

Differentiated Monitoring and Support Engagement Decisions: *New York* 2017–2018

OSEP's Differentiated Monitoring and Support (DMS) system is a component of Results Driven Accountability. DMS is designed to identify potential grantee risk to the Department and to assist OSEP in effectively using its resources to monitor grantees. DMS addresses State-specific needs in the areas of results, compliance, State Systemic Improvement Plan (SSIP), and fiscal by differentiating levels and types of monitoring and support based on each State's unique strengths, progress, and challenges in each area.

DMS is a multi-tiered model for monitoring and providing support based on the principle that supports are first provided at a core or universal level to effectively address the needs of all States. DMS is focused on prevention to minimize the need for more targeted or intensive engagement at a later time. Targeted monitoring and support is generally based on OSEP's identification of common needs among multiple States. Intensive monitoring and support is reserved for those States with the most intense or complex challenges to implementation.

OSEP developed an organizational assessment of States' progress in meeting performance standards and compliance with the legal requirements of the Individuals with Disabilities Education Act, the Education Department General Administrative Regulations and the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The organizational assessment is used to help OSEP make decisions about a State's levels of engagement for monitoring and support. In completing a State's organizational assessment, OSEP used Federal Fiscal Year 2016 data for results, compliance, the SSIP, and fiscal management, along with information about the factors contributing to elevated needs. These factors include: previous support the State has accessed; the State's capacity to improve results and compliance; and additional contextual information,

The charts below specify your State's level of engagement in each area – results, compliance, fiscal and SSIP.

Results | Level of Engagement: *Targeted*

Factors

- Performance Change APR Matrix Points
- Data Comparison APR Matrix Points

Existing/Current Engagement

OSEP continues to make information and technical assistance (TA) resources available, and provide universal support to all States. In addition, the State is receiving TA from the National Center for Systemic Improvement, IDEA Data Center, Early Childhood Technical Assistance Center, and the Center for IDEA Early Childhood Data Systems.

New Engagement

OSEP will offer to establish a schedule of regular contact with the State to improve data quality and child performance outcomes. As appropriate, OSEP will also work collaboratively with the State and OSEP-funded TA providers to identify relevant TA resources.

Fiscal | Level of Engagement: *Intensive*

Factors

- Conditional grant approval FFY 2017
- Size of the grant award
- Number of Early Intervention Service Providers in the State

Existing/Current Engagement

OSEP continues to make information and TA resources available, and provide universal support to all States.

New Engagement

No new engagement required.

Compliance | Level of Engagement: *Targeted*

Factors

- APR compliance score: 93.75%
- Indicator 1 percentage: 86.66%
- Conditional Grant FFY 2017

Existing/Current Engagement

OSEP continues to make information and TA resources available, and provide universal support to all States. In addition, the State is receiving TA from the National Center for Systemic Improvement.

New Engagement

OSEP will gather additional information to determine the scope of engagement necessary to assist the State in improving IDEA compliance. This may include discussions with the State to determine the factors impacting the State's specific area of low compliance and collaboratively working with the State, and OSEP-funded TA providers, to ensure the State is in compliance with IDEA requirements.

SSIP | Level of Engagement: *Intensive*

Factors

- Data quality
- Data collection and evaluation plan
- Evidence-based practices
- Stakeholder engagement
- Implementation of SSIP milestones
- Progress implementing the SSIP

Existing/Current Engagement

OSEP continues to make information and TA resources available, and provide universal support to all States. In addition, the State is receiving TA from the National Center for Systemic Improvement.

New Engagement

OSEP will offer to provide the State intensive TA and support around its work to develop, implement and evaluate the SSIP. OSEP will offer to establish a schedule of regular contact with the State, explore improvement activities and discuss the progress of the activities currently underway in the State. OSEP will also work collaboratively with OSEP-funded TA providers and may, if necessary, explore opportunities for onsite TA in the State.