Honorable Peter McWalters  
Commissioner of Education  
255 Westminster Street  
Providence, RI 02903-3400  

Dear Commissioner McWalters:  

Thank you for the timely submission of Rhode Island’s Federal fiscal year 2007 Annual Performance Report (APR) and revised State Performance Plan (SPP) under Part B of the Individuals with Disabilities Education Act (IDEA). We also acknowledge the revisions to Rhode Island’s APR received on April 7, 2009. We appreciate the State’s efforts in preparing these documents.

The Department has determined that, under IDEA section 616(d), Rhode Island needs intervention in meeting the requirements of Part B of IDEA. The Department’s determination is based on the totality of the State’s data and information including the State’s FFY 2007 APR and revised SPP, other State-reported data, information obtained through verification visits, and other publicly available information. See the enclosure entitled “How the Department Made Determinations under Section 616(d) of the IDEA in 2009” for further details.

The State’s determination for the FFY 2006 APR was also needs intervention. The State should review IDEA section 616(e)(2) and 34 CFR §300.604(b) regarding the potential impact of the Department’s determination should the State be determined to need intervention for three consecutive years.

The specific factor affecting the Office of Special Education Programs’ (OSEP’s) determination of needs intervention for Rhode Island was that it did not provide valid and reliable data for Indicators 11 or 12, both of which are compliance indicators. The State’s reported data for Indicator 11 was 0%, however, the data was for FFY 2008 - the wrong reporting year for the FFY 2007 APR. OSEP’s FFY 2006 APR response table required the State to include, in the FFY 2007 APR, the missing data from FFY 2005 and 2006, but the State reported that data for this indicator was not available until FFY 2008 and that the FFY 2008 data would be the baseline. With respect to Indicator 12, although the State reported data for this indicator of 83%, it was unclear to OSEP if the data were from the correct reporting period. The State reported that it used data from its Consolidated Resource Plan (CRP) to report on this indicator; the CRP data ranges from June 1 - May 30 and the APR reporting period is from July 1 - June 30. The State indicated that it has revised the CRP to collect data for this indicator for future APRs. We hope that the State will be able to demonstrate that it meets requirements in its next APR.

The enclosed table provides OSEP’s analysis of the State’s FFY 2007 APR and revised SPP and identifies, by indicator, OSEP’s review of any revisions made by the State to its targets, improvement activities (timelines and resources) and baseline data in the State’s SPP. The table also identifies, by indicator, the State’s status in meeting its targets,
whether the State’s data reflect progress or slippage, and whether the State corrected noncompliance and provided valid and reliable data.

Your State may want to consider taking advantage of available sources of technical assistance. A list of sources of technical assistance related to the SPP/APR indicators is available by clicking on the “Technical Assistance Related to Determinations” box on the opening page of the SPP/APR Planning Calendar website at http://spp-apr-calendar.rrfcnetwork.org/techassistance.html. You will be directed to a list of indicators. Click on specific indicators for a list of centers, documents, web seminars and other sources of relevant technical assistance for that indicator.

As you know, your State must report annually to the public on the performance of each local educational agency (LEA) located in the State on the targets in the SPP as soon as practicable, but no later than June 2, 2009, pursuant to IDEA section 616(b)(2)(C)(ii)(I) and 34 CFR §300.602(b)(1)(i)(A). In addition, your State must review LEA performance against targets in the State’s SPP, determine if each LEA “meets requirements,” “needs assistance,” “needs intervention,” or “needs substantial intervention” in implementing Part B of the IDEA and inform each LEA of its determination. For further information regarding these requirements, see the SPP/APR Calendar at: http://spp-apr-calendar.rrfcnetwork.org/explorer/view/id/656. Finally, as you included revisions to baseline, targets or improvement activities in your APR submission, and OSEP accepted those revisions, please ensure that you update your SPP accordingly and that the updated SPP is made available to the public.

In its October 17, 2008 Memorandum 09-02, “Reporting on Correction of Noncompliance in the Annual Performance Report Required under Sections 616 and 642 of the IDEA,” OSEP provided Chief State School Officers and Lead Agency Directors with important information regarding: (1) requirements for identifying noncompliance and reporting on the correction of noncompliance in States’ APRs; and (2) how OSEP will, beginning with the FFY 2008 APR, due February 1, 2010, consider the correction of noncompliance in making annual determinations for States pursuant to section 616(d) of the IDEA. Most significantly, beginning with our 2010 determinations:

1. OSEP will no longer consider a State to be in substantial compliance relative to a compliance indicator based on evidence of correction of the previous year’s noncompliance if the State’s current year data for that indicator reflect a very low level of compliance (generally 75% or below); and

2. OSEP will credit a State with correction of noncompliance relative to a child-specific compliance indicator only if the State confirms that it has addressed each instance of noncompliance identified in the data for an indicator that was reported in the previous year’s APR, as well as any noncompliance identified by the Department more than one year previously. The State must specifically report, for each compliance indicator, whether it has corrected all of the noncompliance identified in its data for that indicator in the prior year’s APR as well as that identified by the Department more than one year previously.

It is important for each State to review the guidance in the memorandum, and to raise any questions with your OSEP State Contact. The memorandum may be found at: http://spp-apr-calendar.rrfcnetwork.org/explorer/view/id/656.
Pursuant to section 616(d)(2)(B) of the IDEA and 34 CFR §300.603(b)(2), a State that is determined to need intervention or need substantial intervention, and does not agree with this determination, may request an opportunity to meet with the Assistant Secretary for Special Education and Rehabilitative Services to demonstrate why the Department should change the State’s determination. To request a hearing, submit a letter to Andrew J. Pepin, Delegated the Authority to Assume the Duties of Assistant Secretary, Office of Special Education and Rehabilitative Services, 400 Maryland Avenue SW, Room 5106, Potomac Center Plaza, Washington, DC 20202-2600 within 15 days of the date of this letter. The letter must include the basis for your request for a change in the State’s determination.

OSEP is committed to supporting Rhode Island’s efforts to improve results for children and youth with disabilities and looks forward to working with your State over the next year. If you have any questions, would like to discuss this further, or want to request technical assistance, please contact Diana Chang, your OSEP State Contact, at 202-245-6061.

Sincerely,

[Signature]
Patricia J. Guard
Acting Director
Office of Special Education Programs

Enclosures

cc: State Director of Special Education