

IDEA PART C EXITING FOR SCHOOL YEAR 2016–2017

OSEP Data Documentation

November 2018

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1.0 Introduction

1.1 Purpose

The purpose of this document is to provide information necessary to appropriately use State level data files on Individuals with Disabilities Education Act (IDEA) Part C Exiting from the Office of Special Education Programs (OSEP). The accompanying data file provides data at the State level on the number of infants and toddlers with disabilities who, during the 12-month reporting period, either no longer received services under Part C prior to age three or reached age three, and for each child, report by race/ethnicity, gender, and reason that services are no longer received.

1.2 OSEP Background

OSEP, within the Office of Special Education and Rehabilitative Services (OSERS), is dedicated to improving results for infants, toddlers, children and youth with disabilities ages birth through 21 by providing leadership and financial support to assist States and local districts.

Section 618 of IDEA requires that each State submit data about the infants and toddlers, birth through age 2, who receive early intervention services under Part C of IDEA and children with disabilities, ages 3 through 21, who receive special education and related services under Part B of IDEA.

There are 12 data collections authorized under Section 618: under Part B: (1) Child Count; (2) Educational Environments; (3) Personnel; (4) Exiting; (5) Discipline; (6) Assessment; (7) Dispute Resolution; and (8) Maintenance of Effort Reduction and Coordinated Early Intervening Services; and under Part C: (9) Child Count; (10) Settings; (11) Exiting; and (12) Dispute Resolution. These data are collected via an *EDFacts* system (i.e., *EDFacts* Submission System (ESS) or the *EDFacts* Metadata and Process System (*EMAPS*)). Information related to the Section 618 data collected via ESS can be found in the *EDFacts* Series - [EDFacts Special Education/IDEA 2011-12 Study in the ED Data Inventory](#). Information related to the IDEA Section 618 data collected via *EMAPS* can be found in the [IDEA Section 618 entry in the ED Data Inventory](#). This data documentation deals only with the Part C Exiting data collection and file.

2.0 OSEP Part C Exiting Data

2.1 State Data

States are required to report the Exiting data under Title 1, Part A, Subsection 618 of IDEA.

Part C Exiting Data comes from one file:

- IDEA Part C Exiting - the number of infants and toddlers with disabilities who, during the 12-month reporting period, either no longer received services under Part C prior to age three or reached age three, and for each child, report by race/ethnicity, gender, and reason that services are no longer received.

This information is submitted to OSEP via *EMAPS* by the IDEA Part C data managers in each of the 56 IDEA Part C reporting entities.

States were required to submit SY 2016-2017 data to *EMAPS* no later than November 1, 2017. OSEP reviewed the data for quality issues and provided feedback to States/entities. States/entities were given the opportunity to address the data quality issues prior to the data being published. Finalized data were extracted from the *EMAPS* system after 11:59pm ET on May 30, 2018. Please see Appendix A for the specific date each State/entity submitted these data.

2.2 Definitions

No longer eligible for Part C prior to reaching age three - All children who within this 12-month reporting period, have exited Part C before age three because they are no longer eligible under IDEA, Part C.

Part B eligible, exiting Part C - All children determined to be eligible for Part B during the reporting period and who exited (or will soon exit) Part C. This includes children who receive Part B services in conjunction with Head Start.

Part B eligible, continuing in Part C - All children determined to be eligible for Part B, and whose parents were offered and consented to have their child remain in Part C under 20 USC 1432(5)(B)(ii) and 1435(c). The Part B eligible, continuing in Part C category may ONLY be used by a State whose application for IDEA Part C funds includes a policy under which parents of children with disabilities who were eligible for services under IDEA Section 619 and previously received services under Part C may continue to receive early intervention services under Part C beyond age three.

States that do not offer this option under 20 USC 1432(5)(B)(ii) and 1435(c) may NOT report children in this category.

Not eligible for Part B, exit with referrals to other programs - All children who reached age three, were evaluated and determined not eligible for Part B, and were referred to other programs, which may include preschool learning centers, Head Start (but not receiving Part B services), and child care centers, and/or were referred for other services, which may include health and nutrition services, such as WIC.

Not eligible for Part B, exit with no referrals - All children who reached age three, were evaluated and determined not eligible for Part B, but were not referred to other programs.

Part B eligibility not determined - All children who reached their third birthday and their Part B eligibility was not determined during this reporting period. This category includes children who were referred for Part B evaluation, but for whom the eligibility determination has not yet been made or reported or children for whom parents did not consent to transition planning. Include in this category any child who reached age three, and who has not been reported in categories 2-5.

Deceased - All children who died before their third birthday, even if their death occurred at the age of exit. Include only children who died during the reporting period.

Moved out of State - All children who moved out of State before their third birthday. Include only children who moved during the reporting period. Do not report a child who moved within State (i.e., from one program to another) if services are known to be continuing.

Withdrawal by parent (or guardian) - All children under the age of three whose parents declined all services (including service coordination services) after an IFSP was in place, or declined to consent to Part C services on the IFSP and provided written or verbal indication of withdrawal from Part C services.

Attempts to contact the parent and/or child were unsuccessful - All children, under the age of three, who had an active IFSP, and for whom Part C personnel have been unable to provide early intervention services either due to lack of response from the parent or family, or inability to contact or locate the family or child after repeated, documented attempts. Include in this category any child who was no longer receiving services under Part C before reaching age three, and who has not been reported in categories 7-9.

Hispanic/Latino - A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race. Refers to Hispanic and/or Latino.

American Indian or Alaska Native - A person having origins in any of the original peoples of North and South America (including Central America) and who maintains tribal affiliation or community attachment. (Does not include persons of Hispanic/Latino ethnicity.)

Asian - A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent. This includes, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam. (Does not include persons of Hispanic/Latino ethnicity.)

Black or African American - A person having origins in any of the Black racial groups of Africa. (Does not include persons of Hispanic/Latino ethnicity.)

Native Hawaiian or Other Pacific Islander - A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands. (Does not include persons of Hispanic/Latino ethnicity.)

White - A person having origins in any of the original peoples of Europe, the Middle East, or North Africa. (Does not include persons of Hispanic/Latino ethnicity.)

Two or more races - A person having origins in two or more of the five race categories listed immediately above. (Does not include persons of Hispanic/Latino ethnicity.)

3.0 Data Quality

3.1 Data Quality Checks

OSEP reviews and evaluates the timeliness, completeness, and accuracy of the data submitted by States to meet the reporting requirements under Section 618 of IDEA. OSEP also conducts year to year change analysis on data submitted by the States.

3.1.1 Timeliness

OSEP identifies a Section 618 data submission as **timely** if the State has submitted the required data to the appropriate data submission system (i.e., ESS or EMAPS) on or before the original due date. The due dates for the IDEA Section 618 data are:

- The first Wednesday in November for Part B Personnel, Part B Exiting, Part B Discipline, Part B Dispute Resolution, Part C Exiting, and Part C Dispute Resolution data collections.
- The first Wednesday in April for Part B Child Count, Part B Educational Environments, Part C Child Count, and Part C Settings data collections.
- During the third week in December for Part B Assessment data collection. This due date is aligned with the due date for the assessment data reported by States for the Consolidated State Performance Reports (CSPR).
- The first Wednesday in May for the Part B Maintenance of Effort Reduction and Coordinated Early Intervening Services data collection.

3.1.2 Completeness

OSEP identifies a Section 618 data submission as **complete** if the State has submitted data for all applicable fields, file specifications, category sets, subtotals, and grand totals for a specific Section 618 data collection. Additionally, OSEP evaluates if the data submitted by the State match the information in metadata sources such as the EMAPS State Supplemental Survey-IDEA and the EMAPS Assessment Metadata Survey.

3.1.3 Accuracy

OSEP identifies a Section 618 data submission as **accurate** if the State has submitted data that meets all the edit checks for the specific data collection. The edit checks for each Section 618 data collection are identified in the Part B Data Edits and Part C Data Edits documents available to States in Office of Management and Budget (OMB) MAX. The majority of these edit checks are incorporated into the business rules in ESS and EMAPS. Specific business rules or edit checks are outlined in the *EDFacts* Business Rules Guide and the EMAPS user guides available through the [EDFacts Initiative](#) website.

3.1.4 Year-to-Year Change Analysis

OSEP also conducts **year-to-year change analysis** in order to determine if there has been a large fluctuation in the counts reported by a State from year to year. If large changes are identified, OSEP requests that the State review the data to ensure that the changes are not the result of a data quality issue and to provide an explanation for the large change in counts if it was not the result of a data quality issue.

OSEP reviews the data notes and explanations States provide in relation to the submission of the Section 618 data to better understand if and how the State is meeting the reporting instructions and requirements for the specific data collection. Many of these data notes and explanations are published in the [Data Notes](#) documents accompanying the IDEA Section 618 data files.

3.2 Suppression

OSEP did not identify any data quality concerns and did not suppress any Part C Exiting data for any States/entities for SY 2016-17.

3.3 Data Notes

States/entities have the option to provide additional information to OSEP related to the data quality issues or changes. This information has been compiled and accompanies the data files for data users. Please review the [Part C Exiting Data Notes](#) document when using the public file.

4.0 File Structure

The following table provides the layout of the Part C Exiting file.

Number of Variables: 16

Extraction Date: The date the data were extracted from EMAPS.

Updated: The date changes were made to the text, format or template of the file; if no changes have occurred this line will be blank.

Revised: The date updates were made to the data; if no changes have occurred this line will be blank.

Variable Name	Type
Year	Reference Year
State	State Name
Exit Code	Number of infant or toddler with a disability, as defined in 20 U.S.C. 1432(5), who reached age three or were no longer receiving services under Part C within this 12-month reporting period according to the most recent reason for no longer receiving services
Reporting Period	The State identified 12-month reporting period
Ages Birth through 2	Number of children with an active IFSP in place at some point during the reporting period and at the end of the reporting period either was no longer receiving services under Part C or had reached his or her third birthday, by reason for no longer receiving services.
Hispanic/Latino	Number of Hispanic/Latino children with an active IFSP in place at some point during the reporting period and at the end of the reporting period either was no longer receiving services under Part C or had reached his or her third birthday, by reason for no longer receiving services.
American Indian or Alaska Native	Number of American Indian or Alaska Native children with an active IFSP in place at some point during the reporting period and at the end of the reporting period either was no longer receiving services under Part C or had reached his or her third birthday, by reason for no longer receiving services.
Asian	Number of Asian children with an active IFSP in place at some point during the reporting period and at the end of the reporting period either was no longer receiving services under Part C or had reached his or her third birthday, by reason for no longer receiving services.
Black or African American	Number of Black or African American children with an active IFSP in place at some point during the reporting period and at the end of the reporting period either was no longer receiving services under Part C or had reached his or her third birthday, by reason for no longer receiving services.

Variable Name	Type
Native Hawaiian or Other Pacific Islander	Number of Native Hawaiian or Other Pacific Islander children with an active IFSP in place at some point during the reporting period and at the end of the reporting period either was no longer receiving services under Part C or had reached his or her third birthday, by reason for no longer receiving services.
White	Number of White children with an active IFSP in place at some point during the reporting period and at the end of the reporting period either was no longer receiving services under Part C or had reached his or her third birthday, by reason for no longer receiving services.
Two or More Races	Number of children with Two or More Race with an active IFSP in place at some point during the reporting period and at the end of the reporting period either was no longer receiving services under Part C or had reached his or her third birthday, by reason for no longer receiving services.
R/E Total	Total number children across race/ethnicity categories with an active IFSP in place at some point during the reporting period and at the end of the reporting period either was no longer receiving services under Part C or had reached his or her third birthday, by reason for no longer receiving services.
Male	Number of male children with an active IFSP in place at some point during the reporting period and at the end of the reporting period either was no longer receiving services under Part C or had reached his or her third birthday, by reason for no longer receiving services.
Female	Number of female children with an active IFSP in place at some point during the reporting period and at the end of the reporting period either was no longer receiving services under Part C or had reached his or her third birthday, by reason for no longer receiving services.
Gender Total	Total number children across gender categories with an active IFSP in place at some point during the reporting period and at the end of the reporting period either was no longer receiving services under Part C or had reached his or her third birthday, by reason for no longer receiving services.

5.0 Guidance for Using these Data / FAQs

Who is included in this file?

This file includes any child who was under age three and had an active individualized family service plan (IFSP) in place at some point during the reporting period and at the end of the reporting period either was no longer receiving services under Part C or had reached his or her third birthday.

Who is not included in this file?

Child NOT reported as exiting Part C if:

- the child had turned age three at the start of the reporting period;¹ or
- services were temporarily interrupted and subsequently resumed before the end of the reporting period; or
- the child was under age three at the end of the reporting period and had an active IFSP, even if services were intermittently stopped during the reporting period.

How is the 12 month reporting period determined?

The State can determine the 12-month reporting period of data collection. This 12-month window (reporting period) should be the same for each annual report of these data. States must indicate on the form the specific 12-month period the State used for reporting. If the State needs to revise the dates for its 12-month reporting period, it should notify OSEP in advance of any change in the State-identified reporting period.

Are students duplicated in this file?

The infants and toddlers with disabilities represented in this file are an unduplicated count; no child is counted more than once during the 12-month reporting period. If a child is identified as no longer receiving services under Part C more than once during the reporting period, report the reason that services are no longer being received based on the last time the child exited (i.e., report the child in the category that corresponds to the most recent reason for no longer receiving services under Part C)

How is the race/ethnicity reported?

The race/ethnicity is reported for the child, not the family.

6.0 Privacy Protections Used

Beginning in August 2012, the US Department of Education established a Disclosure Review Board (DRB) to review proposed data releases by the Department's principal offices (e.g., OSEP) through a collaborative technical assistance process so that the Department releases as much useful data as possible, while protecting the privacy of individuals and the confidentiality of their data, as required by law.

¹ These children should have been counted in the previous reporting period under "Exit at Age Three".

The DRB worked with OSEP to develop appropriate disclosure avoidance plans for the purposes of the Section 618 data releases that are derived from data protected by The Family Educational Rights and Privacy Act (FERPA) and IDEA and to help prevent the unauthorized disclosure of personally identifiable information in OSEP’s public IDEA Section 618 data file releases.

The DRB applied the FERPA standard for de-identification to assesses whether a “reasonable person in the school community who does not have personal knowledge of the relevant circumstances” could identify individual students in tables with small size cells (34 CFR §99.3 and §99.31(b)(1)). The “reasonable person” standard was used to determine whether the data have been sufficiently redacted prior to release such that a “reasonable person” (i.e., a hypothetical, rational, prudent, average individual) in the school community would not be able to identify a student with any reasonable certainty. School officials, including teachers, administrators, coaches, and volunteers, are not considered in making the reasonable person determination since they are presumed to have inside knowledge of the relevant circumstances and of the identity of the students.

The data do not contain any individual-level information, and are aggregated to the State (or entity) level. The DRB has determined that the aggregation of the Part C Exiting data to the State (or entity) level is typically sufficient to protect privacy, except in those circumstances where there are only 1-2 students in a reported demographic (i.e., race or gender). In all other situations, the DRB considers the aggregation of these data to the State-level to be sufficient to protect against re-identification of any specific individuals from small cells.

OSERS will apply the following additional privacy protections.

1. If any demographic group (i.e., race or gender) has only 1-2 individuals for the entity, suppress all information for that demographic group in the entity.
2. If only 1 demographic group is suppressed, suppress all information for the next smallest (non-zero) demographic group as well.
3. For each set of suppressions, ensure that at least one group suppressed under Steps 1 and 2 has a value of greater than 1. If not, suppress all information for an additional demographic group with a value of greater than 1.
4. When calculating national totals, ensure that each demographic group suppressed in steps 1-3 above is suppressed in at least 1 additional entity to prevent calculation of the suppressed values from the national totals.

With these privacy protections applied, it is the consensus of the Disclosure Review Board that the 2016-2017 IDEA Part C Exiting Data File is safe for public release under FERPA.

7.0 Appendix A

Date of the Last State Level Submission

State	Part C Exiting
Alabama	10/31/2017
Alaska	10/30/2017
American Samoa	10/27/2017
Arizona	10/31/2017
Arkansas	10/25/2017
California	10/27/2017
Colorado	10/23/2017
Connecticut	10/30/2017
Delaware	10/30/2017
District of Columbia	5/30/2018
Florida	10/19/2017
Georgia	10/31/2017
Guam	10/25/2017
Hawaii	10/16/2017
Idaho	10/25/2017
Illinois	10/28/2017
Indiana	10/27/2017
Iowa	10/13/2017
Kansas	10/16/2017
Kentucky	10/25/2017
Louisiana	10/30/2017
Maine	10/31/2017
Maryland	10/31/2017
Massachusetts	10/26/2017
Michigan	10/2/2017
Minnesota	5/7/2018
Mississippi	10/31/2017
Missouri	10/5/2017
Montana	10/31/2017
Nebraska	11/1/2017
Nevada	10/26/2017
New Hampshire	11/1/2017
New Jersey	11/1/2017
New Mexico	11/1/2017
New York	11/1/2017
North Carolina	10/30/2017
North Dakota	11/1/2017
Northern Marianas	10/26/2017

State	Part C Exiting
Ohio	10/23/2017
Oklahoma	10/29/2017
Oregon	10/13/2017
Pennsylvania	10/30/2017
Puerto Rico	5/9/2018
Rhode Island	5/24/2018
South Carolina	11/1/2017
South Dakota	10/3/2017
Tennessee	10/19/2017
Texas	10/27/2017
Utah	10/18/2017
Vermont	10/30/2017
Virgin Islands	5/24/2018
Virginia	10/11/2017
Washington	5/24/2018
West Virginia	10/30/2017
Wisconsin	10/30/2017
Wyoming	10/30/2017

- Data not submitted