

2016-2017 Differentiated Monitoring and Support Engagement Decisions

Alaska

OSEP's Results Driven Accountability System for differentiated monitoring and support is designed to provide differentiated levels and types of monitoring and support based on each State's unique strengths, progress, challenges, and needs. To implement this system, OSEP developed a multi-tiered model for monitoring and providing support that is based on the principle that supports are first provided at a core or universal level to effectively address the needs of all States and is focused on prevention to minimize the need for more targeted or intensive engagement. Targeted monitoring and support is based on OSEP's identification of common needs among multiple States, and intensive monitoring and support is reserved for those SEAs and LAs experiencing the most intense or complex challenges to implementation.

To guide our designations for State monitoring and support at the universal, targeted and intensive levels in the areas of results, the State Systemic Improvement Plan (SSIP), program compliance, child find, and fiscal management, OSEP developed an organizational assessment of States' progress in meeting performance standards and compliance with the legal requirements of the Individuals with Disabilities Education Act, the Education Department General Administrative Regulations and the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Using Federal Fiscal Year 2014 data for results, program compliance, and the SSIP, and the most current data available for child find and fiscal management, along with information about the factors contributing to elevated needs, the support the State has accessed, the State's capacity to improve results and compliance, and additional contextual information about the State, OSEP determined the level of monitoring and support necessary to meet the needs of each State.

As you are aware, we recently completed our first round of DMS for Part B programs. For the current year, we are conducting the DMS process for Part B and Part C programs. We examined results, compliance, and fiscal data. In addition, we included child find as the special focus area for Part C and added in the SSIP. Our analysis of the SSIP is based on our review of the required elements within the Phase II submission, as well as information obtained through follow up conversations with State. Our analysis of child find is based on each State's Child Count data submitted under section 618 of IDEA¹, Child Maltreatment data², Percent of low birthweight babies from States and Nation, 2014³. The charts below list your designation and anticipated level of engagement in each area.

¹ School Year 2014-2015 Child Count and Settings Data under Title 1, Part A, Subsection 618 of the *Individuals with Disabilities Education Act* (IDEA): (1)The percentage of infants and toddlers, birth to one with IFSPS, compared to national data. (2)The percentage of infants and toddlers, birth through two with IFSPS, compared to national data.

² US Department of Health and Human Services, Administration for Children and Families, Administration on Children, Youth, and Families, Children's Bureau: Child Maltreatment 2014, Table 3-4, Victims by Age-2014, pg. 37.
<https://www.acf.hhs.gov/sites/default/files/cb/cm2014.pdf>

³ CDC, National Center for Health Statistics, National Vital Statistics System, Vital Statistics Reports, vol 64, number 12, December 23, 2015, Table I-9. http://www.cdc.gov/nchs/data/nvsr/nvsr64/nvsr64_12_tables.pdf and Annie E Casey Foundation, The 2016 Kids Count Data Book, Health Indicators, Low Birthweight Babies 2014. <http://www.aecf.org/m/resourcedoc/aecf-the2016kidscountdatabook-2016.pdf>

Results

Designation	Factors ⁴	Existing/Current Engagement	New Engagement
Intensive	<ul style="list-style-type: none"> • Performance outcomes for infants and toddlers in: <ul style="list-style-type: none"> ○ Positive social relationships ○ Knowledge and skills ○ Actions to meet needs • Percentage of Children who are exiting that are included in outcome data - 60.09% 	OSEP continues to make information and technical assistance (TA) resources available, and provide universal support to all States. In addition, the State receives TA from the National Center for Systemic Improvement (NCSI) and Early Childhood Technical Assistance Center (ECTA).	OSEP will provide intensive support on improving performance outcomes for infants and toddlers in positive social relationships, knowledge and skills and actions to meet needs, and the percentage of children exiting Part C that are included in outcome data.

Compliance

Designation	Factors	Existing/Current Engagement	New Engagement
Intensive	<ul style="list-style-type: none"> • FFY 2014 APR: <ul style="list-style-type: none"> ○ Indicator 8B, Part C to B Transition: Not valid and reliable data ○ Indicator 8C, Timely Transition Conference: Not valid and reliable data • Dispute Resolution issues • General Supervision issues with local EIS programs 	OSEP continues to make information and TA resources available, and provide universal support to all States. In addition, the State receives TA from NCSI and ECTA. Also, the State Lead provides intensive technical assistance and tracks the progress of the State in making improvements to their Part C system.	OSEP will provide intensive support for compliance issues.

SSIP

Designation	Factors	Existing/Current Engagement	New Engagement
Intensive	<ul style="list-style-type: none"> • Evidence-Based Practices • Evaluation Plan • Stakeholder Engagement 	OSEP continues to make information and TA resources available, and provide universal support to all States. In addition, the State receives TA from NCSI and ECTA.	OSEP will provide intensive support to improve the State's capacity to develop, implement and evaluate the SSIP.

Child Find

Designation	Factors	Existing/Current Engagement	New Engagement
Targeted	<ul style="list-style-type: none"> • High rate of child maltreatment 	OSEP continues to make information and TA resources available, and provide universal support to all States.	OSEP will provided targeted support for child find.

⁴ Factors are only listed if a State has been designated as targeted or intensive in a particular area

Fiscal

Designation	Factors	Existing/Current Engagement	New Engagement
Intensive	<ul style="list-style-type: none">• Change in Leadership• Substantial turnover in lead agency staff• # of EIS programs• State budget issues	OSEP continues to make information and TA resources available, and provide universal support to all States. In addition, OSEP has been providing TA on fiscal issues, including use of funds and monitoring of EIS programs.	OSEP will provide intensive monitoring and support using the protocols for Systems of Payments and Methods.