Honorable Joseph B. Morton
Superintendent of Education
State Department of Education
Gordon Persons Office Building
50 North Ripley Street, P.O. Box 302102
Montgomery, AL 36104

Dear Superintendent Morton:

Thank you for the timely submission of Alabama’s Annual Performance Report (APR) and revised State Performance Plan (SPP) under Part B of the Individuals with Disabilities Education Act (IDEA), as amended in 2004. We also appreciate the revisions to Alabama’s SPP received on May 3, 2007.

As you know, under IDEA section 616, each State has an SPP that evaluates the State’s efforts to implement the requirements and purposes of Part B of the IDEA and describes how the State will improve its implementation of Part B. In the revised SPP due by February 1, 2007, States were required to provide information on: (1) specific new indicators; and (2) correction of any deficiencies identified in the Office of Special Education Programs’ (OSEP’s) SPP response letter sent to your State last year. States were also required to submit by February 1, 2007, an APR for Federal fiscal year (FFY) 2005 that describes the State’s: (1) progress or slippage in meeting the measurable and rigorous targets established in the SPP; and (2) any revisions to the State’s targets, improvement activities, timelines or resources in the SPP and justifications for the revisions. We appreciate the State’s efforts in preparing the FFY 2005 APR and revised SPP.

The Department has reviewed the information provided in the State’s FFY 2005 APR and revised SPP, other State-reported data, information obtained through monitoring visits, and other public information and has determined that, under IDEA section 616(d), Alabama needs assistance in meeting the requirements of Part B of the IDEA. The State should review IDEA section 616(e) regarding the potential future impact of the Department’s determination.

The Department’s determination is based on the totality of the State’s data in its SPP/APR and other publicly available information, including any compliance issues. The factors in States’ FFY 2005 APR and February 1, 2007 SPP submissions that affected the Department’s determinations were whether the State: (1) provided valid and reliable FFY 2005 data that reflect the measurement for each indicator, and if not, whether the State provided a plan to collect the missing or deficient data; and (2) for each compliance indicator that was not new (a) demonstrated compliance or timely corrected noncompliance, and (b) in instances where it did not demonstrate compliance, had nonetheless made progress in ensuring compliance over prior performance in that area. We also considered whether the State had other IDEA compliance issues that were
identified previously through the Department’s monitoring, audit or other activities, and
the State’s progress in resolving those problems. See the enclosure entitled “How the
Department Made Determinations under Section 616(d) of the IDEA in 2007” for further
details.

Specific factors affecting OSEP’s determination for Alabama included the following: (1)
the State reported 76.3% compliance for Indicator 12; and (2) the State provided no
evidence of correction of noncompliance for Indicator 12. For these reasons, we were
unable to determine that your State met requirements under section 616(d). Balancing
these factors were areas reflecting a high level of performance, which included that the
State reported: (1) valid and reliable data for all indicators; (2) 100% compliance for
Indicators 16 and 17; and (3) a high level of compliance (98.1%) for Indicator 15. We
commend the State for its performance for Indicators 16 and 17 and its improvement for
Indicator 12, and hope that the State will be able to demonstrate that it meets
requirements in its next APR.

The table enclosed with this letter provides OSEP’s analysis of the State’s FFY 2005
APR and revised SPP and identifies, by indicator, OSEP’s review and acceptance of any
revisions made by the State to its targets, improvement activities (timelines and
resources) and baseline data in the State’s SPP. It also identifies, by indicator, the State’s
status in meeting its targets, and whether the State’s data reflect progress or slippage, and
whether the State corrected noncompliance and provided valid and reliable data. The
table also lists, by indicator, any additional information the State must include in the FFY
2006 APR or, as needed, the SPP due February 1, 2008, to address the problems OSEP
identified in the revised SPP or FFY 2005 APR. The State must provide this required
information. We plan to factor into our determinations next year whether or not States
provided the additional information requested in this table in their FFY 2006 APR, due
February 1, 2008, and may take other actions as well, if the State’s data, or lack of data,
regarding these issues indicates continuing noncompliance.

As you know, your State must report annually to the public on the performance of each
local educational agency (LEA) located in the State on the targets in the SPP under IDEA
section 616(b)(2)(C)(ii)(I). The requirement for public reporting on LEA performance is
a critical provision in ensuring accountability and focusing on improved results for
children with disabilities. Please have your staff notify your OSEP State Contact when
and where your State makes available its public report on LEA performance. In addition,
States must review LEA performance against targets in the State’s SPP, especially the
compliance indicators, determine if each LEA meets the requirements of the IDEA and
inform each LEA of its determination. For further information regarding these
requirements, see SPP/APR Guidance Materials at http://www.rrfnetwork.org/.

We hope that the State found helpful, and was able to benefit from, the monthly technical
assistance conference calls conducted by this Office, ongoing consultation with OSEP
State Contacts and OSEP-funded Technical Assistance Center staff, materials found on
the IDEA 2004 website, and attendance at OSEP-sponsored conferences. OSEP will
continue to provide technical assistance opportunities to assist your State as it works to
improve performance under Part B of the IDEA. If you have any feedback on our past
technical assistance efforts or the needs of States for guidance, we would be happy to
hear from you as we work to develop further mechanisms to support State improvement activities.
OSEP is committed to supporting Alabama’s efforts to improve results for children with disabilities and looks forward to working with your State over the next year. If you have any questions, would like to discuss this further, or want to request technical assistance, please do not hesitate to call Perry Williams, your OSEP State Contact, at 202-245-7575.

Sincerely,

Patricia J. Guard
Acting Director
Office of Special Education Programs

Enclosures

cc: State Director of Special Education