

**Alabama Part C FFY 2007 SPP/APR Response Table**

<b>Monitoring Priorities and Indicators</b>	<b>Status of APR Data/SPP Revision Issues</b>	<b>OSEP Analysis/Next Steps</b>
<p>1. Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.</p> <p>[Compliance Indicator]</p>	<p>The State’s FFY 2007<sup>1</sup> reported data for this indicator are 100%. These data represent progress from the FFY 2006 data of 98.3%.</p> <p>The State met its FFY 2007 target of 100%.</p> <p>The State did not report separately the number of documented delays attributable to family circumstances.</p> <p>The State reported that the two findings of noncompliance identified in FFY 2006 related to this indicator were corrected in a timely manner.</p>	<p>The State reported that noncompliance identified in FFY 2006 with the timely service provision requirements in 34 CFR §§303.340(c), 303.342(e) and 303.344(f)(1) was corrected in a timely manner.</p> <p>OSEP appreciates the State’s efforts in achieving compliance with the requirements in 34 CFR §§303.340(c), 303.342(e) and 303.344(f)(1).</p>
<p>2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically developing children.</p> <p>[Results Indicator]</p>	<p>The State revised the improvement activities for this indicator and OSEP accepts those revisions.</p> <p>The State’s FFY 2007 reported data for this indicator are 95%. The State’s data reflect a high level of performance for this indicator.</p> <p>The State met its FFY 2007 target of 89%.</p>	<p>OSEP appreciates the State’s efforts to improve performance.</p> <p>The State’s actual target data for provision of services to infants and toddlers in natural environments are at or greater than 95%. There is no expectation that an increase in that percentage is necessary. OSEP appreciates the State’s efforts to improve performance and assumes that the State is monitoring to ensure that IFSP teams are making service setting decisions on an individualized basis and in compliance with 34 CFR §§303.12, 303.18, and 303.344(d)(1)(ii).</p>
<p>3. Percent of infants and toddlers with IFSPs who demonstrate improved:</p> <p>A. Positive social-emotional skills (including social relationships);</p>	<p>The State revised the improvement activities for this indicator in its SPP and OSEP accepts those revisions.</p> <p>The State’s FFY 2007 reported progress data for this indicator are:</p>	<p>The State reported the required progress data and improvement activities. The State must provide baseline data, targets and improvement activities with the FFY 2008 APR, due February 1, 2010.</p>

<sup>1</sup> The State’s FFY 2007 APR reported data based on the State Fiscal Year (SFY) 2008 (the period from October 1, 2007-September 30, 2008). OSEP’s use of “FFY 2007 data” or “FFY 2007 targets” in this chart reflects the SFY 2008 data or targets in the State’s FFY 2007 APR. Similarly, references to “FFY 2006 data” reflect the SFY 2007 data and “FFY 2005 data” reflects the SFY 2006 data.

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<p>B. Acquisition and use of knowledge and skills (including early language/ communication); and C. Use of appropriate behaviors to meet their needs. [Results Indicator]</p>	<p align="center">07-08 Infant and Toddler Outcome Progress Data</p>	<p align="center">Social Emotional</p>	<p align="center">Knowledge &amp; Skills</p>	<p align="center">Appropriate Behavior</p>	<p>The State reported outcome data for at risk children separately from those children eligible due to diagnosed conditions and developmental delays. In the FFY 2008 APR, due February 1, 2010, the State must provide the required data for FFY 2008.</p>										
	<p>a. % of infants &amp; toddlers who did not improve functioning.</p>	<p align="center">10</p>	<p align="center">12</p>	<p align="center">9</p>											
	<p>b. % of infants &amp; toddlers who improved but not sufficient to move nearer to functioning comparable to same-aged peers.</p>	<p align="center">8</p>	<p align="center">11</p>	<p align="center">7</p>											
	<p>c. % of infants &amp; toddlers who improved to a level nearer to same-aged peers but did not reach it.</p>	<p align="center">14</p>	<p align="center">27</p>	<p align="center">15</p>											
	<p>d. % of infants &amp; toddlers who improved functioning to reach a level comparable to same-aged peers.</p>	<p align="center">24</p>	<p align="center">35</p>	<p align="center">32</p>											
	<p>e. % of infants &amp; toddlers who maintained functioning at a level comparable to same-aged peers.</p>	<p align="center">44</p>	<p align="center">15</p>	<p align="center">37</p>											
	<p>Total (approx. 100%)</p>	<p align="center">100.00 %</p>	<p align="center">100.00 %</p>	<p align="center">100.00 %</p>											
<p>4. Percent of families participating in Part C who report that early intervention services have helped the family: A. Know their rights; B. Effectively communicate their children's needs; and C. Help their children develop and learn. [Results Indicator]</p>	<p>The State revised the improvement activities for this indicator and OSEP accepts those revisions. The State's reported data for this indicator are:</p> <table border="1" data-bbox="548 1214 1444 1425"> <thead> <tr> <th></th> <th>FFY 2006 Data</th> <th>FFY 2007 Data</th> <th>FFY 2007 Target</th> <th>Progress</th> </tr> </thead> <tbody> <tr> <td>A. Know their rights. (%)</td> <td align="center">94.5</td> <td align="center">98</td> <td align="center">96.6</td> <td align="center">3.50%</td> </tr> </tbody> </table>					FFY 2006 Data	FFY 2007 Data	FFY 2007 Target	Progress	A. Know their rights. (%)	94.5	98	96.6	3.50%	<p>OSEP appreciates the State's efforts to improve performance.</p>
	FFY 2006 Data	FFY 2007 Data	FFY 2007 Target	Progress											
A. Know their rights. (%)	94.5	98	96.6	3.50%											

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	B. Effectively communicate their children's needs. (%)	95.4	94.9	93.9	-0.50%	
	C. Help their children develop and learn. (%)	98	99	98	1.00%	
	<p>These data represent progress from the FFY 2006 data for 4A and 4C. The State met its FFY 2007 targets for this indicator.</p>					
<p>5. Percent of infants and toddlers birth to 1 with IFSPs compared to:</p> <p>A. Other States with similar eligibility definitions; and</p> <p>B. National data.</p> <p>[Results Indicator]</p>	<p>The State revised the improvement activities for this indicator and OSEP accepts those revisions.</p> <p>The State's FFY 2007 reported data for this indicator are 0.58%. These data represent progress from the FFY 2006 data of 0.46%.</p> <p>The State did not meet its FFY 2007 target of 0.60%.</p>					<p>OSEP looks forward to the State's data demonstrating improvement in performance in the FFY 2008 APR, due February 1, 2010.</p>
<p>6. Percent of infants and toddlers birth to 3 with IFSPs compared to:</p> <p>A. Other States with similar eligibility definitions; and</p> <p>B. National data.</p> <p>[Results Indicator]</p>	<p>The State's FFY 2007 reported data for this indicator are 1.46%. These data represent progress from the FFY 2006 data of 1.37%.</p> <p>The State did not meet its FFY 2007 target of 1.50%.</p>					<p>OSEP looks forward to the State's data demonstrating improvement in performance in the FFY 2008 APR, due February 1, 2010.</p>
<p>7. Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.</p> <p>[Compliance Indicator]</p>	<p>The State revised the improvement activities for this indicator and OSEP accepts those revisions.</p> <p>The State's FFY 2007 reported data for this indicator are 100%. These data remain unchanged from the FFY 2006 data of 100%.</p> <p>The State met its FFY 2007 target of 100%.</p>					<p>OSEP appreciates the State's efforts in achieving compliance with the requirements in 34 CFR §§303.321(e)(2), 303.322(e)(1), and 303.342(a).</p>
<p>8. Percent of all children exiting Part C who received timely</p>	<p>The State revised the improvement activities for this indicator and OSEP accepts those revisions.</p>					<p>OSEP appreciates the State's efforts and looks forward to reviewing in the FFY</p>

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<b>Monitoring Priorities and Indicators</b>	<b>Status of APR Data/SPP Revision Issues</b>	<b>OSEP Analysis/Next Steps</b>
<p>transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:</p> <p>A. IFSPs with transition steps and services;</p> <p>[Compliance Indicator]</p>	<p>The State's FFY 2007 reported data for this indicator are 98%. These data represent slippage from the FFY 2006 data of 100%.</p> <p>The State did not meet its FFY 2007 target of 100%.</p>	<p>2008 APR, due February 1, 2010, the State's data demonstrating that it is in compliance with the requirements in 34 CFR §§303.148(b)(4) and 303.344(h), including correction of the noncompliance the State reported under this indicator in the FFY 2007 APR.</p> <p>The State must report, in its FFY 2008 APR due February 1, 2010, that it has verified that each EIS program with noncompliance reported by the State under this indicator in the FFY 2007 APR: (1) is correctly implementing the specific regulatory requirements; and (2) has developed an IFSP with transition steps and services, unless the child is no longer within the jurisdiction of the EIS program, consistent with OSEP Memorandum 09-02 dated October 17, 2008 (OSEP Memo 09-02).</p>
<p>8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:</p> <p>B. Notification to LEA, if child potentially eligible for Part B; and</p> <p>[Compliance Indicator]</p>	<p>The State revised the improvement activities for this indicator and OSEP accepts those revisions.</p> <p>The State's FFY 2007 reported data for this indicator are 93.2%.</p> <p>OSEP cannot determine whether there was progress or slippage, because the FFY 2007 data are not comparable to the FFY 2006 data. While the FFY 2007 data include children in the denominator for whom notification did not occur, such children were not included in the denominator for FFY 2006 because their parents did not provide consent.</p> <p>The State did not meet its FFY 2007 target of 100%.</p>	<p>The State must demonstrate, in the FFY 2008 APR due February 1, 2010, that the State is in compliance with the requirements in 34 CFR §303.148(b)(1), including correction of the noncompliance the State reported under this indicator in the FFY 2007 APR.</p> <p>The State must report, in its FFY 2008 APR due February 1, 2010, that it has verified that each EIS program with noncompliance reported by the State under this indicator in the FFY 2007 APR: (1) is correctly implementing the specific regulatory requirements; and (2) has</p>

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Monitoring Priorities and Indicators	Status of APR Data/SPP Revision Issues	OSEP Analysis/Next Steps
		<p>provided notification to the LEA, unless the child is no longer within the jurisdiction of the EIS program, consistent with OSEP Memo 09-02.</p> <p>Under IDEA section 637(a)(9)(A)(ii)(I), 34 CFR §303.148(b)(1) and OSEP’s 2004 <i>Letter to Elder</i>, the lead agency must disclose limited child find information to the LEA where the child resides unless the lead agency has adopted an opt-out policy and the parent opts out; the lead agency may not require affirmative parental consent for this limited disclosure. It appears from the State’s FFY 2007 APR that the State may require parental consent prior to notifying the LEA under 34 CFR §303.148(b)(1). OSEP’s July 2, 2008 FFY 2008 Part C grant award letter and the State’s June 27, 2008, assurance required the State to submit, no later than June 30, 2009, its revised transition policy with the parental consent requirement deleted and any opt-out policy if the State elects to adopt one as part of, or an amendment to, Section II of the State’s FFY 2009 Part C Application. With its FFY 2009 Part C application, the State submitted its revised transition policy, which deletes the consent requirement and includes an opt-out policy. OSEP will respond to the submitted policy as part of its response to the State’s FFY 2009 Part C grant application.</p>
8. Percent of all children exiting Part C who received timely transition planning to support the	The State revised the improvement activities for this indicator and OSEP accepts those revisions.	The State reported that noncompliance identified in FFY 2006 with the timely transition conference requirements in 34

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<p>child's transition to preschool and other appropriate community services by their third birthday including:</p> <p>C. Transition conference, if child potentially eligible for Part B.</p> <p>[Compliance Indicator]</p>	<p>The State's FFY 2007 reported data for this indicator are 100%. These data remain unchanged from the FFY 2006 data of 100%</p> <p>The State met its FFY 2007 target of 100%.</p> <p>The State reported that the two findings of noncompliance identified in FFY 2006 related to this indicator were corrected in a timely manner.</p>	<p>CFR §303.148(b)(2)(i) (as modified by IDEA section 637(a)(9)(A)(ii)(II)) was corrected in a timely manner.</p> <p>OSEP appreciates the State's efforts in achieving compliance with the requirements in 34 CFR §303.148(b)(2)(i) (as modified by IDEA section 637(a)(9)(A)(ii)(II)).</p>
<p>9. General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.</p> <p>[Compliance Indicator]</p>	<p>The State revised the improvement activities for this indicator and OSEP accepts those revisions.</p> <p>The State's FFY 2007 reported data for this indicator are 100%. These data remained unchanged from the FFY2006 data of 100%.</p> <p>The State reported that all six of its findings of noncompliance identified in FFY 2006 were corrected in a timely manner.</p>	<p>OSEP appreciates the State's efforts in timely correcting noncompliance identified by the State in FFY 2006 in accordance IDEA section 635(a)(10)(A) and 34 CFR §303.501.</p> <p>In reporting on correction of noncompliance, the State must report that it has: (1) corrected all instances of noncompliance (including noncompliance identified through the State's monitoring system, through the State's data system and by the Department); and (2) verified that each EIS Program with identified noncompliance is correctly implementing the specific regulatory requirement, consistent with OSEP Memo 09-02.</p> <p>In addition, in responding to Indicators 8A and 8B in the FFY 2008 APR due February 1, 2010, the State must report on correction of the noncompliance described in this table under those indicators.</p> <p>In reporting on Indicator 9 in the FFY 2008 APR, the State must use the Indicator 9 Worksheet.</p>

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<p>10. Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.</p> <p>[Compliance Indicator]</p>	<p>The State reported that it did not receive any signed written complaints during the FFY 2007 reporting period.</p>	<p>OSEP looks forward to reviewing the State's data in the FFY 2008 APR, due February 1, 2010.</p>
<p>11. Percent of fully adjudicated due process hearing requests that were fully adjudicated within the applicable timeline.</p> <p>[Compliance Indicator]</p>	<p>The State reported that it did not receive any requests for due process hearings during the FFY 2007 reporting period.</p>	<p>OSEP looks forward to reviewing the State's data in the FFY 2008 APR, due February 1, 2010.</p>
<p>12. Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).</p> <p>[Results Indicator]</p>	<p>The State reported that no resolution sessions were held during the FFY 2007 reporting period.</p> <p>The State reported fewer than ten resolution sessions held in FFY 2007. The State is not required to provide targets or improvement activities until any FFY in which ten or more resolution sessions were held.</p>	<p>OSEP looks forward to reviewing the State's data in the FFY 2008 APR, due February 1, 2010.</p>
<p>13. Percent of mediations held that resulted in mediation agreements.</p> <p>[Results Indicator]</p>	<p>The State reported that no mediations were held during the FFY 2007 reporting period.</p> <p>The State reported fewer than ten mediations held in FFY 2007. The State is not required to provide targets or improvement activities until any FFY in which ten or more mediations were held.</p>	<p>OSEP looks forward to reviewing the State's data in the FFY 2008 APR, due February 1, 2010.</p>
<p>14. State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.</p> <p>[Compliance Indicator]</p>	<p>The State's FFY 2007 reported data for this indicator are 100%. These data remain unchanged from the FFY 2006 data of 100%.</p> <p>The State met its FFY 2007 target of 100%.</p>	<p>OSEP appreciates the State's efforts in achieving compliance with the data reporting requirements in IDEA sections 616, 618, and 642 and 34 CFR §§76.720 and 303.540.</p>

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		In reporting on Indicator 14 in the FFY 2008 APR, the State must use the Indicator 14 Data Rubric.