SECTION II: PART I APPLICATION COVER SHEET, ASSURANCES, AND SUBMISSION PROCEDURES

PART I APPLICATION COVER SHEET (CFDA NO. 84.395A)

Legal Name of Applicant (Office of the Governor): Steven L. Beshear, Governor	Applicant's Mailing Address: State Capitol Building 700 Capitol Avenue Frankfort, KY 40601
Employer Identification Number: 61-0600439	Organizational DUNS: 102594426
State Race to the Top Contact Name: (Single point of contact for communication) David N. Cook	Contact Position and Office: Director of Innovation and Partner Engagement Kentucky Department of Education
Contact Telephone: (502) 564-4201	Contact E-mail Address: david.cook@education.ky.gov

To the best of my knowledge and belief, all of the information and data in this application are true and correct. I further certify that I agree with each of the attached application assurances.

Governor or Authorized Representative of the Governor (Printed Name): Steven L. Beshear	Telephone: (502) 564-2611
Signature of Governor or Authorized Representative of the Governor:	Date: 11-18-11
Chief State School Officer (Printed Name): Terry Holliday	Telephone: (502) 564-3141
Signature of the Chief State School Officer:	Date: 11-15-11
President of the State Board of Education (Printed Name): David Karem	Telephone: (502- 574-3768

Signature of the President of the State Board of Education:	Date:
Daine K. Karem	11/18/11

PART I: APPLICATION ASSURANCES
(CFDA No. 84.395A)

- The State is in compliance with the Education Jobs Fund maintenance-of-effort (MOE) requirements in section 101(10)(A) of Public Law 111-226.

- The State is in compliance with the State Fiscal Stabilization Fund Phase 2 requirements with respect to Indicator (b)(1) regarding the State's statewide longitudinal data system. (See notice of final requirements, definitions, and approval criteria for the State Fiscal Stabilization Fund Program published in the Federal Register on November 12, 2009 (74 FR 58436) and the interim final requirement and request for comments for the State Fiscal Stabilization Fund Program published in the Federal Register on September 23, 2011 (76 FR 59036)).

- At the time the State submits its application, there are no legal, statutory, or regulatory barriers at the State level to linking data on student achievement or student growth to teachers and principals for the purpose of teacher and principal evaluation.

- The State will maintain its commitment to improving the quality of its assessments, evidenced by the State's participation in a consortium of States that--
  - Is working toward jointly developing and implementing common, high-quality assessments aligned with a common set of K-12 standards that prepare students for college and careers; and
  - Includes a significant number of States.

- The State will maintain, at a minimum, the conditions for reform described in its Race to the Top Phase 2 application, including--
  - The State's adoption and implementation of a common set of K-12 standards that prepare students for college and careers, as specified in section (B)(1)(ii) of the State's Race to the Top Phase 2 application;
  - The State's statutory and regulatory framework related to improving teacher and school leader effectiveness and ensuring an equitable distribution of effective teachers and leaders, as described in section D of the State's Race to the Top Phase 2 application;
  - The State's statutory and regulatory framework for implementing effective school and LEA turnaround measures, as described in section E of the State's Race to the Top Phase 2 application; and
  - The State's statutory and regulatory framework for supporting the creation and expansion of high-performing charter schools and other innovative schools, as described in section (F)(2) of its Race to the Top Phase 2 application.

- The State will maintain its commitment to comprehensive reforms and innovation designed to increase student achievement and to continued progress in the four reform areas specified in the ARRA, including the adoption and implementation of college and career-ready standards and high-quality assessments, improving the collection and use of data, increasing teacher effectiveness and equity in the distribution of effective teachers, and turning around the State's lowest achieving schools.

- The State will select activities for funding that are consistent with the commitment to comprehensive reform and innovation that the State demonstrated in its Race to the Top Phase 2 application, including activities that are most likely to improve STEM education.

- The State will comply with all of the accountability, transparency, and reporting requirements that apply to the Race to the Top program (See the notice of final priorities, requirements, definitions, and selection criteria for the Race to the Top Fund published in the Federal Register on November 18, 2009 (74 FR 59688)), with the exception of reporting requirements applicable solely to funds provided under the ARRA. (Note: The ARRA section 1512 reporting requirements do not apply to the funds we will award under the Race to the Top Phase 3 award process).

- The State will comply with the requirements of any evaluation of the program, or of specific activities pursued as part of the program, conducted and supported by the Department.

SIGNATURE BLOCK FOR CERTIFYING OFFICIAL

Governor or Authorized Representative of the Governor (Printed Name):	
Steven L. Beshear	
Signature of Governor or Authorized Representative of the Governor:	Date:
	11-18-11