

**Application for Funding  
for Phase II of the Education Fund under the  
State Fiscal Stabilization Fund Program**

**CFDA Number: 84.394**



**U.S. Department of Education**

**Washington, D.C. 20202**

**OMB Number: 1810-0695  
Expiration Date: 05/31/2010**

**Paperwork Burden Statement**

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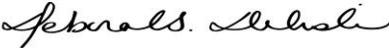
**NOTE: OMB Control Number forthcoming.**

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**STATE FISCAL STABILIZATION FUND PHASE II APPLICATION  
PART 1: APPLICATION COVER SHEET**

**(CFDA No. 84.394)**

<p>Legal Name of Applicant (Office of the Governor): Governor Ted Strickland</p>	<p>Applicant's Mailing Address: Office of the Governor 77 South High Street, 30<sup>th</sup> Floor Columbus, Ohio 43215</p>
<p>State Contact for the Education Stabilization Fund</p> <p>Name: John Childs</p> <p>Position and Office: Chief Financial Officer</p> <p>Contact's Mailing Address: Ohio Department of Education 25 South Front Street, G01 Columbus, Ohio 43215</p> <p>Telephone: 614-466-2791</p> <p>Fax: 614-728-5097</p> <p>E-mail address: <a href="mailto:john.childs@ode.state.oh.us">john.childs@ode.state.oh.us</a></p>	
<p>To the best of my knowledge and belief, all of the information and data in this application are true and correct.</p>	
<p>Governor or Authorized Representative of the Governor (Printed Name): Ted Strickland</p>	<p>Telephone: 614-466-3555</p>
<p>Signature of Governor or Authorized Representative of the Governor: </p>	<p>Date: 12/30/09</p>
<p>Recommended Statement of Support from the Chief State School Officer (<i>Optional</i>): The State educational agency will cooperate with the Governor in the implementation of the State Fiscal Stabilization Fund program.</p>	
<p>Chief State School Officer (Printed Name): Deborah S. Delisle</p>	<p>Telephone: 614-995-1985</p>
<p>Signature of the Chief State School Officer: </p>	<p>Date: 12/30/09</p>

Form Approved OMB Number: 1810-0695; Expiration Date: 05/31/2010

## PART 2A: UPDATE OF MAINTENANCE-OF-EFFORT DATA

### SPECIAL NOTES:

- In the SFSF Phase I Application, States were required to submit MOE data. The Department is requesting that States reaffirm these data for Phase II, and in particular, to update FY 2009 data to actual levels of State support.
- *For further information, see Appendix D – Instructions for Part 2: Maintenance of Effort.*

**1. Levels of State support for elementary and secondary education** (*the amounts may reflect the levels of State support on either an aggregate basis or a per-student basis*):

**FY 2006**      **\$ 7,505,633,924**

**FY 2009\***      **\$ 8,001,790,927**

**FY 2010\***      **\$ 7,590,336,909**

**FY 2011\***      **\$ 7,533,890,415**

(\* Provide data to the extent that data are currently available.)

**2. Levels of State support for public institutions of higher education** (*enter amounts for each year*):

**FY 2006**      **\$ 1,744,783,738**

**FY 2009**      **\$ 2,028,425,989**

**FY 2010\***      **\$ 1,778,565,127**

**FY 2011\***      **\$ 1,779,636,491**

(\* Provide data to the extent that data are currently available.)

**3. Additional Submission Requirements:** In an attachment to the application –

- (a) Identify and describe the data sources used in determining the levels of State support for elementary and secondary education; - and –

The data sources for maintenance of effort (MOE) include funding supplements distributed broadly to Ohio's primary local educational providers, which include school districts, charter schools, joint vocational school districts, county boards of mental retardation and developmental disabilities, department of youth service institutions, and educational service centers. Funding supplements for FY09 and before include base cost per pupil funding, special education weighted funding, career-technical education funding, poverty-based assistance, gifted unit funding, and transportation funding. Also included is guarantee funding, parity aid funding to help equalize funding to districts, and supplements to help ensure that districts have enough funding to meet their locally required contribution or that no district is contributing too much for their locally required contribution. The funding supplements outlined so far that are provided to school districts and charter schools are proposed to be replaced in FY 2010 - FY 2011 with a new Evidence-Based Model. The components of the Evidence-Based Model address the same needs as the funding components in the previous system, but do so through a series of new formulae. Other funding supplements included in the maintenance of effort calculation are:

- funding to partially reimburse districts for particularly high-cost special education students, as well as to reimburse districts for the costs of certain special education students who must be educated at home;
- special education transportation funding and preschool special education unit funding;
- funding that reimburses districts for tuition paid for students placed in the Department of Youth Service institutions but who did not generate funding for the district through the main formula;
- funding for the identification of gifted students;
- funding adjustments due to the property valuation changes after official certifications are made; and
- state payments in lieu of property taxes at the local level.

Ohio's MOE data for primary and secondary education are unchanged from its Phase I SFSF application. This is due to The Ohio Department of Education's process for finalizing LEA data when determining support levels for funding. The data are finalized after the close of the fiscal year, funding reconciliations are completed and any outstanding balances are paid to LEAs. The FY 2009 final expenditure data will be available in February 2010.

- (b) Identify and describe the data sources used in determining the levels of State support for public IHEs.

In determining the levels of state support for public IHEs, the State includes all General Revenue Fund (GRF) appropriation line items in the Ohio Board of Regents (OBR) budget for FY 2006 - FY 2011 that are considered to be “state support” as reported in the annual survey conducted by the State Higher Education Executive Officers (SHEEO) association. The State excludes line items that fund capital projects, research and development, or financial aid. For the years FY 2006, FY 2008 and FY 2009, the State uses actual spending amounts. In FY 2010 and FY 2011, the State uses estimated spending figures from the SFSF Phase I application. This is due, in part, to the linkage between the budgetary gaps in primary and secondary education and the funding gap in IHE funding. Once the primary and secondary MOE calculations referenced in part (a) above are completed, SFSF and state resources may be reallocated between the Ohio Department of Education and the Ohio Board of Regents in order to maintain MOE in both areas. These adjustments will be made pursuant to Sections 371.60.95 and 512.85 of Amended Substitute House Bill 1 (HB1) of the 128th Ohio General Assembly.<sup>1</sup>

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<sup>1</sup> Amended Substitute House Bill 1 (HB1) of the 128th Ohio General Assembly is Ohio's biennial budget for fiscal years 2010 (July 2009 - June 2010) and 2011 (July 2010 - June 2011), and it includes Governor Ted Strickland's education reform plan. It was signed into law in July 2009.

**PART 2B: ATTESTATION OF MAINTENANCE-OF-EFFORT COMPLIANCE**

**The Governor or his/her authorized representative attests to the following:**

To the best of his/her knowledge and based on the best available data, the State has met all maintenance-of-effort requirements for the State Fiscal Stabilization Program for FY 2009 (check all that apply):

- for elementary and secondary education.
- for public Institutions of Higher Education (IHEs).

Governor or Authorized Representative of the Governor (Printed Name): Ted Strickland	
Signature: 	Date: 12/30/09

If a State has not met or cannot meet MOE for either elementary and secondary education or public IHEs, or both, it must complete the following:

The State has not met all maintenance-of-effort requirements for the State Fiscal Stabilization Program for FY 2009 and

(check one):

- has already submitted a MOE Waiver Request to the US Department of Education.
- is submitting a MOE Waiver Request with this application package.

## PART 3A: ASSURANCE INDICATORS AND DESCRIPTORS

### Instructions

For each indicator and descriptor, please follow the specific directions in the boxes below. There are two basic types of elements: indicators and descriptors.

- An **indicator** requests a discrete response (e.g., a yes/no answer or short answer) about whether a State is collecting or publicly reporting certain information, as well as where the information can be found. Indicators that involve data already submitted by States to the Department through preexisting collections will only need to be confirmed. The Department will ask States to confirm whether or not these data are accurate and to verify public reporting of them. States need not submit the actual data for each indicator; rather, the data should be reported directly to the public per the application instructions.
- A **descriptor** asks about information which could be provided in a narrative response (e.g., about the development of a type of assessment or teacher evaluation system) about the progress or development of system elements. The Department of Education also asks whether information requested in descriptors is publicly reported. As with the indicators, States do not have to submit the actual descriptor information to the Department. Rather, the State must publicly report the information per the application instructions.

## Assurance (a): Achieving Equity in Teacher Distribution

A State must collect and publicly report data and other information on: (1) the extent that students in high- and low-poverty schools in the State have access to highly qualified teachers; (2) the extent that current strategies and efforts to address inequities in the distribution of inexperienced, unqualified, or out-of-field teachers; (3) how teacher and principal performance is evaluated and how performance ratings are used; and (4) the distribution of performance evaluation ratings or levels among teachers and principals.

<b>Indicator (a)(1)</b>	<b>Confirm, for the State, the number and percentage (including numerator and denominator) of core academic courses taught, in the highest-poverty and lowest-poverty schools, by teachers who are highly qualified consistent with section 9101(23) of the Elementary and Secondary Education Act of 1965, as amended (ESEA).</b>	
<p><b>Please respond (Yes or No):</b> Are the data related to this indicator at <a href="http://www.ed.gov/programs/statestabilization/indicator-a1.xls">http://www.ed.gov/programs/statestabilization/indicator-a1.xls</a> correct?</p> <p><sup>1</sup> <input checked="" type="checkbox"/> Yes, the data are correct.</p> <p><sup>2</sup> <input type="checkbox"/> No, the data are not correct.</p> <p>If checked, provide below or in an attachment the correct data and any supporting information. A URL linking to the correct data on the State's website is also sufficient:<sup>3</sup> <a href="#">Click here to enter text.</a></p> <p><b>Please respond (check only one):</b></p> <p><sup>4</sup> <input checked="" type="checkbox"/> The State makes the data <i>publicly available</i> and updates the data <i>annually</i> on a website.</p> <p>→ Provide the State website where the data are provided by the State to the public:<sup>5</sup></p> <p><a href="http://education.ohio.gov/GD/Templates/Pages/ODE/ODEDetail.aspx?page=3&amp;TopicRelationID=400&amp;ContentID=2635">http://education.ohio.gov/GD/Templates/Pages/ODE/ODEDetail.aspx?page=3&amp;TopicRelationID=400&amp;ContentID=2635</a> (Consolidated State Performance Reports for school years 2002-2003 through 2007-2008)</p> <p><a href="http://ilrc.ode.state.oh.us/">http://ilrc.ode.state.oh.us/</a> (Interactive Local Report Card, a.k.a. iLRC) – one section in particular:</p> <p><a href="http://ilrc.ode.state.oh.us/Power_Users.asp">http://ilrc.ode.state.oh.us/Power_Users.asp</a> (Power User Reports, where users can create customized reports pertaining to accountability measures for school years 1998-1999 through 2008-2009; Steps to generate Statewide HQT Reports: 1. select Begin, 2. select Teacher Data, 3. select Teacher Certification [State])</p>		

<sup>6</sup>  The State makes the data *publicly available* on a website but updates it *less than annually*.

➔ Provide the State’s plan for making the data publicly available and updating it annually on a website in Part 3B. Cite “Indicator (a)(1)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

Provide the State website where the most recently updated data are provided by the State to the public: <sup>7</sup> [Click here to enter text.](#)

<sup>8</sup>  The State does not make the data publicly available on a website.

➔ Provide the State’s plan for making the data publicly available and updating the data annually on a website in Part 3B. Cite “Indicator (a)(1)” in the Plan Element Verification chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

<b>Indicator (a)(2)</b>	<b>Confirm whether the State’s Teacher Equity Plan (as part of the State’s Highly Qualified Teacher Plan) fully reflects the steps the State is currently taking to ensure that students from low-income families and minority students are not taught at higher rates than other students by inexperienced, unqualified, or out-of-field teachers (as required in section 1111(b)(8)(C) of the ESEA).</b>	
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**Please respond (Yes or No):** Is the State’s Teacher Equity Plan located at <http://www.ed.gov/programs/teacherqual/hqtplans/index.html> correct?

Yes, the information is correct.

No, the information is not correct.

→ If checked, provide below or in an attachment the State’s most updated Teacher Equity Plan. A URL linking to the correct data on the State’s website is also sufficient:<sup>3</sup> [Click here to enter text.](#)

**Please respond (check only one):**

The State makes the information *publicly available* and updates the information *annually* on a website.

→ Provide the State website where the information is provided by the State to the public:<sup>5</sup>

<http://education.ohio.gov/GD/Templates/Pages/ODE/ODEDetail.aspx?page=3&TopicRelationID=850&ContentID=26037>

The State makes the information *publicly available* on a website but updates it *less than annually*.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 2B. Cite “Indicator (a)(2)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

→ Provide the State website where the most recently updated information is provided by the State to the public:<sup>7</sup> [Click here to enter text.](#)

The State does not make the information publicly available on a website.

→ Provide the State’s plan for making the information publicly available and updating the information annually on a website in Part 3B. Cite “Indicator (a)(2)” in the Plan Element Verification chart in Part 4B, Section I and mark both the Collection and Public Reporting columns.

<b>Descriptor (a)(1)</b>	<b>Describe, for each local educational agency (LEA) in the State, the systems used to evaluate the performance of teachers and the use of results from those systems in decisions regarding teacher development, compensation, promotion, retention, and removal.</b>
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**Please respond (check Yes or No):** Does the State collect a description of the system each LEA uses to evaluate the performance of teachers?

<sup>1</sup>  Yes, the State collects this information.

**If Yes, please respond (check one):**

<sup>2</sup>  The State makes the information *publicly available* and updates the information *at least annually* on a website.

➔ Provide the State website where the information is collected and publicly available:<sup>3</sup> [Click here to enter text.](#)

<sup>4</sup>  The State makes the information *publicly available* on a website and updates the information *less than annually*.

➔ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Descriptor (a)(1)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the most recently updated information are provided by the State to the public:  
<sup>5</sup> [Click here to enter text.](#)

<sup>6</sup>  The State does not make the information publicly available on a website.

➔ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Descriptor (a)(1)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>7</sup>  No, the State does not collect this information.

➔ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Descriptor (a)(1)” in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

**Please respond (check Yes or No):** Does the State collect a description of the manner in which each LEA uses the results of the evaluation systems described above related to the performance of teachers in decisions regarding teacher development, compensation, promotion, retention, and removal?

<sup>8</sup>  Yes, the State collects this information.

**If Yes, please respond (check one):**

<sup>9</sup>  The State makes the information *publicly available* and updates the information *at least annually* on a website.

→ Provide the State website where the information is collected and publicly available:<sup>10</sup> [Click here to enter text.](#)

<sup>11</sup>  The State makes the information *publicly available* on a website and updates the information *less than annually*.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Descriptor (a)(1)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

→ Provide the State website where the most recently updated information are provided by the State to the public:  
<sup>12</sup> [Click here to enter text.](#)

<sup>13</sup>  The State does not make the information publicly available on a website.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Descriptor (a)(1)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>14</sup>  No, the State does not collect this information.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Descriptor (a)(1)” in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

<b>Indicator (a)(3)</b>	<b>Indicate, for each LEA in the State, whether the systems used to evaluate the performance of teachers include student achievement outcomes or student growth data as an evaluation criterion.</b>
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**Please respond (check Yes or No):** Does the State request information on whether the system each LEA uses to evaluate the performance of teachers includes student achievement outcomes or student growth data as an evaluation criterion?

<sup>1</sup>  Yes, the State collects this information.

**If Yes, please respond (check one):**

<sup>2</sup>  The State makes the information *publicly available* and updates the information *at least annually* on a website.

→ Provide the State website where the information is collected and publicly available:<sup>3</sup> [Click here to enter text.](#)

<sup>4</sup>  The State makes the information *publicly available* on a website and updates the information *less than annually*.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (a)(3)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

→ Provide the State website where the most recently updated information is provided by the State to the public:  
<sup>5</sup> [Click here to enter text.](#)

<sup>6</sup>  The State does not make the information publicly available on a website.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (a)(3)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>7</sup>  No, the State does not collect this information.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (a)(3)” in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

<b>Indicator (a)(4)</b>	<b>Provide, for each LEA in the State whose teachers receive performance ratings or levels through an evaluation system, the number and percentage (including numerator and denominator) of teachers rated at each performance rating or level.</b>
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**Please respond (check Yes or No):** Does the State collect, for each LEA in the State whose teachers receive performance ratings or levels through an evaluation system, the number and percentage of teachers rated at each performance rating or level?

<sup>1</sup>  Yes, the State collects these data.

**If Yes, please respond (check one):**

<sup>2</sup>  The State makes the data *publicly available* and updates the data *at least annually* on a website.

➔ Provide the State website where the data are collected and publicly available:<sup>3</sup> [Click here to enter text.](#)

<sup>4</sup>  The State makes the data *publicly available* on a website and updates the data *less than annually*.

➔ Provide the State’s plan for making the data publicly available and updating the data annually on a website in Part 3B. Cite “Indicator (a)(4)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the most recently updated data are provided by the State to the public:  
<sup>5</sup> [Click here to enter text.](#)

<sup>6</sup>  The State does not make the data publicly available on a website.

➔ Provide the State’s plan for making the data publicly available and updating the data annually on a website in Part 3B. Cite “Indicator (a)(4)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>7</sup>  No, the State does not collect these data.

➔ Provide the State’s plan for making the data publicly available and updating the data annually on a website in Part 3B. Cite “Indicator (a)(4)” in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

<b>Indicator (a)(5)</b>	<b>Indicate, for each LEA in the State whose teachers receive performance ratings or levels through an evaluation system, whether the number and percentage (including numerator and denominator) of teachers rated at each performance rating or level are publicly reported for each school in the LEA.</b>
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**Please respond (check Yes or No):** Does the State collect, for each LEA in the State whose teachers receive performance ratings or levels through an evaluation system the number and percentage (including numerator and denominator) of teachers rated at each performance rating or level?

<sup>1</sup>  Yes, the State collects these data.

**If Yes, please respond (check one):**

<sup>2</sup>  The State makes the data *publicly available* and updates the data *at least annually* on a website.

➔ Provide the State website where the data are collected and publicly available:<sup>3</sup> [Click here to enter text.](#)

<sup>4</sup>  The State makes the data *publicly available* on a website and updates the data *less than annually*.

➔ Provide the State’s plan for making the data publicly available and updating the data annually on a website in Part 3B. Cite “Indicator (a)(5)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column. Provide the State website where the most recently updated data are provided by the State to the public:<sup>5</sup> [Click here to enter text.](#)

<sup>6</sup>  The State does not make the data publicly available on a website.

➔ Provide the State’s plan for making the data publicly available and updating the data annually on a website in Part 3B. Cite “Indicator (a)(5)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>7</sup>  No, the State does not collect these data.

➔ Provide the State’s plan for making the data publicly available and updating the data annually on a website in Part 3B. Cite “Indicator (a)(5)” in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

<b>Descriptor (a)(2)</b>	<b>Describe, for each LEA in the State, the systems used to evaluate the performance of principals and the use of results from those systems in decisions regarding principal development, compensation, promotion, retention, and removal.</b>
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**Please respond (check Yes or No):** Does the State collect a description of the system each LEA uses to evaluate the performance of principals?

<sup>1</sup>  Yes, the State collects this information.

**If Yes, please respond (check one):**

<sup>2</sup>  The State makes the information *publicly available* and updates it *at least annually* on a website.

➔ Provide the State website where the information is collected and publicly available:<sup>3</sup> [Click here to enter text.](#)

<sup>4</sup>  The State makes the information *publicly available* on a website and updates the information *less than annually*.

➔ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Descriptor (a)(2)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the most recently updated information is provided by the State to the public:<sup>5</sup> [Click here to enter text.](#)

<sup>6</sup>  The State does not make the information publicly available on a website.

➔ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Descriptor (a)(2)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>7</sup>  No, the State does not collect this information.

➔ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Descriptor (a)(2)” in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

**Please respond (check Yes or No):** Does the State collect a description of the manner in which each LEA uses the results of the evaluation systems described above related to the performance of principals in decisions regarding principal development, compensation, promotion, retention, and removal?

<sup>8</sup>  Yes, the State collects this information.

**If Yes, please respond (check one):**

<sup>9</sup>  The State makes the information *publicly available* and updates the information *at least annually* on a website.

→ Provide the State website where the information is collected and publicly available:<sup>10</sup> [Click here to enter text.](#)

<sup>11</sup>  The State makes the information *publicly available* on a website and updates the information *less than annually*.

→ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Descriptor (a)(2)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

→ Provide the State website where the most recently updated information are provided by the State to the public:  
<sup>12</sup> [Click here to enter text.](#)

<sup>13</sup>  The State does not make the information publicly available on a website.

→ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Descriptor (a)(2)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>14</sup>  No, the State does not collect this information.

→ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Descriptor (a)(2)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

Indicator (a)(6)	Indicate, for each LEA in the State, whether the systems used to evaluate the performance of principals include student achievement outcomes or student growth data as an evaluation criterion.
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**Please respond (check one):** Does the State collect information on whether the system each LEA uses to evaluate the performance of principals includes student achievement outcomes or student growth data as an evaluation criterion?

<sup>1</sup>  Yes, the State collects this information.

**If Yes, please respond (check one):**

<sup>2</sup>  The State makes the information *publicly available* and updates it *at least annually* on a website.

➔ Provide the State website where the information is collected and publicly available:<sup>3</sup> [Click here to enter text.](#)

<sup>4</sup>  The State makes the information *publicly available* on a website and updates it *less than annually*.

➔ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (a)(6)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the most recently updated information is provided by the State to the public:

<sup>5</sup> [Click here to enter text.](#)

<sup>6</sup>  The State does not make the information publicly available on a website.

➔ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (a)(6)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>7</sup>  No, the State does not collect this information.

➔ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (a)(6)” in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

Indicator (a)(7)	Provide, for each LEA in the State whose principals receive performance ratings or levels through an evaluation system, the number and percentage (including numerator and denominator) of principals rated at each performance rating or level.
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**Please respond (check one):** Does the State collect and publicly report, for each LEA in the State whose principals receive performance ratings or levels through an evaluation system, the number and percentage of principals rated at each performance rating or level?

<sup>1</sup>  Yes, the State collects these data.

**If Yes, please respond (check one):**

<sup>2</sup>  The State makes the data *publicly available* and updates the data *at least annually* on a website.

→ Provide the State website where the data are collected and publicly available:<sup>3</sup> [Click here to enter text.](#)

<sup>4</sup>  The State makes the data *publicly available* on a website and updates the data *less than annually*.

→ Provide the State’s plan for making the data publicly available and updating the data annually on a website in Part 3B. Cite “Indicator (a)(7)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

→ Provide the State website where the most recently updated data are provided by the State to the public:

<sup>5</sup> [Click here to enter text.](#)

<sup>6</sup>  The State does not make the data publicly available on a website.

→ Provide the State’s plan for making the data publicly available and updating the data annually on a website in Part 3B. Cite “Indicator (a)(7)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>7</sup>  No, the State does not collect these data.

→ Provide the State’s plan for making the data publicly available and updating the data annually on a website in Part 3B. Cite “Indicator (a)(7)” in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

## II. Assurance (b): Improving Collection and Use of Data

A State must collect and publicly report information on the elements of its statewide longitudinal data system, on whether teachers receive data on student growth in a manner that is timely and informs instructional programs, and on whether the State provides teachers with reports of individual teacher impact on student achievement.

Indicator (b)(1)	Indicate which of the 12 elements described in section 6401(e)(2)(D) of the America COMPETES Act are included in the State's statewide longitudinal data system.	
<p><b>Instructions:</b> Please indicate which of the 12 elements of the America COMPETES Act are included in the State's statewide longitudinal data system.</p> <p><b>Please respond (check Yes or No):</b> For pre-K through postsecondary education, does the State's statewide longitudinal data system include the following elements:</p> <p>(1) A unique statewide student identifier that does not permit a student to be individually identified by users of the system?</p> <p><input type="checkbox"/> Yes. <input checked="" type="checkbox"/> No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #1 in the Plan Element Verification Chart in Part 3B, Section II.</p> <p>(2) Student-level enrollment, demographic, and program participation information?</p> <p><input checked="" type="checkbox"/> Yes. <input type="checkbox"/> No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #2 in the Plan Element Verification Chart in Part 3B, Section II.</p> <p>(3) Student-level information about the points at which students exit, transfer in, transfer out, drop out, or complete pre-K through postsecondary education programs?</p> <p><input checked="" type="checkbox"/> Yes. <input type="checkbox"/> No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #3 in the Plan Element</p>		

Verification Chart in Part 3B, Section II.

4) The capacity to communicate with higher education data systems?

- Yes.  
 No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #4 in the Plan Element Verification Chart in Part 3B, Section II.

(5) An audit system assessing data quality, validity, and reliability?

- Yes.  
 No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #5 in the Plan Element Verification Chart in Part 3B, Section II.

**Please respond (check Yes or No):** For pre-K through grade 12 education, does the State's statewide longitudinal data system include the following elements:

(6) Yearly State assessment records of individual students?

- Yes.  
 No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #6 in the Plan Element Verification Chart in Part 3B, Section II.

(7) Information on students not tested, by grade and subject?

- Yes.  
 No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #7 in the Plan Element Verification Chart in Part 3B, Section II.

(8) A teacher identifier system with the ability to match teachers to students?

- Yes.  
 No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #8 in the Plan Element

Verification Chart in Part 3B, Section II.

(9) Student-level transcript information, including on courses completed and grades earned?

- Yes.  
 No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #9 in the Plan Element Verification Chart in Part 3B, Section II.

(10) Student-level college readiness test scores?

- Yes.  
 No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #10 in the Plan Element Verification Chart in Part 3B, Section II.

**Please respond (check Yes or No):** For postsecondary education, does the State's statewide longitudinal data system include the following elements:

(11) Information regarding the extent to which students transition successfully from secondary school to postsecondary education, including whether students enroll in remedial coursework?

- Yes.  
 No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #11 in the Plan Element Verification Chart in Part 3B, Section II.

(12) Other information determined necessary to address alignment and adequate preparation for success in postsecondary education?

- Yes.  
 No. Provide a plan for including this element in your statewide longitudinal data system in Part 3B. Cite #12 in the Plan Element Verification Chart in Part 3B, Section II.

<b>Indicator (b)(2)</b>	<b>Indicate whether the State provides student growth data on their current students and the students they taught in the previous year to, at a minimum, teachers of reading/language arts and mathematics in grades in which the State administers assessments in those subjects in a manner that is timely and informs instructional programs.</b>
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**Please respond (check Yes or No):** Does the State provide student growth data on their current students and the students they taught the previous year to, at a minimum, teachers of reading/language arts and mathematics in grades in which the State administers assessments in those subjects, in a manner that is timely and informs instructional programs?

Yes. You are not required to provide further information. In Part 3B, Section III, check “Not Applicable.”

No. Provide a plan for providing this information to teachers in Part 3B, Section III.

<b>Indicator (b)(3)</b>	<b>Indicate whether the State provides teachers of reading/language arts and mathematics in grades in which the State administers assessments in those subjects with reports of individual teacher impact on student achievement on those assessments.</b>
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**Please respond (check Yes or No):** Does the State provide teachers of reading/language arts and mathematics in grades in which the State administers assessments in those subjects with reports of individual teacher impact on student achievement on those assessments?

Yes. You are not required to provide further information. In Part 3B, Section IV, check “Not Applicable.”

No. Provide a plan for providing this information to teachers in Part 3B, Section IV.

### III. Assurance (c): Standards and Assessments

A State must collect and publicly report data and other information on whether students are provided high-quality State assessments; whether students with disabilities and limited English proficient students are included in State assessment systems; whether the State makes information available regarding student academic performance in the State compared to the academic performance of students in other States; and on the extent to which students graduate from high school in four years with a regular high school diploma and continue on to pursue a college education.

Indicator (c)(1)	Confirm the approval status, as determined by the Department, of the State's assessment system under section 1111(b)(3) of the ESEA with respect to reading/language arts, mathematics, and science assessments.	 Confirm 
<p><b>Please respond (check one):</b> Is the status of the Department's approval, available at <a href="http://www.ed.gov/programs/statestabilization/indicator-c1.xls">http://www.ed.gov/programs/statestabilization/indicator-c1.xls</a> correct?</p> <p><sup>1</sup> <input checked="" type="checkbox"/> Yes, the status is correct.</p> <p>→ <sup>2</sup> <input type="checkbox"/> No, the status is not correct. If checked, provide below or in an attachment the correct information and any other supporting information. A URL linking to the correct data on the State's website is also sufficient: <sup>3</sup> <a href="#">Click here to enter text.</a></p> <p><b>Please respond (check one):</b></p> <p><sup>4</sup> <input checked="" type="checkbox"/> The State makes the status information <i>publicly available</i> and keeps it <i>up-to-date</i> on a website.</p> <p>→ Provide the State website where the status is provided by the State to the public:<sup>5</sup></p> <p><a href="http://education.ohio.gov/GD/Templates/Pages/ODE/ODEDetail.aspx?Page=3&amp;TopicRelationID=285&amp;ContentID=76847">http://education.ohio.gov/GD/Templates/Pages/ODE/ODEDetail.aspx?Page=3&amp;TopicRelationID=285&amp;ContentID=76847</a></p> <p><sup>6</sup> <input type="checkbox"/> The State makes the status information <i>publicly available</i> on a website but <i>does not keep it up-to-date</i>.</p> <p>→ If checked, provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (c)(1)" in the Plan Element Verification chart in Part 3B, Section I and mark the Public Reporting column.</p> <p>→ Provide the State website where the most recently updated information is provided by the State to the public: <sup>7</sup> <a href="#">Click here to enter text.</a></p>		

<sup>8</sup>  The State does not make the status information publicly available on a website.

→ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (c)(1)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<b>Indicator (c)(2)</b>	<b>Confirm whether the State has developed and implemented valid and reliable alternate assessments for students with disabilities that are approved by the Department.</b>	
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**Please respond (Yes or No):** Is the information related to this indicator, available at <http://www.ed.gov/programs/statestabilization/indicator-c1.xls>, correct?

- <sup>1</sup>  Yes, the status is correct.
- <sup>2</sup>  No, the status is not correct. If checked, provide below or in an attachment the correct information and any other supporting information. A URL linking to the correct data on the State’s website is also sufficient: <sup>3</sup> [Click here to enter text.](#)

**Please respond (check one):**

- <sup>4</sup>  The State makes the status information *publicly available* and keeps it *up-to-date* on a website.
  - Provide the State website where the status is provided by the State to the public:<sup>5</sup>  
<http://education.ohio.gov/GD/Templates/Pages/ODE/ODEDetail.aspx?Page=3&TopicRelationID=285&ContentID=76847>
- <sup>6</sup>  The State makes the status information *publicly available* on a website and *does not keep it up-to-date*.
  - Provide the State’s plan for making the status publicly available and up-to-date on a website in Part 3B. Cite “Indicator (c)(2)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.
  - Provide the State website where the information is collected and publicly available:<sup>7</sup> [Click here to enter text.](#)
- <sup>8</sup>  The State does not make the status information publicly available on a website.
  - Provide the State’s plan for making the status publicly available and up-to-date on a website in Part 3B. Cite “Indicator (c)(2)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<b>Indicator (c)(3)</b>	<b>Confirm whether the State’s alternate assessments for students with disabilities, if approved by the Department, are based on grade-level, modified, or alternate academic achievement standards.</b>	 
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**Please respond (check one):** Is the information related to this indicator, available at <http://www.ed.gov/programs/statestabilization/indicator-cl.xls>, correct?

<sup>1</sup>  Yes, the information is correct.

<sup>2</sup>  No, the information is not correct.

➔ If checked, provide below or in an attachment the correct information and any other supporting information. A URL linking to the correct data on the State’s website is also sufficient: <sup>3</sup> [Click here to enter text.](#)

**Please respond (check one):**

<sup>4</sup>  The State makes the information *publicly available* and keeps it *up-to-date* on a website.

➔ Provide the State website where the information is collected and publicly available:<sup>5</sup>

<http://education.ohio.gov/GD/Templates/Pages/ODE/ODEDetail.aspx?page=3&TopicRelationID=976&ContentID=8695>  
 (“Ohio Alternate Assessment for Students with Disabilities Fact Sheet” and “Ohio Alternate Assessment for Students with Disabilities Administration Manual 2009-10”)

<sup>6</sup>  The State makes the information *publicly available* on a website but *does not keep it up-to-date*.

➔ Provide the State’s plan for making the information publicly available and up-to-date on a website in Part 3B. Cite “Indicator (c)(3)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the information is collected and publicly available:<sup>7</sup> [Click here to enter text.](#)

<sup>8</sup>  The State does not make the information publicly available on a website.

➔ Provide the State’s plan for making the information publicly available and up-to-date on a website in Part 3B. Cite “Indicator (c)(3)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<b>Indicator (c)(4)</b>	<b>Indicate whether the State has completed, within the last two years, an analysis of the appropriateness and effectiveness of the accommodations it provides students with disabilities to ensure their meaningful participation in State assessments.</b>
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**Please respond (check one):** Has the State, within the last two years, completed an analysis of the appropriateness and effectiveness of the accommodations it provides students with disabilities to ensure their meaningful participation in State assessments?

- <sup>1</sup>  Yes, this has been completed within the last two years.
- <sup>2</sup>  No, this has been completed, but it occurred more than two years ago.
- <sup>3</sup>  No, this has never been completed.

**Please respond (check one):**

- <sup>4</sup>  The State makes the information *publicly available* and keeps it *up-to-date* on a website.
  - ➔ Provide the State website where the information is collected and publicly available:<sup>5</sup> [Click here to enter text.](#)
- <sup>6</sup>  The State makes the information *publicly available* on a website but *does not keep it up-to-date*.
  - ➔ Provide the State’s plan for making the information publicly available and up-to-date on a website in Part 3B. Cite “Indicator (c)(4)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.
  - ➔ Provide the State website where the information is collected and publicly available:<sup>7</sup> [Click here to enter text.](#)
- <sup>8</sup>  The State does not make the information publicly available on a website.
  - ➔ Provide the State’s plan for making the information publicly available and up-to-date on a website in Part 3B. Cite “Indicator (c)(4)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<b>Indicator (c)(5)</b>	<b>Confirm the number and percentage (including numerator and denominator) of students with disabilities who are included in State reading/language arts and mathematics assessments.</b>	
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**Please respond (check one):** Can the State confirm that the number and percentage of students with disabilities who are included in State *reading/language arts* assessments, available at <http://www.ed.gov/programs/statestabilization/indicator-c5r.xls>, are correct?

<sup>1</sup>  Yes, the data are correct.

<sup>2</sup>  No, the data are not correct.

→ If checked, provide below or in an attachment the correct data and any supporting information. A URL linking to the correct data on the State’s website is also sufficient:

<sup>3</sup> [Click here to enter text.](#)

**Please respond (check one):**

<sup>4</sup>  The State makes the data relative to the inclusion of students with disabilities on State assessments in *reading/language arts publicly available* and keeps it *up-to-date* on a website.

→ Provide the State website where the data are collected and publicly available:<sup>5</sup>

<http://education.ohio.gov/GD/Templates/Pages/ODE/ODEDetail.aspx?page=3&TopicRelationID=400&ContentID=2635>  
(Consolidated State Performance Reports for school years 2002-2003 through 2007-2008)

<http://ilrc.ode.state.oh.us/> (Interactive Local Report Card, a.k.a. iLRC) – two sections in particular:

<http://ilrc.ode.state.oh.us/Downloads.asp> (Download Data, where users can download prebuilt files pertaining to accountability measures for school years 2000-2001 through 2008-2009)

[http://ilrc.ode.state.oh.us/Power\\_Users.asp](http://ilrc.ode.state.oh.us/Power_Users.asp) (Power User Reports, where users can create customized reports pertaining to accountability measures for school years 1998-1999 through 2008-2009)

<sup>6</sup>  The State makes the data relative to the inclusion of students with disabilities on State assessments in *reading/language arts publicly available* on a website but *does not keep it up-to-date*.

- Provide the State’s plan for making the data publicly available and up-to-date on a website in Part 3B. Cite “Indicator (c)(5)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.
- Provide the State website where the data are collected and publicly available:<sup>7</sup> [Click here to enter text.](#)

<sup>8</sup>  The State does not make the data relative to the inclusion of students with disabilities on State assessments in *reading/language arts publicly available* on a website.

- Provide the State’s plan for making the data publicly available and up-to-date on a website in Part 3B. Cite “Indicator (c)(5)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

**Please respond (check one):** Can the State confirm that the number and percentage of students with disabilities who are included in State *mathematics* assessments, available at <http://www.ed.gov/programs/statestabilization/indicator-c5m.xls>, are correct?

<sup>9</sup>  Yes, the data are correct.

<sup>10</sup>  No, the data are not correct.

- If checked, provide below or in an attachment the correct data and any supporting information. A URL linking to the correct data on the State’s website is also sufficient:

<sup>11</sup> [Click here to enter text.](#)

**Please respond (check one):**

<sup>12</sup>  The State makes the data relative to the inclusion of students with disabilities on State assessments in *mathematics publicly available* and keeps it *up-to-date* on a website.

- Provide the State website where the data are collected and publicly available:<sup>13</sup>

<http://education.ohio.gov/GD/Templates/Pages/ODE/ODEDetail.aspx?page=3&TopicRelationID=400&ContentID=2635>

(Consolidated State Performance Reports for school years 2002-2003 through 2007-2008)

<http://ilrc.ode.state.oh.us/> (Interactive Local Report Card, a.k.a. iLRC) – two sections in particular:

<http://ilrc.ode.state.oh.us/Downloads.asp> (Download Data, where users can download prebuilt files pertaining to accountability measures for school years 2000-2001 through 2008-2009)

[http://ilrc.ode.state.oh.us/Power\\_Users.asp](http://ilrc.ode.state.oh.us/Power_Users.asp) (Power User Reports, where users can create customized reports pertaining to

accountability measures for school years 1998-1999 through 2008-2009)

<sup>14</sup>  The State makes the data relative to the inclusion of students with disabilities on State assessments in *mathematics publicly available* on a website but *does not keep it up-to-date*.

- ➔ Provide the State’s plan for making the data publicly available and up-to-date on a website in Part 3B. Cite “Indicator (c)(5)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.
- ➔ Provide the State website where the data are collected and publicly available:<sup>15</sup> [Click here to enter text.](#)

<sup>16</sup>  The State does not make the data relative to the inclusion of students with disabilities on State assessments in *mathematics publicly available* on a website.

- ➔ Provide the State’s plan for making the data publicly available and up-to-date on a website in Part 3B. Cite “Indicator (c)(5)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<b>Indicator (c)(6)</b>	<b>Indicate whether the State has completed, within the last two years, an analysis of the appropriateness and effectiveness of the accommodations it provides limited English proficient students to ensure their meaningful participation in State assessments.</b>
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**Please respond (check one):** Has the State completed, within the last two years, an analysis of the appropriateness and effectiveness of the accommodations it provides limited English proficient students to ensure their meaningful participation in State assessments?

- <sup>1</sup> Yes, this was completed within the last two years.
- <sup>2</sup> No, this was completed more than two years ago.
- <sup>3</sup> No, this has never been completed.

**Please respond (check one):**

- <sup>4</sup> The State makes the information *publicly available* and keeps it *up-to-date* on a website.
  - ➔ Provide the State website where the information is collected and publicly available:<sup>5</sup> [Click here to enter text.](#)
- <sup>6</sup> The State makes the information *publicly available* on a website but *does not keep it up-to-date*.
  - ➔ Provide the State’s plan for making the information publicly available and up-to-date on a website in Part 3B. Cite “Indicator (c)(6)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.
  - ➔ Provide the State website where the information is collected and publicly available:<sup>7</sup> [Click here to enter text.](#)
- <sup>8</sup> The State does not make the information publicly available on a website.
  - ➔ Provide the State’s plan for making the information publicly available and up-to-date on a website in Part 3B. Cite “Indicator (c)(6)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<b>Indicator (c)(7)</b>	<b>Confirm whether the State provides native language versions of State assessments for limited English proficient students that are approved by the Department.</b>	 
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**Please respond (check one):** Is the information related to this indicator, available at <http://www.ed.gov/programs/statestabilization/indicator-c1.xls>, correct?

<sup>1</sup>  Yes, the information is correct.

<sup>2</sup>  No, the information is not correct.

→ If checked, provide below or in an attachment the correct information and any supporting information. A URL linking to the correct data on the State’s website is also sufficient:

<sup>3</sup> [Click here to enter text.](#)

**Please respond (check one):** Is the State’s current status available on the State’s website?

<sup>4</sup>  The State makes the information *publicly available* and keeps it *up-to-date* on a website.

→ Provide the State website where the information is collected and publicly available:<sup>5</sup>

<http://education.ohio.gov/GD/templates/Pages/ODE/ODEDetail.aspx?Page=3&TopicRelationID=285&ContentID=76847>

<sup>6</sup>  The State makes the information *publicly available* on a website but *does not keep it up-to-date*.

→ Provide the State’s plan for making the information publicly available and up-to-date on a website in Part 3B. Cite “Indicator (c)(7)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

→ Provide the State website where the information is collected and publicly available:<sup>7</sup> [Click here to enter text.](#)

<sup>8</sup>  The State does not make the information publicly available on a website.

→ Provide the State’s plan for making the information publicly available and up-to-date on a website in Part 3B. Cite “Indicator (c)(7)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<b>Indicator (c)(8)</b>	<b>Confirm the number and percentage (including numerator and denominator) of limited English proficient students who are included in State reading/language arts and mathematics assessments.</b>	
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**Please respond (check one):** Can the State confirm that the number and percentage of limited English proficient students who are included in State reading/language arts assessments, available at <http://www.ed.gov/programs/statestabilization/indicator-c8r.xls>, are correct?

<sup>1</sup>  Yes, the data are correct.

<sup>2</sup>  No, the data are not correct.

→ If checked, provide below or in an attachment the correct data and any supporting information. A URL linking to the correct data on the State’s website is also sufficient:

<sup>3</sup> [Click here to enter text.](#)

**Please respond (check one):**

<sup>4</sup>  The State makes the data relative to the inclusion of limited English proficient students on State assessments in *reading/language arts publicly available* and keeps it *up-to-date* on a website.

→ Provide the State website where the data are collected and publicly available:<sup>5</sup>

<http://education.ohio.gov/GD/Templates/Pages/ODE/ODEDetail.aspx?page=3&TopicRelationID=400&ContentID=2635>  
(Consolidated State Performance Reports for school years 2002-2003 through 2007-2008)

<http://ilrc.ode.state.oh.us/> (Interactive Local Report Card, a.k.a. iLRC) – two sections in particular:

<http://ilrc.ode.state.oh.us/Downloads.asp> (Download Data, where users can download prebuilt files pertaining to accountability measures for school years 2000-2001 through 2008-2009 [Note: Disaggregated data for LEP students are not available for school year 2000-2001.]

[http://ilrc.ode.state.oh.us/Power\\_Users.asp](http://ilrc.ode.state.oh.us/Power_Users.asp) (Power User Reports, where users can create customized reports pertaining to accountability measures for school years 1998-1999 through 2008-2009)

<sup>6</sup>  The State makes the data relative to the inclusion of limited English proficient students on State assessments in *reading/language arts* publicly available on a website but *does not keep it up-to-date*.

- ➔ Provide the State’s plan for making the data publicly available and up-to-date on a website in Part 3B. Cite “Indicator (c)(8)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.
- ➔ Provide the State website where the data are collected and publicly available:<sup>7</sup> [Click here to enter text.](#)

<sup>8</sup>  The State does not make the data relative to the inclusion of limited English proficient students on State assessments in *reading/language arts* publicly available on a website.

- ➔ Provide the State’s plan for making the data publicly available and up-to-date on a website in Part 3B. Cite “Indicator (c)(8)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

**Please respond (check one):** Can the State confirm that the number and percentage of limited English proficient students who are included in State *mathematics* assessments, available at <http://www.ed.gov/programs/statestabilization/indicator-c8m.xls>, are correct?

<sup>9</sup>  Yes, the data are correct.

<sup>10</sup>  No, the data are not correct.

- ➔ If checked, provide below or in an attachment the correct data and any supporting information. A URL linking to the correct data on the State’s website is also sufficient:

<sup>11</sup> [Click here to enter text.](#)

**Please respond (check one):**

<sup>12</sup>  The State makes the data relative to the inclusion of limited English proficient students on State assessments in *mathematics* publicly available and keeps it *up-to-date* on a website.

- ➔ Provide the State website where the data are collected and publicly available:<sup>13</sup>

<http://education.ohio.gov/GD/Templates/Pages/ODE/ODEDetail.aspx?page=3&TopicRelationID=400&ContentID=2635>  
(Consolidated State Performance Reports for school years 2002-2003 through 2007-2008)

<http://ilrc.ode.state.oh.us/> (Interactive Local Report Card, a.k.a. iLRC) – two sections in particular:

<http://ilrc.ode.state.oh.us/Downloads.asp> (Download Data, where users can download prebuilt files pertaining to accountability measures for school years 2000-2001 through 2008-2009 [Note: Disaggregated data for LEP students are not available for school year 2000-2001.]

[http://ilrc.ode.state.oh.us/Power\\_Users.asp](http://ilrc.ode.state.oh.us/Power_Users.asp) (Power User Reports, where users can create customized reports pertaining to accountability measures for school years 1998-1999 through 2008-2009)

<sup>14</sup>  The State makes the data relative to the inclusion of limited English proficient students on State assessments in *mathematics* publicly available on a website but *does not keep it up-to-date*.

- ➔ Provide the State’s plan for making the data publicly available and up-to-date on a website in Part 3B. Cite “Indicator (c)(8)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.
- ➔ Provide the State website where the data are collected and publicly available:<sup>15</sup> [Click here to enter text.](#)

<sup>16</sup>  The State does not make the data relative to the inclusion of limited English proficient students on State assessments in *mathematics* publicly available on a website.

- ➔ Provide the State’s plan for making the data publicly available and up-to-date on a website in Part 3B. Cite “Indicator (c)(8)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<b>Indicator (c)(9)</b>	<b>Confirm that the State’s annual State Report Card (under section 1111(h)(1) of the ESEA) contains the most recent available State reading and mathematics National Assessment of Educational Progress (NAEP) results as required by 34 CFR 200.11(c).</b>	
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**Please respond (check one):** Does the State Report Card include the most recent available State reading and math National Assessment of Educational Progress (NAEP) results?

Yes, the State Report Card includes this information.

No, the State Report Card does not include this information.

➔ If checked, please provide a plan for including this information on the State Report Card in Part 3B. Cite “Indicator (c)(9)” in the Plan Element Verification Chart in Part 3B, Section I, and mark the Public Reporting column.

**Please supply the following information:**

Please attach the State Report Card or provide the URL where the State Report Card is provided to the public:

<http://education.ohio.gov/GD/Templates/Pages/ODE/ODEDetail.aspx?page=3&TopicRelationID=115&ContentID=34744>  
(State Report Cards for school years 2002-2003 through 2008-2009)

<http://education.ohio.gov/GD/Templates/Pages/ODE/ODEDetail.aspx?Page=3&TopicRelationID=1069&ContentID=8395>  
(NAEP results for 2007 and 2009 assessments)

<b>Indicator (c)(10)</b>	<b>Provide, for the State, for each LEA in the State, for each high school in the State and, at each of these levels, by student subgroup (consistent with section 1111(b)(2)(C)(v)(II) of the ESEA), the number and percentage (including numerator and denominator) of students who graduate from high school using a four-year adjusted cohort graduation rate as required by 34 CFR 200.19(b)(1)(i).</b>
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**Please respond (check one):** Does the State collect these data (as defined in Indicator (c)(10))?

<sup>1</sup>  Yes, the State collects these data.

**If Yes, please respond (check one):**

<sup>2</sup>  The State makes the data *publicly available* and updates the data *at least annually* on a website.

➔ Provide the State website where the data are collected and publicly available:<sup>3</sup> [Click here to enter text.](#)

<sup>4</sup>  The State makes the data *publicly available* on a website and updates the data *less than annually*.

➔ Provide the State’s plan for making the data publicly available and updating it annually on a website in Part 3B. Cite “Indicator (c)(10)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the data are collected and publicly available:<sup>5</sup> [Click here to enter text.](#)

<sup>6</sup>  The State does not make the data publicly available on a website.

➔ Provide the State’s plan for making the data publicly available and updating it annually on a website in Part 3B. Cite “Indicator (c)(10)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>7</sup>  No, the State does not collect these data.

➔ Provide the State’s plan for making the data publicly available and updating it annually on a website in Part 3B. Cite “Indicator (c)(10)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Collection and Public Reporting column.

<b>Indicator (c)(11)</b>	<b>Provide, for the State, for each LEA in the State, for each high school in the State and, at each of these levels, by student subgroup (consistent with section 1111(b)(2)(C)(v)(II) of the ESEA), of the students who graduate from high school consistent with 34 CFR 200.19(b)(1)(i), the number and percentage (including numerator and denominator) who enroll in an institution of higher education (IHE) (as defined in section 101(a) of the Higher Education Act of 1965, as amended (HEA)) within 16 months of receiving a regular high school diploma.</b>
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**Please respond (check one):** Does the State collect these data (as defined in Indicator (c)(11))?

<sup>1</sup>  Yes, the State collects these data.

**If Yes, please respond (check one):**

<sup>2</sup>  The State makes the data *publicly available* and updates the data *at least annually* on a website.

➔ Provide the State website where the data are collected and publicly available:<sup>3</sup> [Click here to enter text.](#)

<sup>4</sup>  The State makes the data *publicly available* on a website and updates the data *less than annually*.

➔ Provide the State’s plan for making the data publicly available and updating it annually on a website in Part 3B. Mark the Public Reporting column next to “Indicator (c)(11)” in the Plan Element Verification Chart in Part 3B, Section I.

➔ Provide the State website where the data are collected and publicly available:<sup>5</sup> [Click here to enter text.](#)

<sup>6</sup>  The State does not make the data publicly available on a website.

➔ Provide the State’s plan for making the data publicly available and updating it annually on a website in Part 3B. Mark the Public Reporting column next to “Indicator (c)(11)” in the Plan Element Verification Chart in Part 3B, Section I.

<sup>7</sup>  No, the State does not collect these data.

**If No, please respond (check one):**

The State will develop and implement the means to collect and publicly report the data (i.e., the State will collect and publicly report the data) by September 30, 2011.

→ Provide the State's plan for collecting, making the data publicly available, and updating it annually on a website in Part 3B, Section I. Mark both the Collection and Public Reporting columns next to "Indicator (c)(11)" in the Plan Element Verification Chart in Part 3B, Section I.

The State will develop but not implement the means to collect and publicly report the data (i.e., the State will not collect and publicly report the data) by September 30, 2011.

→ Provide the State's plan for developing the means to collect and to publicly report the data (but not the State's implementation of those means) in Part 3B, Section V.

<b>Indicator (c)(12)</b>	<b>Provide, for the State, for each LEA in the State, for each high school in the State and, at each of these levels, by student subgroup (consistent with section 1111(b)(2)(C)(v)(II) of the ESEA), of the students who graduate from high school consistent with 34 CFR 200.19(b)(1)(i) who enroll in a public IHE (as defined in section 101(a) of the HEA) in the State within 16 months of receiving a regular high school diploma, the number and percentage (including numerator and denominator) who complete at least one year’s worth of college credit (applicable to a degree) within two years of enrollment in the IHE.</b>
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**Please respond (check one):** Does the State collect these data (as defined in Indicator (c)(12))?

<sup>1</sup>  Yes, the State collects these data.

**If Yes, please respond (check one):**

<sup>2</sup>  The State makes the data *publicly available* and updates the data *at least annually* on a website.

➔ Provide the State website where the data are collected and publicly available:<sup>3</sup> [Click here to enter text.](#)

<sup>4</sup>  The State makes the data *publicly available* on a website and updates the data *less than annually*.

➔ Provide the State’s plan for making the data publicly available and updating it annually on a website in Part 3B. Mark the Public Reporting column next to “Indicator (c)(12)” in the Plan Element Verification Chart in Part 3B, Section I.

➔ Provide the State website where the data are collected and publicly available:<sup>5</sup> [Click here to enter text.](#)

<sup>6</sup>  The State does not make the data publicly available on a website.

➔ Provide the State’s plan for making the data publicly available and updating it annually on a website in Part 3B. Mark the Public Reporting column next to “Indicator (c)(12)” in the Plan Element Verification Chart in Part 3B, Section I.

<sup>7</sup>  No, the State does not collect these data.

**If No, please respond (check one):**

The State will develop and implement the means to collect and publicly report the data (i.e., the State will collect and publicly report the data) by September 30, 2011.

➔ Provide the State's plan for collecting, making the data publicly available, and updating it annually on a website in Part 3B, Section I. Mark both the Collection and Public Reporting columns next to "Indicator (c)(12)" in the Plan Element Verification Chart in Part 3B, Section I.

The State will develop but not implement the means to collect and publicly report the data (i.e., the State will not collect and publicly report the data) by September 30, 2011.

➔ Provide the State's plan for developing the means to collect and to publicly report the data (but not the State's implementation of those means) in Part 3B, Section V.

#### IV. Assurance (d): Supporting Struggling Schools

A State must collect and publicly report data and other information on the progress of certain groups of schools in the State on State assessments in reading/language arts and mathematics; on the extent to which reforms to improve student academic achievement are implemented in the persistently lowest-achieving schools in the State; and on the extent to which charter schools are operating in the State.

<b>Indicator (d)(1)</b>	<b>Provide, for the State, the average statewide school gain in the “all students” category and the average statewide school gain for each student subgroup (as under section 1111(b)(2)(C)(v) of the ESEA) on the State assessments in reading/language arts and for the State and for each LEA in the State, the number and percentage (including numerator and denominator) of Title I schools in improvement, corrective action, or restructuring that have made progress (as defined in this notice) on State assessments in reading/language arts in the last year.</b>
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**Please respond (check one):** Does the State collect these data?

<sup>1</sup>  Yes, the State collects these data.

**If Yes, please respond (check one):**

<sup>2</sup>  The State makes the data *publicly available* and updates the data *at least annually* on a website.

→ Provide the State website where the data are collected and publicly available:<sup>3</sup> [Click here to enter text.](#)

<sup>4</sup>  The State makes the data *publicly available* on a website and updates the data *less than annually*.

→ Provide the State’s plan for making the data publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(1)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

→ Provide the State website where the most recently updated data are provided by the State to the public:

<sup>5</sup> [Click here to enter text.](#)

<sup>6</sup>  The State does not make the data publicly available on a website.

→ Provide the State’s plan for making the data publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(1)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>7</sup>  No, the State does not collect these data.

→ Provide the State's plan for making the data publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(1)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

<b>Indicator (d)(2)</b>	<b>Provide, for the State, the average statewide school gain in the “all students” category and the average statewide school gain for each student subgroup (as under section 1111(b)(2)(C)(v) of the ESEA) on State assessments in mathematics and for the State and for each LEA in the State, the number and percentage (including numerator and denominator) of Title I schools in improvement, corrective action, or restructuring that have made progress on State assessments in mathematics in the last year.</b>
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**Please respond (check one):** Does the State collect these data?

<sup>1</sup>  Yes, the State collects these data.

**If Yes, please respond (check one):**

<sup>2</sup>  The State makes the data *publicly available* and updates the data *at least annually* on a website.

→ Provide the State website where the data are collected and publicly available:<sup>3</sup> [Click here to enter text.](#)

<sup>4</sup>  The State makes the data *publicly available* on a website and updates the data *less than annually*.

→ Provide the State’s plan for making the data publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(2)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

→ Provide the State website where the most recently updated data are provided by the State to the public:  
<sup>5</sup> [Click here to enter text.](#)

<sup>6</sup>  The State does not make the data publicly available on a website.

→ Provide the State’s plan for making the data publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(2)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>7</sup>  No, the State does not collect these data.

→ Provide the State’s plan for making the data publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(2)” in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

**Descriptor (d)(1)** Provide the definition of “persistently lowest-achieving schools” (consistent with the requirements for defining this term set forth in the Definitions section of the NFR) that the State uses to identify such schools.



**Please respond (check Yes or No):** Does the State have a definition of “persistently lowest achieving schools” (consistent with the requirements for defining this term set forth in the Definitions section of the NFR) for the purposes of this indicator?

<sup>1</sup>  Yes, the State has a definition of “persistently lowest achieving schools” for the purposes of this indicator.

→ Provide the definition here:<sup>2</sup>

**If Yes, please respond (check one):**

<sup>3</sup>  The State has made the definition *publicly available* on a website.

→ Provide the State website where the definition is publicly available:<sup>4</sup> [Click here to enter text.](#)

<sup>5</sup>  The State does not make the definition publicly available on a website.

→ Provide the State’s plan for making the definition publicly available in Part 3B. Cite “Descriptor (d)(1)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>6</sup>  No, the State does not have a definition of “persistently lowest achieving schools” for the purposes of this indicator.

→ Provide the State’s plan for developing a definition and making it publicly available on a website in Part 3B. Cite “Descriptor (d)(1)” in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

**Indicator (d)(3)**

**Provide, for the State, the number and identity of the schools that are Title I schools in improvement, corrective action, or restructuring, that are identified as persistently lowest-achieving schools.**



**Please respond (check one):** Does the State collect this information?

<sup>1</sup>  Yes, the State collects this information.

**If Yes, please respond (check one):**

<sup>2</sup>  The State makes the information *publicly available* and updates the data *at least annually* on a website.

→ Provide the State website where the information is collected and publicly available:<sup>3</sup> [Click here to enter text.](#)

<sup>4</sup>  The State makes the data *publicly available* on a website and updates the information *less than annually*.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(3)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

→ Provide the State website where the most recently updated information is provided by the State to the public:  
<sup>5</sup> [Click here to enter text.](#)

<sup>6</sup>  The State does not make the information publicly available on a website.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(3)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>7</sup>  No, the State does not collect this information.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(3)” in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

**Indicator (d)(4)** Provide, for the State, of the persistently lowest-achieving schools that are Title I schools in improvement, corrective action, or restructuring, the number and identity of those schools that have been turned around, restarted, closed, or transformed (as defined in the NFR) in the last year.



**Please respond (check one):** Does the State collect this information?

<sup>1</sup>  Yes, the State collects this information.

**If Yes, please respond (check one):**

<sup>2</sup>  The State makes the information *publicly available* and updates the data *at least annually* on a website.

→ Provide the State website where the information is collected and publicly available:<sup>3</sup> [Click here to enter text.](#)

<sup>4</sup>  The State makes the data *publicly available* on a website and updates the information *less than annually*.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(4)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

→ Provide the State website where the most recently updated information is provided by the State to the public:<sup>5</sup> [Click here to enter text.](#)

<sup>6</sup>  The State does not make the information publicly available on a website.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(4)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>7</sup>  No, the State does not collect this information.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(4)” in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

**Indicator (d)(5)** Provide, for the State, the number and identity of the schools that are secondary schools that are eligible for but do not receive, Title I funds, that are identified as persistently lowest-achieving schools.



**Please respond (check one):** Does the State collect this information?

<sup>1</sup>  Yes, the State collects this information.

**If Yes, please respond (check one):**

<sup>2</sup>  The State makes the information *publicly available* and updates the data *at least annually* on a website.

→ Provide the State website where the information is collected and publicly available:<sup>3</sup> [Click here to enter text.](#)

<sup>4</sup>  The State makes the data *publicly available* on a website and updates the information *less than annually*.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(5)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

→ Provide the State website where the most recently updated information is provided by the State to the public:  
<sup>5</sup> [Click here to enter text.](#)

<sup>6</sup>  The State does not make the information publicly available on a website.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(5)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>7</sup>  No, the State does not collect this information.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(5)” in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

**Indicator (d)(6)** Provide, for the State, of the persistently lowest-achieving schools that are secondary schools that are eligible for, but do not receive, Title I funds, the number and identity of those schools that have been turned around, restarted, closed, or transformed in the last year.



**Please respond (check one):** Does the State collect this information?

<sup>1</sup>  Yes, the State collects this information.

**If Yes, please respond (check one):**

<sup>2</sup>  The State makes the information *publicly available* and updates the data *at least annually* on a website.

→ Provide the State website where the information is collected and publicly available:<sup>3</sup> [Click here to enter text.](#)

<sup>4</sup>  The State makes the data *publicly available* on a website and updates the information *less than annually*.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(6)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

→ Provide the State website where the most recently updated information is provided by the State to the public:  
<sup>5</sup> [Click here to enter text.](#)

<sup>6</sup>  The State does not make the information publicly available on a website.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(6)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>7</sup>  No, the State does not collect this information.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(6)” in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

**Indicator (d)(7)** Provide, for the State and, if applicable, for each LEA in the State, the number of charter schools that are currently permitted to operate under State law.



**Please respond (check one):** Does the State collect this information?

<sup>1</sup>  Yes, the State collects this information.

**If Yes, please respond (check one):**

<sup>2</sup>  The State makes the information *publicly available* and updates the data *at least annually* on a website.

→ Provide the State website where the information is collected and publicly available:<sup>3</sup>

<http://education.ohio.gov/GD/Templates/Pages/ODE/ODEDetail.aspx?page=3&TopicRelationID=1168&ContentID=77206>  
("Sponsor Cap and Approved Sponsor Territory")

<sup>4</sup>  The State makes the data *publicly available* on a website and updates the information *less than annually*.

→ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(7)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

→ Provide the State website where the most recently updated information is provided by the State to the public:

<sup>5</sup> [Click here to enter text.](#)

<sup>6</sup>  The State does not make the information publicly available on a website.

→ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(7)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>7</sup>  No, the State does not collect this information.

→ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(7)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

**Indicator (d)(8) Confirm, for the State and for each LEA in the State that operates charter schools, the number of charter schools currently operating.**



**Please respond (check one):** Is the number of charter schools publicly reported as currently operating for the State and for each LEA at <http://www.ed.gov/programs/statestabilization/indicator-d8.xls> correct?

<sup>1</sup>  Yes, the data are correct.

[Note: The 327 charter schools listed in the table are accurate for the 2008-2009 school year. However, an inaccurate count (371) is given at the top of the table.]

<sup>2</sup>  No, the data are not correct.

→ If checked, provide below or in an attachment the correct data and any supporting information. A URL linking to the correct data on the State's website is also sufficient:

<sup>3</sup> [Click here to enter text.](#)

**Please respond (check one):**

<sup>4</sup>  The State makes the data *publicly available* and keeps it *up-to-date* on a website.

→ Provide the State website where the data are collected and publicly available:<sup>5</sup>

<http://education.ohio.gov/GD/Templates/Pages/ODE/ODEDetail.aspx?page=3&TopicRelationID=1168&ContentID=9473> (“List of Community Schools and Their Sponsors”)

<sup>6</sup>  The State makes the data *publicly available* on a website but *does not keep it up-to-date*.

→ Provide the State's plan for making the data publicly available and up-to-date on a website in Part 3B. Cite “Indicator (d)(8)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

→ Provide the State website where the most recently updated information is provided by the State to the public:

<sup>7</sup> [Click here to enter text.](#)

<sup>8</sup>  The State does not make the data publicly available on a website.

→ Provide the State's plan for making the data publicly available and up-to-date on a website in Part 3B. Cite "Indicator (d)(8)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<b>Indicator (d)(9)</b>	<b>Provide, for the State and for each LEA in the State that operates charter schools, the number and percentage of charter schools that have made progress on State assessments in reading/language arts in the last year.</b>
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**Please respond (check one):** Does the State collect this information?

<sup>1</sup>  Yes, the State collects this information.

**If Yes, please respond (check one):**

<sup>2</sup>  The State makes the information *publicly available* and updates the information *at least annually* on a website.

➔ Provide the State website where the information is collected and publicly available:<sup>3</sup>

<http://ilrc.ode.state.oh.us/> (Interactive Local Report Card, a.k.a. iLRC) – three sections in particular:

<http://ilrc.ode.state.oh.us/Schools/Default.asp> (Find a School Building Report, where users can search for the most recent school year’s Local Report Cards for individual charter schools)

<http://ilrc.ode.state.oh.us/Downloads.asp> (Download Data, where users can download prebuilt files pertaining to accountability measures for school years 2000-2001 through 2008-2009)

[http://ilrc.ode.state.oh.us/Power\\_Users.asp](http://ilrc.ode.state.oh.us/Power_Users.asp) (Power User Reports, where users can create customized reports pertaining to accountability measures for school years 1998-1999 through 2008-2009)

<sup>4</sup>  The State makes the information *publicly available* on a website and updates the information *less than annually*.

➔ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(9)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

➔ Provide the State website where the most recently updated information is provided by the State to the public:

<sup>5</sup> [Click here to enter text.](#)

<sup>6</sup>  The State does not make the information publicly available on a website.

➔ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(9)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>7</sup>  No, the State does not collect this information.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(9)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

<b>Indicator (d)(10)</b>	<b>Provide, for the State and for each LEA in the State that operates charter schools, the number and percentage of charter schools that have made progress on State assessments in mathematics in the last year.</b>
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**Please respond (check one):** Does the State collect this information?

<sup>1</sup> Yes, the State collects this information.

**If Yes, please respond (check one):**

<sup>2</sup> The State makes the information *publicly available* and updates the information *at least annually* on a website.

→ Provide the State website where the information is collected and publicly available:<sup>3</sup>

<http://ilrc.ode.state.oh.us/> (Interactive Local Report Card, a.k.a. iLRC) – three sections in particular:

<http://ilrc.ode.state.oh.us/Schools/Default.asp> (Find a School Building Report, where users can search for the most recent school year’s Local Report Cards for individual charter schools)

<http://ilrc.ode.state.oh.us/Downloads.asp> (Download Data, where users can download prebuilt files pertaining to accountability measures for school years 2000-2001 through 2008-2009)

[http://ilrc.ode.state.oh.us/Power\\_Users.asp](http://ilrc.ode.state.oh.us/Power_Users.asp) (Power User Reports, where users can create customized reports pertaining to accountability measures for school years 1998-1999 through 2008-2009)

<sup>4</sup> The State makes the information *publicly available* on a website and updates the information *less than annually*.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(10)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

→ Provide the State website where the most recently updated information is provided by the State to the public:

<sup>5</sup> [Click here to enter text.](#)

<sup>6</sup> The State does not make the information publicly available on a website.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(10)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>7</sup>  No, the State does not collect this information.

➔ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(10)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

<b>Indicator (d)(11)</b>	<b>Provide, for the State and for each LEA in the State that operates charter schools, the number and identity of charter schools that have closed (including schools that were not reauthorized to operate) within each of the last five years.</b>
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**Please respond (check one):** Does the State collect this information?

<sup>1</sup>  Yes, the State collects this information.

**If Yes, please respond (check one):**

<sup>2</sup>  The State makes the information *publicly available* and updates the information *at least annually* on a website.

→ Provide the State website where the information is collected and publicly available:<sup>3</sup>

<http://education.ohio.gov/GD/Templates/Pages/ODE/ODEDetail.aspx?page=3&TopicRelationID=1168&ContentID=9473>  
("List of Closed Community Schools")

<sup>4</sup>  The State makes the information *publicly available* on a website and updates the information *less than annually*.

→ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(11)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

→ Provide the State website where the most recently updated information is provided by the State to the public:

<sup>5</sup> [Click here to enter text.](#)

<sup>6</sup>  The State does not make the information publicly available on a website.

→ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(11)" in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>7</sup>  No, the State does not collect this information.

→ Provide the State's plan for making the information publicly available and updating it annually on a website in Part 3B. Cite "Indicator (d)(11)" in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

<b>Indicator (d)(12)</b>	<b>Indicate, for each charter school that has closed (including a school that was not reauthorized to operate) within each of the last five years, whether the closure of the school was for financial, enrollment, academic, or other reasons.</b>
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**Please respond (check one):** Does the State collect this information?

<sup>1</sup>  Yes, the State collects this information.

**If Yes, please respond (check one):**

<sup>2</sup>  The State makes the information *publicly available* and updates the information *at least annually* on a website.

→ Provide the State website where the information is collected and publicly available:<sup>3</sup>

<http://education.ohio.gov/GD/Templates/Pages/ODE/ODEDetail.aspx?page=3&TopicRelationID=1168&ContentID=9473>  
 (“List of Closed Community Schools”)

<sup>4</sup>  The State makes the information *publicly available* on a website and updates the information *less than annually*.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(12)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

→ Provide the State website where the most recently updated information is provided by the State to the public:

<sup>5</sup> [Click here to enter text.](#)

<sup>6</sup>  The State does not make the information publicly available on a website.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(12)” in the Plan Element Verification Chart in Part 3B, Section I and mark the Public Reporting column.

<sup>7</sup>  No, the State does not collect this information.

→ Provide the State’s plan for making the information publicly available and updating it annually on a website in Part 3B. Cite “Indicator (d)(12)” in the Plan Element Verification Chart in Part 3B, Section I and mark both the Collection and Public Reporting columns.

## PART 3B: DATA COLLECTION & PUBLIC REPORTING PLAN

**Requirement:** The State must collect and publicly report the data or other information required by an assurance indicator or descriptor. If the State is not able to fully collect or publicly report, at least annually through September 30, 2011, the State plan must describe the State's process and timeline for developing and implementing, as soon as possible but no later than September 30, 2011, the means to fully collect and publicly report the data or information, including the milestones that the State establishes toward developing and implementing those means, the date by which the State expects to reach each milestone, and any obstacles that may prevent the State from developing and implementing those means by September 30, 2011, including but not limited to requirements and prohibitions of State law and policy. The plan must also include the nature and frequency of reports that the State will provide to the public regarding its progress in developing and implementing those means; the website where the State will make the plan and progress reports publicly available (as defined in the Notice of Final Requirements, Definitions, and Approval Criteria for the SFSF Phase II), the amount of funds the State is using or will use to develop and implement those means, and whether the funds are or will be Federal, State, or local funds.

### I. ASSURANCES (a), (c), AND (d)

*Important note regarding indicators (c)(11) and (c)(12):*

If the State will develop and implement the means to collect and publicly report the data (i.e., the State will collect and publicly report the data) for either of these indicators by September 30, 2011, the plan requirements of this section apply to the indicator(s) for which this is the case.

If the State will develop but not implement the means to collect and publicly report the data (i.e., the State will not collect and publicly report the data) by September 30, 2011, for either of these indicators the requirements for this section do not apply to the indicator for which this is the case. Proceed to Section V.

**State Plan Instructions:** For each assurance indicator or descriptor under education reform areas (a), (c), and (d) for which the State is not able to fully collect or publicly report annually the required data or information (as indicated in Part 3A), please attach a plan that provides:

The process and timeline for developing and implementing, as soon as possible, but no later than September 30, 2011, the means to fully collect and/or publicly report (as required) the data or information, including:

- The milestones that the State establishes toward developing and implementing those means;
- The date by which the State expects to reach each milestone;

- Any obstacles that may prevent the State from developing and implementing those means by September 30, 2011, including but not limited to requirements and prohibitions of State law and policy;
- The nature and frequency of reports that the State will provide to the public regarding its progress in developing and implementing those means; and
- The amount of funds the State is using or will use to develop and implement those means, and whether the funds are or will be Federal, State, or local funds.

Furthermore, the plan must satisfy the following general requirements:

- (A) Describe the agency or agencies in the State responsible for the development, execution, and oversight of the plan, including the institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of those tasks;
- (B) Describe the agency or agencies, institutions, or organizations, if any, providing technical assistance or other support in the development, execution, and oversight of the plan, and describe the nature of such technical assistance or other support;
- (C) Provide the overall budget for the development, execution, and oversight of the plan.
- (D) Describe the way the State will publicly report the plan and the State’s progress reports on its plan, including the nature and frequency of updated reports to the public on State actions taken under the plan and the website where the State will make the plan and progress reports publicly available (as defined in the Notice of Final Requirements, Definitions, and Approval Criteria for the SFSF Phase II).

**Plan Element Verification:** Please fill out the following chart to indicate which elements, per the instructions in Part 1, must be addressed in the State plan, and whether they must address collection, public reporting, or both. Do not list elements that do not need to be addressed in the State plan. Only list those for which the State has been directed to do so in completing Part 3A.

<u>Element</u>	<u>Collection</u> <u>(check if</u> <u>applies)</u>	<u>Public</u> <u>Reporting</u> <u>(check if</u> <u>applies)</u>
Descriptor (a)(1)	✓	✓
Indicator (a)(3)	✓	✓
Indicator (a)(4)	✓	✓
Indicator (a)(5)	✓	✓
Descriptor (a)(2)	✓	✓
Indicator (a)(6)	✓	✓
Indicator (a)(7)	✓	✓
Indicator (c)(4)	✓	✓
Indicator (c)(6)	✓	✓

<u>Element</u>	<u>Collection (check if applies)</u>	<u>Public Reporting (check if applies)</u>
Indicator (c)(9)		✓
Indicator (c)(10)		✓
Indicator (c)(11)	✓	✓
Indicator (c)(12)	✓	✓
Indicator (d)(1)		✓
Indicator (d)(2)		✓
Descriptor (d)(1)	✓	✓
Indicator (d)(3)		✓
Indicator (d)(4)	✓	✓
Indicator (d)(5)		✓
Indicator (d)(6)	✓	✓

**Application for Funding for Phase II of the Education Fund under the State  
Fiscal Stabilization Fund Program**

**State of Ohio**

**Part 3B: DATA COLLECTION AND PUBLIC REPORTING PLAN**

**Part 3B: DATA COLLECTION AND PUBLIC REPORTING PLAN**

**I. ASSURANCES (a), (c), and (d)**

**PART 3B: DATA COLLECTION AND PUBLIC REPORTING PLAN**

**Section I: Assurances (a), (c), and (d)**

**ASSURANCE (a): Achieving Equity in Teacher Distribution**

**Descriptor (a)(1), Indicator (a)(3), Indicator (a)(4), Indicator (a)(5), Descriptor (a)(2), Indicator (a)(6), Indicator (a)(7)**

*The State will develop a data collection tool to capture all of the descriptors and indicators related to teacher and principal evaluation systems. The chart below outlines the plan the State will execute to collect the required data elements.*

<b>Milestones</b>	<b>Target Dates</b>	<b>Obstacles</b>	<b>Progress Reports</b>	<b>Budget Amounts/ Funding Sources</b>
<b>Develop and Implement Means to Collect Data</b> <ul style="list-style-type: none"> <li>Identify personnel assigned to project and roles.</li> <li>Identify data collection requirements aligned with D(a)(1), I(a)(3), I(a)(4), I(a)(5), D(a)(2), I(a)(6), and I(a)(7).</li> </ul>	September 2010 – October 2010	None	The project plan will be posted on the ODE Web site and updated throughout the project as a means of providing the public with updates.	\$10,000 State
<ul style="list-style-type: none"> <li>Create response questions for data elements aligned with data collection requirements.</li> <li>Develop data collection tool (Vovici).</li> <li>Identify how data will be reported on ODE Web site.</li> <li>Design toolkit for districts that includes directions, forms and protocols.</li> <li>Establish policies and procedures for reporting data.</li> <li>Design training for districts; include use of webinars, Elluminate, etc.</li> </ul>	October 2010 – December 2010	None		\$30,000 State
<ul style="list-style-type: none"> <li>Establish district roles in the Ohio Educational Directory System (OEDS).</li> <li>Field test data systems.</li> <li>Provide training and technical assistance.</li> </ul>	January 2011 – February 2011	None		\$35,000 State \$10,000 Federal \$25,000 Local (to attend training)
<ul style="list-style-type: none"> <li>Open data collection tool.</li> <li>Institute technical assistance and monitoring.</li> </ul>	March 2011 – Mid-May 2011	Possible lack of district response		\$5,000 State
<ul style="list-style-type: none"> <li>Close data collection tool and conduct data verification.</li> </ul>	May 2011	None		\$2,500 State
<ul style="list-style-type: none"> <li>Post data on ODE Web site.</li> </ul>	June 2011	None		Annually

**ASSURANCE (a): Achieving Equity in Teacher Distribution**

**Descriptor (a)(1), Indicator (a)(3), Indicator (a)(4), Indicator (a)(5), Descriptor (a)(2), Indicator (a)(6), Indicator (a)(7)**

**A. Describe the agency/agencies in the State responsible for the development, execution and oversight of the plan, including institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of the tasks.**

Consistent with ongoing state survey data collection efforts, the Ohio Department of Education (ODE) will have sole responsibility for the development, execution and oversight of this plan. Progress toward the implementation of the plan will be monitored to be consistent with ODE's embedded fiscal and program management policies and procedures. ODE's American Recovery and Reinvestment Act (ARRA) management team also will review and monitor progress reports at its weekly meetings.

ODE oversees an education system comprised of 613 public school districts, 49 joint vocational school districts, 56 educational service centers and 323 community schools. Each public school district is governed by a locally elected school board that exercises taxing authority subject to voter approval. ODE has a full-time staff of approximately 603 and a fiscal year 2010 budget of approximately \$12.06 billion in state and federal funds, of which more than 99 percent is distributed to local schools and educational organizations. ODE also oversees the chartering of nonpublic schools.

ODE is governed by a 19-member State Board of Education. Eleven board members, one from each of 11 districts composed of three contiguous Ohio Senate districts, are elected by the citizens. Eight board members are appointed by the Governor. Day-to-day administration of ODE is the responsibility of the Superintendent of Public Instruction, who is hired by the State Board of Education.

**B. Describe the agency or agencies, institutions or organizations (if any) providing technical assistance or other support in the development, execution and oversight of the plan, and describe the nature of such technical assistance or other support.**

ODE will work with the 56 geographically distributed Educational Service Centers (ESCs) that serve the 613 school districts across the State to host information and training sessions for school districts. The ESCs will not provide any other support or oversight.

**C. Provide the overall budget for the development, execution and oversight of the plan.**

The overall budget for the development, execution and oversight of the plan is \$120,000. Please see the budget details in the planning chart for Assurance (a).

Costs associated with collection and reporting of data for teacher and principal evaluation will total \$120,000. Staff already assigned to the Center for the Teaching Profession can support the development of the data collection tool, design of resource materials to assist districts, training and technical support. The toolkit, which will be paid for with Title II Federal Teacher Quality Funds, will be available online, and only a limited number will be produced for use in training sessions. Although funds will be needed to support staff travel to training sessions offered across the State, we intend to use webinars and Elluminate as much as possible.

**D. Describe the way the State will publicly report the plan and the State's progress reports on this plan, including the nature and frequency of update reports to the public on State actions taken under this plan and the website where the State will make the plan and progress reports publicly available.**

Ohio will use its existing publication tools to post all required reports, updates and actions on its plan on the Ohio Department of Education's Web site (<http://education.ohio.gov>). The State will ensure that the public can easily access the Web pages that will provide the most current reports, updates and actions to its plan.

**PART 3B: DATA COLLECTION AND PUBLIC REPORTING PLAN**

**Section I: Assurances (a), (c), and (d)**

**ASSURANCE (c): Standards and Assessments**

**Indicator (c)(4) and Indicator (c)(6)**

*The State will conduct an analysis of the appropriateness and effectiveness of the accommodations it provides to students with disabilities and limited English proficient students to ensure their meaningful participation in State assessments.*

<b>Milestones</b>	<b>Target Dates</b>	<b>Obstacles</b>	<b>Progress Reports</b>	<b>Budget Amounts/ Funding Sources</b>
<ul style="list-style-type: none"> <li>• Develop and post a Request for Proposal (RFP) to conduct the analysis of the effectiveness and appropriateness of Ohio’s accommodations for students with disabilities and limited English proficient students to meet the requirements of I(c)(4) and I(c)(6). Major tasks for the analysis include:               <ul style="list-style-type: none"> <li>• Designing and implementing a district-level survey to collect qualitative data on the perceived effectiveness of accommodations; and</li> <li>• Designing and implementing an experimental study of the effectiveness of different accommodations on low-stake assessments.</li> </ul> </li> <li>• Award contract to conduct the analysis.</li> </ul>	March 2010 – December 2010	<ul style="list-style-type: none"> <li>• Given the aggressiveness of the data reporting timeline, ensuring that the plan stays on track while meeting the State’s procurement procedures and policies.</li> </ul>	<ul style="list-style-type: none"> <li>• Beginning in October 2010, ODE will make periodic updates on its Web site regarding the RFP process (e.g., announcement, reminders).</li> <li>• In December 2010, ODE will make available on its Web site information regarding the awarding of the contract to develop the analysis.</li> </ul>	\$5,000 State
<ul style="list-style-type: none"> <li>• Complete the analysis of the effectiveness and appropriateness of Ohio’s accommodations for students with disabilities and limited English proficient students.</li> <li>• Make available the results of the initial analysis via the ODE Web site.</li> </ul>	August 2011 – September 2011	<ul style="list-style-type: none"> <li>• Data submission dates in the State’s information management system may delay the analysis.</li> <li>• Identification of appropriate state and federal resources.</li> </ul>	Beginning in January 2011, ODE will make available on its Web site periodic updates on the analysis being conducted, as well as the final results when the analysis is complete.	\$502,000 State and Federal (This estimate is based on the amount required to design and implement prior comparable studies at ODE. It also includes staff time and some portion of internal changes to the State’s data management system.)

**ASSURANCE (c): Standards and Assessments  
Indicator (c)(4) and Indicator (c)(6)**

**A. Describe the agency/agencies in the State responsible for the development, execution and oversight of the plan, including institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of the tasks.**

Consistent with ongoing state survey data collection efforts, the Ohio Department of Education (ODE) will have sole responsibility for the development, execution and oversight of this plan. Progress toward the implementation of the plan will be monitored to be consistent with ODE's embedded fiscal and program management policies and procedures. ODE's American Recovery and Reinvestment Act (ARRA) management team also will review and monitor progress reports at its weekly meetings.

ODE oversees an education system comprised of 613 public school districts, 49 joint vocational school districts, 56 educational service centers and 323 community schools. Each public school district is governed by a locally elected school board that exercises taxing authority subject to voter approval. ODE has a full-time staff of approximately 603 and a fiscal year 2010 budget of approximately \$12.06 billion in state and federal funds, of which more than 99 percent is distributed to local schools and educational organizations. ODE also oversees the chartering of nonpublic schools.

ODE is governed by a 19-member State Board of Education. Eleven board members, one from each of 11 districts composed of three contiguous Ohio Senate districts, are elected by the citizens. Eight board members are appointed by the Governor. Day-to-day administration of ODE is the responsibility of the Superintendent of Public Instruction, who is hired by the State Board of Education.

**B. Describe the agency or agencies, institutions or organizations (if any) providing technical assistance or other support in the development, execution and oversight of the plan, and describe the nature of such technical assistance or other support.**

ODE will work with several agencies to seek technical assistance for gathering the necessary data and completing the analyses:

- **Council of Chief State School Officers:** Resources and listings of research relating to the appropriateness and effectiveness of accommodations for students with disabilities.
- **State Collaborative on Assessment and Student Standards – ELL Assessment:** Information from other states regarding analyses done on the appropriateness and effectiveness of accommodations for limited English proficient students.
- **The George Washington University Center for Equity and Excellences in Education:** Resources and listings of research relating to the appropriateness and effectiveness of accommodations for limited English proficient students.
- **Ohio Department of Administrative Services:** Coordination and technical support for developing and posting the Request for Proposal listed as a milestone in the planning chart for Indicator (c)(4) and Indicator (c)(6).

**C. Provide the overall budget for the development, execution and oversight of the plan.**

The overall budget for the development, execution and oversight of the plan is \$507,000. Please see the budget details in the planning chart for Indicator (c)(4) and Indicator (c)(6).

**D. Describe the way the State will publicly report the plan and the State's progress reports on this plan, including the nature and frequency of update reports to the public on State actions taken under this plan and the website where the State will make the plan and progress reports publicly available.**

Ohio will use its existing publication tools to post all required reports, updates and actions on its plan on the Ohio Department of Education's Web site (<http://education.ohio.gov>). The State will ensure that the public can easily access the Web pages that will provide the most current reports, updates and actions to its plan.

**PART 3B: DATA COLLECTION AND PUBLIC REPORTING PLAN**

**Section I: Assurances (a), (c), and (d)**

**ASSURANCE (c): Standards and Assessments  
Indicator (c)(9)**

*The State will add Ohio’s most recent reading and mathematics results from the National Assessment of Educational Progress (NAEP) to the annual State Report Card.*

<b>Milestones</b>	<b>Target Dates</b>	<b>Obstacles</b>	<b>Progress Reports</b>	<b>Budget Amounts/ Funding Sources</b>
The State will add Ohio’s most recent reading and mathematics results from the National Assessment of Educational Progress (NAEP) to the annual State Report Card, as well as to all building- and district-level Local Report Cards. [I(c)(9)]	August 2010	None	Beginning in August 2010, ODE will include NAEP results on the Local and State Report Cards that are posted to the ODE Web site annually.	\$0

**ASSURANCE (c): Standards and Assessments  
Indicator (c)(9)**

**A. Describe the agency/agencies in the State responsible for the development, execution and oversight of the plan, including institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of the tasks.**

Consistent with ongoing state survey data collection efforts, the Ohio Department of Education (ODE) will have sole responsibility for the development, execution and oversight of this plan. Progress toward the implementation of the plan will be monitored to be consistent with ODE's embedded fiscal and program management policies and procedures. ODE's American Recovery and Reinvestment Act (ARRA) management team also will review and monitor progress reports at its weekly meetings.

ODE oversees an education system comprised of 613 public school districts, 49 joint vocational school districts, 56 educational service centers and 323 community schools. Each public school district is governed by a locally elected school board that exercises taxing authority subject to voter approval. ODE has a full-time staff of approximately 603 and a fiscal year 2010 budget of approximately \$12.06 billion in state and federal funds, of which more than 99 percent is distributed to local schools and educational organizations. ODE also oversees the chartering of nonpublic schools.

ODE is governed by a 19-member State Board of Education. Eleven board members, one from each of 11 districts composed of three contiguous Ohio Senate districts, are elected by the citizens. Eight board members are appointed by the Governor. Day-to-day administration of ODE is the responsibility of the Superintendent of Public Instruction, who is hired by the State Board of Education.

**B. Describe the agency or agencies, institutions or organizations (if any) providing technical assistance or other support in the development, execution and oversight of the plan, and describe the nature of such technical assistance or other support.**

ODE will work with data that the National Assessment of Educational Progress (NAEP) has made available to the State.

**C. Provide the overall budget for the development, execution and oversight of the plan.**

The overall budget for the development, execution and oversight of the plan is \$0. Please see the budget details in the planning chart for Indicator (c)(9).

Costs associated with amending our communications on the State and Local Report Cards and posting them to the ODE Web site are minimal. Results from NAEP are already posted on the ODE Web site, and the State will incorporate these results into the development of the State and Local Report Cards.

**D. Describe the way the State will publicly report the plan and the State's progress reports on this plan, including the nature and frequency of update reports to the public on State actions taken under this plan and the website where the State will make the plan and progress reports publicly available.**

Ohio will use its existing publication tools to post all required reports, updates and actions on its plan on the Ohio Department of Education's Web site (<http://education.ohio.gov>). The State will ensure that the public can easily access the Web pages that will provide the most current reports, updates and actions to its plan.

**PART 3B: DATA COLLECTION AND PUBLIC REPORTING PLAN**

**Section I: Assurances (a), (c), and (d)**

**ASSURANCE (c): Standards and Assessments  
Indicator (c)(10)**

*As per the 2009 Federal Non-Regulatory Guidance, Ohio will publicly report the four-year adjusted cohort graduation rate beginning with the 2010-2011 Local Report Card. The State will use this graduation rate for accountability purposes beginning with the 2011-2012 Local Report Card.*

<b>Milestones</b>	<b>Target Dates</b>	<b>Obstacles</b>	<b>Progress Reports</b>	<b>Budget Amounts/ Funding Sources</b>
An Intent Resolution has been presented to the State Board of Education for approval. The resolution is to recommend to the Ohio General Assembly the adoption of four- and five-year adjusted cohort graduation rates for the purposes of determining whether all local educational agencies, public elementary schools and public secondary schools make adequate yearly progress. [I(c)(10)]	December 2009	<ul style="list-style-type: none"> <li>Approval processes of the Ohio General Assembly and the United States Department of Education (USDOE).</li> <li>Depending upon USDOE’s response, Ohio may need to submit revisions to the Ohio General Assembly for the goal and targets for the graduation rates.</li> </ul>	Beginning with the 2010-2011 State and Local Report Cards, and every year afterwards, this information will be reported annually, disaggregated by subgroups.	\$0
<ul style="list-style-type: none"> <li>An Adoption Resolution is to be presented to the State Board of Education. The final resolution is to recommend to the Ohio General Assembly the adoption of four- and five-year adjusted cohort graduation rates for the purposes of determining whether all local education agencies, public elementary schools and public secondary schools make adequate yearly progress.</li> <li>Ohio’s proposed recommendation for the implementation of the four- and five-year adjusted cohort graduation rates (assuming legislative approval) on 2010-2011 Local Report Cards will be submitted to the USDOE.</li> </ul>	January 2010			
Response from the USDOE approving or denying Ohio’s proposed recommendations for the implementation of the four- and five-year adjusted cohort graduation rates is expected.	April 2010 – May 2010			
Submission, correction and computation of the four- and five-year graduation rates for internal review will occur.	October 2010	None		\$60,000 State
Public release of four- and five-year adjusted cohort graduation rates (assuming USDOE approval) on 2010-2011 State and Local Report Cards will occur.	August 2011			
The State will use the four- and five-year adjusted cohort graduation rates for accountability calculations.	August 2012			\$0

**ASSURANCE (c): Standards and Assessments  
Indicator (c)(10)**

**A. Describe the agency/agencies in the State responsible for the development, execution and oversight of the plan, including institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of the tasks.**

Consistent with ongoing state survey data collection efforts, the Ohio Department of Education (ODE) will have sole responsibility for the development, execution and oversight of this plan. Progress toward the implementation of the plan will be monitored to be consistent with ODE's embedded fiscal and program management policies and procedures. ODE's American Recovery and Reinvestment Act (ARRA) management team also will review and monitor progress reports at its weekly meetings.

ODE oversees an education system comprised of 613 public school districts, 49 joint vocational school districts, 56 educational service centers and 323 community schools. Each public school district is governed by a locally elected school board that exercises taxing authority subject to voter approval. ODE has a full-time staff of approximately 603 and a fiscal year 2010 budget of approximately \$12.06 billion in state and federal funds, of which more than 99 percent is distributed to local schools and educational organizations. ODE also oversees the chartering of nonpublic schools.

ODE is governed by a 19-member State Board of Education. Eleven board members, one from each of 11 districts composed of three contiguous Ohio Senate districts, are elected by the citizens. Eight board members are appointed by the Governor. Day-to-day administration of ODE is the responsibility of the Superintendent of Public Instruction, who is hired by the State Board of Education.

**B. Describe the agency or agencies, institutions or organizations (if any) providing technical assistance or other support in the development, execution and oversight of the plan, and describe the nature of such technical assistance or other support.**

ODE will work with the State Board of Education, the Ohio General Assembly and the United States Department of Education to receive legislative and regulatory approval for the implementation of four- and five-year adjusted cohort graduation rates. Additionally, ODE will continue to work with various stakeholders to communicate and develop the implementation of the four- and five-year adjusted cohort graduation rates, such as the Buckeye Association of School Administrators (BASA) Report Card Committee, the Education Management Information System (EMIS) Big Cities Committee, and the State Support Team (SST) Special Education Committee.

**C. Provide the overall budget for the development, execution and oversight of the plan.**

The overall budget for the development, execution and oversight of the plan is \$60,000. Please see the budget details in the planning chart for Indicator (c)(10).

The cost for the implementation of four- and five-year adjusted cohort graduation rates is for additional review and printing costs for the State and Local Report Cards.

**D. Describe the way the State will publicly report the plan and the State's progress reports on this plan, including the nature and frequency of update reports to the public on State actions taken under this plan and the website where the State will make the plan and progress reports publicly available.**

Ohio will use its existing publication tools to post all required reports, updates and actions on its plan on the Ohio Department of Education's Web site (<http://education.ohio.gov>). The State will ensure that the public can easily access the Web pages that will provide the most current reports, updates and actions to its plan.

## **PART 3B: DATA COLLECTION AND PUBLIC REPORTING PLAN**

### **Section I: Assurances (a), (c), and (d)**

#### **ASSURANCE (c): Standards and Assessments Indicator (c)(11) and Indicator (c)(12)**

[Note: Indicator (b)(1) in this application requires that the State indicate which of the 12 elements described in section 6401(e)(2)(D) of the America COMPETES Act are included in the State's longitudinal data system. The requirements of Indicator (c)(11) and Indicator (c)(12) involve the implementation of the Statewide Longitudinal Data Systems (SLDS) that will link the State's P-12 education data to postsecondary education data, so the plan and budget submitted for these Indicators are included within the scope of the State's plan and budget for implementing Indicator (b)(1) to comply fully with the America COMPETES Act.]

Ohio's P-12 and higher education data systems are highly respected at the state and national levels and contain a wealth of longitudinal information and decision-support capabilities for their stakeholders. However, until recently, Ohio has not had the ability to link P-12 students longitudinally to a postsecondary education system. House Bill 290, which was passed in December 2009, however, gives Ohio the legislative authority to connect P-12 education data to postsecondary education data. This legislation allows the Ohio Board of Regents (OBR) to use the existing unique statewide student identifier (SSID) system that is used throughout the State's P-12 education structure to be shared with postsecondary institutions. It also enables the creation of a P-20 longitudinal data repository at the Ohio Department of Education (ODE). The passage of House Bill 290 allows for the SLDS to be implemented within the timeline described for Indicator (c)(11) and Indicator (c)(12). The funding for these efforts will come from state and federal sources. Ohio has submitted a proposal for an American Recovery and Reinvestment Act (ARRA) SLDS grant. If the State receives an SLDS award, the intent is to use those funds to develop a longitudinal data repository. If Ohio does not receive an SLDS grant, other state and federal funding sources will be used to complete this project.

**ASSURANCE (c): Standards and Assessments  
Indicator (c)(11) and Indicator (c)(12)**

*The State will implement the data systems necessary for integrating elementary and secondary education data with postsecondary education data and report on students enrolling at and completing college credit in institutions of higher education following their graduation from high school.*

<b>Milestones</b>	<b>Target Dates</b>	<b>Obstacles</b>	<b>Progress Reports</b>	<b>Budget Amounts/ Funding Sources</b>
Enhance and expand Ohio's existing Statewide Longitudinal Data Warehouse to include postsecondary student data.	October 2010	Identification of appropriate state and federal resources.	Reporting to the public will be managed through a Web page that will be available on the Ohio Department of Education Web site. Status reports will be developed as part of the project management process. A summary report of the current status of these efforts will be posted on the site on a monthly basis.	\$1,200,000 to \$1,500,000 State and Federal (This budget is for Indicator (c)(11), Indicator (c)(12) and Indicator (b)(1) combined.)
Develop load processes to move data from postsecondary data systems to the Statewide Longitudinal Data Systems (SLDS).	December 2010			
<ul style="list-style-type: none"> <li>• Implement e-Transcript brokerage service.</li> <li>• Implement statewide student identifier (SSID) process at the postsecondary level within the State.</li> <li>• Post data on the ODE Web site regarding students who enroll at and who complete college credit in institutions of higher education following their graduation from high school. [I(c)(11), I(c)(12)]</li> </ul>	August 2011			

**ASSURANCE (c): Standards and Assessments**  
**Indicator (c)(11) and Indicator (c)(12)**

**A. Describe the agency/agencies in the State responsible for the development, execution and oversight of the plan, including institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of the tasks.**

Consistent with ongoing state survey data collection efforts, the Ohio Department of Education (ODE) will have sole responsibility for the development, execution and oversight of this plan. Progress toward the implementation of the plan will be monitored to be consistent with ODE's embedded fiscal and program management policies and procedures. ODE's American Recovery and Reinvestment Act (ARRA) management team also will review and monitor progress reports at its weekly meetings.

ODE oversees an education system comprised of 613 public school districts, 49 joint vocational school districts, 56 educational service centers and 323 community schools. Each public school district is governed by a locally elected school board that exercises taxing authority subject to voter approval. ODE has a full-time staff of approximately 603 and a fiscal year 2010 budget of approximately \$12.06 billion in state and federal funds, of which more than 99 percent is distributed to local schools and educational organizations. ODE also oversees the chartering of nonpublic schools.

ODE is governed by a 19-member State Board of Education. Eleven board members, one from each of 11 districts composed of three contiguous Ohio Senate districts, are elected by the citizens. Eight board members are appointed by the Governor. Day-to-day administration of ODE is the responsibility of the Superintendent of Public Instruction, who is hired by the State Board of Education.

**B. Describe the agency or agencies, institutions or organizations (if any) providing technical assistance or other support in the development, execution and oversight of the plan, and describe the nature of such technical assistance or other support.**

The Superintendent of Public Instruction and the Chancellor of the Ohio Board of Regents will jointly develop procedures for the maintenance of the data in the repository and designate the types of research that may be conducted using these data. Permitted uses of the data may include, but are not limited to, the following:

1. Assisting ODE, the Superintendent, or State Board of Education in performing audit and evaluation functions concerning preschool, elementary and secondary education as required or authorized by any provision of law, including division (C) of section 3301.07 and sections 3301.12, 3301.16, 3301.53, 3301.57, 3301.58 and 3302.03 of the Ohio Revised Code;
2. Assisting the Chancellor in performing audit and evaluation functions concerning higher education as required or authorized by any provision of law, including sections 3333.04, 3333.041, 3333.047, 3333.122, 3333.123, 3333.16, 3333.161, 3333.374, 3333.72 and 3333.82 of the Ohio Revised Code.

The Superintendent and the Chancellor may, from time to time, enter into written agreements with entities for the use of data in the repository to conduct studies to improve instructional quality or for any other purpose permitted by law. The agreements may permit the disclosure of personally identifiable student information to the entity named in the agreement, provided that disclosure complies with the Family Educational Rights and Privacy Act of 1974, 88 Stat. 571, 20 U.S.C. 1232g, as amended, and regulations promulgated under that act prescribing requirements for such agreements.

Data in the repository submitted by ODE shall remain under the direct control of ODE. Data in the repository submitted by the Chancellor shall remain under the direct control of the Chancellor. The data in the repository shall be managed in a manner that complies with the Family Educational Rights and Privacy Act of 1974, 88 Stat. 571, 20 U.S.C. 1232g, as amended.

The e-Transcript brokerage service provider selected also will be involved in the project. It will be responsible for providing the software installation and any related training to LEAs and institutions of higher education.

**C. Provide the overall budget for the development, execution and oversight of the plan.**

The overall budget for the development, execution and oversight of the plan is \$1,200,000 to \$1,500,000. Please see the budget details in the planning chart for Indicator (c)(11) and Indicator (c)(12). This budget is for Indicator (c)(11), Indicator (c)(12) and Indicator (b)(1) combined.

State funding will be used to oversee and manage the project work and oversight of the project development for the milestones. In addition, the current state funding that is used to maintain the existing portions of the Statewide Longitudinal Data Systems (SLDS) will be continued. Any federal funds authorized for the support of this work will further assist with the development efforts.

**D. Describe the way the State will publicly report the plan and the State's progress reports on this plan, including the nature and frequency of update reports to the public on State actions taken under this plan and the website where the State will make the plan and progress reports publicly available.**

Ohio will use its existing publication tools to post all required reports, updates and actions on its plan on the Ohio Department of Education's Web site (<http://education.ohio.gov>). The State will ensure that the public can easily access the Web pages that will provide the most current reports, updates and actions to its plan.

**PART 3B: DATA COLLECTION AND PUBLIC REPORTING PLAN**

**Section I: Assurances (a), (c), and (d)**

**ASSURANCE (d): Supporting Struggling Schools**

**Indicator (d)(1), Indicator (d)(2), Descriptor (d)(1), Indicator (d)(3), Indicator (d)(4), Indicator (d)(5), Indicator (d)(6)**

*The State will complete analyses and publically report data on progress made in reading and mathematics by students in Title I schools in improvement, corrective action or restructuring. Additionally, the State will create and post the “persistently lowest achieving schools” in the State and their implementation of intervention models.*

<b>Milestones</b>	<b>Target Dates</b>	<b>Obstacles</b>	<b>Progress Reports</b>	<b>Budget Amounts/ Funding Sources</b>
<ul style="list-style-type: none"> <li>• Complete analyses to identify the average statewide school gain in 10 student subgroups on state assessments for reading/language arts and mathematics in the last year.</li> <li>• Complete analyses of all Title I schools in school improvement, corrective action or restructuring that have made progress in reading/language arts or mathematics in the last year.</li> <li>• Post subgroup gain and school progress analyses on the ODE Web site. [I(d)(1), I(d)(2)]</li> </ul>	January 2010	None	By January 19, 2010, Ohio will have a definition and list of	\$3000 State and Federal
<ul style="list-style-type: none"> <li>• Create a definition for and a list of all “persistently lowest achieving schools” in the State consistent with both the Race to the Top (RTTT) and the Title I School Improvement (SIG) guidance.</li> <li>• Contact all LEAs with schools identified as among the “persistently lowest achieving schools.”</li> <li>• Prepare public communication to accompany the definition and the list of “persistently lowest achieving schools.”</li> <li>• Post communication, definition and list of “persistently lowest achieving schools” on the ODE Web site. [D(d)(1)]</li> </ul>	January 2010	Ensuring the consistency of Ohio’s definition of “persistently lowest achieving schools” with the RTTT and Title I SIG guidance documents.	“persistently lowest achieving schools,” pursuant to the RTTT and Title I SIG guidance. The various analyses will be posted on the ODE Web site and updated annually as a means of providing the public with updates.	\$2000 State and Federal
<ul style="list-style-type: none"> <li>• Identify all “persistently lowest achieving schools” in the State that are Title I-served and are in school improvement, corrective action, or restructuring. [I(d)(3)]</li> <li>• Identify all “persistently lowest achieving schools” in the State that are Title I-eligible (but not served) secondary schools. [I(d)(5)]</li> </ul>	April 2010			\$6000 State and Federal
<ul style="list-style-type: none"> <li>• Identify which model (turnaround, restart, close or transformation) each “persistently lowest achieving school” that is Title I-served and is in school improvement, corrective action or restructuring has selected. [I(d)(4)]</li> <li>• Identify which model (turnaround, restart, close or transformation) each “persistently lowest achieving school” that is secondary and Title I-eligible (but not served) has selected. [I(d)(6)]</li> <li>• Post Title I, improvement and change model analyses on the ODE Web site. [I(d)(3), I(d)(4), I(d)(5), I(d)(6)]</li> </ul>	June 2010			

**ASSURANCE (d): Supporting Struggling Schools**

**Indicator (d)(1), Indicator (d)(2), Descriptor (d)(1), Indicator (d)(3), Indicator (d)(4), Indicator (d)(5), Indicator (d)(6)**

**A. Describe the agency/agencies in the State responsible for the development, execution and oversight of the plan, including institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of the tasks.**

Consistent with ongoing state survey data collection efforts, the Ohio Department of Education (ODE) will have sole responsibility for the development, execution and oversight of this plan. Progress toward the implementation of the plan will be monitored to be consistent with ODE's embedded fiscal and program management policies and procedures. ODE's American Recovery and Reinvestment Act (ARRA) management team also will review and monitor progress reports at its weekly meetings.

ODE oversees an education system comprised of 613 public school districts, 49 joint vocational school districts, 56 educational service centers and 323 community schools. Each public school district is governed by a locally elected school board that exercises taxing authority subject to voter approval. ODE has a full-time staff of approximately 603 and a fiscal year 2010 budget of approximately \$12.06 billion in state and federal funds, of which more than 99 percent is distributed to local schools and educational organizations. ODE also oversees the chartering of nonpublic schools.

ODE is governed by a 19-member State Board of Education. Eleven board members, one from each of 11 districts composed of three contiguous Ohio Senate districts, are elected by the citizens. Eight board members are appointed by the Governor. Day-to-day administration of ODE is the responsibility of the Superintendent of Public Instruction, who is hired by the State Board of Education.

**B. Describe the agency or agencies, institutions or organizations (if any) providing technical assistance or other support in the development, execution and oversight of the plan, and describe the nature of such technical assistance or other support.**

ODE will not require any additional outside technical assistance to conduct these analyses. All data required to fulfill these Indicators and Descriptor are currently collected by ODE, and current staff members in the Offices of Quality Assurance, Accountability and Communications will perform all necessary tasks.

**C. Provide the overall budget for the development, execution and oversight of the plan.**

The overall budget for the development, execution and oversight of the plan is \$11,000. Please see the budget details in the planning chart for Assurance (d).

Costs associated with conducting the various analyses, preparing the communications and posting them to the ODE Web site are minimal and involve only staff salaries.

**D. Describe the way the State will publicly report the plan and the State's progress reports on this plan, including the nature and frequency of update reports to the public on State actions taken under this plan and the website where the State will make the plan and progress reports publicly available.**

Ohio will use its existing publication tools to post all required reports, updates and actions on its plan on the Ohio Department of Education's Web site (<http://education.ohio.gov>). The State will ensure that the public can easily access the Web pages that will provide the most current reports, updates and actions to its plan.

## II. INDICATOR (b)(1)

### Plan Instructions

If (as indicated in Part 3A) the State does not have a statewide longitudinal data system that fully includes all 12 elements of the America COMPETES Act, as addressed in indicator **(b)(1)**, please attach a plan that provides the process and timeline for developing and implementing, as soon as possible, but no later than September 30, 2011, a statewide longitudinal data system that includes all 12 elements of the America COMPETES Act, including the following information:

- The milestones that the State establishes toward developing and implementing those means;
- The date by which the State expects to reach each milestone;
- Any obstacles that may prevent the State from developing and implementing those means by September 30, 2011, including but not limited to requirements and prohibitions of State law and policy;
- The nature and frequency of reports that the State will provide to the public regarding its progress in developing and implementing those means; and
- The amount of funds the State is using or will use to develop and implement those means, and whether the funds are or will be Federal, State, or local funds.

Furthermore, the plan must satisfy the following general requirements:

- (A) Describe the agency or agencies in the State responsible for the development, execution, and oversight of the plan, including the institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of those tasks;
- (B) Describe the agency or agencies, institutions, or organizations, if any, providing technical assistance or other support in the development, execution, and oversight of the plan, and describe the nature of such technical assistance or other support;
- (C) Provide the overall budget for the development, execution, and oversight of the plan; and
- (D) Describe the way the State will publicly report the plan and the State's progress reports on its plan, including the nature and frequency of updated reports to the public on State actions taken under the plan and the website where the State will make the plan and progress reports publicly available (as defined in the Notice of Final Requirements, Definitions, and Approval Criteria for the SFSF Phase II).

**Plan Element Verification:** Please mark which elements, per the instructions in Part 1, must be addressed in your state plan:

<u>COMPETES</u> <u>Element</u>	<u>Must be</u> <u>addressed in</u> <u>plan</u>	<u>Does not</u> <u>need to be</u> <u>addressed in</u> <u>plan</u>
1	✓	
2		✓
3		✓
4	✓	
5		✓
6		✓
7		✓
8		✓
9		✓
10		✓
11	✓	
12	✓	

**Part 3B: DATA COLLECTION AND PUBLIC REPORTING PLAN**

**II. INDICATOR (b)(1)**

## **PART 3B: DATA COLLECTION AND PUBLIC REPORTING PLAN**

### **Section II: Assurance (b), Indicator (b)(1)**

#### **ASSURANCE (b): Improving Collection and Use of Data**

##### **Indicator (b)(1): Indicate which of the 12 elements described in section 6401(e)(2)(D) of the America COMPETES Act are included in the State's statewide longitudinal data system**

[Note: Indicator (c)(11) and Indicator (c)(12) in this application require the implementation of data systems that will link the State's P-12 education data to postsecondary education data via the planned Statewide Longitudinal Data Systems (SLDS), so the plan and budget submitted for Indicator (b)(1) encompass the scope of the plan and budget for meeting the requirements of Indicator (c)(11) and Indicator (c)(12).]

The four elements from the America COMPETES Act that Ohio does not currently meet are all due to the same issue. Until recently, Ohio has not had the ability to match P-12 student data to higher education student data because of restrictions in Ohio law. Ohio currently has a unique statewide student identifier (SSID) that is used for P-12 students, but the use of that SSID previously was not extended for use in its postsecondary systems. House Bill 290, which was passed in December 2009, allows Ohio to link P-12 education data to postsecondary education data. The legislation allows the Ohio Board of Regents (OBR) to use the existing SSID system to extend the use of SSIDs to higher education students. The legislation also allows a data repository to be established where the P-12 and higher education student data can be matched. Additionally, the legislation allows OBR and the Ohio Department of Education (ODE) to enter into agreements with additional entities to conduct research and analysis on the longitudinal data.

In addition to the development of the statewide longitudinal data repository, Ohio will share student data with higher education through the development of an e-Transcript system. Purchasing a transcript brokerage service will allow the State to transfer student transcripts from Ohio's secondary schools to postsecondary schools both in Ohio and in other states. Once this linkage is made in the data repository, Ohio will be able to meet all the requirements of the America COMPETES Act.

The funding for these efforts will come from state and federal sources. Ohio has submitted a proposal for an American Recovery and Reinvestment Act (ARRA) SLDS grant. If the State receives an SLDS award, the intent is to use those funds both to develop a longitudinal data repository and to purchase a transcript brokerage service that will transfer student transcripts from secondary schools to postsecondary schools. If Ohio does not receive an SLDS grant, other state and federal funding sources will be used to complete these projects.

**ASSURANCE (b): Improving Collection and Use of Data**

**Indicator (b)(1): Indicate which of the 12 elements described in section 6401(e)(2)(D) of the America COMPETES Act are included in the State’s statewide longitudinal data system**

<b>Milestones</b>	<b>Target Dates</b>	<b>Obstacles</b>	<b>Progress Reports</b>	<b>Budget Amounts/ Funding Sources</b>
Enhance and expand Ohio’s existing Statewide Longitudinal Data Warehouse to include postsecondary student data to meet all requirements of Indicator (b)(1).	October 2010	Identification of appropriate state and federal resources.	Reporting to the public will be managed through a Web page that will be available on the Ohio Department of Education Web site. Status reports will be developed as part of the project management process. A summary report of the current status of these efforts will be posted on the site on a monthly basis.	\$1,200,000 to \$1,500,000 State and Federal (This budget is for Indicator (b)(1), Indicator (c)(11) and Indicator (c)(12) combined.)
Develop load processes to move data from postsecondary data systems to the Statewide Longitudinal Data Systems (SLDS).	December 2010			
<ul style="list-style-type: none"> <li>• Implement e-Transcript brokerage service.</li> <li>• Implement statewide student identifier (SSID) process at the postsecondary level within the State.</li> </ul>	August 2011			

**ASSURANCE (b): Improving Collection and Use of Data**

**Indicator (b)(1): Indicate which of the 12 elements described in section 6401(e)(2)(D) of the America COMPETES Act are included in the State's statewide longitudinal data system**

**A. Describe the agency/agencies in the State responsible for the development, execution and oversight of the plan, including institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of the tasks.**

Consistent with ongoing state survey data collection efforts, the Ohio Department of Education (ODE) will have sole responsibility for the development, execution and oversight of this plan. Progress toward the implementation of the plan will be monitored to be consistent with ODE's embedded fiscal and program management policies and procedures. ODE's American Recovery and Reinvestment Act (ARRA) management team also will review and monitor progress reports at its weekly meetings.

ODE oversees an education system comprised of 613 public school districts, 49 joint vocational school districts, 56 educational service centers and 323 community schools. Each public school district is governed by a locally elected school board that exercises taxing authority subject to voter approval. ODE has a full-time staff of approximately 603 and a fiscal year 2010 budget of approximately \$12.06 billion in state and federal funds, of which more than 99 percent is distributed to local schools and educational organizations. ODE also oversees the chartering of nonpublic schools.

ODE is governed by a 19-member State Board of Education. Eleven board members, one from each of 11 districts composed of three contiguous Ohio Senate districts, are elected by the citizens. Eight board members are appointed by the Governor. Day-to-day administration of ODE is the responsibility of the Superintendent of Public Instruction, who is hired by the State Board of Education.

**B. Describe the agency or agencies, institutions or organizations (if any) providing technical assistance or other support in the development, execution and oversight of the plan, and describe the nature of such technical assistance or other support.**

The Superintendent of Public Instruction and the Chancellor of the Ohio Board of Regents will jointly develop procedures for the maintenance of the data in the repository and designate the types of research that may be conducted using these data. Permitted uses of the data may include, but are not limited to, the following:

1. Assisting ODE, the Superintendent, or State Board of Education in performing audit and evaluation functions concerning preschool, elementary and secondary education as required or authorized by any provision of law, including division (C) of section 3301.07 and sections 3301.12, 3301.16, 3301.53, 3301.57, 3301.58 and 3302.03 of the Ohio Revised Code;
2. Assisting the Chancellor in performing audit and evaluation functions concerning higher education as required or authorized by any provision of law, including sections 3333.04, 3333.041, 3333.047, 3333.122, 3333.123, 3333.16, 3333.161, 3333.374, 3333.72 and 3333.82 of the Ohio Revised Code.

The Superintendent and the Chancellor may, from time to time, enter into written agreements with entities for the use of data in the repository to conduct studies to improve instructional quality or for any other purpose permitted by law. The agreements may permit the disclosure of personally identifiable student information to the entity named in the agreement, provided that disclosure complies with the Family Educational Rights and Privacy Act of 1974, 88 Stat. 571, 20 U.S.C. 1232g, as amended, and regulations promulgated under that act prescribing requirements for such agreements.

Data in the repository submitted by ODE shall remain under the direct control of ODE. Data in the repository submitted by the Chancellor shall remain under the direct control of the Chancellor. The data in the repository shall be managed in a manner that complies with the Family Educational Rights and Privacy Act of 1974, 88 Stat. 571, 20 U.S.C. 1232g, as amended.

The e-Transcript brokerage service provider selected also will be involved in the project. It will be responsible for providing the software installation and any related training to LEAs and institutions of higher education.

**C. Provide the overall budget for the development, execution and oversight of the plan.**

The overall budget for the development, execution and oversight of the plan is \$1,200,000 to \$1,500,000. Please see the budget details in the planning chart for Indicator (b)(1). This budget is for Indicator (b)(1), Indicator (c)(11) and Indicator (c)(12) combined.

State funding will be used to oversee and manage the project work and oversight of the project development for the milestones. In addition, the current state funding that is used to maintain the existing portions of the Statewide Longitudinal Data Systems (SLDS) will be continued. Any federal funds authorized for the support of this work will further assist with the development efforts.

**D. Describe the way the State will publicly report the plan and the State's progress reports on this plan, including the nature and frequency of update reports to the public on State actions taken under this plan and the website where the State will make the plan and progress reports publicly available.**

Ohio will use its existing publication tools to post all required reports, updates and actions on its plan on the Ohio Department of Education's Web site (<http://education.ohio.gov>). The State will ensure that the public can easily access the Web pages that will provide the most current reports, updates and actions to its plan.

### **III.INDICATOR (b)(2) – *Not Applicable***

**Instructions:** If (as indicated in **Part 3A, Indicator (b)(2)**) the State does not provide student growth data on their current students and the students they taught in the previous year to, at a minimum, teachers of reading/language arts and mathematics in grades in which the State administers assessments in those subjects, in a manner that is timely and informs instructional programs, please attach a plan that provides:

The process and timeline for developing and implementing the means to provide teachers with such data by September 30, 2011, including:

- The milestones that the State establishes toward developing and implementing those means and the date by which the State expects to reach each milestone;
- Any obstacles that may prevent the State from developing and implementing those means by September 30, 2011 (including but not limited to requirements and prohibitions of State law and policy);
- The nature and frequency of reports that the State will provide to the public regarding its progress in developing and implementing those means; and
- The amount of funds the State is using or will use to develop and implement those means, and whether the funds are or will be Federal, State, or local funds.

Furthermore, the plan must satisfy the following general requirements:

- (A) Identify the agency or agencies in the State responsible for the development, execution, and oversight of the plan, including the institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of those tasks;
- (B) Identify the agency or agencies, institutions, or organizations, if any, providing technical assistance or other support in the development, execution, and oversight of the plan, and describe the nature of such technical assistance or other support;
- (C) Provide the overall budget for the development, execution, and oversight of the plan; and
- (D) Describe the way the State will publicly report the plan and the State's progress reports on its plan, including the nature and frequency of updated reports to the public on State actions taken under the plan and the website where the State will make the plan and progress reports publicly available (as defined in the Notice of Final Requirements, Definitions, and Approval Criteria for the SFSF Phase II).

**Part 3B: DATA COLLECTION AND PUBLIC REPORTING PLAN**

**III. INDICATOR (b)(2)**

Not Applicable

#### **IV. INDICATOR (b)(3) – *Not Applicable***

**Instructions:** If (as indicated in **Part 3A, Indicator (b)(3)**) the State does not provide teachers of reading/language arts and mathematics in grades in which the State administers assessments in those subjects with reports of individual teacher impact on student achievement, please attach a plan that provides:

The process and timeline for developing and implementing the means to provide teachers with such data, including:

- The milestones that the State establishes toward developing and implementing those means and the date by which the State expects to reach each milestone;
- Any obstacles that may prevent the State from developing and implementing those means (including but not limited to requirements and prohibitions of State law and policy);
- The nature and frequency of reports that the State will provide to the public regarding its progress in developing and implementing those means; and
- The amount of funds the State is using or will use to develop and implement those means, and whether the funds are or will be Federal, State, or local funds.

Furthermore, the plan must satisfy the following general requirements:

- (A)** Identify the agency or agencies in the State responsible for the development, execution, and oversight of the plan, including the institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of those tasks;
- (B)** Identify the agency or agencies, institutions, or organizations, if any, providing technical assistance or other support in the development, execution, and oversight of the plan, and describe the nature of such technical assistance or other support;
- (C)** Provide the overall budget for the development, execution, and oversight of the plan; and
- (D)** Describe the way the State will publicly report the plan and the State's progress reports on its plan, including the nature and frequency of updated reports to the public on State actions taken under the plan and the website where the State will make the plan and progress reports publicly available (as defined in the Notice of Final Requirements, Definitions, and Approval Criteria for the SFSF Phase II).

**Part 3B: DATA COLLECTION AND PUBLIC REPORTING PLAN**

**IV. INDICATOR (b)(3)**

Not Applicable

## V. INDICATORS (c)(11) AND (c)(12) – *Not Applicable*

*Important note regarding this section:*

In the case of new Indicators (c)(11) and (c)(12), regarding the data States will collect from IHEs, the State is required to, at a minimum, possess the ability to collect and report the data. In such circumstances, a State plan need only address the development of capacity, and not implementation and reporting for the relevant indicators.

If the State will develop and implement the means to collect and publicly report the data (i.e., the State will collect and publicly report the data) for either of these indicators by September 30, 2011, the full plan requirements for this section **do** apply. If that is the case, please report all elements of that plan in Part 3B, Section I above.

**State Plan Instructions:** For each of *Indicators (c)(11) and (c)(12)* for which the State is not able to fully collect or publicly report annually the required data or information (as indicated in Part 3A), please attach a plan that provides:

- (1) The process and timeline for achieving the ability to implement the means to fully collect and/or publicly report (as required) the data or information by September 30, 2011, including:
  - The milestones established toward developing those means;
  - The date by which the State expects to reach each such milestone; and any obstacles that may prevent the State from developing those means by September 30, 2011, including but not limited to requirements and prohibitions of State law and policy;
  - The nature and frequency of reports that the State will provide to the public regarding its progress in developing those means; and
  - The amount of funds the State is using or will use to develop those means, and whether the funds are or will be Federal, State, or local funds.
- (2) A description of the evidence that the State will provide to the Department of Education to demonstrate that it has developed the means to collect and publicly report the data for each indicator for which the State is not able to fully collect or publicly report annually the required data, by September 30, 2011.

Furthermore, the plan must satisfy the following general requirements:

- (A) Identify the agency or agencies in the State responsible for the development, execution, and oversight of the plan, including the institutional infrastructure and describe the capacity of the agency or agencies as they relate to each of those tasks;

- (B) Identify the agency or agencies, institutions, or organizations, if any, providing technical assistance or other support in the development, execution, and oversight of the plan, and describe the nature of such technical assistance or other support;
- (C) Provide the overall budget for the development, execution, and oversight of the plan; and
- (D) Describe the way the State will publicly report the plan and the State’s progress reports on its plan, including the nature and frequency of updated reports to the public on State actions taken under the plan and the website where the State will make the plan and progress reports publicly available (as defined in the Notice of Final Requirements, Definitions, and Approval Criteria for the SFSF Phase II).

**Plan Element Verification:** Please check only the boxes that apply in the following chart to indicate which elements must be addressed in this section of your state plan:

<u>Element</u>	<u>Not Applicable: The State will develop and implement the means to collect and publicly report the data (Complete Plan in Section I).</u>	<u>Applicable: The State will develop but not implement the means to collect and publicly report the data (Complete Plan in this section).</u>
Indicator (c)(11)	✓	
Indicator (c)(12)	✓	

**Part 3B: DATA COLLECTION AND PUBLIC REPORTING PLAN  
V. INDICATORS (c)(11) AND (c)(12)**

Not Applicable

## **PART 3C—GENERAL REQUIREMENTS**

Please attach the following information—

- (1) Describe the processes the State employs to review and verify the required data and other information on the indicators and descriptors.

Section 3301.0133 of the Ohio Revised Code (ORC) requires that the Ohio Department of Education (ODE) have an identifiable unit within the agency to deal with the management of any education data that the Department gathers, processes, uses or reports. The Department has implemented this through an enterprise data management structure that embeds a data administration manager in each of the program areas to provide expertise and governance of the data. The data administration managers report up through the organization to the Chief Information Officer (CIO).

Additionally, in 2007, a formal Information Security program was established under the CIO and includes the development of a structured data classification process within the agency to ensure that the confidentiality, integrity and availability of the data are protected. This program includes the clear establishment of data ownership, management and access to the information. The classification process is managed by the Information Security Officer for the agency and is supported by the data managers.

Recent changes in the ORC and the Ohio Administrative Code (OAC) established rules around monitoring the accuracy of data being submitted by school districts. ODE may sanction any school district that:

- reports incomplete or inaccurate data;
- reports data that do not conform to data requirements and descriptions published by the department;
- fails to report data in a timely manner; or
- otherwise does not make a good faith effort to report data as required by this section.

Following the checks that take place during the data classification process, data that are submitted to ODE are then sent to area experts to be reviewed and verified. The data administration managers collaborate with program managers and other specialists with applicable expertise throughout the agency to ensure that any data ODE reports to the public are accurate. Required data and information used to verify the Indicators and Descriptors in this application are submitted by LEAs using various ODE data systems. Data administration managers in each program area compile and format these data and then present them to program experts and additional reviewers (when applicable) to review and verify. Any problems arising during this extensive process are resolved directly with the LEAs submitting data.

(2) Describe the processes the State employs to ensure that, consistent with 34 CFR 99.31(b), the required data and other information are not made publicly available in a manner that personally identifies students, where applicable.

Ohio law prevents the Department from having personally identifiable student information. To keep students from being personally identified, Ohio uses a statewide student identifier (SSID) for all students enrolled in public education. The SSID is used as a data verification code instead of using the Social Security Number as a data verification code. Ohio does NOT share the unique data verification code, except in situations that are in compliance with the Family Educational Rights and Privacy Act (FERPA) and state legislation. State legislation specifies that if the State Superintendent contracts for analysis or research that requires the unique data verification code, the study or project must maintain the confidentiality of student data at all times.

All student-level data reported by the Department are reported only at an aggregate level. When releasing aggregated information, Ohio protects the confidentiality of student data by releasing data only in cases where the subgroup size is greater than 10. Data that are reported to the public are reported at a district/building level.



**TED STRICKLAND**  
GOVERNOR  
STATE OF OHIO

December 30, 2009

The Honorable Arne Duncan  
Secretary, U.S. Department of Education  
400 Maryland Avenue, SW  
Washington, D.C. 20202

Dear Secretary Duncan:

It is my privilege to present to you the State of Ohio's Application for Funding for Phase II of the Education Fund under the State Fiscal Stabilization Fund (SFSF) Program, made available through the American Recovery and Reinvestment Act of 2009 (CFDA Number: 84.394).

Throughout 2007 and 2008, Ohioans joined me in a public conversation about the immediate and future transformation of public education in our state. The public conversation resulted in the development of a common purpose and vision for a public education system to meet the demands of a 21<sup>st</sup> century society. We also developed a series of reform strategies that were recently enacted into law. The results of the public conversation served as a roadmap for creating a high quality educational system that will improve the lives of Ohio students. This transformation of Ohio's public education system is also a powerful component of our economic growth strategy. For these and other essential reasons, the stabilization funds that have been allocated to Ohio will be directed to the Ohio Department of Education and the Ohio Board of Regents to support K-12 and higher education programs.

Ohio recognizes and embraces the enormity of this challenge as well as the historic nature of the opportunity that SFSF represents to sustain and accelerate our public education reforms, which are highly aligned with the four education reforms of SFSF and the Race to the Top grant. To this end, I endorse this SFSF application and request your approval of this request so that Ohio may pursue education reform in four key areas:

- Achieving equity in teacher distribution;
- Improving collection and use of data;
- Standards and assessment; and
- Supporting struggling schools.

Page Two  
December 30, 2009  
Secretary Duncan

The State of Ohio thanks President Barack Obama and the U.S. Department of Education for this commitment of funding at a time of great need and looks forward to your response.

Sincerely,

A handwritten signature in black ink that reads "Ted Strickland". The signature is written in a cursive, flowing style with a prominent initial "T".

Ted Strickland  
Governor, State of Ohio



Department  
of Education

Ted Strickland, Governor  
Deborah S. Delisle, Superintendent of Public Instruction

December 30, 2009

Arne Duncan, Secretary of Education  
U.S. Department of Education  
400 Maryland Avenue, SW  
Washington, D.C. 20202

Dear Secretary Duncan:

Phase II State Fiscal Stabilization Funds (SFSF) will have a tremendous impact on the children of Ohio. These funds are critical to continuing the progress made by Ohio's education system using SFSF funds distributed in 2009.

For this reason, I wholeheartedly support Ohio's application requesting funding for Phase II of the Education Fund under the SFSF Program to improve the education of Ohio's schoolchildren.

Our application supports the mission for public education in the state of Ohio to have all students reach their fullest potential, to become successful economically, to experience social and emotional well-being, and to function as productive citizens in a global society. To achieve these goals, public education must establish and maintain student-centered, personalized 21st-century learning environments that foster and nurture creativity, innovation and global competence while meeting the needs of all students. As the state superintendent, it is my primary goal to not have the quality of any child's education predetermined by his/her zip code.

Ohio's comprehensive vision for education reform coalesced in 2008 into a true statewide consensus with the enactment of our state budget bill, Amended Substitute House Bill 1. Our longstanding goals, consistent with SFSF objectives, are to increase student achievement, decrease the achievement gap and increase the rates at which students graduate from high school prepared for college and careers.

Ohio has developed a comprehensive system of accountability for these funds. This historic financial assistance will allow schools and districts to create and maintain jobs and implement innovative reforms that otherwise may not have been possible.

Your prompt review and approval of Ohio's application will allow our schools to continue to enhance educational opportunities for all children. I thank you for the rigor with which you have addressed your role and for your dedication to America's children.

Sincerely,

Deborah S. Delisle  
Superintendent of Public Instruction