

GAANN Selection Criteria Presentation



GAANN Technical Assistance Workshop

Rebecca Green

November 6, 2009



Highlights

- Selection Criteria
 - Quality of Key Personnel
 - Budget
 - Adequacy of Resources
- Program Forms
 - GAANN Statutory Assurances Form
 - GAANN Budget Spreadsheet(s) Form

Selection Criteria





Quality of Key Personnel (5 points)

- Each application will be reviewed to determine the quality of key personnel the applicant plans to use on the project.



Quality of Key Personnel (continued)

- The qualifications of the project director and other key personnel to be used in the project.
 - Designate a department chair, graduate program director or other faculty member with leadership responsibilities as the Project Director.
 - Provide narratives regarding the background and experience of the Project Director and other key personnel that will be associated with the GAANN.



Quality of Key Personnel (continued)

- The time commitments of the PI and other key personnel.
 - GAANN Regulations do not provide specific time commitments, however:
 - State time commitment as a percentage.
 - Most Project Director's will devote 10%; key personnel will devote between 5% - 10% of their academic year to the project.
 - The percentage of time devoted to the project should be enough to ensure it is successful.



Quality of Key Personnel (continued)

- Include a statement that will attest to your institution's nondiscrimination employment practices to ensure that personnel are not selected without regards to race, color, national origin, religion, etc.
 - While mentioned in the GEPA, you should also ensure that this is addressed in this section.



Budget (5 points)

- The applicant shows a clear understanding of the acceptable uses of program funds; and
- The costs of the project are reasonable in relation to the objectives of the project.



Budget (continued)

- Neither GAANN funds nor the institutional matching funds may be used to pay for overhead.
 - Sec. 648.64 (What are unallowable costs?) of the GAANN Program Regulations.
 - Examples of overhead costs include the costs of supervision, recruitment, capital projects, and indirect costs.
- Both Federal GAANN funds and Institutional Matching funds are strictly for fellowships.



Budget (continued)

- Federal GAANN funds include:
 - The maximum FY 2010 stipend amount is \$30,000.
 - For a fellow's subsistence.
 - Stipend may vary between fellows depending on their need.
 - The FY 2010 institutional payment is estimated to be \$13,755.
 - For a fellow's tuition and fees.



Budget - Stipend

- A fellows' demonstrated level of financial need is determined under Title IV, Part F of the Higher Education Act of 1965, as amended.
 - GAANN Regulation 648.51 (What is the amount of a stipend?)
- GAANN fellowship is awarded after a students' need is determined.



Budget - Institutional Payment

- Accepted in lieu of charging tuition and fees.
- Refer to Sec. 648.62 (How can the institutional payment be used?) of the GAANN Program Regulations.



Matching /Cost-Share

- May be used to provide additional fellowships (fellow's need is to be determined using Title IV, Part F).
- Refer to Sec. 648.63 (How can the institutional matching contribution be used?) of GAANN Program Regulations.
- Each budget period the match/cost-share must be at least 25% of federal Funds drawn down.



Adequacy of Resources (5 points)

- Offer details about Facilities, Equipment and Supplies specific to the fellow's field of study:
 - Facilities:
 - Laboratory and Office space available to fellows
 - Computer Labs and Libraries
 - Equipment:
 - Specific equipment and/or machines used for research in the project area
 - Laptops/computers available to fellows
 - Supplies:
 - Paper
 - Books

Program Forms





Program Forms

- Found only in the application booklet:
 - GAANN Statutory Assurances Form
 - GAANN Budget Spreadsheet(s) Form
 - Instructions provided in application booklet.
 - Both the Statutory Assurances and Budget Spreadsheet(s) Forms must be uploaded into e-Application.
 - Budget Spreadsheet(s) Forms will be on the GAANN program Web site



GAANN Statutory Assurances Form

- This form covers:
 - Commitment to fellows
 - Amount of stipend
 - Assurance that fellows maintain satisfactory progress
 - The 25% institutional match
 - Assurance not to supplant funds
 - Supervised teaching experience



GAANN Budget Spreadsheet(s) Form

- Single Disciplinary Programs:
 - Requests funding for one program of study in one of the GAANN areas of need.
 - Must state the Classification of Instructional Programs (CIP) code of the area in which the Master's or Doctoral degree will be awarded.
 - Number of fellowships awarded from Federal funds range from three to six.
 - Uses Sections A & B on the budget spreadsheet form.

Single Discipline Program

SECTION A: FELLOWSHIP DATA FOR EACH SINGLE DISCIPLINE

| | | |
|---|--|---|
| 1. Discipline and CIP code 40.05 Chemistry | 2. Number of fellowships from Federal funds 3 | 3. Additional fellowships from institutional matching contributions |
|---|--|---|

SECTION B: FEDERAL FUNDS REQUESTED AND REQUIRED INSTITUTIONAL MATCHING CONTRIBUTIONS

| Allowable Cost Categories | 1st Year | | 2nd Year | | 3rd Year | | Total | |
|---|-----------|-------------|-----------|-------------|-----------|-------------|-----------|-------------|
| | Federal | Non-Federal | Federal | Non-Federal | Federal | Non-Federal | Federal | Non-Federal |
| 4. Stipends | \$90,000 | | \$90,000 | | \$90,000 | | \$270,000 | |
| 5. Institutional Payment | \$41,265 | | \$41,265 | | \$41,265 | | \$123,795 | |
| 6. Tuition | | \$13,755 | | \$13,755 | | \$13,755 | | \$41,265 |
| 7. Fees | | \$9,531 | | \$9,531 | | \$9,531 | | \$28,593 |
| 8. Other Educational Costs | | \$9,531 | | \$9,531 | | \$9,531 | | \$28,593 |
| 9. Total | \$131,265 | \$32,817 | \$131,265 | \$32,817 | \$131,265 | \$32,817 | \$393,795 | \$98,451 |
| 10. Total Program Funds (Federal + Non-Federal) | \$164,082 | | \$164,082 | | \$164,082 | | \$492,246 | |

Note: Please refer to 34 CFR Part 648 Subpart F-- What are the Administrative Responsibilities of the Institution for budget information.

(Federal funds remaining at the end of the current budget period will be carried over into the next budget period. The total amount of the next budget period may be reduced by the amount carried over.)



GAANN Budget Spreadsheet(s) Form

- Inter-disciplinary Programs:
 - Requests funding for a program of study that involves academic fields in two or more disciplines.
 - Must list the Classification of Instructional Programs (CIP) codes for each area in which the Master's or Doctoral degree will be awarded.
 - Uses Sections A & B on the budget spreadsheet form.

Inter-Disciplinary Program

GRADUATE ASSISTANCE IN AREAS OF NATIONAL NEED (GAANN) FELLOWSHIP PROGRAM

| SECTION A: FELLOWSHIP DATA FOR EACH SINGLE DISCIPLINE | | | | | | | | |
|--|---|-------------|-----------|-------------|---|-------------|-----------|-------------|
| 1. Discipline and CIP code | 2. Number of fellowships from Federal funds | | | | 1. Additional fellowships from institutional matching contributions | | | |
| 40.08 Physics 14.14 Environmental/Environmental Health Engineering 40.05 Chemistry 26.01 Biology, General | 3 | | | | | | | |
| SECTION B: FEDERAL FUNDS REQUESTED AND REQUIRED INSTITUTIONAL MATCHING CONTRIBUTIONS | | | | | | | | |
| Allowable Cost Categories | 1st Year | | 2nd Year | | 3rd Year | | Total | |
| | Federal | Non-Federal | Federal | Non-Federal | Federal | Non-Federal | Federal | Non-Federal |
| 4. Stipends | \$90,000 | | \$90,000 | | \$90,000 | | \$270,000 | |
| 5. Institutional Payment | \$41,265 | | \$41,265 | | \$41,265 | | \$123,795 | |
| 6. Tuition | | \$13,755 | | \$13,755 | | \$13,755 | | \$41,265 |
| 7. Fees | | \$9,531 | | \$9,531 | | \$9,531 | | \$28,593 |
| 8. Other Educational Costs | | \$9,531 | | \$9,531 | | \$9,531 | | \$28,593 |
| 9. Total | \$131,265 | \$32,817 | \$131,265 | \$32,817 | \$131,265 | \$32,817 | \$393,795 | \$98,451 |
| 10. Total Program Funds (Federal + Non-Federal) | \$164,082 | | \$164,082 | | \$164,082 | | \$492,246 | |

Note: Please refer to 34 CFR Part 648 Subpart F-- What are the Administrative Responsibilities of the Institution for budget information.

(Federal funds remaining at the end of the current budget period will be carried over into the next budget period. The total amount of the next budget period may be reduced by the amount carried over.)

NOTE: DO NOT MODIFY OR AMEND THIS PAGE. COPY, SAVE AND ATTACH TO THE OPE-Budget Information Sheet (84.200A) - 1".



GAANN Budget Spreadsheet(s) Form

- Multi-disciplinary Programs:
 - Consist of two or more programs of study in the GAANN areas of need that are independent and unrelated to each other.
 - Funds are split between the departments that are awarded a GAANN.
 - Use Sections A, B, C, and D of the budget spreadsheets forms.

Multi-disciplinary program

SECTION A: FELLOWSHIP DATA FOR EACH SINGLE DISCIPLINE

| | | |
|---------------------------------------|---|---|
| 1. Discipline and CIP code | 2. Number of fellowships from Federal funds | 3. Additional fellowships from institutional matching contributions |
| 11.01 Computer & Information Sciences | 3 | 1 |

SECTION B: FEDERAL FUNDS REQUESTED AND REQUIRED INSTITUTIONAL MATCHING CONTRIBUTIONS

| Allowable Cost Categories | 1st Year | | 2nd Year | | 3rd Year | | Total | |
|---|-----------|-------------|-----------|-------------|-----------|-------------|-----------|-------------|
| | Federal | Non-Federal | Federal | Non-Federal | Federal | Non-Federal | Federal | Non-Federal |
| 4. Stipends | \$90,000 | \$30,000 | \$90,000 | \$30,000 | \$90,000 | \$30,000 | \$270,000 | \$90,000 |
| 5. Institutional Payment | \$41,265 | | \$41,264 | | \$41,265 | | \$123,795 | |
| 6. Tuition | | \$13,755 | | \$13,755 | | \$13,755 | | \$41,265 |
| 7. Fees | | | | | | | | |
| 8. Other Educational Costs | | | | | | | | |
| 9. Total | \$131,265 | \$43,755 | \$131,265 | \$43,755 | \$131,265 | \$43,755 | \$393,795 | \$131,265 |
| 10. Total Program Funds (Federal + Non-Federal) | \$175,020 | | \$175,020 | | \$175,020 | | \$525,060 | |

Note: Please refer to 34 CFR Part 648 Subpart E... What are the Administration Responsibilities of the Institution for budget information

Multi-disciplinary Program (continued)

GRADUATE ASSISTANCE IN AREAS OF NATIONAL NEED (GAANN) FELLOWSHIP PROGRAM

SECTION A: FELLOWSHIP DATA FOR EACH SINGLE DISCIPLINE

| 1. Discipline and CIP code | 2. Number of fellowships from Federal funds | 3. Additional fellowships from institutio matching contributions |
|----------------------------|---|--|
| 27.01 Mathematics | 3 | |

SECTION B: FEDERAL FUNDS REQUESTED AND REQUIRED INSTITUTIONAL MATCHING CONTRIBUTI

| Allowable Cost Categories | 1st Year | | 2nd Year | | 3rd Year | | Total | |
|---------------------------|----------|-------------|----------|-------------|----------|-------------|-----------|-------------|
| | Federal | Non-Federal | Federal | Non-Federal | Federal | Non-Federal | Federal | Non-Federal |
| 4. Salaries | \$90,000 | | \$90,000 | | \$90,000 | | \$270,000 | |

Multi-disciplinary (continued)

FELLOWSHIP DATA SUMMARY FOR TWO OR MORE DISCIPLINES

SECTION C: FELLOWSHIP DATA SUMMARY FOR TWO OR MORE DISCIPLINES

| | | |
|---|--|--|
| 1. Disciplines and CIP codes 11.01 Computer & Information Science 27.01 Mathematics | 2. Total number of fellowships from Federal funds 6 | 3. Total additional fellowships from institutional matching contributions 1 |
|---|--|--|

SECTION D: SUMMARY OF FEDERAL FUNDS REQUESTED AND REQUIRED INSTITUTIONAL MATCHING CONTRIBUTIONS

| Allowable Cost Categories | 1st Year | | 2nd Year | | 3rd Year | | Total | |
|---|-----------|-------------|-----------|-------------|-----------|-------------|-----------|-------------|
| | Federal | Non-Federal | Federal | Non-Federal | Federal | Non-Federal | Federal | Non-Federal |
| 4. Stipends | \$180,000 | \$30,000 | \$180,000 | \$30,000 | \$180,000 | \$30,000 | \$540,000 | \$90,000 |
| 5. Institutional Payment | \$82,530 | | \$82,530 | | \$82,530 | | \$247,590 | |
| 6. Tuition | | \$27,510 | | \$27,510 | | \$27,510 | | \$82,530 |
| 7. Fees | | \$8,125 | | \$8,125 | | \$8,125 | | \$24,375 |
| 8. Other Educational Costs | | | | | | | | |
| 9. Total | \$262,530 | \$65,635 | \$262,530 | \$65,635 | \$262,530 | \$65,635 | \$787,590 | \$196,905 |
| 10. Total Program Funds (Federal + Non-Federal) | \$328,165 | | \$328,165 | | \$328,165 | | \$984,495 | |

Note: Please refer to 34 CFR Part 648 Subpart F-- What are the Administrative Responsibilities of the Institution for budget information.



GAANN Information

- <http://www.ed.gov/programs/gaann/index.html>
- OPE_GAANN_PROGRAM@ED.GOV