Honorable Dr. Jon Draud
Commissioner of Education
Kentucky Department of Education
Capitol Plaza Tower – 500 Merio Street
Frankfort, KY 40601

Dear Commissioner Draud:

Thank you for the timely submission of Kentucky’s FFY 2006 Annual Performance Report (APR) and revised State Performance Plan (SPP) under Part B of the Individuals with Disabilities Education Act (IDEA), as amended in 2004. We also acknowledge the revisions to Kentucky’s SPP and APR received on April 14, 2008. We appreciate the State’s efforts in preparing these documents.

The Department has determined that, under IDEA section 616(d), Kentucky needs assistance in meeting the requirements of Part B of the IDEA. The Department’s determination is based on the totality of the State’s data and information including the State’s FFY 2006 APR and revised SPP, other State-reported data, and other publicly available information. See the enclosure entitled “How the Department Made Determinations under Section 616(d) of the IDEA in 2008” for further details.

Specific factors affecting OSEP’s determination of needs assistance for Kentucky included that the State: (1) did not report data for Indicators 3A and 3C; (2) did not report valid and reliable data for Indicators 9 and 10, because the State did not determine inappropriate identification; (3) reported 65% for Indicator 15 with slippage from 85%; (4) reported 67% for Indicator 13 with progress from no data in FFY 2005; (5) did not report on its review of policies, procedures, and practices relating to the development and implementation of IEPs, the use of positive behavioral interventions and supports, and procedural safeguards to ensure compliance with the IDEA, as required by 34 CFR §300.170(b) under Indicator 4A; and (6) has not fully met the Special Conditions imposed on its FFY 2007 Part B grant award related to public reporting on the participation of students with disabilities on the alternate assessment consistent with 34 CFR §300.160. For these reasons, we were unable to determine that your State met requirements under section 616(d). OSEP notes that the State reported 96% compliance for Indicator 12; 100% compliance for Indicator 16; and 94.48% compliance for Indicator 11. We hope that the State will be able to demonstrate that it meets requirements in its next APR.

The enclosed table provides OSEP’s analysis of the State’s FFY 2006 APR and revised SPP and identifies, by indicator, OSEP’s review of any revisions made by the State to its targets, improvement activities (timelines and resources) and baseline data in the State’s SPP. It also identifies, by indicator, the State’s status in meeting its targets, whether the State’s data reflect progress or slippage, and whether the State corrected noncompliance and provided valid and reliable data.
The State’s determination for the FFY 2005 APR also was needs assistance. In accordance with section 616(e) of the IDEA and 34 CFR §300.604, if a State is determined to need assistance for two consecutive years, the Secretary must take one or more of the following actions: 1) Advise the State of available sources of technical assistance that may help the State address the areas in which the State needs assistance; 2) Direct the use of State-level funds on the area or areas in which the State needs assistance; or 3) Identify the State as a high-risk grantee and impose special conditions on the State’s Part B grant award. Pursuant to these requirements, the Secretary is advising the State of available sources of technical assistance related to Indicator 3 participation and performance on statewide assessments, Indicator 4 suspension and expulsion, Indicator 9 disproportionate representation-child with disability, Indicator 10 disproportionate representation-specific disability categories, Indicator 13 secondary transition, and Indicator 15 timely correction of noncompliance. A list of sources of technical assistance related to the SPP/APR indicators is available by clicking on the “Technical Assistance Related to Determinations” box on the opening page of the SPP/APR Planning Calendar website at http://spp-apr-calendar.fnfnetwork.org/. You will be directed to a list of indicators. Click on specific indicators for a list of centers, documents, web seminars and other sources of relevant technical assistance for that indicator. For the indicators listed above, your State must report with its FFY 2007 APR submission (due February 1, 2009) on: 1) the technical assistance sources from which the State received assistance, and 2) what actions the State took as a result of that technical assistance. The extent to which your State takes advantage of available technical assistance for these indicators may affect the actions we take under section 616 should your State not be identified as meets requirements next year. We encourage States to take advantage of available sources of technical assistance in other areas as well, particularly if the State is reporting low compliance data for an indicator.

As required by section 616(e)(7) and 34 CFR §300.606, the State must notify the public that the Secretary of Education has taken the above enforcement action. This notification must be sufficient to notify the public within the State and may include such mechanisms as posting on the agency’s website, distribution through the media and distribution through public agencies.

As you know, your State must report annually to the public on the performance of each local educational agency (LEA) located in the State on the targets in the SPP under IDEA section 616(b)(2)(B)(ii)(I). In addition, your State must review LEA performance against targets in the State’s SPP, determine if each LEA meets the requirements of the IDEA and inform each LEA of its determination. For further information regarding these requirements, see the SPP/APR Calendar at http://spp-apr-calendar.fnfnetwork.org/. Finally, if you included revisions to baseline, targets or improvement activities in your APR submission, and OSEP accepted those revisions, please ensure that you update your SPP accordingly and that the updated SPP is made available to the public.
OSEP is committed to supporting Kentucky's efforts to improve results for children with disabilities and looks forward to working with your State over the next year. If you have any questions, would like to discuss this further, or want to request technical assistance, please do not hesitate to call Jill Harris, your OSEP State Contact, at 202-245-7372.

Sincerely,

William W. Knudsen  
Acting Director  
Office of Special Education Programs

Enclosures

cc: State Director of Special Education