

Arizona Part C FFY 2010 SPP/APR Response Table

Monitoring Priorities and Indicators	Status of APR Data/SPP Revision Issues	OSEP Analysis/Next Steps
<p>The IDEA Part C regulations cited in this APR response table as 34 CFR §303.xxx are those regulations which were in effect during FFY 2010. If the State has chosen to implement any of the new regulations published in 76 Federal Register 60140 (September 28, 2011) prior to the required implementation date of July 1, 2012 for a regulation that impacts the measurements for an SPP/ APR indicator, the State must so indicate in its FFY 2011 APR, due February 1, 2013.</p>		
<p>1. Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner. [Compliance Indicator]</p>	<p>The State revised the improvement activities for FFY 2011 and FFY 2012 for this indicator and OSEP accepts those revisions.</p> <p>The State’s FFY 2010 reported data for this indicator are 78%. These data represent slippage from the FFY 2009 data of 84%. The State did not meet its FFY 2010 target of 100%.</p> <p>The State reported that both of its findings of noncompliance identified in FFY 2009 for this indicator were corrected in a timely manner.</p> <p>The State reported on the correction of the one remaining finding of noncompliance identified in FFY 2004.</p> <p><u>Special Conditions</u></p> <p>The timely service provision requirements of this indicator were the subject of Special Conditions on Arizona's IDEA Part C FFY 2011 grant. OSEP has imposed special conditions on Arizona’s IDEA Part C grant award since FFY 2008 due to the State’s failure to ensure the timely provision of services in Arizona and revised those Special Conditions beginning in FFY 2010 to focus on the timely provision of services in Maricopa County by Arizona’s largest Part C early intervention service (EIS) program, (DDD). The FFY 2011 Special Conditions required Arizona to submit two progress reports, one on February 1, 2012 and the final report due May 2, 2012, to ensure compliance by DDD in Maricopa County. The State timely submitted both progress reports.</p> <p>The State’s Special Conditions progress reports provided data from July 1, through November 15, 2011 indicating 76% compliance and updated data for the period from January 1, 2012 through March 15, 2012 indicating 97% compliance. OSEP will further respond to the State’s FFY 2011 Special Conditions progress reports in Arizona’s FFY 2012 IDEA Part C grant letter.</p>	<p>The State must demonstrate, in the FFY 2011 APR, that the State is in compliance with the timely service provision requirements in 34 CFR §§303.340(c), 303.342(e), and 303.344(f)(1). Because the State reported less than 100% compliance for FFY 2010, the State must report on the status of correction of noncompliance reflected in the data the State reported for this indicator.</p> <p>When reporting on the correction of noncompliance, the State must report, in its FFY 2011 APR, that it has verified that each EIS program with noncompliance identified in the FFY 2010 for this indicator: (1) is correctly implementing 34 CFR §§303.340(c), 303.342(e), and 303.344(f)(1) (i.e., achieved 100% compliance) based on updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has initiated services, although late, for any child whose services were not initiated in a timely manner, unless the child is no longer</p>

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		<p>within the jurisdiction of the EIS program, consistent with OSEP Memorandum 09-02, dated October 17, 2008 (OSEP Memo 09-02). In the FFY 2011 APR, the State must describe the specific actions that were taken to verify the correction.</p> <p>If the State does not report 100% compliance in the FFY 2011 APR, the State must review its improvement activities and revise them, if necessary to ensure compliance.</p>																
<p>2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings. [Results Indicator]</p>	<p>The State revised the improvement activities for FFY 2011 and FFY 2012 for this indicator and OSEP accepts those revisions.</p> <p>The State's FFY 2010 data for this indicator are 86%. These data represent progress from the State's FFY 2009 data of 74%. The State did not meet its FFY 2010 target of 94%.</p>	<p>OSEP looks forward to the State's data demonstrating improvement in performance in the FFY 2011 APR.</p>																
<p>3. Percent of infants and toddlers with IFSPs who demonstrate improved: A. Positive social-emotional skills (including social relationship); B. Acquisition and use of knowledge and skills (including early language/communication); and C. Use of appropriate behaviors to meet their needs. [Results Indicator]</p>	<p>The State revised the improvement activities for FFY 2011 and FFY 2012 for this indicator and OSEP accepts those revisions.</p> <p>The State's reported data for this indicator are:</p> <table border="1" data-bbox="548 1060 1581 1498"> <thead> <tr> <th data-bbox="548 1060 957 1162"><u>Summary Statement 1</u></th> <th data-bbox="957 1060 1163 1162"><u>FFY 2009 Data</u></th> <th data-bbox="1163 1060 1371 1162"><u>FFY 2010 Data</u></th> <th data-bbox="1371 1060 1581 1162"><u>FFY 2010 Target</u></th> </tr> </thead> <tbody> <tr> <td data-bbox="548 1162 957 1300">Outcome A: Positive social-emotional skills (including social relationships) (%)</td> <td align="center" data-bbox="957 1162 1163 1300">65</td> <td align="center" data-bbox="1163 1162 1371 1300">61.4</td> <td align="center" data-bbox="1371 1162 1581 1300">63</td> </tr> <tr> <td data-bbox="548 1300 957 1468">Outcome B: Acquisition and use of knowledge and skills (including early language/ communication) (%)</td> <td align="center" data-bbox="957 1300 1163 1468">73</td> <td align="center" data-bbox="1163 1300 1371 1468">70</td> <td align="center" data-bbox="1371 1300 1581 1468">72</td> </tr> <tr> <td data-bbox="548 1468 957 1498">Outcome C:</td> <td align="center" data-bbox="957 1468 1163 1498">75</td> <td align="center" data-bbox="1163 1468 1371 1498">70.7</td> <td align="center" data-bbox="1371 1468 1581 1498">72</td> </tr> </tbody> </table>	<u>Summary Statement 1</u>	<u>FFY 2009 Data</u>	<u>FFY 2010 Data</u>	<u>FFY 2010 Target</u>	Outcome A: Positive social-emotional skills (including social relationships) (%)	65	61.4	63	Outcome B: Acquisition and use of knowledge and skills (including early language/ communication) (%)	73	70	72	Outcome C:	75	70.7	72	<p>OSEP looks forward to the State's data demonstrating improvement in performance in the FFY 2011 APR.</p> <p>The State must report progress data and actual target data for FFY 2011 in the FFY 2011 APR.</p>
<u>Summary Statement 1</u>	<u>FFY 2009 Data</u>	<u>FFY 2010 Data</u>	<u>FFY 2010 Target</u>															
Outcome A: Positive social-emotional skills (including social relationships) (%)	65	61.4	63															
Outcome B: Acquisition and use of knowledge and skills (including early language/ communication) (%)	73	70	72															
Outcome C:	75	70.7	72															

Arizona Part C FFY 2010 SPP/APR Response Table

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	Use of appropriate behaviors to meet their needs (%)					
	<u>Summary Statement 2</u>	<u>FFY 2009 Data</u>	<u>FFY 2010 Data</u>	<u>FFY 2010 Target</u>		
Outcome A: Positive social-emotional skills (including social relationships) (%)	64	60	57.5			
Outcome B: Acquisition and use of knowledge and skills (including early language/ communication) (%)	57	54.2	49.5			
Outcome C: Use of appropriate behaviors to meet their needs (%)	58	52.3	52.5			
	These data represent slippage from the FFY 2009 data. The State did not meet all of its FFY 2010 targets for this indicator.					
<p>4. Percent of families participating in Part C who report that early intervention services have helped the family:</p> <p>A. Know their rights; B. Effectively communicate their children’s needs; and C. Help their children develop and learn.</p> <p>[Results Indicator]</p>	<p>The State revised the improvement activities for FFY 2011 and FFY 2012 for this indicator and OSEP accepts those revisions.</p> <p>The State’s reported data for this indicator are:</p>				<p>OSEP appreciates the State’s efforts to improve performance.</p>	
	<u>FFY 2009 Data</u>	<u>FFY 2010 Data</u>	<u>FFY 2010 Target</u>	<u>Progress</u>		
A. Know their rights (%)	95	94	93	-1.00%		
B. Effectively communicate their children’s needs (%)	94	94	93	0.00%		
C. Help their children develop and learn (%)	96	95	93	-1.00%		
	These data remain unchanged for 4B from the FFY 2009 data. The State met all of its FFY 2010 targets for this indicator.					

Arizona Part C FFY 2010 SPP/APR Response Table

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<p>5. Percent of infants and toddlers birth to 1 with IFSPs compared to national data. [Results Indicator]</p>	<p>The State revised the improvement activities for FFY 2011 and FFY 2012 for this indicator and OSEP accepts those revisions.</p> <p>The State's FFY 2010 data for this indicator are 0.67%. These data represent progress from the FFY 2010 data of 0.53. The State did not meet its FFY 2010 target of .80%.</p>	<p>OSEP looks forward to the State's data demonstrating improvement in performance in the FFY 2011 APR.</p>
<p>6. Percent of infants and toddlers birth to 3 with IFSPs compared to national data. [Results Indicator]</p>	<p>The State revised the improvement activities for FFY 2011 and FFY 2012 for this indicator and OSEP accepts those revisions.</p> <p>The State's FFY 2010 data for this indicator are 1.95%. These data represent progress from the FFY 2010 data of 1.72. The State met is FFY 2010 target of 1.95%.</p>	<p>OSEP appreciates the State's efforts to improve performance.</p>
<p>7. Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline. [Compliance Indicator]</p>	<p>The State revised the improvement activities for FFY 2011 and FFY 2012 for this indicator and OSEP accepts those revisions.</p> <p>The State's FFY 2010 data for this indicator are 98%. These data represent progress from the FFY 2009 data of 85%. The State did not meet its FFY 2010 target of 100%.</p> <p>The State reported that one of three findings of noncompliance identified in FFY 2009 was corrected in a timely manner and that the remaining two findings subsequently were corrected by January 31, 2012.</p> <p>The State reported that the one finding of noncompliance identified in FFY 2004 for this indicator was corrected.</p>	<p>OSEP appreciates the State's efforts and looks forward to reviewing in the FFY 2011 APR, the State's data demonstrating that it is in compliance with the 45-day timeline requirements in 34 CFR §§303.321(e)(2), 303.322(e)(1), and 303.342(a). Because the State reported less than 100% compliance for FFY 2010, the State must report on the status of correction of noncompliance reflected in the data the State reported for this indicator.</p> <p>When reporting on the correction of noncompliance, the State must report, in its FFY 2011 APR, that it has verified that each EIS program with noncompliance identified in FFY 2010 for this indicator: (1) is correctly implementing 34 CFR §§303.321(e)(2), 303.322(e)(1), and 303.342(a) (i.e., achieved 100% compliance) based on a review of updated data such as</p>

Arizona Part C FFY 2010 SPP/APR Response Table

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		<p>data subsequently collected through on-site monitoring or a State data system; and (2) has conducted the initial evaluation, assessment, and IFSP meeting, although late, for any child for whom the 45-day timeline was not met, unless the child is no longer within the jurisdiction of the EIS program, consistent with OSEP Memo 09-02. In the FFY 2011 APR, the State must describe the specific actions that were taken to verify the correction.</p> <p>If the State does not report 100% compliance in the FFY 2011 APR, the State must review its improvement activities and revise them, if necessary to ensure compliance.</p>
<p>8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:</p> <p>A. IFSPs with transition steps and services;</p> <p>[Compliance Indicator]</p>	<p>The State revised the improvement activities for FFY 2011 and FFY 2012 for this indicator and OSEP accepts those revisions.</p> <p>The State's FFY 2010 reported data for this indicator are 96%. These data represent slippage from the FFY 2009 data of 100%. The State did not meet its FFY 2010 target of 100%.</p> <p>The State reported that it did not identify any findings of noncompliance in FFY 2009.</p>	<p>OSEP appreciates the State's efforts and looks forward to reviewing in the FFY 2011 APR the State's data demonstrating that it is in compliance with the IFSP transition content requirements in 34 CFR §§303.148(b)(4) and 303.344(h) and 20 U.S.C. 1436(a)(3) and (d)(8). Because the State reported less than 100% compliance for FFY 2010, the State must report on the status of correction of noncompliance reflected in the data the State reported for this indicator.</p>

Arizona Part C FFY 2010 SPP/APR Response Table

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		<p>When reporting on the correction of noncompliance, the State must report, in its FFY 2011 APR, that it has verified that each EIS program with noncompliance identified in FFY 2010 for this indicator: (1) is correctly implementing 34 CFR §§303.148(b)(4) and 303.344(h) and 20 U.S.C. 1436(a)(3) and (d)(8) (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has developed an IFSP with transition steps and services for each child, unless the child is no longer within the jurisdiction of the EIS program (i.e., the child has exited the State's Part C program due to age or other reasons), consistent with OSEP Memo 09-02. In the FFY 2011 APR, the State must describe the specific actions that were taken to verify the correction.</p> <p>If the State does not report 100% compliance in the FFY 2011 APR, the State must review its improvement activities and revise them, if necessary to ensure compliance.</p>
<p>8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and</p>	<p>The State revised the improvement activities for FFY 2011 and FFY 2012 for this indicator and OSEP accepts those revisions.</p> <p>The State's FFY 2010 reported data for this indicator are 87%. These data represent</p>	<p>The State must demonstrate, in the FFY 2011 APR, that the State is in compliance with the LEA notification requirements in 34</p>

Arizona Part C FFY 2010 SPP/APR Response Table

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<p>other appropriate community services by their third birthday including:</p> <p>B. Notification to LEA, if child potentially eligible for Part B; and</p> <p>[Compliance Indicator]</p>	<p>progress from the FFY 2009 data of 84%. The State did not meet its FFY 2010 target of 100%.</p> <p>The State reported under this indicator that both of its findings of noncompliance identified in FFY 2009 for this indicator were corrected in a timely manner.</p>	<p>CFR §303.148(b)(1). Because the State reported less than 100% compliance for FFY 2010, the State must report on the status of correction of noncompliance reflected in the data the State reported for this indicator.</p> <p>When reporting on the correction of noncompliance, the State must report, in its FFY 2011 APR, that it has verified that each EIS program with noncompliance identified in FFY 2010 for this indicator: (1) is correctly implementing 34 CFR §303.148(b)(1) (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has provided notification to the LEA for each child, unless the child is no longer within the jurisdiction of the EIS program (i.e., the child has exited the State's Part C program due to age or other reasons), consistent with OSEP Memo 09-02. In the FFY 2011 APR, the State must describe the specific actions that were taken to verify the correction.</p> <p>If the State does not report 100% compliance in the FFY 2010 APR, the State must review its improvement activities and revise them, if necessary to ensure compliance.</p>

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<p>8. Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:</p> <p>C. Transition conference, if child potentially eligible for Part B.</p> <p>[Compliance Indicator]</p>	<p>The State revised the improvement activities for FFY 2011 and FFY 2012 for this indicator and OSEP accepts those revisions.</p> <p>The State’s FFY 2010 reported data for this indicator are 84%. These data represent slippage from the FFY 2009 data of 82%. The State did not meet its FFY 2010 target of 100%.</p> <p>The State reported that both of its findings of noncompliance identified in FFY 2009 for this indicator were corrected in a timely manner.</p>	<p>The State must demonstrate, in the FFY 2011 APR, that the State is in compliance with the timely transition conference requirements in 34 CFR §303.148(b)(2)(i) (as modified by IDEA section 637(a)(9)(A)(ii)(II)). Because the State reported less than 100% compliance for FFY 2010, the State must report on the status of correction of noncompliance reflected in the data the State reported for this indicator.</p> <p>When reporting on the correction of noncompliance, the State must report, in its FFY 2011 APR, that it has verified that each EIS program with noncompliance identified in FFY 2010 for this indicator: (1) is correctly implementing 34 CFR §303.148(b)(2)(i) (as modified by IDEA section 637(a)(9)(A)(ii)(II)) (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has conducted a transition conference, although late, for any child potentially eligible for Part B whose transition conference was not timely, unless the child is no longer within the jurisdiction of the EIS program, consistent with</p>

Arizona Part C FFY 2010 SPP/APR Response Table

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		<p>OSEP Memo 09-02. In the FFY 2011 APR, the State must describe the specific actions that were taken to verify the correction.</p> <p>If the State does not report 100% compliance in the FFY 2010 APR, the State must review its improvement activities and revise them, if necessary to ensure compliance.</p>
<p>9. General Supervision system (including monitoring complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.</p> <p>[Compliance Indicator]</p>	<p>The State revised the improvement activities for FFY 2011 and FFY 2012 for this indicator and OSEP accepts those revisions.</p> <p>The State's FFY 2010 reported data for this indicator are 80% for the timely correction of 20 of 25 FFY 2009 findings. These data represent slippage from the FFY 2009 data of 86%. The State did not meet its FFY 2010 target of 100%.</p> <p>The State reported on the correction of the two FFY 2004 findings of noncompliance.</p>	<p>The State must review its improvement activities and revise them, if appropriate, to ensure they will enable the State to provide data in the FFY 2011 APR, demonstrating that the State timely corrected findings of noncompliance identified in FFY 2010 in accordance with IDEA section 635(a)(10)(A), 34 CFR §303.501, and OSEP Memo 09-02.</p> <p>When reporting on correction of findings of noncompliance in the FFY 2011 APR, the State must report that it verified that each EIS program with noncompliance identified in FFY 2010: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected</p>

Arizona Part C FFY 2010 SPP/APR Response Table

Monitoring Priorities and Indicators	Status of APR Data/SPP Revision Issues	OSEP Analysis/Next Steps
		<p>each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program, consistent with OSEP Memo 09-02. In the FFY 2011 APR, the State must describe the specific actions that were taken to verify the correction. In addition, in reporting on Indicator 9 in the FFY 2011 APR, the State must use the Indicator 9 Worksheet.</p> <p>Further, in responding to Indicators 1, 7, 8A, 8B, and 8C in the FFY 2011 APR, the State must report on correction of the noncompliance described in this table under those indicators.</p>
<p>10. Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint. [Compliance Indicator]</p>	<p>The State revised the improvement activities for FFY 2011 and FFY 2012 for this indicator and OSEP accepts those revisions.</p> <p>The State's FFY 2010 reported data for this indicator, as of January 31, 2012, are 100%. These data are based on two complaints. The State met its FFY 2010 target of 100%.</p> <p>Note that States are allowed to amend their FFY 2010 IDEA section 618 Dispute Resolution data until July 2012.</p>	<p>OSEP appreciates the State's efforts in achieving compliance with the timely complaint resolution requirements in 34 CFR §303.512.</p>
<p>11. Percent of fully adjudicated due process hearing requests that were fully adjudicated within the applicable timeline. [Compliance Indicator]</p>	<p>The State revised the improvement activities for FFY 2011 and FFY 2012 for this indicator and OSEP accepts those revisions.</p> <p>The State reported, as of January 31, 2012, that it did not have any fully adjudicated due process hearings during the reporting period.</p> <p>Note that States are allowed to amend their FFY 2010 IDEA section 618 Dispute Resolution data until July 2012.</p>	<p>OSEP looks forward to reviewing the State's data in the FFY 2011 APR.</p>
<p>12. Percent of hearing requests that went to resolution sessions that were resolved through resolution</p>	<p>Not applicable.</p>	<p>Not applicable.</p>

Arizona Part C FFY 2010 SPP/APR Response Table

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session settlement agreements (applicable if Part B due process procedures are adopted). [Results Indicator]		
13. Percent of mediations held that resulted in mediation agreements. [Results Indicator]	The State reported, as of January 31, 2012, that no mediations were held during the reporting period. The State reported fewer than ten mediations held in FFY 2010. The State is not required to provide targets or improvement activities until any fiscal year in which ten or more mediations were held. Note that States are allowed to amend their FFY 2010 IDEA section 618 Dispute Resolution data until July 2012.	OSEP looks forward to reviewing the State's data in the FFY 2011 APR.
14. State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate. [Compliance Indicator]	The State revised the improvement activities for FFY 2011 and FFY 2012 for this indicator and OSEP accepts those revisions. The State's FFY 2010 reported data for this indicator are 100%. These data represent progress from the FFY 2009 data of 95.7%. The State met its FFY 2010 target of 100%.	OSEP appreciates the State's efforts in achieving compliance with the timely and accurate data reporting requirements in IDEA sections 616, 618, and 642 and 34 CFR §§76.720 and 303.540. In reporting on Indicator 14 in the FFY 2011 APR, the State must use the Indicator 14 Data Rubric.