Honorable Rafael Román Meléndez  
Secretary of Education  
Puerto Rico Department of Education  
Post Office Box 190759  
San Juan, Puerto Rico 00919-0759  

Dear Secretary Román Meléndez:

Thank you for the timely submission of Puerto Rico’s Federal fiscal year (FFY) 2011 Annual Performance Report (APR) and revised State Performance Plan (SPP) under Part B of the Individuals with Disabilities Education Act (IDEA).

The U.S. Department of Education (Department) has determined that, under IDEA section 616(d)(2)(A)(ii), Puerto Rico “needs assistance” in implementing the requirements of Part B of the IDEA. The Department’s determination is based on the totality of the Commonwealth’s data and information, including the Commonwealth’s FFY 2011 APR and revised SPP, other State-reported data, information obtained through the continuous improvement visit, the Commonwealth’s submissions under the Special Conditions on Puerto Rico’s FFY 2012 Part B grant, and other publicly available information. The Commonwealth’s data are reflected in a new 2013 Compliance Matrix (Compliance Matrix), described below.

Your Commonwealth’s determination is based on the data reflected in the enclosed “2013 Part B Compliance Matrix” that the Office of Special Education Programs (OSEP) completed based on the Commonwealth’s data. Also, enclosed is the document entitled, “How the Department Made Determinations under Section 616(d) of the Individuals with Disabilities Education Act in 2013: Part B,” which provides a detailed description of how OSEP evaluated States’ data using the Compliance Matrix. The Compliance Matrix reflects the compliance data summarized in the Commonwealth’s FFY 2011 APR/SPP Response Table. The specific reason for Puerto Rico’s determination of “needs assistance” is that Puerto Rico’s Compliance Matrix percentage was at least 75%, but less than 90%.

The enclosed Puerto Rico FFY 2011 Response Table provides OSEP’s analysis of the Commonwealth’s FFY 2011 APR and revised SPP. The Response Table includes: (1) the Indicators; (2) the Results Data Summary; (3) the Results Data Summary Notes; (4) the Compliance Data Summary; and (5) the Compliance Data Summary Notes. In the Results Data Summary and the Compliance Data Summary, the Response Table sets forth, by indicator, the Commonwealth’s: (1) reported FFY 2010 data; (2) reported FFY 2011 data; and (3) FFY 2011 target(s), in a concise “dashboard” format. The Compliance Data Summary also includes a column that reflects the number of findings of noncompliance identified in FFY 2010, and the correction of those findings. In the “Notes” sections following the Results Data Summary and the Compliance Data Summary, OSEP has provided more detailed information regarding specific indicators, including, where appropriate, information regarding: (1) the Commonwealth’s correction of any remaining findings of noncompliance identified in years
prior to FFY 2010; (2) any issues with the validity and reliability of the data that the Commonwealth reported; and (3) and required actions. It is important that the Commonwealth read the information for each indicator in the Results Data Summary and the Compliance Data Summary together with any Notes for that indicator.

We are also enclosing with this letter a Data Display, which presents certain State-reported data in a transparent, user-friendly manner. The Data Display will be helpful for the public in getting a broader picture of State performance in key areas.

Your Commonwealth may want to consider taking advantage of available sources of technical assistance. A list of sources of technical assistance related to the SPP/APR indicators is available by clicking on the “Technical Assistance Related to Determinations” box on the opening page of “The Right IDEA” Web site at: http://therightidea.tadnet.org/technicalassistance. You will be directed to a list of indicators. Click on specific indicators for a list of centers, documents, Web seminars and other sources of relevant technical assistance for that indicator, which the Commonwealth may wish to use in working to improve compliance and outcomes for children with disabilities and their families.

Please ensure that your updated SPP is posted on the State educational agency’s Web site and made available to the public, consistent with IDEA section 616(b)(2)(C)(ii)(I) and 34 CFR §300.602(b)(1)(i)(B).

As you know, OSEP is redesigning its accountability system to more directly support States in improving results for infants, toddlers, children and youth with disabilities, and their families. Section 616 of the IDEA requires that the primary focus of IDEA monitoring must be on improving educational results and functional outcomes for children with disabilities, and ensuring that States meet the IDEA program requirements. The monitoring system implemented between 2004 and 2012 placed a heavy emphasis on compliance and we are moving towards a more balanced approach that considers results as well as compliance.

OSEP is committed to several key principles to guide the development of a results-driven accountability system, including transparency, stakeholder involvement, and burden reduction. In support of these principles, we are taking a number of steps. First, we solicited input from special education, early intervention, assessment, and early childhood outcomes experts, and gathered input from the public through conference calls, a blog on the Department’s Web site, and through multiple meetings and conferences. Next, OSEP published for comment a new SPP/APR package for FFY’s 2013 through 2018 that significantly reduces data collection and reporting burden by States, and shifts the focus of the SPP/APR to improving educational results and functional outcomes for children with disabilities. Third, as explained above, this year OSEP has incorporated compliance data into a matrix that is helpful in simultaneously processing multiple sets of data, and has used this matrix in making determinations. This Compliance Matrix includes a color-coded system (green, yellow, red) that provides a visual representation of a State’s performance. Finally, as we move forward in using results data in determinations, OSEP will provide the public with an opportunity to comment on how we will use results when making determinations in 2014 under section 616.

OSEP recognizes the Commonwealth’s efforts to improve results for children and youth with disabilities and looks forward to working with your Commonwealth over the next year as we continue our important work of improving the lives of children with disabilities and their
families. If you have any questions, would like to discuss this further, or want to request technical assistance, please contact Diana Yu, your OSEP State Contact, at 202-245-6061.

Sincerely,

Melody Musgrove, Ed.D.
Director
Office of Special Education Programs

Enclosures

cc: State Director of Special Education