

Status: Submitted  
 Last Updated: 07/01/2010 8:41 PM

## Technical Review Coversheet

**Applicant:** Niswonger Foundation -- , - , (U396B100336)

**Reader #1:**

	<b>POINTS POSSIBLE</b>	<b>POINTS SCORED</b>
<b>Summary Statement</b>		
1. Summary Statement	N/A	N/A
<b>Selection Criteria</b>		
1. A. Need for the Project and Quality of the Project Design (up to 20 Points)	20	_____
2. B. Strength of Research, Significance of Effect, and Magnitude of Effect (up to 15 Points)	15	15
3. C. Experience of the Eligible Applicant (up to 20 Points)	20	_____
4. D. Quality of the Project Evaluation (up to 15 Points)	15	15
5. E. Strategy and Capacity to Bring to Scale (up to 10 Points)	10	_____
6. F. Sustainability (up to 10 Points)	10	_____
7. G. Quality of the Management Plan and Personnel (up to 10 Points)	10	_____
<b>Competitive Preference</b>		
1. Competitive Preference 5: Innovations for Improving Early Learning Outcomes (0 or 1 Point)	1	_____
2. Competitive Preference 6: Innovations That Support College Access and Success (0 or 1 Point)	1	_____
3. Competitive Preference 7: Innovations To Address the Unique Learning Needs of Students With	1	_____

Disabilities and Limited English Proficient Students  
(0 or 1 Point)

4. Competitive Preference 8: Innovations That Serve Schools in Rural LEAs (0, 1, or 2 Points) 2 \_\_\_\_\_

**TOTAL** 105 30

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## Technical Review Form

**Validation 12: 84.396B**

**Reader #1:**

**Applicant:** Niswonger Foundation -- , - , (U396B100336)

### Summary Statement

#### 1. Summary Statement

### Selection Criteria

#### 1. A. Need for the Project and Quality of the Project Design (up to 20 Points)

In determining the need for the project and quality of the design of the proposed project, the Secretary considers the following factors:

(1) The extent to which the proposed project represents an exceptional approach to the priorities the eligible applicant is seeking to meet (i.e., addresses a largely unmet need, particularly for high-need students, and is a practice, strategy, or program that has not already been widely adopted).

(2) The extent to which the proposed project has a clear set of goals and an explicit strategy, with actions that are

(a) aligned with the priorities the eligible applicant is seeking to meet, and

(b) expected to result in achieving the goals, objectives, and outcomes of the proposed project.

(3) The extent to which the proposed project is consistent with the research evidence

supporting the proposed project, taking into consideration any differences in context.

**Strengths**

**Weaknesses**

**2. B. Strength of Research, Significance of Effect, and Magnitude of Effect (up to 15 Points)**

The Secretary considers the strength of the existing research evidence, including the internal validity (strength of causal conclusions) and external validity (generalizability) of the effects reported in prior research, on whether the proposed project will improve student achievement or student growth, close achievement gaps, decrease dropout rates, increase high school graduation rates, or increase college enrollment and completion rates. Eligible applicants may also demonstrate success through an intermediate variable that is strongly correlated with improving these outcomes, such as teacher or principal effectiveness.

In determining the strength of the existing research evidence, the Secretary considers the following factors:

(1) The extent to which the eligible applicant demonstrates that there is moderate evidence (as defined in the Notice Inviting Applications) that the proposed practice, strategy, or program will have a statistically significant, substantial, and important effect on improving student achievement or student growth, closing achievement gaps, decreasing dropout rates, increasing high school graduation rates, or increasing college enrollment and completion rates.

(2) The importance and magnitude of the effect expected to be obtained by the proposed project, including the likelihood that the project will substantially and measurably improve student achievement or student growth, close achievement gaps, decrease dropout rates, increase high school graduation rates, or increase college enrollment and completion rates. The evidence in support of the importance and magnitude of the effect would be the research-based evidence provided by the eligible applicant to support the proposed project.

**Strengths**

The research cited has both internal and external validity. Research for each proposed educational program to be used is included in the discussion. Other studies that discuss the student successes of the proposed program are included and discussed. Current research supports the proposed program with the student population.

**Weaknesses**

No weaknesses were noted.

**Reader's Score: 15**

### **3. C. Experience of the Eligible Applicant (up to 20 Points)**

**In determining the experience of the eligible applicant, the Secretary considers the following factors:**

- (1) The past performance of the eligible applicant in implementing complex projects.**
- (2) The extent to which an eligible applicant provides information and data demonstrating that -**
  - (a) In the case of an eligible applicant that is an LEA, the LEA has -**
    - (i) Significantly closed the achievement gaps between groups of students described in section 1111(b)(2) of the ESEA, or significantly increased student achievement for all groups of students described in such section; and**
    - (ii) Made significant improvements in other areas, such as graduation rates or increased recruitment and placement of high-quality teachers and principals, as demonstrated with meaningful data; or**
  - (b) In the case of an eligible applicant that includes a nonprofit organization, the nonprofit organization has significantly improved student achievement, attainment, or retention through its record of work with an LEA or schools.**

**Strengths**

**Weaknesses**

### **4. D. Quality of the Project Evaluation (up to 15 Points)**

**In determining the quality of the evaluation, the Secretary considers the following factors:**

- (1) The extent to which the methods of evaluation will include a well designed experimental study or well designed quasi-experimental study.**
- (2) The extent to which the methods of evaluation will provide high-quality implementation data and performance feedback, and permit periodic assessment of progress toward achieving intended outcomes.**
- (3) The extent to which the evaluation will provide sufficient information about the key elements and approach of the project so as to facilitate replication or testing in other settings.**
- (4) The extent to which the proposed project plan includes sufficient resources to carry out the project evaluation effectively.**

**(5) The extent to which the proposed evaluation is rigorous, independent, and neither the program developer nor the project implementer will evaluate the impact of the project.**

**Strengths**

The evaluation is being conducted by an independent evaluation team. The method is clearly defined as quasi-experimental design with a control group from neighboring high schools. The evaluation includes both formative and summative assessments and reports annually. The plan also includes collecting and evaluating student data, which are specifically scores on AP tests and grades for AP classes. The budget has adequate funding for conducting a rigorous evaluation.

**Weaknesses**

No weaknesses are noted.

**Reader's Score: 15**

**5. E. Strategy and Capacity to Bring to Scale (up to 10 Points)**

**In determining the quality of the strategy and capacity to bring the proposed project to scale, the Secretary considers:**

- (1) The number of students proposed to be reached by the proposed project and the capacity of the eligible applicant and any other partners to reach the proposed number of students during the course of the grant period.**
- (2) The eligible applicant's capacity (e.g., in terms of qualified personnel, financial resources, or management capacity) to bring the proposed project to scale on a State or regional level (as appropriate, based on the results of the proposed project) working directly, or through other partners, either during or following the end of the grant period.**
- (3) The feasibility of the proposed project to be replicated successfully, if positive results are obtained, in a variety of settings and with a variety of student populations. Evidence of this ability includes the availability of resources and expertise required for implementing the project with fidelity, and the proposed project's evidence of relative ease of use or user satisfaction.**
- (4) The eligible applicant's estimate of the cost of the proposed project, which includes the start-up and operating costs per student per year (including indirect costs) for reaching the total number of students proposed to be served by the project. The eligible applicant must include an estimate of the costs for the eligible applicant or others (including other partners) to reach 100,000, 250,000, and**

**500,000 students.**

**(5) The mechanisms the eligible applicant will use to broadly disseminate information on its project to support further development, expansion, or replication.**

**Strengths**

**Weaknesses**

#### **6. F. Sustainability (up to 10 Points)**

**In determining the adequacy of resources for the proposed project, the Secretary considers the following factors:**

**(1) The extent to which the eligible applicant demonstrates that it has the resources, as well as the support of stakeholders (e.g., State educational agencies, teachers' unions), to operate the project beyond the length of the Validation grant.**

**(2) The potential and planning for the incorporation of project purposes, activities, or benefits into the ongoing work of the eligible applicant and any other partners at the end of the Validation grant.**

**Strengths**

**Weaknesses**

#### **7. G. Quality of the Management Plan and Personnel (up to 10 Points)**

**In determining the quality of the management plan and personnel for the proposed project, the Secretary considers:**

**(1) The adequacy of the management plan to achieve the objectives of the proposed project on time and within budget, including clearly defined responsibilities, timelines, and milestones for accomplishing project tasks, as well as tasks related to the sustainability and scalability of the proposed project.**

**(2) The qualifications, including relevant training and experience, of the project director and key project personnel, especially in managing complex projects.**

**(3) The qualifications, including relevant expertise and experience, of the project director and key personnel of the independent evaluator, especially in designing and conducting experimental and quasi-experimental studies of educational initiatives.**

**Strengths**

**Weaknesses**

**Competitive Preference**

**1. Competitive Preference 5: Innovations for Improving Early Learning Outcomes (0 or 1 Point)**

We give competitive preference to applications for projects that would implement innovative practices, strategies, or programs that are designed to improve educational outcomes for high-need students who are young children (birth through 3rd grade) by enhancing the quality of early learning programs. To meet this priority, applications must focus on:

- (a) improving young children's school readiness (including social, emotional, and cognitive readiness) so that children are prepared for success in core academic subjects (as defined in section 9101(11) of the ESEA);
- (b) improving developmental milestones and standards and aligning them with appropriate outcome measures; and
- (c) improving alignment, collaboration, and transitions between early learning programs that serve children from birth to age three, in preschools, and in kindergarten through third grade.

Strengths

Weaknesses

**2. Competitive Preference 6: Innovations That Support College Access and Success (0 or 1 Point)**

We give competitive preference to applications for projects that would implement innovative practices, strategies, or programs that are designed to enable kindergarten through grade 12 (K-12) students, particularly high school students, to successfully prepare for, enter, and graduate from a two- or four-year college. To meet this priority, applications must include practices, strategies, or programs for K-12 students that

- (a) address students' preparedness and expectations related to college;
- (b) help students understand issues of college affordability and the financial aid and college application processes; and
- (c) provide support to students from peers and knowledgeable adults.

Strengths

Weaknesses

**3. Competitive Preference 7: Innovations To Address the Unique Learning Needs of Students With Disabilities and Limited English Proficient Students (0 or 1 Point)**

We give competitive preference to applications for projects that would implement innovative practices, strategies, or programs that are designed to address the unique learning needs of students with disabilities, including those who are assessed based on alternate academic achievement standards, or the linguistic and academic needs of limited English proficient students. To meet this priority, applications must

provide for the implementation of particular practices, strategies, or programs that are designed to improve academic outcomes, close achievement gaps, and increase college- and career-readiness, including increasing high school graduation rates (as defined in this notice), for students with disabilities or limited English proficient students.

**Strengths**

**Weaknesses**

**4. Competitive Preference 8: Innovations That Serve Schools in Rural LEAs (0, 1, or 2 Points)**

We give competitive preference to applications for projects that would implement innovative practices, strategies, or programs that are designed to focus on the unique challenges of high-need students in schools within a rural LEA (as defined in this notice) and address the particular challenges faced by students in these schools. To meet this priority, applications must include practices, strategies, or programs that are designed to improve student achievement or student growth, close achievement gaps, decrease dropout rates, increase high school graduation rates, or improve teacher and principal effectiveness in one or more rural LEAs.

**Strengths**

**Weaknesses**

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**Status:** Submitted

**Last Updated:** 07/01/2010 8:41 PM

Status: Submitted  
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## Technical Review Coversheet

**Applicant:** Niswonger Foundation -- , - , (U396B100336)

**Reader #2:**

	<b>POINTS POSSIBLE</b>	<b>POINTS SCORED</b>
<b>Summary Statement</b>		
1. Summary Statement	N/A	N/A
<b>Selection Criteria</b>		
1. A. Need for the Project and Quality of the Project Design (up to 20 Points)	20	19
2. B. Strength of Research, Significance of Effect, and Magnitude of Effect (up to 15 Points)	15	_____
3. C. Experience of the Eligible Applicant (up to 20 Points)	20	20
4. D. Quality of the Project Evaluation (up to 15 Points)	15	_____
5. E. Strategy and Capacity to Bring to Scale (up to 10 Points)	10	10
6. F. Sustainability (up to 10 Points)	10	10
7. G. Quality of the Management Plan and Personnel (up to 10 Points)	10	10
<b>Competitive Preference</b>		
1. Competitive Preference 5: Innovations for Improving Early Learning Outcomes (0 or 1 Point)	1	_____
2. Competitive Preference 6: Innovations That Support College Access and Success (0 or 1 Point)	1	1
3. Competitive Preference 7: Innovations To Address	1	_____

the Unique Learning Needs of Students With Disabilities and Limited English Proficient Students (0 or 1 Point)

4. Competitive Preference 8: Innovations That Serve Schools in Rural LEAs (0, 1, or 2 Points)	2	2
<b>TOTAL</b>	105	72

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## Technical Review Form

**Validation 12: 84.396B**

**Reader #2:**

**Applicant:** Niswonger Foundation -- , - , (U396B100336)

### Summary Statement

#### 1. Summary Statement

#### Selection Criteria

##### 1. A. Need for the Project and Quality of the Project Design (up to 20 Points)

**In determining the need for the project and quality of the design of the proposed project, the Secretary considers the following factors:**

**(1) The extent to which the proposed project represents an exceptional approach to the priorities the eligible applicant is seeking to meet (i.e., addresses a largely unmet need, particularly for high-need students, and is a practice, strategy, or program that has not already been widely adopted).**

**(2) The extent to which the proposed project has a clear set of goals and an explicit strategy, with actions that are**

**(a) aligned with the priorities the eligible applicant is seeking to meet, and**

**(b) expected to result in achieving the goals, objectives, and outcomes of the proposed project.**

**(3) The extent to which the proposed project is consistent with the research evidence supporting the proposed project, taking into consideration any differences in context.**

**Strengths**

The program will service a high need area in Tennessee. The proposed project will scale up six successful programs currently operating in the region. This combination of programs present an exceptional approach to the targeted priority. Adequate and appropriate research is provided to support the use of additional rigorous coursework, both online and face-to-face. Project goals, objectives and strategies are all clear.

**Weaknesses**

Near the bottom of page one of the proposal it states that although all students will benefit from these courses, the program is designed to specifically target underrepresented students. However, there was no specific mention in the proposal how underrepresented students would be specifically targeted for the program.

**Reader's Score: 19**

**2. B. Strength of Research, Significance of Effect, and Magnitude of Effect (up to 15 Points)**

The Secretary considers the strength of the existing research evidence, including the internal validity (strength of causal conclusions) and external validity (generalizability) of the effects reported in prior research, on whether the proposed project will improve student achievement or student growth, close achievement gaps, decrease dropout rates, increase high school graduation rates, or increase college enrollment and completion rates. Eligible applicants may also demonstrate success through an intermediate variable that is strongly correlated with improving these outcomes, such as teacher or principal effectiveness.

In determining the strength of the existing research evidence, the Secretary considers the following factors:

**(1) The extent to which the eligible applicant demonstrates that there is moderate evidence (as defined in the Notice Inviting Applications) that the proposed practice, strategy, or program will have a statistically significant, substantial, and important effect on improving student achievement or student growth, closing achievement gaps, decreasing dropout rates, increasing high school graduation rates, or increasing college enrollment and completion rates.**

**(2) The importance and magnitude of the effect expected to be obtained by the proposed project, including the likelihood that the project will substantially and**

measurably improve student achievement or student growth, close achievement gaps, decrease dropout rates, increase high school graduation rates, or increase college enrollment and completion rates. The evidence in support of the importance and magnitude of the effect would be the research-based evidence provided by the eligible applicant to support the proposed project.

**Strengths**

**Weaknesses**

**3. C. Experience of the Eligible Applicant (up to 20 Points)**

In determining the experience of the eligible applicant, the Secretary considers the following factors:

(1) The past performance of the eligible applicant in implementing complex projects.

(2) The extent to which an eligible applicant provides information and data demonstrating that -

(a) In the case of an eligible applicant that is an LEA, the LEA has -

(i) Significantly closed the achievement gaps between groups of students described in section 1111(b)(2) of the ESEA, or significantly increased student achievement for all groups of students described in such section; and

(ii) Made significant improvements in other areas, such as graduation rates or increased recruitment and placement of high-quality teachers and principals, as demonstrated with meaningful data; or

(b) In the case of an eligible applicant that includes a nonprofit organization, the nonprofit organization has significantly improved student achievement, attainment, or retention through its record of work with an LEA or schools.

**Strengths**

The foundation serves as a hub for the surrounding school districts and has experience in implementing complex educational projects. These include a variety of grants and operating a professional development center. The authors provide several examples of their work with local schools, and how this work has resulted in improved student achievement. Examples of this include the Hancock County Elementary School project, the Grassy Fork Elementary School project and the Unaka High School algebra initiative.

**Weaknesses**

No weaknesses noted.

**Reader's Score: 20**

#### **4. D. Quality of the Project Evaluation (up to 15 Points)**

**In determining the quality of the evaluation, the Secretary considers the following factors:**

- (1) The extent to which the methods of evaluation will include a well designed experimental study or well designed quasi-experimental study.**
- (2) The extent to which the methods of evaluation will provide high-quality implementation data and performance feedback, and permit periodic assessment of progress toward achieving intended outcomes.**
- (3) The extent to which the evaluation will provide sufficient information about the key elements and approach of the project so as to facilitate replication or testing in other settings.**
- (4) The extent to which the proposed project plan includes sufficient resources to carry out the project evaluation effectively.**
- (5) The extent to which the proposed evaluation is rigorous, independent, and neither the program developer nor the project implementer will evaluate the impact of the project.**

**Strengths**

**Weaknesses**

#### **5. E. Strategy and Capacity to Bring to Scale (up to 10 Points)**

**In determining the quality of the strategy and capacity to bring the proposed project to scale, the Secretary considers:**

- (1) The number of students proposed to be reached by the proposed project and the capacity of the eligible applicant and any other partners to reach the proposed number of students during the course of the grant period.**
- (2) The eligible applicant's capacity (e.g., in terms of qualified personnel, financial resources, or management capacity) to bring the proposed project to scale on a State or regional level (as appropriate, based on the results of the proposed project) working directly, or through other partners, either during or following the end of the grant period.**
- (3) The feasibility of the proposed project to be replicated successfully, if positive results are obtained, in a variety of settings and with a variety of student populations. Evidence of this ability includes the availability of resources and expertise required for implementing the project with fidelity, and the proposed project's evidence of relative ease of use or user satisfaction.**

**(4) The eligible applicant's estimate of the cost of the proposed project, which includes the start-up and operating costs per student per year (including indirect costs) for reaching the total number of students proposed to be served by the project. The eligible applicant must include an estimate of the costs for the eligible applicant or others (including other partners) to reach 100,000, 250,000, and 500,000 students.**

**(5) The mechanisms the eligible applicant will use to broadly disseminate information on its project to support further development, expansion, or replication.**

**Strengths**

The project is slated to serve 15 local schools with a total enrollment of nearly 85,000 students. Currently the foundation has partnerships with 19 local systems and six regional higher education institutions and so this project seems well within the capacity of the foundation to manage. The feasibility of the proposed project to be replicated successfully following positive results is great, due to the variety of student populations that would benefit from its implementation. Reasonable cost estimates are provided to reach 100,000, 250,000 and 500,000 students. A dissemination strategy is well thought out and comprehensive.

**Weaknesses**

No weaknesses noted.

**Reader's Score: 10**

**6. F. Sustainability (up to 10 Points)**

**In determining the adequacy of resources for the proposed project, the Secretary considers the following factors:**

**(1) The extent to which the eligible applicant demonstrates that it has the resources, as well as the support of stakeholders (e.g., State educational agencies, teachers' unions), to operate the project beyond the length of the Validation grant.**

**(2) The potential and planning for the incorporation of project purposes, activities, or benefits into the ongoing work of the eligible applicant and any other partners at the end of the Validation grant.**

**Strengths**

Letters of support and MOUs found in the appendix provide credence that the foundation has the support of stakeholders necessary to operate the project beyond the length of the grant. Costs over the course of the project

will be gradually covered by the systems in higher percentages each year. Following the grant, the foundation and partners will sustain all activities and costs not picked up by the local systems.

**Weaknesses**

No weaknesses noted.

**Reader's Score: 10**

**7. G. Quality of the Management Plan and Personnel (up to 10 Points)**

**In determining the quality of the management plan and personnel for the proposed project, the Secretary considers:**

- (1) The adequacy of the management plan to achieve the objectives of the proposed project on time and within budget, including clearly defined responsibilities, timelines, and milestones for accomplishing project tasks, as well as tasks related to the sustainability and scalability of the proposed project.**
- (2) The qualifications, including relevant training and experience, of the project director and key project personnel, especially in managing complex projects.**
- (3) The qualifications, including relevant expertise and experience, of the project director and key personnel of the independent evaluator, especially in designing and conducting experimental and quasi-experimental studies of educational initiatives.**

**Strengths**

The management plan is detailed with achievable objectives. The time line and milestones are specific and the tasks related to sustainability and scalability of the proposed project are provided. The qualifications and experience of the project director, key project personnel and evaluator are all extremely strong.

**Weaknesses**

No weaknesses noted.

**Reader's Score: 10**

**Competitive Preference**

**1. Competitive Preference 5: Innovations for Improving Early Learning Outcomes (0 or 1 Point)**

**We give competitive preference to applications for projects that would implement innovative practices, strategies, or programs that are designed to improve educational outcomes for high-need students who are young children (birth through 3rd grade) by enhancing the quality of early learning programs. To meet this priority, applications must focus on:**

- (a) improving young children's school readiness (including social, emotional, and cognitive readiness) so that children are prepared for success in core academic subjects (as defined in section 9101(11) of the ESEA);**
- (b) improving developmental milestones and standards and aligning them with appropriate outcome measures; and**
- (c) improving alignment, collaboration, and transitions between early learning programs that serve children from birth to age three, in preschools, and in kindergarten through third grade.**

**Strengths**

**Weaknesses**

**2. Competitive Preference 6: Innovations That Support College Access and Success (0 or 1 Point)**

**We give competitive preference to applications for projects that would implement innovative practices, strategies, or programs that are designed to enable kindergarten through grade 12 (K-12) students, particularly high school students, to successfully prepare for, enter, and graduate from a two- or four-year college. To meet this priority, applications must include practices, strategies, or programs for K-12 students that**

- (a) address students' preparedness and expectations related to college;**
- (b) help students understand issues of college affordability and the financial aid and college application processes; and**
- (c) provide support to students from peers and knowledgeable adults.**

**Strengths**

The project will address expectations related to college, affordability and financial aid, and the college application process.

**Weaknesses**

**Reader's Score: 1**

**3. Competitive Preference 7: Innovations To Address the Unique Learning Needs of Students With Disabilities and Limited English Proficient Students (0 or 1 Point)**

**We give competitive preference to applications for projects that would implement innovative practices, strategies, or programs that are designed to address the unique learning needs of students with disabilities, including those who are assessed based on alternate academic achievement standards, or the linguistic and academic needs of limited English proficient students. To meet this priority, applications must provide for the implementation of particular practices, strategies, or programs that are designed to improve academic outcomes, close achievement gaps, and increase college- and career-readiness, including increasing high school graduation rates (as defined in this notice), for students with disabilities or limited English proficient students.**

**Strengths**

**Weaknesses**

**4. Competitive Preference 8: Innovations That Serve Schools in Rural LEAs (0, 1, or 2 Points)**

**We give competitive preference to applications for projects that would implement innovative practices, strategies, or programs that are designed to focus on the unique challenges of high-need students in schools within a rural LEA (as defined in this notice) and address the particular challenges faced by students in these schools. To meet this priority, applications must include practices, strategies, or programs that are designed to improve student achievement or student growth, close achievement gaps, decrease dropout rates, increase high school graduation rates, or improve teacher and principal effectiveness in one or more rural LEAs.**

**Strengths**

Providing programs to rural students not available to them in their current situation such as, dual enrollment, AP courses, distance and online learning, provide the practices, strategies and programs that meets the spirit of this competitive preference.

**Weaknesses**

**Reader's Score: 2**

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**Status:** Submitted

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Status: Submitted  
Last Updated: 07/07/2010 6:55 PM

## Technical Review Coversheet

**Applicant:** Niswonger Foundation -- , - , (U396B100336)

**Reader #3:**

	<b>POINTS POSSIBLE</b>	<b>POINTS SCORED</b>
<b>Summary Statement</b>		
1. Summary Statement	N/A	N/A
<b>Selection Criteria</b>		
1. A. Need for the Project and Quality of the Project Design (up to 20 Points)	20	20
2. B. Strength of Research, Significance of Effect, and Magnitude of Effect (up to 15 Points)	15	0
3. C. Experience of the Eligible Applicant (up to 20 Points)	20	20
4. D. Quality of the Project Evaluation (up to 15 Points)	15	0
5. E. Strategy and Capacity to Bring to Scale (up to 10 Points)	10	10
6. F. Sustainability (up to 10 Points)	10	10
7. G. Quality of the Management Plan and Personnel (up to 10 Points)	10	10
<b>Competitive Preference</b>		
1. Competitive Preference 5: Innovations for Improving Early Learning Outcomes (0 or 1 Point)	1	0
2. Competitive Preference 6: Innovations That Support College Access and Success (0 or 1 Point)	1	1
3. Competitive Preference 7: Innovations To Address	1	0

the Unique Learning Needs of Students With Disabilities and Limited English Proficient Students (0 or 1 Point)

4. Competitive Preference 8: Innovations That Serve Schools in Rural LEAs (0, 1, or 2 Points)	2	2
<b>TOTAL</b>	105	73

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## Technical Review Form

**Validation 12: 84.396B**

**Reader #3:**

**Applicant:** Niswonger Foundation -- , - , (U396B100336)

### Summary Statement

#### 1. Summary Statement

### Selection Criteria

#### 1. A. Need for the Project and Quality of the Project Design (up to 20 Points)

**In determining the need for the project and quality of the design of the proposed project, the Secretary considers the following factors:**

**(1) The extent to which the proposed project represents an exceptional approach to the priorities the eligible applicant is seeking to meet (i.e., addresses a largely unmet need, particularly for high-need students, and is a practice, strategy, or program that has not already been widely adopted).**

**(2) The extent to which the proposed project has a clear set of goals and an explicit strategy, with actions that are**

**(a) aligned with the priorities the eligible applicant is seeking to meet, and**

**(b) expected to result in achieving the goals, objectives, and outcomes of the proposed project.**

**(3) The extent to which the proposed project is consistent with the research evidence supporting the proposed project, taking into consideration any differences in context.**

**Strengths**

The program described good objectives and goals for the project. The range of student needs that were covered and types of students served was very solid with excellent professional development for teachers included in planning. Over 45,000 course places were expected to be filled over period of grant. Advanced Placement and dual enrollment courses were available for successful students. Program is aligned with Tennessee state standards and STEM focus in local and national interest is heavily supported project plan.

**Weaknesses**

No weaknesses were noted.

**Reader's Score: 20**

**2. B. Strength of Research, Significance of Effect, and Magnitude of Effect (up to 15 Points)**

**The Secretary considers the strength of the existing research evidence, including the internal validity (strength of causal conclusions) and external validity (generalizability) of the effects reported in prior research, on whether the proposed project will improve student achievement or student growth, close achievement gaps, decrease dropout rates, increase high school graduation rates, or increase college enrollment and completion rates. Eligible applicants may also demonstrate success through an intermediate variable that is strongly correlated with improving these outcomes, such as teacher or principal effectiveness.**

**In determining the strength of the existing research evidence, the Secretary considers the following factors:**

**(1) The extent to which the eligible applicant demonstrates that there is moderate evidence (as defined in the Notice Inviting Applications) that the proposed practice, strategy, or program will have a statistically significant, substantial, and important effect on improving student achievement or student growth, closing achievement gaps, decreasing dropout rates, increasing high school graduation rates, or increasing college enrollment and completion rates.**

**(2) The importance and magnitude of the effect expected to be obtained by the proposed project, including the likelihood that the project will substantially and measurably improve student achievement or student growth, close achievement**

gaps, decrease dropout rates, increase high school graduation rates, or increase college enrollment and completion rates. The evidence in support of the importance and magnitude of the effect would be the research-based evidence provided by the eligible applicant to support the proposed project.

**Strengths**

**Weaknesses**

**Reader's Score: 0**

### **3. C. Experience of the Eligible Applicant (up to 20 Points)**

**In determining the experience of the eligible applicant, the Secretary considers the following factors:**

- (1) The past performance of the eligible applicant in implementing complex projects.**
- (2) The extent to which an eligible applicant provides information and data demonstrating that -**
  - (a) In the case of an eligible applicant that is an LEA, the LEA has -**
    - (i) Significantly closed the achievement gaps between groups of students described in section 1111(b)(2) of the ESEA, or significantly increased student achievement for all groups of students described in such section; and**
    - (ii) Made significant improvements in other areas, such as graduation rates or increased recruitment and placement of high-quality teachers and principals, as demonstrated with meaningful data; or**
  - (b) In the case of an eligible applicant that includes a nonprofit organization, the nonprofit organization has significantly improved student achievement, attainment, or retention through its record of work with an LEA or schools.**

**Strengths**

The Niswonger foundation is an excellent partner for the program. They have been active participants in education improvement across northeast Tennessee. Niswonger has helped improve student performance in every area they have been involved in the southeast U.S.

**Weaknesses**

No weaknesses were noted.

**Reader's Score: 20**

### **4. D. Quality of the Project Evaluation (up to 15 Points)**

**In determining the quality of the evaluation, the Secretary considers the following factors:**

- (1) The extent to which the methods of evaluation will include a well designed experimental study or well designed quasi-experimental study.**
- (2) The extent to which the methods of evaluation will provide high-quality implementation data and performance feedback, and permit periodic assessment of progress toward achieving intended outcomes.**
- (3) The extent to which the evaluation will provide sufficient information about the key elements and approach of the project so as to facilitate replication or testing in other settings.**
- (4) The extent to which the proposed project plan includes sufficient resources to carry out the project evaluation effectively.**
- (5) The extent to which the proposed evaluation is rigorous, independent, and neither the program developer nor the project implementer will evaluate the impact of the project.**

**Strengths**

**Weaknesses**

**Reader's Score: 0**

#### **5. E. Strategy and Capacity to Bring to Scale (up to 10 Points)**

**In determining the quality of the strategy and capacity to bring the proposed project to scale, the Secretary considers:**

- (1) The number of students proposed to be reached by the proposed project and the capacity of the eligible applicant and any other partners to reach the proposed number of students during the course of the grant period.**
- (2) The eligible applicant's capacity (e.g., in terms of qualified personnel, financial resources, or management capacity) to bring the proposed project to scale on a State or regional level (as appropriate, based on the results of the proposed project) working directly, or through other partners, either during or following the end of the grant period.**
- (3) The feasibility of the proposed project to be replicated successfully, if positive results are obtained, in a variety of settings and with a variety of student populations. Evidence of this ability includes the availability of resources and expertise required for implementing the project with fidelity, and the proposed**

project's evidence of relative ease of use or user satisfaction.

**(4) The eligible applicant's estimate of the cost of the proposed project, which includes the start-up and operating costs per student per year (including indirect costs) for reaching the total number of students proposed to be served by the project. The eligible applicant must include an estimate of the costs for the eligible applicant or others (including other partners) to reach 100,000, 250,000, and 500,000 students.**

**(5) The mechanisms the eligible applicant will use to broadly disseminate information on its project to support further development, expansion, or replication.**

**Strengths**

Over 45,000 students would be included in original grant with a satisfactory scale up to over 500K noted in the application. Program strategy to bring courses to scale in advanced courses, distance education, and online courses all spoke well for the continuation planning for post-grant continuation.

**Weaknesses**

No weaknesses were noted.

**Reader's Score: 10**

**6. F. Sustainability (up to 10 Points)**

**In determining the adequacy of resources for the proposed project, the Secretary considers the following factors:**

**(1) The extent to which the eligible applicant demonstrates that it has the resources, as well as the support of stakeholders (e.g., State educational agencies, teachers' unions), to operate the project beyond the length of the Validation grant.**

**(2) The potential and planning for the incorporation of project purposes, activities, or benefits into the ongoing work of the eligible applicant and any other partners at the end of the Validation grant.**

**Strengths**

This was an excellent sustainability plan put forth by the author that indicated over 50% of project replacement dollars for grant completion, and other sources of support are already lined up for when grant terminates.

**Weaknesses**

No weaknesses were noted.

**Reader's Score: 10**

#### **7. G. Quality of the Management Plan and Personnel (up to 10 Points)**

**In determining the quality of the management plan and personnel for the proposed project, the Secretary considers:**

- (1) The adequacy of the management plan to achieve the objectives of the proposed project on time and within budget, including clearly defined responsibilities, timelines, and milestones for accomplishing project tasks, as well as tasks related to the sustainability and scalability of the proposed project.**
- (2) The qualifications, including relevant training and experience, of the project director and key project personnel, especially in managing complex projects.**
- (3) The qualifications, including relevant expertise and experience, of the project director and key personnel of the independent evaluator, especially in designing and conducting experimental and quasi-experimental studies of educational initiatives.**

##### **Strengths**

The grant has a strong management team with excellent qualifications ready to begin the grant program. The grant application has many letters and MOU's in hand that shows strong support for the grant and for its continuation.

##### **Weaknesses**

No weaknesses were noted.

**Reader's Score: 10**

#### **Competitive Preference**

##### **1. Competitive Preference 5: Innovations for Improving Early Learning Outcomes (0 or 1 Point)**

**We give competitive preference to applications for projects that would implement innovative practices, strategies, or programs that are designed to improve educational outcomes for high-need students who are young children (birth through 3rd grade) by enhancing the quality of early learning programs. To meet this priority, applications must focus on:**

- (a) improving young children's school readiness (including social, emotional, and cognitive readiness) so that children are prepared for success in core academic subjects (as defined in section 9101(11) of the ESEA);
- (b) improving developmental milestones and standards and aligning them with appropriate outcome measures; and
- (c) improving alignment, collaboration, and transitions between early learning programs that serve children from birth to age three, in preschools, and in kindergarten through third grade.

Strengths

Weaknesses

Reader's Score: 0

### 2. Competitive Preference 6: Innovations That Support College Access and Success (0 or 1 Point)

We give competitive preference to applications for projects that would implement innovative practices, strategies, or programs that are designed to enable kindergarten through grade 12 (K-12) students, particularly high school students, to successfully prepare for, enter, and graduate from a two- or four-year college. To meet this priority, applications must include practices, strategies, or programs for K-12 students that

- (a) address students' preparedness and expectations related to college;
- (b) help students understand issues of college affordability and the financial aid and college application processes; and
- (c) provide support to students from peers and knowledgeable adults.

Strengths

It is a well designed project to support college access and success.

Weaknesses

Reader's Score: 1

### 3. Competitive Preference 7: Innovations To Address the Unique Learning Needs of Students With Disabilities and Limited English Proficient Students (0 or 1 Point)

We give competitive preference to applications for projects that would implement innovative practices, strategies, or programs that are designed to address the unique learning needs of students with disabilities, including those who are assessed based on alternate academic achievement standards, or the linguistic and academic needs of limited English proficient students. To meet this priority, applications must

provide for the implementation of particular practices, strategies, or programs that are designed to improve academic outcomes, close achievement gaps, and increase college- and career-readiness, including increasing high school graduation rates (as defined in this notice), for students with disabilities or limited English proficient students.

**Strengths**

**Weaknesses**

**Reader's Score: 0**

**4. Competitive Preference 8: Innovations That Serve Schools in Rural LEAs (0, 1, or 2 Points)**

We give competitive preference to applications for projects that would implement innovative practices, strategies, or programs that are designed to focus on the unique challenges of high-need students in schools within a rural LEA (as defined in this notice) and address the particular challenges faced by students in these schools. To meet this priority, applications must include practices, strategies, or programs that are designed to improve student achievement or student growth, close achievement gaps, decrease dropout rates, increase high school graduation rates, or improve teacher and principal effectiveness in one or more rural LEAs.

**Strengths**

A well designed program to support schools and students from rural schools.

**Weaknesses**

**Reader's Score: 2**

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**Status:** Submitted

**Last Updated:** 07/07/2010 6:55 PM

Status: Submitted  
Last Updated: 07/06/2010 1:38 PM

## Technical Review Coversheet

**Applicant:** Niswonger Foundation -- , - , (U396B100336)

**Reader #4:**

	<b>POINTS POSSIBLE</b>	<b>POINTS SCORED</b>
<b>Summary Statement</b>		
1. Summary Statement	N/A	N/A
<b>Selection Criteria</b>		
1. A. Need for the Project and Quality of the Project Design (up to 20 Points)	20	20
2. B. Strength of Research, Significance of Effect, and Magnitude of Effect (up to 15 Points)	15	_____
3. C. Experience of the Eligible Applicant (up to 20 Points)	20	20
4. D. Quality of the Project Evaluation (up to 15 Points)	15	_____
5. E. Strategy and Capacity to Bring to Scale (up to 10 Points)	10	10
6. F. Sustainability (up to 10 Points)	10	10
7. G. Quality of the Management Plan and Personnel (up to 10 Points)	10	7
<b>Competitive Preference</b>		
1. Competitive Preference 5: Innovations for Improving Early Learning Outcomes (0 or 1 Point)	1	0
2. Competitive Preference 6: Innovations That Support College Access and Success (0 or 1 Point)	1	1
3. Competitive Preference 7: Innovations To Address	1	0

the Unique Learning Needs of Students With Disabilities and Limited English Proficient Students (0 or 1 Point)

4. Competitive Preference 8: Innovations That Serve Schools in Rural LEAs (0, 1, or 2 Points)	2	2
<b>TOTAL</b>	105	70

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## Technical Review Form

**Validation 12: 84.396B**

**Reader #4:**

**Applicant:** Niswonger Foundation -- , - , (U396B100336)

### Summary Statement

#### 1. Summary Statement

#### Selection Criteria

##### 1. A. Need for the Project and Quality of the Project Design (up to 20 Points)

**In determining the need for the project and quality of the design of the proposed project, the Secretary considers the following factors:**

**(1) The extent to which the proposed project represents an exceptional approach to the priorities the eligible applicant is seeking to meet (i.e., addresses a largely unmet need, particularly for high-need students, and is a practice, strategy, or program that has not already been widely adopted).**

**(2) The extent to which the proposed project has a clear set of goals and an explicit strategy, with actions that are**

**(a) aligned with the priorities the eligible applicant is seeking to meet, and**

**(b) expected to result in achieving the goals, objectives, and outcomes of the proposed project.**

**(3) The extent to which the proposed project is consistent with the research evidence supporting the proposed project, taking into consideration any differences in context.**

**Strengths**

The Niswonger application is designed to bring online classes, college advisors and advanced placement classes to rural communities who have not had the ability to reach a population that has college attendance potential. Although the means of instruction and the delivery of curriculum is not an untried approach, the strategies designed to permeate rural communities on a systemic basis are exceptional and use new technologies as delivery systems. The goals activities and strategies described in the proposal to scale up the opportunities for learning in undeserved rural communities have the potential to transform high schools in East Tennessee. Each goal pp.12-14 aligns with verifiable targets.

The needs of the rural students in East Tennessee are validated through research and statistical evidence.

**Weaknesses**

No weaknesses are noted.

**Reader's Score: 20**

**2. B. Strength of Research, Significance of Effect, and Magnitude of Effect (up to 15 Points)**

The Secretary considers the strength of the existing research evidence, including the internal validity (strength of causal conclusions) and external validity (generalizability) of the effects reported in prior research, on whether the proposed project will improve student achievement or student growth, close achievement gaps, decrease dropout rates, increase high school graduation rates, or increase college enrollment and completion rates. Eligible applicants may also demonstrate success through an intermediate variable that is strongly correlated with improving these outcomes, such as teacher or principal effectiveness.

In determining the strength of the existing research evidence, the Secretary considers the following factors:

**(1) The extent to which the eligible applicant demonstrates that there is moderate evidence (as defined in the Notice Inviting Applications) that the proposed practice, strategy, or program will have a statistically significant, substantial, and important effect on improving student achievement or student growth, closing achievement gaps, decreasing dropout rates, increasing high school graduation rates, or increasing college enrollment and completion rates.**

**(2) The importance and magnitude of the effect expected to be obtained by the proposed project, including the likelihood that the project will substantially and measurably improve student achievement or student growth, close achievement gaps, decrease dropout rates, increase high school graduation rates, or increase college enrollment and completion rates. The evidence in support of the importance and magnitude of the effect would be the research-based evidence provided by the eligible applicant to support the proposed project.**

**Strengths**

**Weaknesses**

### **3. C. Experience of the Eligible Applicant (up to 20 Points)**

**In determining the experience of the eligible applicant, the Secretary considers the following factors:**

**(1) The past performance of the eligible applicant in implementing complex projects.**

**(2) The extent to which an eligible applicant provides information and data demonstrating that -**

**(a) In the case of an eligible applicant that is an LEA, the LEA has -**

**(i) Significantly closed the achievement gaps between groups of students described in section 1111(b)(2) of the ESEA, or significantly increased student achievement for all groups of students described in such section; and**

**(ii) Made significant improvements in other areas, such as graduation rates or increased recruitment and placement of high-quality teachers and principals, as demonstrated with meaningful data; or**

**(b) In the case of an eligible applicant that includes a nonprofit organization, the nonprofit organization has significantly improved student achievement, attainment, or retention through its record of work with an LEA or schools.**

**Strengths**

This foundation has been existence for almost ten years and has a proven track record of creating opportunities in East Tennessee. The foundation has had several successful initiatives ranging from elementary school turnarounds to high school algebra program to college counselors. The results of these initiatives and others are documented pp.23-25.

The partnerships created and those that will be created are documented in several letters of support with Board approval.

The hands on approach taken by the foundation leaders and staff reflect a

willingness to implement complex projects.

**Weaknesses**

No weaknesses are noted.

**Reader's Score: 20**

**4. D. Quality of the Project Evaluation (up to 15 Points)**

**In determining the quality of the evaluation, the Secretary considers the following factors:**

- (1) The extent to which the methods of evaluation will include a well designed experimental study or well designed quasi-experimental study.**
- (2) The extent to which the methods of evaluation will provide high-quality implementation data and performance feedback, and permit periodic assessment of progress toward achieving intended outcomes.**
- (3) The extent to which the evaluation will provide sufficient information about the key elements and approach of the project so as to facilitate replication or testing in other settings.**
- (4) The extent to which the proposed project plan includes sufficient resources to carry out the project evaluation effectively.**
- (5) The extent to which the proposed evaluation is rigorous, independent, and neither the program developer nor the project implementer will evaluate the impact of the project.**

**Strengths**

**Weaknesses**

**5. E. Strategy and Capacity to Bring to Scale (up to 10 Points)**

**In determining the quality of the strategy and capacity to bring the proposed project to scale, the Secretary considers:**

- (1) The number of students proposed to be reached by the proposed project and the capacity of the eligible applicant and any other partners to reach the proposed number of students during the course of the grant period.**
- (2) The eligible applicant's capacity (e.g., in terms of qualified personnel, financial resources, or management capacity) to bring the proposed project to scale on a State**

or regional level (as appropriate, based on the results of the proposed project) working directly, or through other partners, either during or following the end of the grant period.

(3) The feasibility of the proposed project to be replicated successfully, if positive results are obtained, in a variety of settings and with a variety of student populations. Evidence of this ability includes the availability of resources and expertise required for implementing the project with fidelity, and the proposed project's evidence of relative ease of use or user satisfaction.

(4) The eligible applicant's estimate of the cost of the proposed project, which includes the start-up and operating costs per student per year (including indirect costs) for reaching the total number of students proposed to be served by the project. The eligible applicant must include an estimate of the costs for the eligible applicant or others (including other partners) to reach 100,000, 250,000, and 500,000 students.

(5) The mechanisms the eligible applicant will use to broadly disseminate information on its project to support further development, expansion, or replication.

**Strengths**

The significant financial commitments from the private sector as well as a larger monetary commitment from LEAs demonstrate that there is a will to bring this project to capacity. The relationships with local school districts, the Tennessee Department of Education, SCORE and the Rural School and Community Trust bode well for project dissemination and replication.

Cost estimates per high school student were presented to bring the project to scale (p. 32-33).

The needs for improvement in rural education in Tennessee and other parts of the United States could be addressed by this grant such as the development of virtual AP and other classes as well as the model of working with college advisors.

**Weaknesses**

No weaknesses were noted.

**Reader's Score: 10**

**6. F. Sustainability (up to 10 Points)**

**In determining the adequacy of resources for the proposed project, the Secretary considers the following factors:**

**(1) The extent to which the eligible applicant demonstrates that it has the resources, as well as the support of stakeholders (e.g., State educational agencies, teachers' unions), to operate the project beyond the length of the Validation grant.**

**(2) The potential and planning for the incorporation of project purposes, activities, or benefits into the ongoing work of the eligible applicant and any other partners at the end of the Validation grant.**

**Strengths**

The commitment of LEA's is found in letters of support and the financial commitment to sustain the project. The Tennessee Department of Education is in a position to extend this grant.

**Weaknesses**

No weaknesses were noted.

**Reader's Score: 10**

**7. G. Quality of the Management Plan and Personnel (up to 10 Points)**

**In determining the quality of the management plan and personnel for the proposed project, the Secretary considers:**

**(1) The adequacy of the management plan to achieve the objectives of the proposed project on time and within budget, including clearly defined responsibilities, timelines, and milestones for accomplishing project tasks, as well as tasks related to the sustainability and scalability of the proposed project.**

**(2) The qualifications, including relevant training and experience, of the project director and key project personnel, especially in managing complex projects.**

**(3) The qualifications, including relevant expertise and experience, of the project director and key personnel of the independent evaluator, especially in designing and conducting experimental and quasi-experimental studies of educational initiatives.**

**Strengths**

The evaluators have experience in large educational projects.

The project director has been working with many of the East Tennessee district for about six years and has established strong and hands-on relationships. The budget narrative and timelines are detailed and clearly set achievable and measurable objectives in most areas.

## Weaknesses

Although most of the evaluation activities have been documented, the costs appear to be excessive (over \$3,000,000).

There needs to be more emphasis and personnel allocated for on going training and development of teachers. Many teachers have not had experience in teaching on line or advanced placement. The training protocol for teachers needs to be more developed and a validated model and the grant is silent as to how hundreds or maybe thousands of teachers will be trained.

There is no stipulation in the grant for examining and evaluating the effectiveness of the training or the instruction. There also needs to be an evaluation of the link between training and student performance. Introducing AP instruction, online classes and rigorous instruction will only be as successful as the instructors who teach these classes. The success of the program could be tied to the quality as well as the quantity of offerings and instructors.

The depth of the background and experience of the training staff and the other project staff are not extensive and are basically one or two district-bound. Their resumes do not present a strong case for their readiness to lead, direct and manage large scale projects.

Funds and the complete cooperation of the TDOE have not been finalized or secured.

Reader's Score: 7

## Competitive Preference

### 1. Competitive Preference 5: Innovations for Improving Early Learning Outcomes (0 or 1 Point)

**We give competitive preference to applications for projects that would implement innovative practices, strategies, or programs that are designed to improve educational outcomes for high-need students who are young children (birth through 3rd grade) by enhancing the quality of early learning programs. To meet this priority, applications must focus on:**

- (a) improving young children's school readiness (including social, emotional, and cognitive readiness) so that children are prepared for success in core academic subjects (as defined in section 9101(11) of the ESEA);**
- (b) improving developmental milestones and standards and aligning them with appropriate outcome measures; and**
- (c) improving alignment, collaboration, and transitions between early learning**

programs that serve children from birth to age three, in preschools, and in kindergarten through third grade.

**Strengths**

**Weaknesses**

**Reader's Score: 0**

**2. Competitive Preference 6: Innovations That Support College Access and Success (0 or 1 Point)**

We give competitive preference to applications for projects that would implement innovative practices, strategies, or programs that are designed to enable kindergarten through grade 12 (K-12) students, particularly high school students, to successfully prepare for, enter, and graduate from a two- or four-year college. To meet this priority, applications must include practices, strategies, or programs for K-12 students that

- (a) address students' preparedness and expectations related to college;
- (b) help students understand issues of college affordability and the financial aid and college application processes; and
- (c) provide support to students from peers and knowledgeable adults.

**Strengths**

This grant seeks to increase graduation and college attendance with innovative activities like college advisors and increases of AP and on line instruction to undeserved areas.

**Weaknesses**

**Reader's Score: 1**

**3. Competitive Preference 7: Innovations To Address the Unique Learning Needs of Students With Disabilities and Limited English Proficient Students (0 or 1 Point)**

We give competitive preference to applications for projects that would implement innovative practices, strategies, or programs that are designed to address the unique learning needs of students with disabilities, including those who are assessed based on alternate academic achievement standards, or the linguistic and academic needs of limited English proficient students. To meet this priority, applications must provide for the implementation of particular practices, strategies, or programs that are designed to improve academic outcomes, close achievement gaps, and increase college- and career-readiness, including increasing high school graduation rates (as defined in this notice), for students with disabilities or limited English proficient

students.

**Strengths**

**Weaknesses**

**Reader's Score: 0**

**4. Competitive Preference 8: Innovations That Serve Schools in Rural LEAs (0, 1, or 2 Points)**

**We give competitive preference to applications for projects that would implement innovative practices, strategies, or programs that are designed to focus on the unique challenges of high-need students in schools within a rural LEA (as defined in this notice) and address the particular challenges faced by students in these schools. To meet this priority, applications must include practices, strategies, or programs that are designed to improve student achievement or student growth, close achievement gaps, decrease dropout rates, increase high school graduation rates, or improve teacher and principal effectiveness in one or more rural LEAs.**

**Strengths**

The proposal is linked with State and regional initiatives to improve the graduation rates, teacher effectiveness and college attendance in rural areas of Tennessee through a comprehensive partnership that will improve high school curriculum.

**Weaknesses**

**Reader's Score: 2**

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**Status:** Submitted

**Last Updated:** 07/06/2010 1:38 PM

Status: Submitted  
Last Updated: 07/02/2010 9:32 AM

## Technical Review Coversheet

**Applicant:** Niswonger Foundation -- , - , (U396B100336)

**Reader #5:**

	<b>POINTS POSSIBLE</b>	<b>POINTS SCORED</b>
<b>Summary Statement</b>		
1. Summary Statement	N/A	N/A
<b>Selection Criteria</b>		
1. A. Need for the Project and Quality of the Project Design (up to 20 Points)	20	_____
2. B. Strength of Research, Significance of Effect, and Magnitude of Effect (up to 15 Points)	15	15
3. C. Experience of the Eligible Applicant (up to 20 Points)	20	_____
4. D. Quality of the Project Evaluation (up to 15 Points)	15	15
5. E. Strategy and Capacity to Bring to Scale (up to 10 Points)	10	_____
6. F. Sustainability (up to 10 Points)	10	_____
7. G. Quality of the Management Plan and Personnel (up to 10 Points)	10	_____
<b>Competitive Preference</b>		
1. Competitive Preference 5: Innovations for Improving Early Learning Outcomes (0 or 1 Point)	1	_____
2. Competitive Preference 6: Innovations That Support College Access and Success (0 or 1 Point)	1	_____
3. Competitive Preference 7: Innovations To Address	1	_____

the Unique Learning Needs of Students With Disabilities and Limited English Proficient Students (0 or 1 Point)

4. Competitive Preference 8: Innovations That Serve Schools in Rural LEAs (0, 1, or 2 Points)	2	_____
<b>TOTAL</b>	105	30

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## Technical Review Form

**Validation 12: 84.396B**

**Reader #5:**

**Applicant:** Niswonger Foundation -- , - , (U396B100336)

### Summary Statement

#### 1. Summary Statement

#### Selection Criteria

##### 1. A. Need for the Project and Quality of the Project Design (up to 20 Points)

**In determining the need for the project and quality of the design of the proposed project, the Secretary considers the following factors:**

**(1) The extent to which the proposed project represents an exceptional approach to the priorities the eligible applicant is seeking to meet (i.e., addresses a largely unmet need, particularly for high-need students, and is a practice, strategy, or program that has not already been widely adopted).**

**(2) The extent to which the proposed project has a clear set of goals and an explicit strategy, with actions that are**

**(a) aligned with the priorities the eligible applicant is seeking to meet, and**

**(b) expected to result in achieving the goals, objectives, and outcomes of the proposed project.**

**(3) The extent to which the proposed project is consistent with the research evidence supporting the proposed project, taking into consideration any differences in context.**

**Strengths**

**Weaknesses**

**2. B. Strength of Research, Significance of Effect, and Magnitude of Effect (up to 15 Points)**

**The Secretary considers the strength of the existing research evidence, including the internal validity (strength of causal conclusions) and external validity (generalizability) of the effects reported in prior research, on whether the proposed project will improve student achievement or student growth, close achievement gaps, decrease dropout rates, increase high school graduation rates, or increase college enrollment and completion rates. Eligible applicants may also demonstrate success through an intermediate variable that is strongly correlated with improving these outcomes, such as teacher or principal effectiveness.**

**In determining the strength of the existing research evidence, the Secretary considers the following factors:**

**(1) The extent to which the eligible applicant demonstrates that there is moderate evidence (as defined in the Notice Inviting Applications) that the proposed practice, strategy, or program will have a statistically significant, substantial, and important effect on improving student achievement or student growth, closing achievement gaps, decreasing dropout rates, increasing high school graduation rates, or increasing college enrollment and completion rates.**

**(2) The importance and magnitude of the effect expected to be obtained by the proposed project, including the likelihood that the project will substantially and measurably improve student achievement or student growth, close achievement gaps, decrease dropout rates, increase high school graduation rates, or increase college enrollment and completion rates. The evidence in support of the importance and magnitude of the effect would be the research-based evidence provided by the eligible applicant to support the proposed project.**

**Strengths**

The moderate evidence provided in this proposal to show internal and external validity was overwhelming met with the previous studies detailed in the proposal. The magnitude of the research-based evidence provided was extensive and intensive evidence of the likelihood that the project will have a significant effect. It is quite apparent that the proposed project will have statistically significant and substantial effects on improving student achievement or student growth, and closing achievement gaps.

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**Weaknesses**

There were no weaknesses noted in the strength of research, significance of effect, and magnitude of effect.
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**Reader's Score: 15**

**3. C. Experience of the Eligible Applicant (up to 20 Points)**

**In determining the experience of the eligible applicant, the Secretary considers the following factors:**

- (1) The past performance of the eligible applicant in implementing complex projects.**
- (2) The extent to which an eligible applicant provides information and data demonstrating that -**
  - (a) In the case of an eligible applicant that is an LEA, the LEA has -**
    - (i) Significantly closed the achievement gaps between groups of students described in section 1111(b)(2) of the ESEA, or significantly increased student achievement for all groups of students described in such section; and**
    - (ii) Made significant improvements in other areas, such as graduation rates or increased recruitment and placement of high-quality teachers and principals, as demonstrated with meaningful data; or**
  - (b) In the case of an eligible applicant that includes a nonprofit organization, the nonprofit organization has significantly improved student achievement, attainment, or retention through its record of work with an LEA or schools.**

**Strengths**

**Weaknesses**

**4. D. Quality of the Project Evaluation (up to 15 Points)**

**In determining the quality of the evaluation, the Secretary considers the following factors:**

- (1) The extent to which the methods of evaluation will include a well designed experimental study or well designed quasi-experimental study.**
- (2) The extent to which the methods of evaluation will provide high-quality**

**implementation data and performance feedback, and permit periodic assessment of progress toward achieving intended outcomes.**

**(3) The extent to which the evaluation will provide sufficient information about the key elements and approach of the project so as to facilitate replication or testing in other settings.**

**(4) The extent to which the proposed project plan includes sufficient resources to carry out the project evaluation effectively.**

**(5) The extent to which the proposed evaluation is rigorous, independent, and neither the program developer nor the project implementer will evaluate the impact of the project.**

**Strengths**

The overall quality of the proposed evaluation plan is strong. The investigators propose a quasi-experimental design with propensity score matching. There are several strengths in this evaluation plan to include the extent to which the methods of evaluation will provide high-quality implementation data and performance feedback towards achieving intended outcomes. The quality of the evaluation plan also includes a highly experience and knowledge independent external evaluator.

**Weaknesses**

There were no weaknesses in the quality of the evaluation plan.

**Reader's Score: 15**

**5. E. Strategy and Capacity to Bring to Scale (up to 10 Points)**

**In determining the quality of the strategy and capacity to bring the proposed project to scale, the Secretary considers:**

**(1) The number of students proposed to be reached by the proposed project and the capacity of the eligible applicant and any other partners to reach the proposed number of students during the course of the grant period.**

**(2) The eligible applicant's capacity (e.g., in terms of qualified personnel, financial resources, or management capacity) to bring the proposed project to scale on a State or regional level (as appropriate, based on the results of the proposed project) working directly, or through other partners, either during or following the end of the grant period.**

**(3) The feasibility of the proposed project to be replicated successfully, if positive results are obtained, in a variety of settings and with a variety of student populations. Evidence of this ability includes the availability of resources and expertise required for implementing the project with fidelity, and the proposed project's evidence of relative ease of use or user satisfaction.**

**(4) The eligible applicant's estimate of the cost of the proposed project, which includes the start-up and operating costs per student per year (including indirect costs) for reaching the total number of students proposed to be served by the project. The eligible applicant must include an estimate of the costs for the eligible applicant or others (including other partners) to reach 100,000, 250,000, and 500,000 students.**

**(5) The mechanisms the eligible applicant will use to broadly disseminate information on its project to support further development, expansion, or replication.**

**Strengths**

**Weaknesses**

#### **6. F. Sustainability (up to 10 Points)**

**In determining the adequacy of resources for the proposed project, the Secretary considers the following factors:**

**(1) The extent to which the eligible applicant demonstrates that it has the resources, as well as the support of stakeholders (e.g., State educational agencies, teachers' unions), to operate the project beyond the length of the Validation grant.**

**(2) The potential and planning for the incorporation of project purposes, activities, or benefits into the ongoing work of the eligible applicant and any other partners at the end of the Validation grant.**

**Strengths**

**Weaknesses**

#### **7. G. Quality of the Management Plan and Personnel (up to 10 Points)**

**In determining the quality of the management plan and personnel for the proposed project, the Secretary considers:**

**(1) The adequacy of the management plan to achieve the objectives of the proposed project on time and within budget, including clearly defined responsibilities, timelines, and milestones for accomplishing project tasks, as well as tasks related to the sustainability and scalability of the proposed project.**

**(2) The qualifications, including relevant training and experience, of the project director and key project personnel, especially in managing complex projects.**

**(3) The qualifications, including relevant expertise and experience, of the project director and key personnel of the independent evaluator, especially in designing and conducting experimental and quasi-experimental studies of educational initiatives.**

**Strengths**

**Weaknesses**

### **Competitive Preference**

#### **1. Competitive Preference 5: Innovations for Improving Early Learning Outcomes (0 or 1 Point)**

**We give competitive preference to applications for projects that would implement innovative practices, strategies, or programs that are designed to improve educational outcomes for high-need students who are young children (birth through 3rd grade) by enhancing the quality of early learning programs. To meet this priority, applications must focus on:**

- (a) improving young children's school readiness (including social, emotional, and cognitive readiness) so that children are prepared for success in core academic subjects (as defined in section 9101(11) of the ESEA);**
- (b) improving developmental milestones and standards and aligning them with appropriate outcome measures; and**
- (c) improving alignment, collaboration, and transitions between early learning programs that serve children from birth to age three, in preschools, and in kindergarten through third grade.**

**Strengths**

**Weaknesses**

#### **2. Competitive Preference 6: Innovations That Support College Access and Success (0 or 1 Point)**

**We give competitive preference to applications for projects that would implement innovative practices, strategies, or programs that are designed to enable kindergarten through grade 12 (K-12) students, particularly high school students, to successfully prepare for, enter, and graduate from a two- or four-year college. To meet this priority, applications must include practices, strategies, or programs for K-12 students that**

- (a) address students' preparedness and expectations related to college;**
- (b) help students understand issues of college affordability and the financial aid and college application processes; and**
- (c) provide support to students from peers and knowledgeable adults.**

**Strengths**

**Weaknesses**

**3. Competitive Preference 7: Innovations To Address the Unique Learning Needs of Students With Disabilities and Limited English Proficient Students (0 or 1 Point)**

We give competitive preference to applications for projects that would implement innovative practices, strategies, or programs that are designed to address the unique learning needs of students with disabilities, including those who are assessed based on alternate academic achievement standards, or the linguistic and academic needs of limited English proficient students. To meet this priority, applications must provide for the implementation of particular practices, strategies, or programs that are designed to improve academic outcomes, close achievement gaps, and increase college- and career-readiness, including increasing high school graduation rates (as defined in this notice), for students with disabilities or limited English proficient students.

**Strengths**

**Weaknesses**

**4. Competitive Preference 8: Innovations That Serve Schools in Rural LEAs (0, 1, or 2 Points)**

We give competitive preference to applications for projects that would implement innovative practices, strategies, or programs that are designed to focus on the unique challenges of high-need students in schools within a rural LEA (as defined in this notice) and address the particular challenges faced by students in these schools. To meet this priority, applications must include practices, strategies, or programs that are designed to improve student achievement or student growth, close achievement gaps, decrease dropout rates, increase high school graduation rates, or improve teacher and principal effectiveness in one or more rural LEAs.

**Strengths**

**Weaknesses**

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