

Maine Part B FFY 2011 SPP/APR Response Table

Part B SPP/APR Indicators

1. Percent of youth with IEPs graduating from high school with a regular diploma. [Results Indicator]
2. Percent of youth with IEPs dropping out of high school. [Results Indicator]
3. Statewide assessments: A. Percent of the districts with a disability subgroup that meets the State’s minimum “n” size that meet the State’s AYP/AMO targets for the disability subgroup. [Results Indicator] B. Participation rate for children with IEPs on statewide assessments. [Results Indicator] C. Proficiency rate for children with IEPs against grade level, modified and alternate academic achievement standards. [Results Indicator]
4. Rates of suspension and expulsion A. Percent of districts that have a significant discrepancy in the rate of suspensions and expulsions of greater than 10 days in a school year for children with IEPs; [Results Indicator] B. Percent of districts that have: (a) a significant discrepancy, by race or ethnicity, in the rate of suspensions and expulsions of greater than 10 days in a school year for children with IEPs; and (b) policies, procedures or practices that contribute to the significant discrepancy and do not comply with requirements relating to the development and implementation of IEPs, the use of positive behavioral interventions and supports, and procedural safeguards. [Compliance Indicator]
5. Percent of children with IEPs aged 6 through 21 served: A. Inside the regular class 80% or more of the day; B. Inside the regular class less than 40% of the day; or C. In separate schools, residential facilities, or homebound/hospital placements. [Results Indicator]
6. Percent of children aged 3 through 5 with IEPs attending a: A. Regular early childhood program and receiving the majority of special education and related services in the regular early childhood program; and B. Separate special education class, separate school or residential facility. [Results Indicator; New]
7. Percent of preschool children age 3 through 5 with IEPs who demonstrate improved: A. Positive social-emotional skills (including social relationships); B. Acquisition and use of knowledge and skills (including early language/communication and early literacy); and C. Use of appropriate behaviors to meet their needs. [Results Indicator]
8. Percent of parents with a child receiving special education services who report that schools facilitated parent involvement as a means of improving services and results for children with disabilities. [Results Indicator]
9. Percent of districts with disproportionate representation of racial and ethnic groups in special education and related services that is the result of inappropriate identification. [Compliance Indicator]

10. Percent of districts with disproportionate representation of racial and ethnic groups in specific disability categories that is the result of inappropriate identification. [Compliance Indicator]
11. Percent of children who were evaluated within 60 days of receiving parental consent for initial evaluation or, if the State establishes a timeframe within which the evaluation must be conducted, within that timeframe. [Compliance Indicator]
12. Percent of children referred by Part C prior to age 3, who are found eligible for Part B, and who have an IEP developed and implemented by their third birthdays. [Compliance Indicator]
13. Percent of youth with IEPs aged 16 and above with an IEP that includes appropriate measurable postsecondary goals that are annually updated and based upon an age appropriate transition assessment, transition services, including courses of study, that will reasonably enable the student to meet those postsecondary goals, and annual IEP goals related to the student's transition services needs. There also must be evidence that the student was invited to the IEP Team meeting where transition services are to be discussed and evidence that, if appropriate, a representative of any participating agency was invited to the IEP Team meeting with the prior consent of the parent or student who has reached the age of majority. [Compliance Indicator]
14. Percent of youth who are no longer in secondary school, had IEPs in effect at the time they left school, and were: A. Enrolled in higher education within one year of leaving high school; B. Enrolled in higher education or competitively employed within one year of leaving high school; C. Enrolled in higher education or in some other postsecondary education or training program; or competitively employed or in some other employment within one year of leaving high school. [Results Indicator]
15. General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification. [Compliance Indicator]
18. Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements. [Results Indicator]
19. Percent of mediations held that resulted in mediation agreements. [Results Indicator]
20. State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate. [Compliance Indicator]

Timeliness of State Complaint and Due Process Hearing Decisions
(Collected as Part of IDEA Section 618 Data rather than through an SPP/APR Indicator)

Timely Resolution of State Complaints: Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint, or because the parent (or individual or organization) and the public agency agree to extend the time to engage in mediation or other alternative means of dispute resolution, if available in the State.

Timely Adjudication of Due Process Hearing Requests: Percent of adjudicated due process hearing requests that were adjudicated within the timeline or a timeline that is properly extended by the hearing officer at the request of either party or in the case of an expedited hearing, within the required timelines.

Maine Part B FFY 2011 SPP/APR Results Data Summary

INDICATOR	FFY 2010 DATA	FFY 2011 DATA	FFY 2011 TARGET
1. Graduation	65.1%	66.02%	≥ 86% ¹
2. Drop Out	5.5%	5.04%	≤ 2.0% ²
3. A. Percent of Districts Meeting AYP for Disability Subgroup	56%	40%	≥ 98%
B. Statewide Assessment Participation Rate – Reading	97%	97%	≥ 98%
B. Statewide Assessment Participation Rate – Math	97%	96%	≥ 98%
C. Proficiency – Reading Grades 3-8	31%	34%	≥ 75%
C. Proficiency – Reading High School	17%	16%	≥ 70%
C. Proficiency – Math Grades 3-8	28%	29%	≥ 78%
C. Proficiency – Math High School	15%	15%	≥ 66%
4. A. Percent of Districts with Significant Discrepancy in Suspension/Expulsion	0%	0%	≤ 1.55%
5. Educational Environment for Children with IEPs 6-21			
A. In Regular Education 80% or More of Day	55%	56%	≥ 65%
B. In Regular Education Less than 40% of Day	10.8%	10.6%	≤ 9%
C. In Separate Schools, Residential Facilities, or Homebound/Hospitals	3.3%	3.3%	≤ 3.1%
6. Percent of children aged 3 through 5 with IEPs attending:			
A. Regular early childhood program and receiving majority of special education and related services in regular early childhood program;		CDS 52.9% School 99.1%	Baseline
B. Separate special education class, separate school or residential facility.		CDS 12.6% School 0.8%	Baseline
7. Preschool Outcomes	See Attached Table	See Attached Table	See Attached Table
8. Parents Reporting Schools Facilitated Parent Involvement	90%	88%	≥ 91%
14. Percent of Youth No Longer in School, within One Year of Leaving High School:			
A. Enrolled in Higher Education	25%	17.4%	≥ 25%
B. Enrolled in Higher Education or Competitively Employed	76.6%	62.9%	≥ 76.6%
C. Enrolled in Higher Education or Other Postsecondary Education or Training or Competitively Employed or in Some Other Employment	82.3%	68.6%	≥ 82.3%

¹ As used in this table, the symbol “≥” means that, to meet the target, the State’s data must be greater than or equal to the established target.

² As used in this table, the symbol “≤” means that, to meet the target, the State’s data must be less than or equal to the established target.

INDICATOR	FFY 2010 DATA	FFY 2011 DATA	FFY 2011 TARGET
18. Hearing Requests Resolved through Resolution Session Agreements	20%	Two of four resolution sessions resulted in settlement agreements.	58%
19. Mediations Held that Resulted in Mediation Agreements	73%	69%	≥ 85%

7. Percent of Preschool Children Age 3 through 5 with IEPs Who Demonstrate Improved Outcomes

Summary Statement 1³	FFY 2010 Data	FFY 2011 Data	FFY 2011 Target
Outcome A: Positive social-emotional skills (including social relationships)	54%	51%	≥ 64%
Outcome B: Acquisition and use of knowledge and skills (including early language/ communication)	61%	61%	≥ 67%
Outcome C: Use of appropriate behaviors to meet their needs	54%	59%	≥ 59%
Summary Statement 2⁴	FFY 2010 Data	FFY 2011 Data	FFY 2011 Target
Outcome A: Positive social-emotional skills (including social relationships)	36%	40%	≥ 38%
Outcome B: Acquisition and use of knowledge and skills (including early language/ communication)	33%	36%	≥ 36%
Outcome C: Use of appropriate behaviors to meet their needs	48%	57%	≥ 52%

³ **Summary Statement 1:** Of those preschool children who entered or exited the preschool program below age expectations in each Outcome, the percent who substantially increased their rate of growth by the time they turned 6 years of age or exited the program.

⁴ **Summary Statement 2:** The percent of preschool children who were functioning within age expectations in each Outcome by the time they turned 6 years of age or exited the program.

Maine Part B FFY 2011 Results Data Summary Notes

INDICATOR 3A: The State has chosen to continue to report AYP data based on targets established under the No Child Left Behind Act.
INDICATOR 3B: The State provided a Web link to 2011 publicly-reported assessment results.
INDICATOR 3C: The State provided a Web link to 2011 publicly-reported assessment results.
INDICATOR 4A: The State reported its definition of “significant discrepancy.” The State reported that no districts were identified as having a significant discrepancy in the rate of suspensions and expulsions of greater than ten days in a school year for children with IEPs. The State reported that 132 of 150 districts did not meet the State-established minimum “n” size requirement of ten students with disabilities in any race/ethnicity and more than one student of any race/ethnicity with an IEP suspended or expelled.
INDICATOR 6: The State reported separately on this indicator for children attending a program operated by CDS, and children attending a program operated by a school district, and set separate targets for these two groups of children. The State provided FFY 2011 baseline data, targets for FFY 2012, and improvement activities through FFY 2012 for this indicator, and OSEP accepts the State’s submission for this indicator. The State indicated that stakeholders were provided an opportunity to comment on the targets for FFY 2012.
INDICATOR 7: REQUIRED ACTIONS The State must report progress data and actual target data for FFY 2012 in the FFY 2012 APR.
INDICATOR 18: The State reported fewer than ten resolution sessions held in FFY 2011. The State is not required to meet its targets or provide improvement activities in any fiscal year in which fewer than ten resolution sessions were held.

Maine Part B FFY 2011 SPP/APR Compliance Summary

INDICATOR	FFY 2010 DATA	FFY 2011 DATA	FFY 2011 TARGET	CORRECTION OF FINDINGS OF NONCOMPLIANCE IDENTIFIED IN FFY 2010
4B. Significant discrepancy in suspension/expulsion by race/ethnicity, and policies, procedures or practices that contribute to the significant discrepancy and do not comply with specified requirements.	0%	0%	0%	The State reported that it did not identify any findings of noncompliance in FFY 2010.
9. Disproportionate representation of racial and ethnic groups in special education and related services that is the result of inappropriate identification.	0%	0%	0%	The State reported that it did not identify any findings of noncompliance in FFY 2010.
10. Disproportionate representation by disability of racial and ethnic groups in specific disability categories that is the result of inappropriate identification.	0%	0%	0%	The State reported that it did not identify any findings of noncompliance in FFY 2010.
11. Timely Initial Evaluation	88.2%	86.4%	100%	<p>For children aged three-five, the State reported that two of the four findings of noncompliance identified in FFY 2010 were corrected in a timely manner, and that the two remaining findings were subsequently corrected by February 2013.</p> <p>For children aged six-20, the State reported that seven of ten findings of noncompliance identified in FFY 2010 were corrected in a timely manner and that the three remaining findings were subsequently corrected by February 2013.</p>

INDICATOR	FFY 2010 DATA	FFY 2011 DATA	FFY 2011 TARGET	CORRECTION OF FINDINGS OF NONCOMPLIANCE IDENTIFIED IN FFY 2010
12. Early Childhood Transition	92.9%	95%	100%	The State reported that one of two findings of noncompliance identified in FFY 2010 was corrected in a timely manner and that the one remaining finding was subsequently corrected by February 2013.
13. Secondary Transition	47%	60.4%	100%	The State reported that 19 of 24 findings of noncompliance identified in FFY 2010 were corrected in a timely manner and that the five remaining findings were subsequently corrected by February 2013.
15. Timely Correction	71.22%	78.6%	100%	For children aged three-five, the State reported that five of nine findings of noncompliance identified in FFY 2010 were corrected in a timely manner and that the four remaining findings were subsequently corrected by February 2013. For children aged six-20, the State reported that 39 of 47 findings of noncompliance identified in FFY 2010 were corrected in a timely manner and that the eight remaining findings were subsequently corrected by February 2013.
20. Timely and Accurate Data	90%	89.13%	100%	

Maine Part B FFY 2011 State Complaint and Hearing Data from IDEA Section 618 Data Reports

REQUIREMENT	FFY 2010 DATA	FFY 2011 DATA
Timely resolution of complaints	100%	100% (based on nine complaints)
Timely adjudication of due process hearing requests	100% (based on two due process hearings)	100% (based on two due process hearings)

Maine Part B FFY 2011 Compliance Data Summary Notes

INDICATOR 4B: The State reported its definition of “significant discrepancy.”

The State reported that no districts were identified as having a significant discrepancy, by race or ethnicity, in the rate of suspensions and expulsions of greater than ten days in a school year for children with IEPs.

The State reported that 132 of 150 districts did not meet the State-established minimum “n” size requirement of a minimum of ten students in any race/ethnicity and more than one student of any race/ethnicity with an IEP suspended or expelled.

INDICATOR 9: The State reported that no districts were identified with disproportionate representation of racial and ethnic groups in special education and related services.

The State provided its definition of “disproportionate representation.”

The State reported that all districts met the State-established minimum “n” size requirement of greater than 10 students in any race/ethnicity category and were included in the calculation.

OSEP’s June 2012 FFY 2010 SPP/APR Response Table required the State to include in the FFY 2011 APR the number of districts that did not meet the State-established minimum “n” size requirement. The State provided all of the required information.

INDICATOR 10: The State reported that no districts were identified with disproportionate representation of racial and ethnic groups in specific disability categories.

The State provided its definition of “disproportionate representation.”

The State reported that 145 of 150 districts did not meet the State-established minimum “n” size requirement of greater than ten students in any race/ethnicity category for each disability and were excluded from the calculation.

INDICATOR 11: For children aged three-five, the State reported that all four findings of noncompliance identified in FFY 2007 were corrected. For children aged six-20, the State reported that both of the two findings of noncompliance identified in FFY 2009 were corrected.

REQUIRED ACTIONS

Because the State reported less than 100% compliance for FFY 2011, the State must report on the status of correction of noncompliance identified in FFY 2011 for this indicator. When reporting on the correction of noncompliance, the State must report, in its FFY 2012 APR, that it has verified that each LEA with findings of noncompliance identified in FFY 2011: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the LEA, consistent with OSEP Memo 09-02.⁵ In the FFY 2012 APR, the State must describe the specific actions that were taken to verify the correction.

INDICATOR 12: The State reported that two findings of noncompliance identified in FFY 2009 and one finding identified in FFY 2008 were corrected.

REQUIRED ACTIONS

Because the State reported less than 100% compliance for FFY 2011, the State must report on the status of correction of noncompliance identified in FFY 2011 for this indicator. When reporting on the correction of noncompliance, the State must report, in its FFY 2012 APR, that it has verified that each LEA with noncompliance identified in FFY 2011 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the LEA, consistent with OSEP Memo 09-02. In the FFY 2012 APR, the State must describe the specific actions that were taken to verify the correction.

⁵ OSEP Memorandum 09-02 (OSEP Memo 09-02), dated October 17, 2008, requires that the State report that it verified that each LEA with noncompliance: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the LEA.

INDICATOR 13: OSEP's June 2012 FFY 2010 SPP/APR Response Table required the State to report in the FFY 2011 APR on the correction of nine remaining findings of noncompliance identified in FFY 2009. The State reported that all of the findings of noncompliance identified in FFY 2009 were corrected.

REQUIRED ACTIONS

Because the State reported less than 100% compliance for FFY 2011, the State must report on the status of correction of noncompliance identified in FFY 2011 for this indicator. When reporting on the correction of noncompliance, the State must report, in its FFY 2012 APR, that it has verified that each LEA with noncompliance identified in FFY 2011 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the LEA, consistent with OSEP Memo 09-02. In the FFY 2012 APR, the State must describe the specific actions that were taken to verify the correction.

INDICATOR 15: The State reported that three CDS findings of noncompliance identified in FFY 2009, 12 CDS findings identified in FFY 2008, and the 19 CDS findings identified in FFY 2007 were corrected.

The State reported that 11 findings of noncompliance identified for school age children in FFY 2009 were corrected.

REQUIRED ACTIONS

When reporting in the FFY 2012 APR on the correction of findings of noncompliance, the State must report that it verified that each LEA with findings of noncompliance identified in FFY 2011: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the LEA, consistent with OSEP Memo 09-02. In the FFY 2012 APR, the State must describe the specific actions that were taken to verify the correction. In addition, in reporting on Indicator 15 in the FFY 2012 APR, the State must use and submit the Indicator 15 Worksheet.

In responding to Indicators 11, 12, and 13 in the FFY 2012 APR, the State must report on correction of the noncompliance described in this table under those indicators.

INDICATOR 20: The State's FFY 2011 data for this indicator are 94.5%. However, OSEP recalculated the data for this indicator to be 89.13%.