

State Maintenance-of-Effort Submission
under the
Education Jobs Fund Program



U.S. Department of Education

Washington, D.C. 20202

OMB Number: 1810-0703
Expiration Date: 2-28-2011

Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1810-0703. The time required to complete this information collection is estimated to average 2 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, D.C. 20202-4537. If you have comments or concerns regarding the status of your individual submission of this form, write directly to: Education Jobs Fund Program, Office of Elementary and Secondary Education, U.S. Department of Education, 400 Maryland Ave., S.W., Room 3E108, Washington, D.C. 20202-3118.

Instructions for the State Maintenance-of-Effort Submission under the Education Jobs Fund Program

**U.S. Department of Education
September 8, 2010**

In its application for funding under the Education Jobs Fund (Ed Jobs) program, each State assured that it would comply with the maintenance-of-effort (MOE) requirements in section 101(10)(A) of Public Law 111-226. Each State also assured that, within 60 days of the date of the State's grant award, it would submit to the U.S. Department of Education (Department) the most current applicable MOE data available.

A State that receives Ed Jobs funds must maintain fiscal effort for education for fiscal year (FY) 2011 in one of four ways:

1. Maintain FY 2011 dollar levels of support at levels that are not less than FY 2009 levels;
2. Maintain FY 2011 percentages of support at percentages that are not less than FY 2010 percentages;
3. Maintain FY 2011 dollar levels of support at levels that are not less than FY 2006 levels; or
4. Maintain FY 2011 percentages of support at percentages that are not less than FY 2006 percentages.

Each method is described in detail in the attachments to these instructions. Using the applicable form in the attachments, each State must provide data only for the method by which the State intends to demonstrate that it will comply with the MOE requirements. A State must also provide source documentation substantiating the reported MOE data. Details on the source documentation are provided in the attachments.

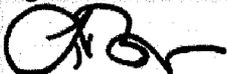
Each State should submit its data and documentation to EducationJobsFund@ed.gov. The submission is due to the Department not later than 60 days after the date of the State's grant award under the Ed Jobs program. If you have any questions, please contact Jim Butler at 202-453-7500 or James.Butler@ed.gov.

STATE MAINTENANCE-OF-EFFORT (MOE) SUBMISSION

PART 1: APPLICATION COVER SHEET

(CFDA Nos. 84.394 and 84.397)

| | |
|--|---|
| <p>Legal Name of Applicant (Office of the Governor): Office of the Governor, Indiana</p> | <p>Applicant's Mailing Address: Office of Governor Mitch Daniels 200 W. Washington St. Room 206 Indianapolis, IN 46204</p> |
| <p>State Contact for the Education Stabilization Fund (CFDA No. 84.394)</p> <p>Name: Adam M. Horst</p> <p>Position and Office: Director, Indiana State Budget Agency</p> <p>Contact's Mailing Address: Adam M. Horst, Director Indiana State Budget Agency 200 West Washington Street State House Room 212 Indianapolis, IN 46204</p> <p>Telephone: (317) 233-5707 Fax: (317) 233-3323 E-mail address: ahorst@sba.in.gov</p> | <p>State Contact for the Government Services Fund (CFDA No. 84.397)</p> <p><i>(Enter "same" if the same individual will serve as the contact for both the Education Stabilization Fund and the Government Services Fund.)</i></p> <p>Name: SAME</p> <p>Position and Office:</p> <p>Contact's Mailing Address: SAME</p> <p>Telephone:</p> <p>Fax:</p> <p>E-mail address:</p> |
| <p>To the best of my knowledge and belief, all of the information and data in this application are true and correct.</p> | |
| <p>Governor or Authorized Representative of the Governor (Printed Name): Governor Mitchell E. Daniels, Jr.</p> | <p>Telephone: (317) 232-4567</p> |
| <p>Signature of Governor or Authorized Representative of the Governor: REVISED: </p> | <p>Date: 10/14/10</p> |

| | |
|--|--------------------------------------|
| <p>Recommended Statement of Support from the Chief State School Officer <i>(Optional)</i>:</p> | |
| <p>The State educational agency will cooperate with the Governor in the implementation of the State Fiscal Stabilization Fund program.</p> | |
| <p>Chief State School Officer (Printed Name): Dr. Tony Bennett, Superintendent of Public Instruction</p> | <p>Telephone: (317) 232-6610</p> |
| <p>Signature of the Chief State School Officer: </p> | <p>Date: 10/14/10</p> |

**State Maintenance-of-Effort (MOE) Submission
under the Education Jobs Fund Program**

STATE: Indiana

**MOE Method 3: Comparing Fiscal Year 2011 Dollar Levels of Support with
Fiscal Year 2006 Levels**

NOTE: This method is available only to States with State tax collections for calendar year 2009 that are less than State tax collections for calendar year 2006.

For State fiscal year (FY) 2011, the State will maintain State support for elementary and secondary education (*in the aggregate*) at not less than the level of such support for State FY 2006; and

For State FY 2011, the State will maintain State support for public institutions of higher education (IHEs) (not including support for capital projects or for research and development or tuition and fees paid by students) at not less than the level of such support for State FY 2006.

State Tax Collections Data

\$ 12,281,600,000 State tax collections for calendar year 2006.

\$ 12,183,600,000 State tax collections for calendar year 2009.

FY 2006 Baseline Data

\$ 3,754,700,000 The level of State support for elementary and secondary education (*in the aggregate*) for FY 2006.

\$ 1,246,587,139 The level of State support for public IHEs for FY 2006.

Projected FY 2011 Data

\$ 6,568,500,000 The projected level of State support for elementary and secondary education (*in the aggregate*) for FY 2011.

\$ 1,254,446,385 The projected level of State support for public IHEs for FY 2011.

Affirmation of MOE Data

To the best of my knowledge and belief, all of the data in this submission are true and correct and are substantiated by the accompanying documentation.

Adam M. Horst

Governor or Authorized Representative (Printed Name)

Adam M. Horst

Signature of Governor or Authorized Representative

10/15/10

Date

MOE Method 3 Source Documentation

To document State tax collections for calendar years 2006 and 2009, a State may use the sum of the State quarterly tax collections data from the U.S. Census Bureau (Census), which are available at http://www.census.gov/govs/qtax/table_3.php. A State may provide revised State tax collection data if it has available more recent auditable data that differs from the information that was reported to Census. A State may, if it chooses, exclude from State tax collections those tax revenues that may not be used to provide support for elementary, secondary, or postsecondary education. For example, a State may exclude gasoline taxes that generate revenue that is available to support only transportation projects. If a State chooses to exclude dedicated taxes, it must do so for both calendar years 2006 and 2009. Furthermore, as part of its application, the State must identify the taxes excluded and the amounts of the State tax collections under such taxes.

For the FY 2006 baseline data, a State must provide documentation substantiating the State's final appropriations or expenditures for elementary and secondary education and for public IHEs. Such documentation may include tables or text from official State budget documents (e.g., a State budget summary or table of expenditures from the State's accounting system).

For the projected FY 2011 data, a State must provide enacted or estimated appropriations levels. Such documentation may include a Governor's budget proposal, legislative budget proposals, or enacted appropriations.

Following the close of State FY 2011, the Department will be collecting final appropriations or expenditure data for that fiscal year to verify that the State met the statutory MOE requirements.

Indiana State Tax Collections

SBA Revenues:

| CY06 | | CY09 | |
|---------------|--------------------------|---------------|--------------------------|
| January | \$ 1,256,700,000 | January | \$ 1,234,000,000 |
| February | \$ 655,100,000 | February | \$ 706,000,000 |
| March | \$ 852,900,000 | March | \$ 904,200,000 |
| April | \$ 1,568,800,000 | April | \$ 1,453,500,000 |
| May | \$ 923,500,000 | May | \$ 972,900,000 |
| June | \$ 1,252,100,000 | June | \$ 1,289,500,000 |
| July | \$ 921,100,000 | July | \$ 863,200,000 |
| August | \$ 799,700,000 | August | \$ 879,500,000 |
| September | \$ 1,227,500,000 | September | \$ 1,101,200,000 |
| October | \$ 963,500,000 | October | \$ 975,300,000 |
| November | \$ 808,200,000 | November | \$ 815,600,000 |
| December | \$ 1,052,500,000 | December | \$ 988,700,000 |
| Total: | \$ 12,281,600,000 | Total: | \$ 12,183,600,000 |

Source: Revenues are from monthly revenue reports from the State Budget Agency.

All reports can be found at: <http://www.in.gov/sba/2363.htm>

2006 Data

State Support for Elementary & Secondary Education:

\$ 3,754,700,000

Source: HB 1001-2005, Section 200

The maximum state distribution for a calendar year for all school corporations is:

(2) three billion seven hundred fifty four million seven hundred thousand dollars (\$3,754,700,000) in 2006.

HB 1001-2005 can be found at:

<http://www.in.gov/legislative/bills/2005/HE/HE1001.1.html>

Note: The State of Indiana budgets K-12 funding on a Calendar Year basis. The figures noted for K-12 on the MOE submission is based on a Calendar Year

| <u>Projected level of State support for Public IHE's</u> | <u>FY06 Appropriated</u> |
|--|------------------------------|
| IU R&R | 10,466,860 |
| IU Bloomington Operating | 192,152,673 |
| IU Regional Operating | 77,050,445 |
| IUPUI Operating & Health | 189,793,342 |
| Abilene Network Operations Center | 817,502 |
| Spinal Cord and Head Injury Research Center | 514,726 |
| Adult Stem Cell Research Center | 50,000 |
| Local Government Advisory Commission | 55,518 |
| Institute for Study of Developmental Disabilities | 2,432,526 |
| Geological Survey | 3,046,002 |
| Industrial Research Liaison Program | 249,964 |
| Purdue R&R | 9,505,775 |
| Purdue Lafayette Operating | 239,076,505 |
| Purdue Regional Operating | 36,444,786 |
| IUPU Ft. Wayne | 34,961,547 |
| Center for Paralysis Research | 513,085 |
| County Agriculture Extension Educators | 7,103,447 |
| Animal Disease Diagnostic Lab System | 3,387,166 |
| Statewide Technology | 5,468,960 |
| University-Based Business Assistance | 1,100,715 |
| Agriculture Research/Extension: Crossroads | 7,107,724 |
| North Central Valpo Nursing Partnership | 98,662 |
| ISU R&R | 2,061,338 |
| ISU Operating | 76,085,538 |
| USI R&R | 400,414 |
| USI Operating | 34,089,286 |
| Historic New Harmony | 356,216 |
| Young Abe Lincoln | 270,000 |
| BSU R&R | 2,621,019 |
| BSU Operating | 124,351,153 |
| Academy for Science, Math, Humanities | 4,196,355 |
| Vincennes R&R | 2,754,205 |
| Vincennes Operating | 36,654,617 |
| ITCC R&R | 2,761,826 |
| Ivy Tech Operating | 138,587,242 |
| | <hr/> |
| | 1,246,587,139 |

Source: HB 1001-2005, Section 9 & Section 32 can be found at:

<http://www.in.gov/legislative/bills/2005/HE/HE1001.1.html>

2011 Data

State Support for Elementary & Secondary Education:

\$ 6,568,500,000

Source: HB 1001-2009(ss), Section 329

Maximum state distribution to school corporations

Sec. 2. The maximum state distribution for a calendar year for all school corporations for the purposes described in section 3 of this chapter is:

- (1) five billion eight hundred twenty-nine million nine hundred thousand dollars (\$5,829,900,000) in 2009;
- (2) six billion five hundred forty-eight million nine hundred thousand dollars (\$6,548,900,000) in 2010; and
- (3) six billion five hundred sixty-eight million five hundred thousand dollars (\$6,568,500,000) in 2011.

This code citation can be found at:

<http://www.in.gov/legislative/bills/1092/HE/HE1001.1.html>

Note: The State of Indiana budgets K-12 funding on a Calendar Year basis. The figures noted for K-12 on the MOE submission is based on a Calendar Year

| <u>Projected level of State support for Public IHE's</u> | FY11 Appropriation | FY11 Reduction | FY11 Projected Amount |
|--|-----------------------|-------------------|--------------------------|
| IU Bloomington Operating | 190,670,086 | - | 190,670,086 |
| IU Regional Operating | 76,811,147 | - | 76,811,147 |
| IUPUI Operating & Health | 194,408,062 | - | 194,408,062 |
| Abilene Network Operations Center | 832,596 | - | 832,596 |
| Local Government Advisory Commission | 56,543 | - | 56,543 |
| Institute for Study of Developmental Disabilities | 2,477,440 | - | 2,477,440 |
| Geological Survey | 3,102,244 | - | 3,102,244 |
| Purdue Lafayette Operating | 241,119,044 | - | 241,119,044 |
| Purdue Regional Operating | 39,050,039 | - | 39,050,039 |
| IUPU Ft. Wayne | 37,816,896 | - | 37,816,896 |
| Center for Paralysis Research | 522,558 | - | 522,558 |
| County Agriculture Extension Educators | 7,234,605 | - | 7,234,605 |
| Animal Disease Diagnostic Lab System | 3,449,706 | - | 3,449,706 |
| Statewide Technology | 6,433,939 | - | 6,433,939 |
| University-Based Business Assistance | 1,889,039 | - | 1,889,039 |
| Agriculture Research/Extension: Crossroads | 7,238,961 | - | 7,238,961 |
| ISU Operating | 71,536,249 | - | 71,536,249 |
| Nursing Program | 240,000 | - | 240,000 |
| USI Operating | 39,172,365 | 1,071,891 | 38,100,474 |
| Historic New Harmony | 553,428 | - | 553,428 |
| BSU Operating | 125,182,828 | 2,787,687 | 122,395,141 |
| Entrepreneurial College | 960,000 | - | 960,000 |
| Academy for Science, Math, Humanities | 4,273,836 | - | 4,273,836 |
| Vincennes Operating | 37,190,537 | - | 37,190,537 |
| Ivy Tech Operating | 175,842,161 | 9,858,830 | 165,983,331 |
| Valpo Nursing Partnership | 100,484 | - | 100,484 |
| Total: | 1,268,164,793 | 13,718,408 | 1,254,446,385 |

Source: HB 1001-2009(ss) Section 9 can be found at:

<http://www.in.gov/legislative/bills/1092/HE/HE1001.1.html>