, the State does not collect this information.

Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(7)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

Indicator (d)(8)	Confirm, for the State and for each LEA in the State that operates charter schools, the number of charter schools currently operating.

Please respond (check one): Is the number of charter schools publicly reported as currently operating for the State and for each LEA at http://www.ed.gov/programs/statestabilization/indicator-d8.xls correct?

[1] ☐ Yes, the data are correct.

[2] ☒ No, the data are not correct.

➔ If checked, provide below or in an attachment the correct data and any supporting information. A URL linking to the correct data on the State's website is also sufficient: [3]
http://www.ride.ri.gov/Commissioner/charterschools/Charters%20by%20Status.pdf

Please respond (check one):

[4] ☒ The State makes the data *publicly available* and keeps it *up-to-date* on a website.

➔ Provide the State website where the data are collected and publicly available: [5]

http://www.ride.ri.gov/Commissioner/charterschools/Charters%20by%20Status.pdf

[6] ☐ The State makes the data *publicly available* on a website but *does not keep it up-to-date*.

➔ Provide the State's plan for making the data publicly available and up-to-date on a website in Part 3B. Cite "Indicator (d)(8)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.
➔ Provide the State website where the most recently updated information is provided by the State to the public:
[7] Click here to enter text.

[8] ☐ The State does not make the data publicly available on a website.

➔ Provide the State's plan for making the data publicly available and up-to-date on a website in Part 3B. Cite "Indicator (d)(8)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

Indicator (d)(9)	Provide, for the State and for each LEA in the State that operates charter schools, the number and percentage of charter schools that have made progress on State assessments in reading/language arts in the last year.

Please respond (check one): Does the State collect this information?

[1] ☒ Yes, the State collects this information.

If Yes, please respond (check one):

[2] ☒ The State makes the information *publicly available* and updates the information *at least annually* on a website.

➔ Provide the State website where the information is collected and publicly available:[3]

http://www.ride.ri.gov/Assessment/DOCS/NECAP/AdditionalResources/StatewideGainsOfCharterSchools.pdf

[4] ☐ The State makes the information *publicly available* on a website and updates the information *less than annually*.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(9)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the most recently updated information is provided by the State to the public:
[5] Click here to enter text.

[6] ☐ The State does not make the information publicly available on a website.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(9)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[7] ☐ No, the State does not collect this information.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(9)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

Indicator (d)(10)	Provide, for the State and for each LEA in the State that operates charter schools, the number and percentage of charter schools that have made progress on State assessments in mathematics in the last year.

Please respond (check one): Does the State collect this information?

[1] ☒ Yes, the State collects this information.

If Yes, please respond (check one):

[2] ☒ The State makes the information *publicly available* and updates the information *at least annually* on a website.

➔ Provide the State website where the information is collected and publicly available:[3] Click here to enter text
http://www.ride.ri.gov/Assessment/DOCS/NECAP/AdditionalResources/StatewideGainsOfCharterSchools.pdf

[4] ☐ The State makes the information *publicly available* on a website and updates the information *less than annually*.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(10)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.
➔ Provide the State website where the most recently updated information is provided by the State to the public:
[5] Click here to enter text.

[6] ☐ The State does not make the information publicly available on a website.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(10)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[7] ☐ No, the State does not collect this information.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(10)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

Indicator (d)(11)	Provide, for the State and for each LEA in the State that operates charter schools, the number and identity of charter schools that have closed (including schools that were not reauthorized to operate) within each of the last five years.

Please respond (check one): Does the State collect this information?

[1] ☒ Yes, the State collects this information.

If Yes, please respond (check one):

[2] ☒ The State makes the information *publicly available* and updates the information *at least annually* on a website.

➔ Provide the State website where the information is collected and publicly available:[3]
http://www.ride.ri.gov/Commissioner/charterschools/Charters%20by%20Status.pdf

[4] ☐ The State makes the information *publicly available* on a website and updates the information *less than annually*.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(11)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the most recently updated information is provided by the State to the public:
[5] Click here to enter text.

[6] ☐ The State does not make the information publicly available on a website.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(11)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[7] ☐ No, the State does not collect this information.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(11)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

Indicator (d)(12)	Indicate, for each charter school that has closed (including a school that was not reauthorized to operate) within each of the last five years, whether the closure of the school was for financial, enrollment, academic, or other reasons.

Please respond (check one): Does the State collect this information?

[1] ☒ Yes, the State collects this information.

If Yes, please respond (check one):

[2] ☒ The State makes the information *publicly available* and updates the information *at least annually* on a website.

➔ Provide the State website where the information is collected and publicly available:[3]
http://www.ride.ri.gov/Commissioner/charterschools/Charters%20by%20Status.pdf

[4] ☐ The State makes the information *publicly available* on a website and updates the information *less than annually*.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(12)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the most recently updated information is provided by the State to the public:
[5] Click here to enter text.

[6] ☐ The State does not make the information publicly available on a website.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(12)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

[7] ☐ No, the State does not collect this information.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(12)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

PART 3B: DATA COLLECTION & PUBLIC REPORTING PLANS

Requirement: The State must collect and publicly report the data or other information required by an assurance indicator or descriptor. If the State is not able to fully collect or publicly report, at least annually through September 30, 2011, the State plan must describe the State's process and timeline for developing and implementing, as soon as possible but no later than September 30, 2011, the means to fully collect and publicly report the data or information, including the milestones that the State establishes toward developing and implementing those means, the date by which the State expects to reach each milestone, and any obstacles that may prevent the State from developing and implementing those means by September 30, 2011, including but not limited to requirements and prohibitions of State law and policy. The plan must also include the nature and frequency of reports that the State will provide to the public regarding its progress in developing and implementing those means; the website where the State will make the plan and progress reports publicly available (as defined in the Notice of Final Requirements, Definitions, and Approval Criteria for the SFSF Phase II), the amount of funds the State is using or will use to develop and implement those means, and whether the funds are or will be Federal, State, or local funds.

I. ASSURANCES (a), (c), AND (d)

Plan Element Verification: Please fill out the following chart to indicate which elements, per the instructions in Part 1, must be addressed in the State plan, and whether they must address collection, public reporting, or both. Do not list elements that do not need to be addressed in the State plan. Only list those for which the State has been directed to do so in completing Part 3A.

Element	Collection (check if applies)	Public Reporting (check if applies)
Descriptor a1.7	X	X
Descriptor a1.14	X	X
Indicator a3	X	X
Indicator a4	X	X
Indicator a5	X	X
Descriptor a2.7	X	X
Element	Collection (check if applies)	Public Reporting (check if applies)
Descriptor a2.14	X	X
Indicator a6	X	X
Indicator a7	X	X
Indicator c11	X	X
Indicator c12	X	X

State Plan Document for

Plan Element: Descriptor (a)(1)_____ADDRESSING: COLLECTION AND PUBLIC REPORTING

A State Plan will be submitted for each assurance indicator or descriptor under education reform areas ***(a), (c), and (d)*** for which the State is not able to fully collect or publicly report annually the required data or information (as indicated in Part 3A).

"Does the State collect a description of the system each LEA uses to evaluate the performance of teachers?"

Response 7 – No, the State does not collect this information.

"Does the State collect a description of the manner in which each LEA uses the results of the evaluation systems described above related to the performance of teachers in decisions regarding teacher development, compensation, promotion, retention, and removal?"

Response 14 – No, the State does not collect this data.

PROCESS AND TIMELINE:

(A) Describe the agency or agencies in the State responsible for the development, execution, and oversight of the plan, including the institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of those tasks;

The SEA has developed, and the Board of Regents for Elementary and Secondary Education has approved, Educator Evaluation Standards which require that each LEA shall develop an evaluation system that:

- establishes a common understanding of expectations for educator quality within the district;
- emphasizes the professional growth and continuous improvement of individual educators;
- creates an organizational approach to the collective professional growth and continuous improvement of groups of educators to support district goals;
- provides quality assurance for the performance of all district educators;
- assures fair, accurate, and consistent evaluations; and
- provides district educators a role in guiding the ongoing system development in response to systematic feedback and changing district needs.

The Educator Evaluation Standards require that all approved evaluation systems include multiple measures, integrate student achievement and growth data, provide communication and training for evaluators and those to be evaluated, and require that evaluation results are used to retain, develop, support, compensate, and remove educators.

Work in the state to ensure all LEAs will have approved evaluation systems is ongoing. The SEA is developing a model evaluation system that LEAs may select to adopt and adapt. A joint grant-funded effort is underway between four urban districts and the AFT to develop an evaluation system that will be reviewed using the Educator Evaluation Standards. The SEA may allow LEAs that petition to develop a local system this option as long as the resulting system will be consistent with the state standards.

The SEA has developed a timeline for implementation of the Educator Evaluation Standards and approval of local systems. The timeline specifies that the SEA will work with all LEAs in spring 2010 to disseminate information about the Educator Evaluation Standards, a model evaluation system, and guidance for implementation for fall 2010. The SEA will support and monitor districts during the initial implementation of evaluation systems in the 2010-2011 academic year. The SEA will begin evaluating the implementation of the systems in 2010 - 2011 for necessary revisions to meet final approval.

As part of the implementation of the Educator Evaluation Standards, the SEA will require all LEAs to provide a description of their evaluation system, key evaluation tools used in the evaluation system, and representative materials used to communicate with and train evaluators and those to be evaluated. LEA evaluation systems that meet the expectations of the Educator Evaluation Standards will receive SEA approval and begin to undergo regular monitoring of the evaluation system. Evaluation systems that do not meet required expectations will not be approved and such LEAs will be required to develop a revised action plan to identify necessary actions to meet all requirements. LEAs with approved evaluation system will be required to assure on an annual basis that changes have not been made to the system or provide revised materials to the SEA for review and approval. The SEA will maintain and update evaluation system information on the State website on at least an annual basis or as LEAs revise and/or receive approval for their evaluation systems.

(B) Describe the agency or agencies, institutions, or organizations, if any, providing technical assistance or other support in the development, execution, and oversight of the plan, and describe the nature of such technical assistance or other support;

The SEA has developed the Educator Evaluation Standards internally with the support of a national consultant. The four urban districts are receiving funding from an AFT innovation grant. The SEA is exploring the option of engaging a national non-profit organization to support the development of a model evaluation system. The SEA will monitor the implementation of the standards, conduct the approval process for LEA evaluation systems, and monitor the annual reporting requirements.

(C) The nature and frequency of reports that the State will provide to the public regarding its progress in developing and implementing those means.

The Rhode Island Department of Elementary and Secondary Education maintains a robust public website at www.ride.ri.gov. It is the responsibility and requirement of every Department to provide content and timely information. A new website has been created to specifically provide the public with State Plan documents and reports on the progress. The easy to use menu system will direct the user to the historical and current progress related to the individual state plans, indexed by the Indicator or Descriptor, as well as the Plan itself. The site will provide for Public input via an existing email feedback system which will allow the user to subscribe and be automatically notified on any updates posted. A minimum of quarterly updates will be posted to the site, or as progress warrants. The reports will refer to an established work plan with milestones. The URL for the State Plans and Progress Reports website is www.ride.ri.gov/finance/funding/arra/SFSF_phaseII/reports.aspx

MILESTONES:

Enter the milestones that the State establishes toward developing and implementing the means to fully collect and/or publicly report (as required) the data or information; the date by which the State expects to reach each milestone; any obstacles that may prevent the State from developing and implementing those means by September 30, 2011, including but not limited to requirements and prohibitions of State law and policy;

Milestones	Date	Obstacles
Adopt Educator Evaluation Standards	12/3/2009	None – already completed
Disseminate evaluation model	Spring/Summer 2010	Capacity in SEA and LEAs, student achievement data availability
LEAs provide description of evaluation system	Summer 2010	Capacity in LEAs to adapt or develop evaluation system
LEAs implement evaluation systems	Fall 2011	Capacity in LEAs to implement evaluation system
SEA monitors and reports on approved evaluation systems	Fall 2011 and ongoing	Capacity in SEA to monitor and report on approved evaluation systems

BUDGET:

Provide the overall budget for the development, execution, and oversight of the plan.

SEE CONSOLIDATED BUDGET AND FUNDING FOR ASSURANCE "A" ATTACHED

FUNDING:

Enter the amount of funds the State is using or will use to develop and implement those means, and whether the funds are or will be Federal, State, or local funds.

SEE CONSOLIDATED BUDGET AND FUNDING FOR ASSURANCE "A" ATTACHED

State Plan Document for
Plan Element: Indicator (a)(3)_____ADDRESSING: COLLECTION AND PUBLIC REPORTING

A State Plan will be submitted for each assurance indicator or descriptor under education reform areas ***(a), (c), and (d)*** for which the State is not able to fully collect or publicly report annually the required data or information (as indicated in Part 3A).

"Does the State request information on whether the system each LEA uses to evaluate the performance of teachers includes student achievement outcomes or student growth data as an evaluation criterion?"

Response 7 – No, the State does not collect this data.

PROCESS AND TIMELINE:

(A) Describe the agency or agencies in the State responsible for the development, execution, and oversight of the plan, including the institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of those tasks;

The Rhode Island Educator Evaluation Standards indicator 1.3 states in part that "an educator's overall evaluation of effectiveness is primarily determined by evidence of impact on student growth and academic achievement." The SEA has outlined a plan to develop a model evaluation system and support LEAs in the adoption or adaptation of an evaluation system that will meet the expectations of the Rhode Island Educator Evaluation Standards, including indicator 1.3. Four urban districts working in conjunction with an AFT innovation grant are simultaneously working to develop an evaluation system that will also need to meet the expectations of the Rhode Island Educator Evaluation Standards.

LEAs will implement evaluation systems beginning in the 2010-2011 academic year. As part of the reporting and approval process, LEAs will need to demonstrate that their evaluation system meets the expectation of Educator Evaluation Standard 1.3. LEA evaluation systems that meet the expectations of the Educator Evaluation Standards will receive SEA approval and begin to undergo regular monitoring of the evaluation system. Evaluation systems that do not meet required expectations will not be approved and such LEAs will be required to develop a revised action plan to identify necessary actions meet all requirements. LEAs with an approved

evaluation system will be required to assure on an annual basis that changes have not been made to the system or provide revised materials to the SEA for review and approval. The SEA will maintain and update evaluation system information on the State website on at least an annual basis or as LEAs revise and/or receive approval for their evaluation systems.

(B) Describe the agency or agencies, institutions, or organizations, if any, providing technical assistance or other support in the development, execution, and oversight of the plan, and describe the nature of such technical assistance or other support;

The SEA has developed the Educator Evaluation Standards internally with the support of a national consultant. The four urban districts are receiving funding from an AFT innovation grant. The SEA is exploring the option of engaging a national non-profit organization to support the development of a model evaluation system. The SEA will monitor the implementation of the standards, conduct the approval process for LEA evaluation systems, and monitor the annual reporting requirements.

(C) The nature and frequency of reports that the State will provide to the public regarding its progress in developing and implementing those means.

The Rhode Island Department of Elementary and Secondary Education maintains a robust public website at www.ride.ri.gov. It is the responsibility and requirement of every Department to provide content and timely information. A new website has been created to specifically provide the public with State Plan documents and reports on the progress. The easy to use menu system will direct the user to the historical and current progress related to the individual state plans, indexed by the Indicator or Descriptor, as well as the Plan itself. The site will provide for Public input via an existing email feedback system which will allow the user to subscribe and be automatically notified on any updates posted. A minimum of quarterly updates will be posted to the site, or as progress warrants. The reports will refer to an established work plan with milestones. The URL for the State Plans and Progress Reports website is www.ride.ri.gov/finance/funding/arra/SFSF_phaseII/reports.aspx

MILESTONES:

Enter the milestones that the State establishes toward developing and implementing the means to fully collect and/or publicly report (as required) the data or information; the date by which the State expects to reach each milestone; any obstacles that may prevent the State from developing and implementing those means by September 30, 2011, including but not limited to requirements and prohibitions of State law and policy;

Milestones	Date	Obstacles
Adopt Educator	12/3/2009	None - completed

Evaluation Standards		
Disseminate evaluation model	Spring/Summer 2010	Capacity in SEA and LEAs, student achievement data availability
LEAs provide description of evaluation system	Summer 2010	Capacity in LEAs to adapt or develop evaluation system
LEAs implement evaluation systems	Fall 2011	Capacity in LEAs to implement evaluation system
SEA monitors and reports on approved evaluation systems	Fall 2011 and ongoing	Capacity in SEA to monitor and report on approved evaluation systems

BUDGET:

Provide the overall budget for the development, execution, and oversight of the plan.
SEE CONSOLIDATED BUDGET AND FUNDING FOR ASSURANCE "A" ATTACHED

FUNDING:

Enter the amount of funds the State is using or will use to develop and implement those means, and whether the funds are or will be Federal, State, or local funds.

SEE CONSOLIDATED BUDGET AND FUNDING FOR ASSURANCE "A" ATTACHED

State Plan Document for
Plan Element: Indicator (a)(4)_____ADDRESSING: COLLECTION AND PUBLIC REPORTING

A State Plan will be submitted for each assurance indicator or descriptor under education reform areas ***(a), (c), and (d)*** for which the State is not able to fully collect or publicly report annually the required data or information (as indicated in Part 3A).

"Does the State collect, for each LEA in the State whose teachers receive performance ratings or levels through an evaluation system, the number and percentage of teachers rated at each performance rating or level?"

Response 7 – No, the State does not collect these data.

PROCESS AND TIMELINE:

(A) Describe the agency or agencies in the State responsible for the development, execution, and oversight of the plan, including the institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of those tasks;

The Rhode Island Educator Evaluation Standards indicator 1.1 states that an approved evaluation system "communicates a vision of effective educators and supports this description with clear, measurable expectations for performance that distinguish among highly effective, effective, minimally effective, and ineffective educators."

The SEA has outlined a plan to develop a model evaluation system and support LEAs in the adoption or adaptation of an evaluation system that will meet the expectations of the Rhode Island Educator Evaluation Standards, including indicator 1.1. Four urban districts working in conjunction with an AFT innovation grant are simultaneously working to develop an evaluation system that will also need to meet the expectations of the Rhode Island Educator Evaluation Standards.

LEAs will implement evaluation systems beginning in the 2010-2011 academic year. As part of the reporting and approval process, LEAs will need to demonstrate that their evaluation system meets the expectation of Educator Evaluation Standard 1.1. LEA evaluation systems that meet the expectations of the Educator Evaluation Standards will receive SEA approval and begin to undergo regular monitoring of the evaluation system. Evaluation systems that do not meet

required expectations will not be approved and such LEAs will be required to develop a revised action plan to identify necessary actions to meet all requirements. LEAs with an approved evaluation system will be required to assure on an annual basis that changes have not been made to the system or provide revised materials to the SEA for review and approval. The SEA will maintain and update evaluation system information on the State website on at least an annual basis or as LEAs revise and/or receive approval for their evaluation systems.

As part of the annual reporting process for approved evaluation systems, LEAs are required to provide the SEA with results from their evaluation system as to the performance ratings of all educators in the categories of highly effective, effective, minimally effective, and ineffective. This data must include the number and percentage of educators rated at each performance level, including numerators and denominators.

(B) Describe the agency or agencies, institutions, or organizations, if any, providing technical assistance or other support in the development, execution, and oversight of the plan, and describe the nature of such technical assistance or other support;

The SEA has developed the Educator Evaluation Standards internally with the support of a national consultant. The four urban districts are receiving funding from an AFT innovation grant. The SEA is exploring the option of engaging a national non-profit organization to support the development of a model evaluation system. The SEA will monitor the implementation of the standards, conduct the approval process for LEA evaluation systems, and monitor the annual reporting requirements.

(C) The nature and frequency of reports that the State will provide to the public regarding its progress in developing and implementing those means.

The Rhode Island Department of Elementary and Secondary Education maintains a robust public website at www.ride.ri.gov. It is the responsibility and requirement of every Department to provide content and timely information. A new website has been created to specifically provide the public with State Plan documents and reports on the progress. The easy to use menu system will direct the user to the historical and current progress related to the individual state plans, indexed by the Indicator or Descriptor, as well as the Plan itself. The site will provide for Public input via an existing email feedback system which will allow the user to subscribe and be automatically notified on any updates posted. A minimum of quarterly updates will be posted to the site, or as progress warrants. The reports will refer to an established work plan with milestones. The URL for the State Plans and Progress Reports website is www.ride.ri.gov/finance/funding/arra/SFSF_phaseII/reports.aspx

MILESTONES:

Enter the milestones that the State establishes toward developing and implementing the means to fully collect and/or publicly report (as required) the data or information; the date by which the State expects to reach each milestone; any obstacles that may prevent the State from developing and implementing those means by September 30, 2011, including but not limited to requirements and prohibitions of State law and policy;

Milestones	Date	Obstacles
Adopt Educator Evaluation Standards	12/3/2009	None - completed
Disseminate evaluation model	Spring/Summer 2010	Capacity in SEA and LEAs, student achievement data availability
LEAs provide description of evaluation system	Summer 2010	Capacity in LEAs to adapt or develop evaluation system
LEAs implement evaluation systems	Fall 2011	Capacity in LEAs to implement evaluation system
SEA monitors and reports on approved evaluation systems	Fall 2011 and ongoing	Capacity in SEA to monitor and report on approved evaluation systems
SEA collects and posts performance ratings of educators	September 2011	Capacity in LEAs and LEA evaluation systems to collect performance ratings and capacity in SEA to collect and post performance ratings.

BUDGET:

Provide the overall budget for the development, execution, and oversight of the plan.
SEE CONSOLIDATED BUDGET AND FUNDING FOR ASSURANCE "A" ATTACHED

FUNDING:

Enter the amount of funds the State is using or will use to develop and implement those means, and whether the funds are or will be Federal, State, or local funds.
SEE CONSOLIDATED BUDGET AND FUNDING FOR ASSURANCE "A" ATTACHED

State Plan Document for
Plan Element: Indicator (a)(5)_____ADDRESSING: COLLECTION AND PUBLIC REPORTING

A State Plan will be submitted for each assurance indicator or descriptor under education reform areas ***(a), (c), and (d)*** for which the State is not able to fully collect or publicly report annually the required data or information (as indicated in Part 3A).

"Does the State collect, for each LEA in the State whose teachers receive performance ratings or levels through an evaluation system, the number and percentage (including numerator and denominator) of teachers rated at each performance rating or level?"

Response 7 – No, the State does not collect these data.

PROCESS AND TIMELINE:

(A) Describe the agency or agencies in the State responsible for the development, execution, and oversight of the plan, including the institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of those tasks;

The Rhode Island Educator Evaluation Standards indicator 1.1 states that an approved evaluation system "communicates a vision of effective educators and supports this description with clear, measurable expectations forperformance that distinguish among highly effective, effective, mini mally effective, and ineffective educators."

The SEA has outlined a plan to develop a model evaluation system and support LEAs in the adoption or adaptation of an evaluation system that will meet the expectations of the Rhode Island Educator Evaluation Standards, including indicator 1.1. Four urban districts working in conjunction with an AFT innovation grant are simultaneously working to develop an evaluation system that will also need to meet the expectations of the Rhode Island Educator Evaluation Standards.

LEAs will implement evaluation systems beginning in the 2010-2011 academic year. As part of the reporting and approval process, LEAs will need to demonstrate that their evaluation system meets the expectation of Educator Evaluation Standard 1.1. LEA evaluation systems that meet the expectations of the Educator Evaluation Standards will receive SEA approval and begin to undergo regular monitoring of the evaluation system. Evaluation systems that do not meet required expectations will not be approved and such LEAs will be required to develop a revised

action plan to identify necessary actions to meet all requirements. LEAs with an approved evaluation system will be required to assure on an annual basis that changes have not been made to the system or provide revised materials to the SEA for review and approval. The SEA will maintain and update evaluation system information on the State website on at least an annual basis or as LEAs revise and/or receive approval for their evaluation systems.

As part of the annual reporting process for approved evaluation systems, LEAs are required to provide the SEA with results from their evaluation system as to the performance ratings of all educators in the categories of highly effective, effective, minimally effective, and ineffective. This data must include the number and percentage of educators rated at each performance level, including numerators and denominators.

(B) Describe the agency or agencies, institutions, or organizations, if any, providing technical assistance or other support in the development, execution, and oversight of the plan, and describe the nature of such technical assistance or other support;

The SEA has developed the Educator Evaluation Standards internally with the support of a national consultant. The four urban districts are receiving funding from an AFT innovation grant. The SEA is exploring the option of engaging a national non-profit organization to support the development of a model evaluation system. The SEA will monitor the implementation of the standards, conduct the approval process for LEA evaluation systems, and monitor the annual reporting requirements.

(C) The nature and frequency of reports that the State will provide to the public regarding its progress in developing and implementing those means.

The Rhode Island Department of Elementary and Secondary Education maintains a robust public website at www.ride.ri.gov. It is the responsibility and requirement of every Department to provide content and timely information. A new website has been created to specifically provide the public with State Plan documents and reports on the progress. The easy to use menu system will direct the user to the historical and current progress related to the individual state plans, indexed by the Indicator or Descriptor, as well as the Plan itself. The site will provide for Public input via an existing email feedback system which will allow the user to subscribe and be automatically notified on any updates posted. A minimum of quarterly updates will be posted to the site, or as progress warrants. The reports will refer to an established work plan with milestones. The URL for the State Plans and Progress Reports website is www.ride.ri.gov/finance/funding/arra/SFSF_phaseII/reports.aspx

MILESTONES:

Enter the milestones that the State establishes toward developing and implementing the means to fully collect and/or publicly report (as required) the data or information; the date by which the State expects to reach each milestone; any obstacles that may prevent the State from developing and implementing those means by September 30, 2011, including but not limited to requirements and prohibitions of State law and policy;

Milestones	Date	Obstacles
Adopt Educator Evaluation Standards	12/3/2009	None - completed
Disseminate evaluation model	Spring/Summer 2010	Capacity in SEA and LEAs, student achievement data availability
LEAs provide description of evaluation system	Summer 2010	Capacity in LEAs to adapt or develop evaluation system
LEAs implement evaluation systems	Fall 2011	Capacity in LEAs to implement evaluation system
SEA monitors and reports on approved evaluation systems	Fall 2011 and ongoing	Capacity in SEA to monitor and report on approved evaluation systems
SEA collects and posts performance ratings of educators	September 2011	Capacity in LEAs and LEA evaluation systems to collect performance ratings and capacity in SEA to collect and post performance ratings.

BUDGET:

Provide the overall budget for the development, execution, and oversight of the plan.
SEE CONSOLIDATED BUDGET AND FUNDING FOR ASSURANCE "A" ATTACHED

FUNDING:

Enter the amount of funds the State is using or will use to develop and implement those means, and whether the funds are or will be Federal, State, or local funds.
SEE CONSOLIDATED BUDGET AND FUNDING FOR ASSURANCE "A" ATTACHED

State Plan Document for
Plan Element: Descriptor (a)(2)_____ADDRESSING: COLLECTION AND PUBLIC REPORTING

A State Plan will be submitted for each assurance indicator or descriptor under education reform areas ***(a), (c), and (d)*** for which the State is not able to fully collect or publicly report annually the required data or information (as indicated in Part 3A).

"Does the State collect a description of the system each LEA uses to evaluate the performance of principals?"

Response 7 – No, the State does not collect this information.

"Does the State collect a description of the manner in which each LEA uses the results of the evaluation systems described above related to the performance of principals in decisions regarding principal development, compensation, promotion, retention, and removal?"

Response 14 – No, the State does not collect this data.

PROCESS AND TIMELINE:

(A) Describe the agency or agencies in the State responsible for the development, execution, and oversight of the plan, including the institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of those tasks;

The SEA has developed, and the Board of Regents for Elementary and Secondary Education has approved, Educator Evaluation Standards which require that each LEA shall develop an evaluation system that:

- establishes a common understanding of expectations for educator quality within the district;
- emphasizes the professional growth and continuous improvement of individual educators, including principals;
- creates an organizational approach to the collective professional growth and continuous improvement of all groups of educators, including principals, to support district goals;
- provides quality assurance for the performance of all district educators, including principals;
- assures fair, accurate, and consistent evaluations; and

- provides district educators a role in guiding the ongoing system development in response to systematic feedback and changing district needs.

The Educator Evaluation Standards require that all approved evaluation systems include multiple measures, integrate student achievement and growth data, provide communication and training for evaluators and those to be evaluated, and require that evaluation results are used to retain, develop, support, compensate, and remove educators, including teachers, support professionals, and principals.

Work in the state to ensure all LEAs will have approved evaluation systems is ongoing. The SEA is developing a model evaluation system that LEAs may select to adopt and adapt. A joint grant-funded effort is underway between four urban districts and the AFT to develop an evaluation system that will be reviewed using the Educator Evaluation Standards. The SEA may allow LEAs that petition to develop a local system this option as long as the resulting system will be consistent with the state standards.

The SEA has developed a timeline for implementation of the Educator Evaluation Standards and approval of local systems. The timeline specifies that the SEA will work with all LEAs in the spring of 2010 to disseminate information about the Educator Evaluation Standards, a model evaluation system, and guidance for implementation for fall 2010. The SEA will support and monitor districts during the initial implementation of evaluation systems in the 2010-2011 academic year. The SEA will begin evaluating the implementation of the systems in 2010 - 2011 for necessary revisions to meet final approval.

As part of the implementation of the Educator Evaluation Standards, the SEA will require all LEAs to provide a description of their evaluation system, key evaluation tools used in the evaluation system, and representative materials used to communicate with and train evaluators and those to be evaluated. LEA evaluation systems that meet the expectations of the Educator Evaluation Standards will receive SEA approval and begin to undergo regular monitoring of the evaluation system. Evaluation systems that do not meet required expectations will not be approved and such LEAs will be required to develop a revised action plan to identify necessary actions to meet all requirements. LEAs with an approved evaluation system will be required to assure on an annual basis that changes have not been made to the system or provide revised materials to the SEA for review and approval. The SEA will maintain and update evaluation system information on the State website on at least an annual basis or as LEAs revise and/or receive approval for their evaluation systems.

(B) Describe the agency or agencies, institutions, or organizations, if any, providing technical assistance or other support in the development, execution, and oversight of the plan, and describe the nature of such technical assistance or other support;

The SEA has developed the Educator Evaluation Standards internally with the support of a national consultant. The four urban districts are receiving funding from an AFT innovation grant.

The SEA is exploring the option of engaging a national non-profit organization to support the development of a model evaluation system. The SEA will monitor the implementation of the standards, conduct the approval process for LEA evaluation systems, and monitor the annual reporting requirements.

(C) The nature and frequency of reports that the State will provide to the public regarding its progress in developing and implementing those means.

The Rhode Island Department of Elementary and Secondary Education maintains a robust public website at www.ride.ri.gov. It is the responsibility and requirement of every Department to provide content and timely information. A new website has been created to specifically provide the public with State Plan documents and reports on the progress. The easy to use menu system will direct the user to the historical and current progress related to the individual state plans, indexed by the Indicator or Descriptor, as well as the Plan itself. The site will provide for Public input via an existing email feedback system which will allow the user to subscribe and be automatically notified on any updates posted. A minimum of quarterly updates will be posted to the site, or as progress warrants. The reports will refer to an established work plan with milestones. The URL for the State Plans and Progress Reports website is www.ride.ri.gov/finance/funding/arra/SFSF_phaseII/reports.aspx

MILESTONES:

Enter the milestones that the State establishes toward developing and implementing the means to fully collect and/or publicly report (as required) the data or information; the date by which the State expects to reach each milestone; any obstacles that may prevent the State from developing and implementing those means by September 30, 2011, including but not limited to requirements and prohibitions of State law and policy;

Milestones	Date	Obstacles
Adopt Educator Evaluation Standards	12/3/2009	None - completed
Disseminate evaluation model	Spring/Summer 2010	Capacity in SEA and LEAs, student achievement data availability
LEAs provide description of evaluation system	Summer 2010	Capacity in LEAs to adapt or develop evaluation system
LEAs implement evaluation systems	Fall 2011	Capacity in LEAs to implement evaluation system

SEA monitors and reports on approved evaluation systems	Fall 2011 and ongoing	Capacity in SEA to monitor and report on approved evaluation systems

BUDGET:

Provide the overall budget for the development, execution, and oversight of the plan.

SEE CONSOLIDATED BUDGET AND FUNDING FOR ASSURANCE "A" ATTACHED

FUNDING:

Enter the amount of funds the State is using or will use to develop and implement those means, and whether the funds are or will be Federal, State, or local funds.

SEE CONSOLIDATED BUDGET AND FUNDING FOR ASSURANCE "A" ATTACHED

State Plan Document for
Plan Element: Indicator(a)(6)_____ADDRESSING: COLLECTION AND PUBLIC REPORTING

A State Plan will be submitted for each assurance indicator or descriptor under education reform areas ***(a), (c), and (d)*** for which the State is not able to fully collect or publicly report annually the required data or information (as indicated in Part 3A).

"Does the State request information on whether the system each LEA uses to evaluate the performance of principals includes student achievement outcomes or student growth data as an evaluation criterion?"

Response 7 – No, the State does not collect this data.

PROCESS AND TIMELINE:

(A) Describe the agency or agencies in the State responsible for the development, execution, and oversight of the plan, including the institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of those tasks;

The Rhode Island Educator Evaluation Standards indicator 1.3 states in part that "an educator's overall evaluation of effectiveness is primarily determined by evidence of impact on student growth and academic achievement." The State uses the term "educators" to indicate all teachers, support professionals, and administrators, including principals. The SEA has outlined a plan to develop a model evaluation system and support LEAs in the adoption or adaptation of an evaluation system that will meet the expectations of the Rhode Island Educator Evaluation Standards, including indicator 1.3. Four urban districts working in conjunction with an AFT innovation grant are simultaneously working to develop an evaluation system that will also need to meet the expectations of the Rhode Island Educator Evaluation Standards.

LEAs will implement evaluation systems beginning in the 2010-2011 academic year. As part of the reporting and approval process, LEAs will need to demonstrate that their evaluation system meets the expectation of Educator Evaluation Standard 1.3. LEA evaluation systems that meet the expectations of the Educator Evaluation Standards will receive SEA approval and begin to undergo regular monitoring of the evaluation system. Evaluation systems that do not meet required expectations will not be approved and such LEAs will be required to develop a revised

action plan to identify necessary actions to meet all requirements. LEAs with an approved evaluation system will be required to assure on an annual basis that changes have not been made to the system or provide revised materials to the SEA for review and approval. The SEA will maintain and update evaluation system information on the State website on at least an annual basis or as LEAs revise and/or receive approval for their evaluation systems.

(B) Describe the agency or agencies, institutions, or organizations, if any, providing technical assistance or other support in the development, execution, and oversight of the plan, and describe the nature of such technical assistance or other support;

The SEA has developed the Educator Evaluation Standards internally with the support of a national consultant. The four urban districts are receiving funding from an AFT innovation grant. The SEA is exploring the option of engaging a national non-profit organization to support the development of a model evaluation system. The SEA will monitor the implementation of the standards, conduct the approval process for LEA evaluation systems, and monitor the annual reporting requirements.

(C) The nature and frequency of reports that the State will provide to the public regarding its progress in developing and implementing those means.

The Rhode Island Department of Elementary and Secondary Education maintains a robust public website at www.ride.ri.gov. It is the responsibility and requirement of every Department to provide content and timely information. A new website has been created to specifically provide the public with State Plan documents and reports on the progress. The easy to use menu system will direct the user to the historical and current progress related to the individual state plans, indexed by the Indicator or Descriptor, as well as the Plan itself. The site will provide for Public input via an existing email feedback system which will allow the user to subscribe and be automatically notified on any updates posted. A minimum of quarterly updates will be posted to the site, or as progress warrants. The reports will refer to an established work plan with milestones. The URL for the State Plans and Progress Reports website is www.ride.ri.gov/finance/funding/arra/SFSF_phaseII/reports.aspx

MILESTONES:

Enter the milestones that the State establishes toward developing and implementing the means to fully collect and/or publicly report (as required) the data or information; the date by which the State expects to reach each milestone; any obstacles that may prevent the State from developing and implementing those means by September 30, 2011, including but not limited to requirements and prohibitions of State law and policy;

Milestones	Date	Obstacles
Adopt Educator Evaluation Standards	12/3/2009	None - completed
Disseminate evaluation model	Spring/Summer 2010	Capacity in SEA and LEAs, student achievement data availability
LEAs provide description of evaluation system	Summer 2010	Capacity in LEAs to adapt or develop evaluation system
LEAs implement evaluation systems	Fall 2011	Capacity in LEAs to implement evaluation system
SEA monitors and reports on approved evaluation systems	Fall 2011 and ongoing	Capacity in SEA to monitor and report on approved evaluation systems
SEA collects and posts performance ratings of educators	September 2011	Capacity in LEAs and LEA evaluation systems to collect performance ratings and capacity in SEA to collect and post performance ratings.

BUDGET:

Provide the overall budget for the development, execution, and oversight of the plan.
SEE CONSOLIDATED BUDGET AND FUNDING FOR ASSURANCE "A" ATTACHED

FUNDING:

Enter the amount of funds the State is using or will use to develop and implement those means, and whether the funds are or will be Federal, State, or local funds.
SEE CONSOLIDATED BUDGET AND FUNDING FOR ASSURANCE "A" ATTACHED

State Plan Document for

Plan Element: Indicator (a)(7)_____ADDRESSING: COLLECTION AND PUBLIC REPORTING

A State Plan will be submitted for each assurance indicator or descriptor under education reform areas ***(a), (c), and (d)*** for which the State is not able to fully collect or publicly report annually the required data or information (as indicated in Part 3A).

"Does the State collect, for each LEA in the State whose principals receive performance ratings or levels through an evaluation system, the number and percentage of principals rated at each performance rating or level?"

Response 7 – No, the State does not collect these data.

PROCESS AND TIMELINE:

(A) Describe the agency or agencies in the State responsible for the development, execution, and oversight of the plan, including the institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of those tasks;

The Rhode Island Educator Evaluation Standards indicator 1.1 states that an approved evaluation system "communicates a vision of effective educators and supports this description with clear, measurable expectations for performance that distinguish among highly effective, effective, minimally effective, and ineffective educators."

The State uses the term "educators" to indicate all teachers, support professionals, and administrators, including principals. The SEA has outlined a plan to develop a model evaluation system and support LEAs in the adoption or adaptation of an evaluation system that will meet the expectations of the Rhode Island Educator Evaluation Standards, including indicator 1.1. Four urban districts working in conjunction with an AFT innovation grant are simultaneously working to develop an evaluation system that will also need to meet the expectations of the Rhode Island Educator Evaluation Standards.

LEAs will implement evaluation systems beginning in the 2010-2011 academic year. As part of the reporting and approval process, LEAs will need to demonstrate that their evaluation system meets the expectation of Educator Evaluation Standard 1.1. LEA evaluation systems that meet the expectations of the Educator Evaluation Standards will receive SEA approval and begin to undergo regular monitoring of the evaluation system. Evaluation systems that do not meet

required expectations will not be approved and such LEAs will be required to develop a revised action plan to identify necessary actions to meet all requirements. LEAs with an approved evaluation system will be required to assure on an annual basis that changes have not been made to the system or provide revised materials to the SEA for review and approval. The SEA will maintain and update evaluation system information on the State website on at least an annual basis or as LEAs revise and/or receive approval for their evaluation systems.

As part of the annual reporting process for approved evaluation systems, LEAs are required to provide the SEA with results from their evaluation system as to the performance ratings of all educators in the categories of highly effective, effective, minimally effective, and ineffective. This data must include the number and percentage of educators rated at each performance level, including numerators and denominators.

(B) Describe the agency or agencies, institutions, or organizations, if any, providing technical assistance or other support in the development, execution, and oversight of the plan, and describe the nature of such technical assistance or other support;

The SEA has developed the Educator Evaluation Standards internally with the support of a national consultant. The four urban districts are receiving funding from an AFT innovation grant. The SEA is exploring the option of engaging a national non-profit organization to support the development of a model evaluation system. The SEA will monitor the implementation of the standards, conduct the approval process for LEA evaluation systems, and monitor the annual reporting requirements.

(C) The nature and frequency of reports that the State will provide to the public regarding its progress in developing and implementing those means.

The Rhode Island Department of Elementary and Secondary Education maintains a robust public website at www.ride.ri.gov. It is the responsibility and requirement of every Department to provide content and timely information. A new website has been created to specifically provide the public with State Plan documents and reports on the progress. The easy to use menu system will direct the user to the historical and current progress related to the individual state plans, indexed by the Indicator or Descriptor, as well as the Plan itself. The site will provide for Public input via an existing email feedback system which will allow the user to subscribe and be automatically notified on any updates posted. A minimum of quarterly updates will be posted to the site, or as progress warrants. The reports will refer to an established work plan with milestones. The URL for the State Plans and Progress Reports website is www.ride.ri.gov/finance/funding/arra/SFSF_phaseII/reports.aspx

MILESTONES:

Enter the milestones that the State establishes toward developing and implementing the means to fully collect and/or publicly report (as required) the data or information; the date by which the State expects to reach each milestone; any obstacles that may prevent the State from developing and implementing those means by September 30, 2011, including but not limited to requirements and prohibitions of State law and policy;

Milestones	Date	Obstacles
Adopt Educator Evaluation Standards	12/3/2009	None - completed
Disseminate evaluation model	Spring/Summer 2010	Capacity in SEA and LEAs, student achievement data availability
LEAs provide description of evaluation system	Summer 2010	Capacity in LEAs to adapt or develop evaluation system
LEAs implement evaluation systems	Fall 2011	Capacity in LEAs to implement evaluation system
SEA monitors and reports on approved evaluation systems	Fall 2011 and ongoing	Capacity in SEA to monitor and report on approved evaluation systems
SEA collects and posts performance ratings of educators	September 2011	Capacity in LEAs and LEA evaluation systems to collect performance ratings and capacity in SEA to collect and post performance ratings.

BUDGET:

Provide the overall budget for the development, execution, and oversight of the plan.
SEE CONSOLIDATED BUDGET AND FUNDING FOR ASSURANCE "A" ATTACHED

FUNDING:

Enter the amount of funds the State is using or will use to develop and implement those means, and whether the funds are or will be Federal, State, or local funds.
SEE CONSOLIDATED BUDGET AND FUNDING FOR ASSURANCE "A" ATTACHED

CONSOLIDATED BUDGET AND FUNDING FOR ASSURANCE "A"

BUDGET:

Provide the overall budget for the development, execution, and oversight of the plan[3]. This is a budget estimate that represents the scope of work internally and externally. Year one concentrates on internal work and years two and three rely more on external consultants. RIDE has recently issued an RFP for the evaluation piece. The footnote is very important. RIDE will go forth with this work even if our application for Race to the Top is not successful. We will have to revise (scale down) the scope of work and decrease the pace of the work, but the work will be done.

	A	B	C	D	Descriptor (a)(1) & (2) Evaluation System	Indicator (a)(3) & (6) Student Achievement	Indicator (a)(4),(5) & (7) Performance Levels
Expense Category	**FY10 Expenses**	**FY11 Expenses**	**FY12 Expenses**	**Total Budget**	**88.00%**	**4.00%**	**8.00%**
1. Personnel	925,000	925,000	925,000	2,775,000	2,442,000	111,000	222,000
2. Consultants	525,000	1,525,000	1,000,000	3,050,000	2,684,000	122,000	244,000
3. Operations	30,000	30,000	30,000	90,000	79,200	3,600	7,200
				0			
Subtotal	1,480,000	2,480,000	1,955,000	5,915,000	5,205,200	236,600	473,200
4. Equipment	20,000	220,000	220,000	460,000	404,800	18,400	36,800
5. Aid	0	200,000	1,500,000	1,700,000	1,496,000	68,000	136,000
Subtotal	20,000	420,000	1,720,000	2,160,000	1,900,800	86,400	172,800
Total Direct Cost	1,500,000	2,900,000	3,675,000	8,075,000	7,106,000	323,000	646,000
Total Indirect Cost	159,740	159,740	159,740	479,220	415,031	22,755	41,435
Total Budget	**1,659,740**	**3,059,740**	**3,834,740**	**8,554,220**	**7,521,031**	**345,755**	**687,435**

FUNDING:

Enter the amount of funds the State is using or will use to develop and implement those means, and whether the funds are or will be Federal, State, or local funds.

[3] This is a preliminary budget. These figures will be updated as the work has been performed and as the expenses have been incurred.

Funding Amount	Source	Comment
$1,000,000.00	Federal	Title IIA funds to support personnel and consultant expenses
$1,600,000.00	State	State funds to support personnel, consultant expenses, operations, and equipment
$ 5,954,220.00	RTTT	Federal funds to support personnel and consultant expenses, and aid to LEAs

Rhode Island Department of Elementary and Secondary Education

State Fiscal Stabilization Fund (SFSF) Phase II Application

State Plan Document for

Plan Element: Indicator (c)(11) and (c) (12) _ADDRESSING: COLLECTION AND PUBLIC REPORTING

A State Plan will be submitted for each assurance indicator or descriptor under education reform areas ***(a), (c), and (d)*** for which the State is not able to fully collect or publicly report annually the required data or information (as indicated in Part 3A).

PROCESS AND TIMELINE:

(A) Describe the agency or agencies in the State responsible for the development, execution, and oversight of the plan, including the institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of those tasks;

The Rhode Island Department of Education (RIDE) has finalized a statewide contract with the National Student Clearinghouse. RIDE will submit to the Clearinghouse lists ("Request Files") of persons in order to obtain data on their enrollment status and educational achievements at postsecondary educational institutions. RIDE agrees to format and submit Request Files in accordance with Clearinghouse published specifications. The fee for the services is equal to $6,917.00 for the initial year in the contract period, which is based on a rate of $0.70 per high school graduate multiplied by 9,881, the number of graduates as reported in NCES's Digest of Education Statistics. For future years, the fee will be calculated by multiplying $0.70 by the number of high school graduates as reported by NCES. In addition, RIDE will have completed by September 2012 information on students that will link high education data and K-12 data as part of the 2008 SLDS grant.

(B) Describe the agency or agencies, institutions, or organizations, if any, providing technical assistance or other support in the development, execution, and oversight of the plan, and describe the nature of such technical assistance or other support;

The Clearinghouse provides a nationwide, central repository of information on the enrollment status and educational achievements of postsecondary students. Participating educational institutions submit to the Clearinghouse information on the enrollment statuses of all of their students and listings of the alumni to whom they have awarded degrees or certificates. They appoint the Clearinghouse as their agent for purposes of reporting student information to authorized recipients. NSC anticipates that its new version of Student

Tracker, to be unveiled in 2011, will enable our state to track students by subgroup. In addition, RIDE will have completed by September 2012 information on students that will link high education data and K-12 data as part of the 2008 SLDS grant.

(C) The nature and frequency of reports that the State will provide to the public regarding its progress in developing and implementing those means.

Reports shall be posted quarterly on an SLDS website (to be developed). These reports will contain information about the status of Rhode Island's progress in meeting these indicators and will include, as applicable, white papers and data stories to help the public understand the significance of the integrated information. In addition, the National Student Clearinghouse will provide annual reports to the state.

MILESTONES:

Enter the milestones that the State establishes toward developing and implementing the means to fully collect and/or publicly report (as required) the data or information; the date by which the State expects to reach each milestone; any obstacles that may prevent the State from developing and implementing those means by September 30, 2011, including but not limited to requirements and prohibitions of State law and policy;

Milestones	Date	Obstacles
Track all high school students	3/30/10	
Track all students by subgroup	9/30/11	NSC does not currently collect student data by subgroup
Linking higher ed and K-12 data	September 2010	

BUDGET:

Provide the overall budget for the development, execution, and oversight of the plan.

	A	B	C	D	E
Expense Category	FY09-10 Expenses	FY10 Expenses	FY11 Expenses		Total Budget
1. Personnel					
2. Consultants	6,917	6,917	6,917		20,751
3. In-State Travel					
Total	6,917	6,917	6,917		20,751

FUNDING:

Enter the amount of funds the State is using or will use to develop and implement those means, and whether the funds are or will be Federal, State, or local funds.

Funding Amount	Source	Comment
20,751	federal	

PUBLIC REPORTING AND PROGRESS REPORTS:

The Rhode Island Department of Elementary and Secondary Education maintains a robust public website at www.ride.ri.gov. It is the responsibility and requirement of every Department to provide content and timely information. A new website has been created to specifically provide the public with State Plan documents and reports on the progress. The easy to use menu system will direct the user to the historical and current progress related to the individual state plans, indexed by the Indicator or Descriptor, as well as the Plan itself. The site will provide for Public input via an existing email feedback system which will allow the user to subscribe and be automatically notified on any updates posted. A minimum of quarterly updates will be posted to the site, or as progress warrants. The reports will refer to an established work plan with milestones. The URL for the State Plans and Progress Reports website is www.ride.ri.gov/finance/funding/arra/SFSF_phaseII/reports.aspx

II. INDICATOR (b)(1)

Plan Element Verification: Please mark which elements, per the instructions in Part 1, must be addressed in your state plan:

COMPETES Element	Must be addressed in plan	Does not need to be addressed in plan
1		X
2		X
3		X
4	X	
5		X
6		X
7		X
8		X
9	X	
10	X	
11	X	
12	X	

State Plan Document for

Plan Element: Indicator (b)(1)_____ADDRESSING: #4, 9, 10, 11, AND 12 OF STATEWIDE LONGITUDINAL DATA SYSTEM

Rhode Island does not have a statewide longitudinal data system that fully includes all 12 elements of the America COMPETES Act, as addressed in indicator **(b)(1).** This plan provides the process and timeline for developing and implementing, as soon as possible, but no later than September 30, 2011, a statewide longitudinal data system that includes all 12 elements of the America COMPETES Act.

PROCESS AND TIMELINE:

(A) Describe the agency or agencies in the State responsible for the development, execution, and oversight of the plan, including the institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of those tasks;

The Rhode Island Department of Elementary and Secondary Education's (RIDE) longitudinal data vision is evolving from a seamless, student-centered K-12 system that is designed to guarantee universality of process, data, and use to a more extensive and easy-to-use construct that supports the tracking of student information from birth to the workplace (PK- 20+) to determine post-secondary outcomes. RIDE's goal is to accomplish this in the following iterative phases:

1) K-16 data combined with the Departments of Human Services (DHS), Health (DOH), Children Youth and Families (DCYF), Mental Health and Retardation (MHRH), and Juvenile Justice = PK-16 data;

2) PK-16 Education, Health, Disability, Medicaid, Transitional Assistance data with the Adult Ed, Career & Tech, Labor & Training, Workforce data = PK-20+ data;

3) Rhode Island PK-20+ data with other New England States' PK-20+ data = NE:PK-20+ data; NOTE: RIDE and CT, VT, NH, & ME have initiated SLDS data sharing work ; and

4) NE:PK-20+ data with the remaining US states' PK20+ data = US-PK-20+data.

Project Management of this grant will be located in the Commissioner's Office. An updated organizational structure, reflecting the Commissioner's five priorities, was unveiled in early

December 2009 and will propose the newly created position of Chief Knowledge Officer (CKO). This person will be responsible for coordination of all RIDE data initiatives. This individual will also be responsible for designing and implementing a data governance model within the Department of Education, developing protocols for sharing data with all state agencies and working with the PK-16 Council to ensure cooperation and coordination across the state's education pipeline. The CKO will ultimately be accountable for the outcomes proposed in this application. The CKO, as a direct report to the commissioner, is also accountable to the Board of Regents for Elementary and Secondary Education. **Project Team Members** are representatives from stakeholder organizations and individual RIDE offices who will work with the project manager to accomplish the steps necessary for a successful implementation and achievement of the anticipated benefits. Team members are responsible to the project manager for satisfactorily completing assigned tasks. External Team members include:

Charles Miller, RI Higher Education Assistance Authority: Chief Information Officer
Kathy Sisson, RI Higher Education Assistance Authority: ***Way to Go RI*** Program Manager
Rebecca Lee, Providence Plan: DataHub Project Director
Jim Lucht, Providence Plan: DataHub Research Specialist
Deborah Grossman-Garber, Office of Higher Education: Deputy Commissioner
Hersh Cristino, Pawtucket Public Schools: Teacher Technology Coordinator
New England Secondary Schools Consortium, Data Committee Members
Michael Grady, Annenberg Institute for School Reform: Research Collaborative Member

RIDE Staff Committed to the Project:
Chief Knowledge Officer, Office of the Commissioner: (To be hired) will serve as Project Manager.
Ed Giroux, Information Technology Director: will coordinate RIDE IT staff in support of this project.
Joe Guido, Technology Consultant: will provide project management support to the Chief Knowledge Officer
Peg Votta, Research Specialist, Office of the Commissioner: will work with the Research Collaborative to develop training initiatives and determine a multi-focused longitudinal research agenda and will be the state liaison to the districts of Pawtucket and Central Falls.
Lisa Foehr, Teacher Quality Specialist: will help ensure that the Performance Management System aligns with teacher and leadership standards and will coordinate user testing of Rhode Island teachers and administrators.
Robert Metcalfe, Office of Middle and High School Reform: will serve as Liaison to the Providence Plan and manage scheduling across state agencies.
Roy Seitsinger, Director of the Office of Middle and High School Reform: will work with the higher education agencies to ensure coordination of higher education and K-12 initiatives.
Van Yidana, Office of Assessment: will provide psychometric support in development of a growth model

(B) Describe the agency or agencies, institutions, or organizations, if any, providing technical assistance or other support in the development, execution, and oversight of the plan, and describe the nature of such technical assistance or other support;

When expanding the data capacity in existing K-12 systems to include other educational data, a SLDS must identify the entities responsible for the operation of the statewide data system and should include a common understanding of data ownership, data management, and data confidentiality and access, as well as means by which to resolve differences among partners.

All prior SLDS initiatives occurred in isolation in that each agency developed its data system to address its data needs. To ensure uniform understanding of responsibility for operation of a multi-agency data initiative, a common understanding of data ownership, data management and data confidentiality and access, the governing body of this project is the Governor's PK-16 Council. Staff hired for this project will report to the PK-16 Council. Disputes will be settled by the PK-16 Council. Though the Project Manager and Chief Knowledge Officer will attend to day-to-day implementation of the project, the PK-16 Council will advise all activities. Two cross-agency work groups will meet monthly to work through cross-agency issues. One work group concerns the vertical linkages (pk-12, higher education and the work force). Partners of the vertical link work include the Office of Higher Education, the Department of Labor and Training, the RI School Counselors Association and the Rhode Island Higher Education Assistance Authority. The other work group concerns the horizontal linking of state agencies (Health; Children, Youth and Families; Mental Health and Retardation, Family Court). Partners in this work include the agencies listed above as well as the Providence Plan, a local non-profit organization that is housing the cross-state agency data repository.

(C) The nature and frequency of reports that the State will provide to the public regarding its progress in developing and implementing those means.

Reports shall be posted quarterly on an SLDS website (to be developed). These reports will contain information about the status of Rhode Island's progress in meeting these indicators and will include, as applicable, white papers and data stories to help the public understand the significance of the integrated information.

See PUBLIC REPORTING AND PROGRESS REPORTS below.

Indicator (b)(1) The State should clarify which steps address each needed COMPETES element.

Milestone	Date	Obstacle	America Competes Element
Student-level information about the points at which students exit, transfer in, transfer out, drop out, or complete PK–16 education programs	information is completed for k-12; higher education student-level information will be implemented by June 30, 2011	none	#3
The capacity to communicate with higher education data systems	6/30/11	Higher Education infrastructure	#4
Student-level transcript information, including information about courses completed and grades earned	Project is under way. Completion for grade 9-12 transcript by September 30, 2010; k-8 transcript completed by 9/30/10	No common transcript template for k-8.	#9
Data that provide information regarding the extent to which students transition successfully from secondary school to postsecondary education, including whether students enroll in remedial coursework	student-level information will be implemented by June 30, 2011	Higher Education infrastructure	#11

The State should also indicate how it plans to overcome stated obstacles and any obstacles that are statutory or may require special attention.

Milestone: Track all students by Subgroup

Obstacle: NSC does not currently collect student data by subgroup

Means to Overcome Obstacle: RIDE has formalized its statewide contract with the National Student Clearinghouse. Thought the NSC does not collect student data by subgroup, their data formats allow RIDE to attach each student's state assigned student identifier to the student record. As they match our data with their national data, they will submit to us college data with the state identifier attached. RIDE will then be able to merge this data into our data warehouse to enable the state to look at college-level data by subgroup.

Milestone: The capacity to communicate with higher education data systems

Obstacle: Higher Education Infrastructure

Means to Overcome Obstacle: SLDS Grant Outcome 4: Provide Infrastructure for higher education data warehouse. RIDE has proposed in its current SLDS grant request to fund the development of a Rhode Island infrastructure to store higher education data.

The three higher education institutions have different student information systems and there is not a central repository at the Office of Higher Education (OHE). OHE has contracted with Northeastern University to provide research and analyses but structurally, the Northeastern system does not have the capacity to add additional data to its analyses system. Moreover, OHE cannot do ad hoc analyses as questions arise. The Rhode Island Higher Education Assistance Authority (RIHEAA), on the other hand, has the capacity and the willingness to collect and store centralized higher education data. RIHEAA has signed on as a full partner in this initiative.

Milestone: Student-level transcript information, including information about courses completed and grades earned

Obstacle: No common transcript template for k-8.

Means to Overcome Obstacle: SLDS Grant Outcome 4: RIDE has begun the process of creating a universal e-Transcript for high schools. As part of a currently funded SLDS grant, the k-8 transcript work will begin upon completion of the high school transcript. Given the state's move to the Common Core, there is increased interest in pursuing a standards-based transcript at the k-8 levels.

America Competes Element 12: Data that provide other information determined necessary to address alignment and adequate preparation for success in postsecondary education.

For COMPETES element 12, what info is the State seeking?

Rhode Island proposes a Modernized Teacher Information and Performance Management Solution that:

- Links teacher preparation, assignment, compensation, advancement and tenure;
- Links performance management with program approvals.
- Includes a modern database architecture and a web portal infrastructure that:
- Interoperates with the RIDE Data Warehouse;

- Assesses teacher effectiveness, teacher certification program effectiveness, professional development effectiveness, and the teacher evaluation system effectiveness.

BUDGET:

Provide the overall budget for the development, execution, and oversight of the plan.

	2009-2010	2010	2011	Total
Contractual	$1,280,000	$1,455,000	$1,125,000	$3,860,000
Equipment	$138,700	$0	$0	$138,700
Travel	$6,500	$6,500	$4,500	$17,500
Meetings	$24,000	$29,500	$29,500	$83,000
Training	$7,000	$3,500	$3,500	$14,000
Supplies	$8,000	$8,000	$8,000	$24,000
Software	$25,000	$0	$0	$25,000
Totals	$1,489,200	$1,502,500	$1,170,500	$4,162,200
Indirect 10.4%	$154,877	$156,260	$121,732	$432,869
Training Stipends	$42,000	$21,000	$21,000	$84,000

FUNDING:

Enter the amount of funds the State is using or will use to develop and implement those means, and whether the funds are or will be Federal, State, or local funds.

Funding Amount	Source	Comment
4,667,933	federal	
702,779	state	In-kind staff, infrastructure, supplies and equipment

PUBLIC REPORTING AND PROGRESS REPORTS:

Describe the way the State will publicly report the plan and the State's progress reports on its plan, including the nature and frequency of updated reports to the public on State actions taken under the plan and the website where the State will make the plan and progress reports publicly available (as defined in the Notice of Final Requirements, Definitions, and Approval Criteria for the SFSF Phase II).

The Rhode Island Department of Elementary and Secondary Education maintains a robust public website at www.ride.ri.gov. It is the responsibility and requirement of every Department to provide content and timely information. A new website has been created to specifically provide

the public with State Plan documents and reports on the progress. The easy to use menu system will direct the user to the historical and current progress related to the individual state plans, indexed by the Indicator or Descriptor, as well as the Plan itself. The site will provide for Public input via an existing email feedback system which will allow the user to subscribe and be automatically notified on any updates posted. A minimum of quarterly updates will be posted to the site, or as progress warrants. The reports will refer to an established work plan with milestones. The URL for the State Plans and Progress Reports website is www.ride.ri.gov/finance/funding/arra/SFSF_phaseII/reports.aspx

III. INDICATOR (b)(2) NOT APPLICABLE ☒

Instructions: If (as indicated in **Part 3A, Indicator (b)(2)**) the State does not provide student growth data on their current students and the students they taught in the previous year to, at a minimum, teachers of reading/language arts and mathematics in grades in which the State administers assessments in those subjects, in a manner that is timely and informs instructional programs, please attach a plan that provides:

X NOT APPLICABLE

IV. INDICATOR (b)(3)

As indicated in **Part 3A, Indicator (b)(3)**), the State does not provide teachers of reading/language arts and mathematics in grades in which the State administers assessments in those subjects with reports of individual teacher impact on student achievement. Attached is the State Plan for Indicator (b)(3)

State Plan Document for

Plan Element: Indicator (b)(3)_____ADDRESSING: INFORMATION TO TEACHERS

Rhode Island does not provide teachers of reading/language arts and mathematics in grades in which the State administers assessments in those subjects with reports of individual teacher impact on student achievement.

PROCESS AND TIMELINE:

(A) Describe the agency or agencies in the State responsible for the development, execution, and oversight of the plan, including the institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of those tasks;

The Rhode Island Educator Evaluation Standards indicator 1.3 states in part that "reading and math educator's overall evaluation of effectiveness is primarily determined by evidence of impact on student growth and academic achievement." The SEA has outlined a plan to develop a model evaluation system and support LEAs in the adoption or adaptation of an evaluation system that will meet the expectations of the Rhode Island Educator Evaluation Standards, including indicator 1.3.

As a part of the model evaluation system, the SEA will develop a growth model designed to provide reports of individual teacher impact data. The Office of Instruction, Assessment, and Accountability has taken the lead on the development of this growth model and to date promising models have been researched and identified.

The Office of Instruction, Assessment, and Accountability will work closely with the Office of Educator Quality in the development and implementation of the growth model.

(B) Describe the agency or agencies, institutions, or organizations, if any, providing technical assistance or other support in the development, execution, and oversight of the plan, and describe the nature of such technical assistance or other support;

The SEA will develop the growth model internally with the support of a national consultant. The SEA will conduct the pilot study, analyze results of the pilot study, and disseminate reports to educators statewide.

The SEA is exploring the option of engaging external consultants to develop an online reporting platform to enable educators to easily access the growth data.

(C) The nature and frequency of reports that the State will provide to the public regarding its progress in developing and implementing those means. Reports shall be posted quarterly on an SLDS website (to be developed). These reports will contain information about the status of Rhode Island's progress in meeting these indicators and will include, as applicable, white papers and data stories to help the public understand the significance of the integrated information.

The Rhode Island Department of Elementary and Secondary Education maintains a robust public website at www.ride.ri.gov. It is the responsibility and requirement of every Department to provide content and timely information. A new website has been created to specifically provide the public with State Plan documents and reports on the progress. The easy to use menu system will direct the user to the historical and current progress related to the individual state plans, indexed by the Indicator or Descriptor, as well as the Plan itself. The site will provide for Public input via an existing email feedback system which will allow the user to subscribe and be automatically notified on any updates posted. A minimum of quarterly updates will be posted to the site, or as progress warrants. The reports will refer to an established work plan with milestones. The URL for the State Plans and Progress Reports website is www.ride.ri.gov/finance/funding/arra/SFSF_phaseII/reports.aspx

MILESTONES:

Enter the milestones that the State establishes toward developing and implementing the means to fully collect and/or publicly report (as required) the data or information; the date by which the State expects to reach each milestone; any obstacles that may prevent the State from developing and implementing those means by September 30, 2011, including but not limited to requirements and prohibitions of State law and policy;

Milestones	Date	Obstacles
Explore various growth models including value added and student growth percentile models	Spring 2010	None
Model specification including using RIDE data to test model(s)	Spring 2010	Student achievement data availability
Conduct pilot study	May 2010	None
Analyze results of pilot study	Summer 2010	None

Develop online data reporting platform to enable users to access data analysis tools	Summer 2010	Funding, SEA capacity.
Statewide implementation	Fall 2010	None

BUDGET:

Provide the overall budget for the development, execution, and oversight of the plan. RIDE will go forth with this work even if our application for Race to the Top is not successful. We will have to revise (scale down) the scope of work and decrease the pace of the work, but the work will be done.

	A	B	C	D	E
Expense Category	FY09-10 Expenses	FY10 Expenses	FY11 Expenses		Total Budget
1. Personnel		$100,000.00	$100,000.00		$200,000.00
2. Consultants		$200,000.00	$200,000.00		$400,000.00
3. In-State Travel					
4. Out-0f State Travel					
5. Printing					
6. Office Expense					
7. Telephone					
8. Educational Materials					
9. Equipment					
10. Data Processing					
11. Rental					
12. Software-License/Support fees		$50,000.00	$50,000.00		$100,000.00
Subtotal		**$350,000.00**	**$350,000.00**		**$700,000.00**
Indirect Cost					
Total		**$350,000.00**	**$350,000.00**		**$700,000.00**

FUNDING:

Enter the amount of funds the State is using or will use to develop and implement those means, and whether the funds are or will be Federal, State, or local funds.

Funding Amount	Source	Comment
$250,000.00	Federal	RTTT
$450,000.00	State	

V. INDICATORS (c)(11) AND (c)(12)

Plan Element Verification: Please check only the boxes that apply in the following chart to indicate which elements must be addressed in this section of your state plan:

Element	Not Applicable: The State will develop and implement the means to collect and publicly report the data (Complete Plan in Section I).	Applicable: The State will develop but not implement the means to collect and publicly report the data (Complete Plan in this section).
Indicator (c)(11)	X	
Indicator (c)(12)	X	

PART 3C-- GENERAL REQUIREMENTS

(1) Describe the processes the State employs to review and verify the required data and other information on the indicators and descriptors.

RIDE has an experienced team to review and verify the required data and other information on the indicators and descriptors as described in the application. This team is led by the Chief Knowledge Officer.

- DATA TEAM: This team is led by RIDE's Director of Network and Information Services, Ed Giroux. Ed and his team are responsible for providing the information on all of the indicators and descriptors, ensuring that the website links are correct, and writing comprehensive state plans for needed indicators. Members of this team are very knowledgeable about state education data and work collectively in submitting the required data.

  Offices/Team Members:

  Network and Information Systems:

  Ed Giroux, Director

  Ken Gu, Senior Data Systems Administrator

  Assessment & Accountability:

  Van Yidana, Accountability Specialist

  Ana Karantonis, Education Specialist

  Educator Quality:

  Andre Audette, Education Specialist

- RACE TO THE TOP TEAM: This team is responsible for cross walking the data submitted for the SFSF application with the corresponding indicators of the Race to the Top grant application. This team consisted of Mary Ann Snider, Director of Assessment & Accountability, and Sherry Chen, consultant.

- GRANTS MANAGEMENT TEAM: This team is led by Deborah Marisi, Federal Grants Coordinator.

(2) Describe the processes the State employs to ensure that, consistent with 34 CFR 99.31(b), the required data and other information are not made publicly available in a manner that personally identifies students, where applicable.

The RI Department of Education has an established policy that ensures released data does not personally identify students, as restricted in the Family Educational Rights and Privacy Act (FERPA). All of RIDE's publicly posted reports adhere to programmed rules whereby RIDE safeguards privacy of information related to small groups with fewer than 10 students by inserting 'blanks' or '*' in all public reports to indicate student group has too few students for evaluation or inclusion; thereby eliminating

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State Fiscal Stabilization Phase II

When submitting the Phase II application, please provide the indicator or descriptor the State has amended.

Indicator/Descriptor	Date Amended
Indicator (b)(1)	March 22, 2010 April 16, 2010
Indicator (b)(1)- plan element verification	April 16, 2010
Indicator (b)(1)- State Plan Element	April 16, 2010
Indicator (c)(5)	April 16, 2010
Indicator (c)(8)	April 16, 2010
Indicator (c)(9)	March 22, 2010 April 16, 2010 April 23, 2010
Indicator (c)(10)	March 22, 2010 April 16, 2010
Indicator (c)(12)	March 22, 2010
Descriptor (d)(1)	March 22, 2010 April 16, 2010
Indicator (d)(3)	March 22, 2010 April 16, 2010 April 23, 2010
Indicator (d)(4)	March 22, 2010 April 16, 2010 April 23, 2010
Indicator (d)(5)	March 22, 2010 April 16, 2010 April 23, 2010
Indicator (d)(6)	March 22, 2010 April 16, 2010 April 23, 2010
Assurance A – budget	March 22, 2010
Assurance – indicator (b)(3)	March 22, 2010
Part 3C	March 22, 2010