INVESTING IN INNOVATION FUND (i3)

FY 2016 VALIDATION COMPETITION OVERVIEW WEBINAR

MAY 2016

Note: These slides are intended as guidance only. Please refer to the official documents published in the *Federal Register*.

General information

- A Frequently Asked Questions (FAQ) document is available on the i3 website: http://innovation.ed.gov/fy-2016-competition/.
- The FAQ document addresses many questions that applicants have asked previously. The Department may update it throughout the competition with questions that applicants submit that are of general applicability.
- The Department is unable to address applicant-specific questions at any time during the competition.
- The Department will hold a live webinar session on June 7, 2016 at 2PM EDT. This PowerPoint address applicant questions related to the information presented today. If you have questions prior to that date, please send them to i3@ed.gov.

SECTIONS OF WEBINAR

- Overview of i3 Program
- Eligibility
- Evidence
- Priorities
- Selection Criteria & Review Process
- Application Requirements
- Formatting Requirements
- Pre- & Post-Award Requirements
- Grants.gov
- Registering for SAM
- Resources
- Closing

Overview of the i3 Grant Program

Purpose

To generate and validate solutions to persistent educational challenges and to support the expansion of effective solutions across the country and to serve substantially larger numbers of students.

Funding

$103,100,000 (est.) to be obligated by December 31, 2016.

Overview of the i3 Grant Program

Applicants

Eligible applicants are:
(1) Local educational agencies (LEAs)
(2) non-profit organizations in partnership with (a) one or more LEAs or (b) a consortium of schools

Eligibility Requirements

To provide competitive grants to applicants with a record of improving student achievement, attainment or retention in order to expand the implementation of, and investment in, innovative practices that are demonstrated to have an impact on:

- Improving student achievement or student growth;
- Closing achievement gaps;
- Decreasing dropout rates;
- Increasing high school graduation rates; or
- Increasing college enrollment and completion rates

What Makes i3 Different?

- Builds portfolio of different solutions to address key challenges;
- Aligns amount of funding with level of evidence;
- Aims explicitly to scale effective programs by creating a pipeline of funding for effective programs; and
- Provides funding for required independent evaluation in order to build a common understanding of "what works."

Types of Awards Available Under i3

	i3		
	Development	Validation	Scale-up
Funding Available*	Up to $3M/award	Up to $12M/award	Up to $20M/award
Estimated Awards	9-11	2-3	0-2
Evidence Required	Evidence of promise or strong theory	Moderate evidence of effectiveness	Strong evidence of effectiveness
Scaling Required	Able to further develop and scale	Able to be scaled to the regional or state level	Able to be scaled to the national level

***$103.1 M (est.) to be obligated by December 31, 2016**

Notice Inviting Applications

2016 Validation Notice Inviting Applications

- The full text of the Notice Inviting Applications for the FY 2016 i3 Validation competition can be found on the i3 Web site at http://innovation.ed.gov/what-we-do/innovation/investing-in-innovation-i3/.

Dates to Remember

Validation Competition (84.411B)

- **Application available:** May 16, 2016
- **Webinar for potential applicants:** June 7, 2016
- **Notice of Intent Deadline:** June 6, 2016
- **Deadline for transmitting applications:** July 15, 2016
- **Awards announced by:** December 31, 2016

Notice of Intent Link

Validation Competition (84.411B)

Notice of Intent Deadline: June 7, 2016

Applicants are strongly encouraged to notify us of the applicant's intent to submit an application for funding by completing a web-based form. The form can be accessed at the following URL: https://www.surveymonkey.com/r/K9ZVJDS Please note that you may have to copy and paste this link into your browser.

Cautions from Previous Competitions

- SUBMIT EARLY – The deadline for applications is **Friday, July 15th at 4:30:00pm (Washington, DC time)**. We will reject applications submitted after the deadline, and some applicants find it takes longer than anticipated to submit in Grants.gov. Please make sure that your System for Award Management (SAM) registration is active.

- Once your SAM registration is active, you will need to allow **24 to 48 hours** for the information to be available in Grants.gov and before you can submit an application through Grants.gov.

- WRITE CLEARLY – Peer reviewers can only judge your application based on what you tell them, clearly and comprehensively, in your application.

- UNDERSTAND ELIGIBILITY – We will declare applicants ineligible for funding if they do not meet all of the eligibility requirements.

READ THE NOTICES and FAQs, UNDERSTAND THE REQUIREMENTS, AND PLAN AHEAD

SECTIONS OF WEBINAR

- Overview of i3 Program
- Eligibility
- Evidence
- Priorities
- Selection Criteria & Review Process
- Application Requirements
- Formatting Requirements
- Pre- & Post-Award Requirements
- Grants.gov
- Registering for SAM
- Resources
- Closing

i3 Has Two Types of Eligible Applicants

The entity applying for an i3 grant must be:

(a) A local educational agency (LEA);

OR

(b) A partnership between a nonprofit organization **and—**

(1) One or more LEAs; or

(2) A consortium of schools.

There is no competitive advantage to applying as one type of applicant or the other, but an applicant must meet the relevant eligibility requirements.

Some Eligibility Requirements Apply to Both Types of Applicants

All applicants must:

1. Address one absolute priority.
2. Improve achievement for high-need students.
3. Serve students in grades K-12.
4. Meet the evidence requirement – for Validation grantees: moderate evidence of effectiveness.
5. Secure commitment for required private sector match – for Validation grantees: 10% of the federal award.

All Eligible Applicants Must Implement Practices, Strategies, or Programs for **High-Need Students**

High-need student means a student at risk of educational failure or otherwise in need of special assistance and support, such as students who are living in poverty, who attend high-minority schools (as defined in the Notice of Final Priorities, Requirements, Definitions and Selection Criteria (NFP)), who are far below grade level, who have left school before receiving a regular high school diploma, who are at risk of not graduating with a diploma on time, who are homeless, who are in foster care, who have been incarcerated, who have disabilities, or who are English learners.

Note: To be eligible for an i3 award, an applicant must identify how the proposed project serves high-need student populations. However, while the definition provides examples of high-need students, it **does not attempt to define all possible populations. Applicants must identify how their project serves high-need students.**

All Eligible Applicants Must Implement Practices that serve students in **Grades K-12**

All eligible applicants must implement practices that serve students who are in grades K-12 at some point during the funding period. To meet this requirement, projects that serve early learners (i.e., infants, toddlers, or preschoolers) must provide services or supports that extend into kindergarten or later years, and projects that serve postsecondary students must provide services or supports during the secondary grades or earlier.

Note: To be eligible for an i3 award, an applicant must identify how the proposed project serves students in grades K-12 at some point during the funding period.

Some Eligibility Requirements Differ Based on Type of Applicant

- Requirements for Eligibility of an LEA
- Requirements for Eligibility of an nonprofit with one or more LEAs ; or a consortium of schools

Requirements for Eligibility of an LEA

1. LEA Record of Improvement

   (a)(1) Have significantly closed the achievement gaps between groups of students;

   or

   (a)(2) Have demonstrated success in significantly increasing student academic achievement for all groups of students for two different points in time.

2. LEA Record of Improvement

   (b) Have made significant improvements in other areas, such as high school graduation rates (as defined in the NIA) or increased recruitment and placement of high-quality teachers or principals, as demonstrated with meaningful data;

3. Partnerships; Private Sector Matching

   (c) Demonstrate that the LEA has established one or more partnerships with the private sector, which may include philanthropic organizations, and that organizations in the private sector will provide matching funds in order to help bring results to scale

Requirements for Eligibility of a Nonprofit with one or more LEAs; or a consortium of schools

1. Nonprofit Organization Record of Improvement

   If the eligible applicant is a partnership, the nonprofit organization must: Have a record of significantly improving student achievement, attainment, or retention through the assistance it has provided to an LEA or schools for two different points in time.

2. Partnerships; Private Sector Matching

   Demonstrate that the nonprofit has established one or more partnerships with the private sector, which may include philanthropic organizations, and that organizations in the private sector will provide matching funds in order to help bring results to scale

How to Show Record of Improvement?

In order to address this eligibility requirement, applicants must provide data that demonstrates a change.

- **Applicants must provide data for at least two points in time** when addressing this requirement in Appendix C of your applications (e.g., Percentage of students earning a proficient on the state assessment was "X" in 2014 and "Y" in 2015). There is no specific format or documentation that is required; however, applicants must ensure that regardless of the format, the documentation provided meets the eligibility requirement.
- Record of improvement must show a positive improvement
- Must be student data

Additional information for Understanding Partnerships and Eligibility

If you apply as…

An LEA…	A partnership…
• There may not be any sub grants. • Partners may receive funding through contractual arrangements, or participate in other ways.	• Sub-granting is allowed, but only to LEAs or schools in the consortium, or to non-profits that have a record of improvement. • Partners may receive funding through contractual arrangements, or participate in other ways.

Notes on Eligibility Requirements

- Applicants should fully address all eligibility requirements in the application.

IMPORTANT: Applicants that do not sufficiently address the eligibility requirements in the application <u>will not</u> be able to supplement their original application with additional information to meet the requirements if they are deemed ineligible.

SECTIONS OF WEBINAR

- Overview of i3 Program
- Eligibility
- Evidence
- Priorities
- Selection Criteria & Review Process
- Application Requirements
- Formatting Requirements
- Pre- & Post-Award Requirements
- Grants.gov
- Registering for SAM
- Resources
- Closing

i3 Evidence Requirements

- All applications **must meet** the evidence requirement for the type of grant they are seeking.
- Applications that do not meet the evidence requirement **will not** be eligible for a grant award, regardless of scores on the selection criteria.
- If an application does not meet the "evidence standard" of the grant type under which it was submitted, it **will not** be considered for a different type of i3 grant.

i3 Evidence Requirements

	Option 1	Option 2
Number of Studies	1+	1+
Statistical Significance	Statistically significant positive impact with no unfavorable impacts	Statistically significant positive impact with no unfavorable impacts
*What Works Clearinghouse (WWC) Standards**	Meets w/o reservations	Meets w/ reservations
Sample Size		Large sample
Number of Study Sites		Multi-site sample
Similarity of Population	Overlaps with proposed populations or settings	Overlaps with proposed populations or settings

*See What Works Clearinghouse Procedures and Standards Handbook (Version 3.0, March 2014), which can be found at the following link: http://ies.ed.gov/ncee/wwc/DocumentSum.aspx?sid=19

Validation Grant Evidence Requirements

- To be eligible for an award, an application for a Validation grant must be supported by moderate evidence of effectiveness.
- An applicant should identify up to two study citations to be reviewed against What Works Clearinghouse Evidence Standards for the purposes of meeting the i3 evidence requirement. An applicant should clearly identify these citations in Appendix D, under the "Other Attachments Form," of its application. **The Department will not review a study citation that an applicant fails to clearly identify for review.**
- In addition to the two study citations, applicants should include a description of the intervention(s) the applicant plans to implement and the intended student outcomes that the intervention(s) attempts to impact in Appendix D.

SECTIONS OF WEBINAR

- Overview of i3 Program
- Eligibility
- Evidence
- Priorities
- Selection Criteria & Review Process
- Application Requirements
- Formatting Requirements
- Pre- & Post-Award Requirements
- Grants.gov
- Registering for SAM
- Resources
- Closing

i3 2016 Priority Structure

- The i3 Validation Notice Inviting Applications (the NIA) was published in the Federal Register on May 16, 2016.
- An applicant for a Validation grant must choose one of the four absolute priorities in their application.

NOTE: Applicants who choose to submit an application under the absolute priority 4 for Serving Rural Communities must identify an additional absolute priority.

- This year's competition also includes two competitive preference priorities. Applicants may address both of the competitive preference priorities.

i3 Validation Priorities

Improve Achievement for High-Need Students

Required for all applications

+

Standards and Assessments

Improving Low-Performing Schools

Improving STEM Education

Serving Rural Communities

Must address one Absolute Priority

Supporting Novice i3 Applicants

Optional Competitive Preference Priority

ABSOLUTE PRIORITY 1: IMPLEMENTING INTERNATIONALLY BENCHMARKED COLLEGE- AND CAREER-READY STANDARDS AND ASSESSMENTS

Applicants must address the following:

Under this priority, we provide funding to projects that are designed to support the implementation of, and transition to, internationally benchmarked college- and career-ready standards and assessments, including developing and implementing strategies that use the standards and information from assessments to inform classroom practices that meet the needs of all students.

ABSOLUTE PRIORITY 2: PROMOTING SCIENCE, TECHNOLOGY, ENGINEERING, AND MATHEMATICS (STEM) EDUCATION

Applicants must address the following:

Under this priority, we provide funding to projects that are designed to improve Student Achievement or other related outcomes by providing students with increased access to rigorous and engaging STEM coursework and Authentic STEM Experiences (as defined in this notice) that may be integrated across multiple settings.

ABSOLUTE PRIORITY 3: IMPROVING LOW-PERFORMING SCHOOLS

Applicants must address the following:

Under this priority, we provide funding to projects that address designing whole-school models and implementing processes that lead to significant and sustained improvement in individual student performance and overall school performance and culture.

ABSOLUTE PRIORITY 4: SERVING RURAL COMMUNITIES

Applicants must address the following:

Under this priority, we provide funding to projects that address one of the absolute priorities established for the FY 2016 Validation i3 competition and under which the majority of students to be served are enrolled in rural local educational agencies (as defined in this notice).

Notes on Absolute Priority 4: Serving Rural Communities

- Please note that applicants that choose to submit an application under the absolute priority for Serving Rural Communities must identify ***an additional absolute priority.***

- The peer-reviewed scores for applications submitted under the Serving Rural Communities priority will be ranked with other applications under this priority, and not included in the ranking for the additional priority that they identified.

- This design helps to ensure that applicants under the Serving Rural Communities priority receive an "apples to apples" comparison with other rural applicants.

Competitive Preference Priority

<u>Competitive Preference Priority (CPP)</u>

- Supporting Novice i3 Applicants

COMPETITIVE PREFERENCE PRIORITY: SUPPORTING NOVICE i3 APPLICANTS

Under 34 CFR 75.105(c)(2)(i) we award an additional three points to an application that meets this competitive preference priority.

Eligible applicants that have never directly received a grant under this program.

SECTIONS OF WEBINAR

- Overview of i3 Program
- Eligibility
- Evidence
- Priorities
- Selection Criteria & Review Process
- Application Requirements
- Formatting Requirements
- Pre- & Post-Award Requirements
- Grants.gov
- Registering for SAM
- Resources
- Closing

Notes on i3 Selection Criteria and Points

- The selection criteria are the criteria against which the peer reviewers score each application.
- The Department **selects grantees based on peer reviewer scores**, so clearly addressing the selection criteria is critical.
- Detailed wording for each selection criterion may be found in the Notice Inviting Applications at the i3 Web site: http://innovation.ed.gov/what-we-do/innovation/investing-in-innovation-i3

i3 Selection Criteria and Points

Selection Criteria	Point Allocation
A. Significance	15 points
B. Strategy to Scale	30 points
C. Quality of the Project Design and Management Plan	35 points
E. Quality of Project Evaluation	20 points
Total Points	100 points

Validation Selection Criterion: A. Significance (up to 15 Points)

Magnitude or Severity

1) The magnitude or severity of the problem to be addressed by the proposed project. (34 CFR 75.210)

Promising New Strategies

2) The extent to which the proposed project involves the development or demonstration of promising new strategies that build on, or are alternatives to, existing strategies. (34 CFR 75.210)

Exceptional Approach

3) The extent to which the proposed project represents an exceptional approach to the priority or priorities established for the competition. (34 CFR 75.210)

Validation Selection Criterion: B. Strategy to Scale (up to 30 Points)

Unmet Demand

1) The extent to which the applicant demonstrates there is unmet demand for the process, product, strategy or practice that will enable the applicant to reach the level of scale that is proposed in the application. (34 CFR 75.210)

Address Barrier(s)

2) The extent to which the applicant will use grant funds to address a particular barrier or barriers that prevented the applicant, in the past, from reaching the level of scale proposed in the application. (2013 i3 NFP)

Validation Selection Criterion:
C. Quality of the Project Design and Management Plan (up to 35 Points)

Clarity of Goals, Objectives, and Outcomes

1) The extent to which the goals, objectives, and outcomes to be achieved by the proposed project are clearly specified and measurable. (34 CFR 75.210)

Adequacy of Management Plan

2) The adequacy of the management plan to achieve the objectives of the proposed project on time and within budget, including clearly defined responsibilities, timelines, and milestones for accomplishing project tasks. (34 CFR 75.210)

Clarity and Coherence

3) The clarity and coherence of the applicant's multi-year financial and operating model and accompanying plan to operate the project at a national or regional level (as defined in this notice) during the project period. (2013 i3 NFP)

Ensuring Feedback

4) The adequacy of procedures for ensuring feedback and continuous improvement in the operation of the proposed project. (34 CFR 75.210)

Validation Selection Criterion: D. Quality of the Project Evaluation (up to 20 Points)

Evidence of Effectiveness

1) The extent to which the methods of evaluation will, if well implemented, produce evidence about the project's effectiveness that would meet the What Works Clearinghouse Evidence Standards without reservations. (2013 i3 NFP)

Clarity of Questions and Appropriateness of Methods

2) The clarity and importance of the key questions to be addressed by the project evaluation, and the appropriateness of the methods for how each question will be addressed. (2013 i3 NFP)

Studies Project at Proposed Level of Scale

3) The extent to which the evaluation will study the project at the proposed level of scale, including, where appropriate, generating information about potential differential effectiveness of the project in diverse settings and for diverse student population groups. (2013 i3 NFP)

Validation Selection Criterion: D. Quality of the Project Evaluation Cont.

Clear and Credible Analysis Plan

4) The extent to which the evaluation plan includes a clear and credible analysis plan, including a proposed sample size and minimum detectable effect size that aligns with the expected project impact, and an analytic approach for addressing the research questions.

Clearly Articulates Key Components and Outcomes

5) The extent to which the evaluation plan clearly articulates the key components and outcomes of the project, as well as a measurable threshold for acceptable implementation.

Sufficient Resources

6) The extent to which the proposed project plan includes sufficient resources to carry out the project evaluation effectively.

Review Process

- Peer reviewers will read, prepare a written evaluation and score the assigned applications using the Validation selection criteria.
- Peer reviewers are independent and come from varied backgrounds and professions including pre-K to Grade 12 teachers and principals, college and university educators, researchers and evaluators, and others with education expertise.
- All reviewers will be thoroughly screened for conflicts of interest to ensure a fair and competitive review process.

SECTIONS OF WEBINAR

- Overview of i3 Program
- Eligibility
- Evidence
- Priorities
- Selection Criteria & Review Process
- Application Requirements
- Formatting Requirements
- Pre- & Post-Award Requirements
- Grants.gov
- Registering for SAM
- Resources
- Closing

Application Checklist

ED Standard Forms

- ❑ Application for Federal Assistance (SF 424)
- ❑ Department of Education Supplemental Information for SF 424
- ❑ Department of Education Budget Summary Form (ED 524) Sections A & B
- ❑ Disclosure of Lobbying Activities (SF-LLL)

Assurances and Certifications

- ❑ GEPA Section 427
- ❑ Assurances- Non-Construction Programs (SF 424B)
- ❑ Grants.gov Lobby form (formerly ED 80-0013 form)

Application Narrative

- ❑ ED Abstract Project Narrative Form
- ❑ Project Narrative Form
  - ❑ Responses to the Selection Criteria
- ❑ Budget Narrative Form

ED form 524 C

Eligible applicants must also provide a detailed budget narrative that describes their proposed multi-year project activities and the costs associated with those activities as well as all costs associated with carrying out the project.

- ❑ Other Attachments Form (Upload Appendices here)

i3 Program Forms

- ❑ Eligibility Checklist for LEA Applicants
- ❑ Eligibility Checklist for Partnership Applicants
- ❑ i3 Applicant Information Sheet:

http://innovation.ed.gov/what-we-do/innovation/investing-in-innovation-i3/fy-2016-competition/)

Project Narrative Information

The Project Narrative should describe the project that an applicant would carry out if funded and include the eligible applicant's response to the Selection Criteria since the application will be evaluated and scored against these criteria.

Selection Criteria

- Significance, Strategy to Scale, Quality of the Project Design and Management Plan, and Quality of the Project Evaluation.

Budget Narrative Information

Department of Education Budget Summary Form (ED 524) Sections A & B

- Applicants should include the line item budget (ED form 524) **AND** the accompanying detailed budget narrative justification.
- Applicants may request i3 funding for 3, 4, or 5 years.
- Applicants must complete ED 524 for all budget years of the proposed project.
- Applicants should identify funds budgeted for the project evaluation.
- Applicants should include costs for four project staff persons to attend an annual 2-3 day project directors meeting in Washington, DC.
- The budget should only include costs that are allowable, reasonable, and necessary for carrying out the objectives of the i3 projects.
- Section B: Applicants show the funds or in-kind donations it proposes to use to meet the matching requirement as well as any other non-Federal funds or in-kind donations that is proposes to use to support its i3 project. Note: An applicant need not have secured matching funds or in-kind donations in order to show those funds or donations in Section B.

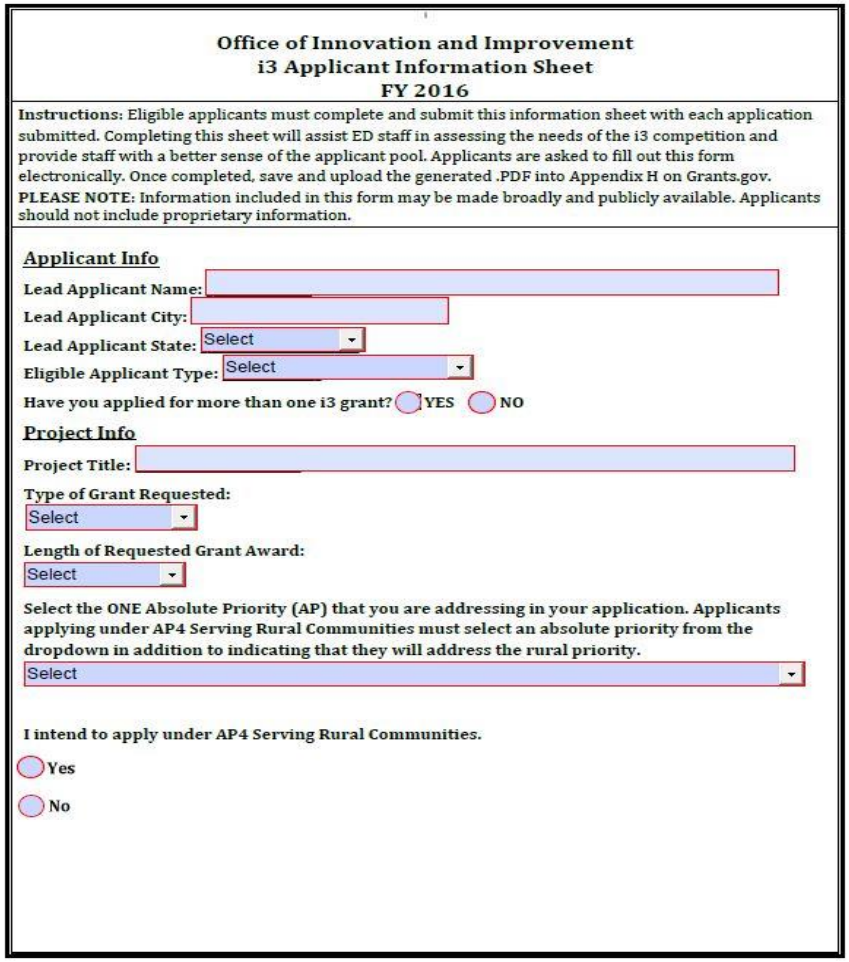
Appendix A: Applicant Information Sheet

Applicants must complete this form electronically and submit the Applicant Information Sheet with each application submitted.

To complete this form and upload:

- Download it from the i3 website: http://innovation.ed.gov/fy-2016-competition/
- Complete the form in Adobe Acrobat
- Save the form in Adobe Acrobat as a PDF
- Upload the PDF to the Other Attachments Form of the application

DO NOT print the form, complete it, and scan it as a PDF; Save the form in any format other than PDF; Forget to include this form; Include an introductory page to this form; Merge it with other appendices.



Office of Innovation and Improvement
i3 Applicant Information Sheet
FY 2016

Instructions: Eligible applicants must complete and submit this information sheet with each application submitted. Completing this sheet will assist ED staff in assessing the needs of the i3 competition and provide staff with a better sense of the applicant pool. Applicants are asked to fill out this form electronically. Once completed, save and upload the generated .PDF into Appendix H on Grants.gov.
PLEASE NOTE: Information included in this form may be made broadly and publicly available. Applicants should not include proprietary information.

Applicant Info

Lead Applicant Name:
Lead Applicant City:
Lead Applicant State: Select
Eligible Applicant Type: Select
Have you applied for more than one i3 grant? YES NO

Project Info

Project Title:
Type of Grant Requested: Select
Length of Requested Grant Award: Select
Select the ONE Absolute Priority (AP) that you are addressing in your application. Applicants applying under AP4 Serving Rural Communities must select an absolute priority from the dropdown in addition to indicating that they will address the rural priority.
Select

I intend to apply under AP4 Serving Rural Communities.
Yes
No

Appendix I:
Proprietary Information (if applicable)

Applicants should identify the specific information and page numbers in the application where it can be found.

SECTIONS OF WEBINAR

- Overview of i3 Program
- Eligibility
- Evidence
- Priorities
- Selection Criteria & Review Process
- Application Requirements
- Formatting Requirements
- Pre- & Post-Award Requirements
- Grants.gov
- Registering for SAM
- Resources
- Closing

Formatting Requirements

- Limit the Project Narrative to 35 pages
- A “page” is 8.5” x 11”, on one side only, with 1” margins at the top, bottom, and both sides.
- Double space (no more than three lines per vertical inch) all text in the application narrative, including titles, headings, footnotes, quotations, and caption.
- Use a font that is either 12 point or larger or no smaller than 10 pitch (characters per inch).
- Use one of the following fonts: Times New Roman, Courier, Courier New, or Arial.

SECTIONS OF WEBINAR

- Overview of i3 Program
- Eligibility
- Evidence
- Priorities
- Selection Criteria & Review Process
- Application Requirements
- Formatting Requirements
- Pre- & Post-Award Requirements
- Grants.gov
- Registering for SAM
- Resources
- Closing

Key Requirements That Must Be Met Before an Award Is Made

The Department, before awarding i3 grants, will confirm that all eligibility requirements have been met by potential grantees, including that applicants:

- Address one absolute priority;
- Implement practices that serve high-need students;
- Implement practices that serve students in grades K-12;
- Be supported by evidence of promise or strong theory;
- Demonstrate evidence of prior improvement (different requirements for LEA vs. non-profit (partnership) applicants); and
- Provide evidence of at least 50% of the private-sector match.

Explanation of Limits on Grant Awards

Award Cap

No grantee may receive more than two grant awards or more than $23 million in grant awards under this program in FY2016. Additionally, no grantee may receive more than one Scale-up or Validation grant in any two-year period.

Allowable Examples

- Scale-up ($20M) + Development ($3M)
- Validation ($12M) + Development ($3M)
- 2 Development ($3M each)
- Validation in 2015 + Development in 2016

Unallowable Examples

- 2 Scale-up or Validation
- Scale-up + Validation
- Scale-up in 2015 + Validation in 2016
- 3 Development ($3M each)

Notes:

- Applicants with more than 2 highest-rated applications may select which 2 applications receive awards
- The i3 award cap applies to the applicant; official partners and other partners may participate in more than 2 successful applications
- 2015 Validation grantees may receive up to 2 Development grants in 2016

Post Award Requirements

All Grantees Must:

- Conduct an independent project evaluation.
- Cooperate with technical assistance provided by the Department or its contractors.
- Share broadly the results of any evaluation.
- Participate in, organize, or facilitate, as appropriate, communities of practice for the i3 program.
- Provide the Department, within 100 days of the grant award, an updated evaluation plan and management plan.

* Note: The quality of an applicant's project evaluation is also a selection criterion in the full application review.

Post Award Requirements

Uniform Guidance

- i3 Grants awarded this year will be governed by the Uniform Guidance, 2 CFR Part 200, as adopted by ED at 2 CFR 3474.
- Additional Information can be found:
  - The i3 FAQs; and;
  - This website: http://www2.ed.gov/policy/fund/guid/uniform-guidance/index.html

SECTIONS OF WEBINAR

- Overview of i3 Program
- Eligibility
- Evidence
- Priorities
- Selection Criteria & Review Process
- Application Requirements
- Formatting Requirements
- Pre- & Post-Award Requirements
- Grants.gov
- Registering for SAM
- Resources
- Closing

Grants.gov

- All applications for grants under this competition must be submitted electronically using the Grants.gov site (www.Grants.gov).
- In order to apply for an i3 grant, you must complete the Grants.gov registration process. Go to the "Get Registered" link on the left hand side of the Grants.gov homepage. There will be a tutorial on this page that instructs applicants on how to complete the registration process.
- The registration process can take between three to five business days (or as long as four weeks if all steps are not completed in a timely manner).

Please register early!

Applying Through Grants.gov

- To apply for an i3 grant, go to the "Apply for Grants" link under the APPLICANTS tab on the top of the Grants.gov homepage.
- Next, follow the step-by-step application instructions. The CFDA number you will enter for Step 1 is 84.411.
- Select the application package for i3 Scale-up Application.
- If you are experiencing problems submitting your application through Grants.gov, please contact the Grants.gov Support Desk, toll free, at 1-800-518-4726. You must obtain a Grants.gov Support Desk Case Number and keep a record of it. You can also contact them via email at support@grants.gov.

SECTIONS OF WEBINAR

- Overview of i3 Program
- Eligibility
- Evidence
- Priorities
- Selection Criteria & Review Process
- Application Requirements
- Formatting Requirements
- Pre- & Post-Award Requirements
- Grants.gov
- Registering for SAM
- Resources
- Closing

Registering for SAM.GOV

- In order to submit an application through Grants.gov, applicants must be active in the System for Award Management (SAM).
- The SAM registration process can take **approximately seven business days, but may take upwards of several weeks,** depending on the completeness and accuracy of the data entered into the SAM database by an entity. Thus, if you are submitting an application under the i3 Validation Application competition, please allow sufficient time to obtain and register your DUNS number and TIN. We strongly recommend that you register early.
- Once your SAM registration is active, you will need to allow **24 to 48 hours** for the information to be available in Grants.gov and before you can submit an application through Grants.gov.
- Information about SAM is available at www.SAM.gov. To further assist you with obtaining and registering your DUNS number and TIN in SAM or updating your existing SAM account, the U.S. Department of Education prepared a SAM.gov Tip Sheet, which you can find at: http://www2.ed.gov/fund/grant/apply/sam-faqs.html.

SECTIONS OF WEBINAR

- Overview of i3 Program
- Eligibility
- Evidence
- Priorities
- Selection Criteria & Review Process
- Application Requirements
- Formatting Requirements
- Pre- & Post-Award Requirements
- Grants.gov
- Registering for SAM
- Resources
- Closing

Resources

Investing in Innovation Fund Website:
http://innovation.ed.gov/what-we-do/innovation/investing-in-innovation-i3

Notice Inviting Applications:
http://innovation.ed.gov/what-we-do/innovation/investing-in-innovation-i3/

Notice of Final Priorities, Requirements, Definitions and Selection Criteria:
http://www.gpo.gov/fdsys/pkg/FR-2013-03-27/pdf/2013-07016.pdf

Notice of Final Supplemental Priorities:
http://www.gpo.gov/fdsys/pkg/FR-2014-12-10/pdf/2014-28911.pdf

FAQs:
http://innovation.ed.gov/fy-2016-competition/

Application Package on Grants.gov and i3 website:
http://innovation.ed.gov/fy-2016-competition/

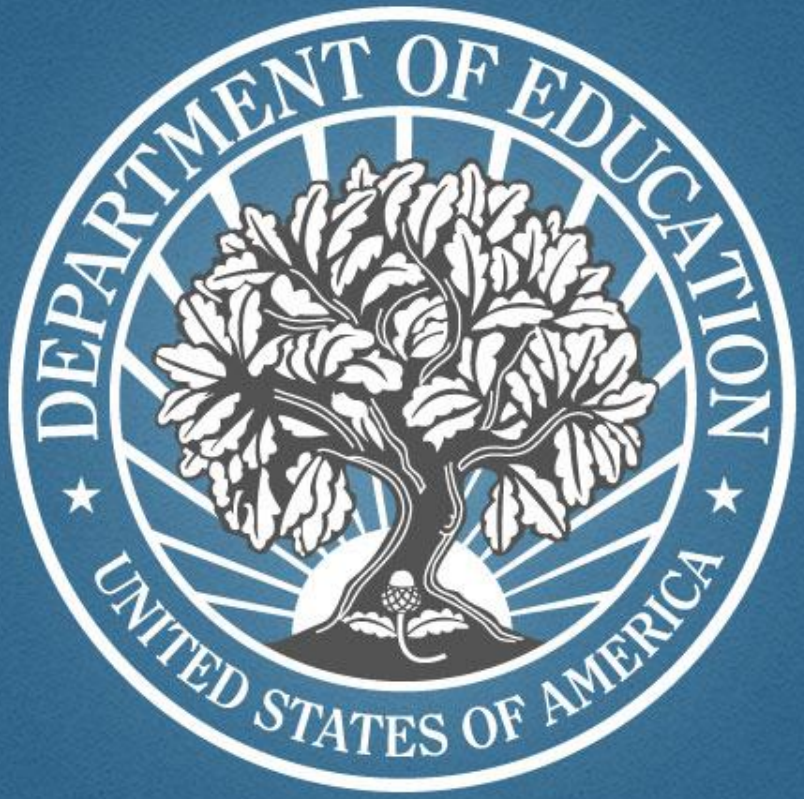
Note: These slides are intended as guidance only. Please refer to the official Notice in the Federal Register.

SECTIONS OF WEBINAR

- Overview of i3 Program
- Eligibility
- Evidence
- Priorities
- Selection Criteria & Review Process
- Application Requirements
- Formatting Requirements
- Pre- & Post-Award Requirements
- Grants.gov
- Registering for SAM
- Resources
- Closing

CLOSING

All questions about i3 should be sent to i3@ed.gov


DEPARTMENT OF EDUCATION
UNITED STATES OF AMERICA