

2. Amendment/Modification No. 0003	3. Effective Date Jan 8, 2001	4. Requisition/Purchase Req. No. EDOOUS-00-000556	5. Project No. (if applicable)
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6. Issued By CodeCPOA Contracts and Purchasing Opr., Group A U.S. Dept. of Education, Rm 3636, ROB-3 Seventh and D Streets SW Washington, DC 20202-4444	7. Administered By (if other than Item 6) Code EDUCA U.S. Department of Education GSA Bldg, (ROB-3) Rm 3616 Seventh and D Streets SW Washington, D.C. 20202-4443
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8. Name And Address of Contractor (No., Street, County, and Zip Code)	(X)	9A. Amendment of Solicitation No. ED-00-R-0061
	X	9B. Date (See Item 11) Nov 22, 2000
		10A. Modification of Contract/Order No.
		10B. Date (See Item 13)

Code	Facility Code
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11. THIS ITEM ONLY APPLIES TO ADMENDMENTS OF SOLICIATATION

The above numbered solicitation is amended as set forth in item 14. The hour and date specified for receipt of Offers is extended is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods:
 (a) By completing Item 8 and 15, and 1 copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. Accounting and Appropriation Data (if required)
0400X21950EEA MX105112530A SE \$

13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACT/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

(x)	A. This change order is issued pursuant to: (Specify authority) The changes set forth item 14 are made in the contract order No. in item 10A
	B. The above numbered Contract/Order is modified to reflect the administrative changes (such as changes in paying office, appropriation date, etc.) Set fourth item 14, pursuant to the authority of FAR 43.103 (b)
	C. This supplemental agreement is entered into pursuant to authority of:
	D. Other (Specify type of modification and authority)

E. IMPORTANT: Contractor is not, is required to sign this document and return _____ copies to the issuing office.

14. Description of Amendment/Modification (Organized by UCF section headings, including solicitation/Contract subject matter where feasible.)

SEE CONTINUATION SHEET

Except as provided herein, all terms and conditions of the document referenced in item 9A or 10 A, as heretofore changed, remains unchanged and in full force and affect.

15A. Name and Title of signer (Type or Print)	16A. Name and title of contracting office (Type or Print)		
15B. Contractor/Offeror	15C. Date	16B. United States of America	16C. Date Signed
_____ (Signature of person authorized to sign)		_____ (Signature of Contracting Officer)	

SCHEDULE

Item No.	Supplies/Services	Quantity	Unit	Unit Price	Amount
0001	To support the Department of Education Multiple Award Task Order Contract.	1	EA		

Please reference amendment number 0002 of solicitation ED-00-R-0061. The purpose of this amendment is to provide answers to questions numbered 233 - 238.

Question No. 233: Section L.4 311-17, page 66. This section requires a detailed plan for meeting the requirements in the clause entitled "Information Technology System Security Requirements", Section H.2 307-13. The IT System Security Requirements section requires the contractor to comply with ED's Information Security - Manual, Handbook Number 6. This handbook states in Part 1, V. Applicability, A; that these policies are mandatory on contractors having access to and/or using the information technology resources of the Department of Education. Does this mean that the required security plan needs to address how the contractor will comply with the handbook requirements and protect both ED IT resources being accessed by the contractor at ED and how the contractor will comply and protect ED information and data on the contractor's IT resources?

Response: Yes, the contractor must outline how they will implement the Department's IT security policy to protect ED data.

Question 234: Would ED provide an example of an information technology installation and automated information system, Information Technology Security Plan as required in Part 2, II, D. Planning, 1 of the security handbook?

Response: If the contractor is being tasked with development or maintaining a system or application for ED, a security plan would be needed. NIST has a security plan guide (NIST Special Pub 800-18) posted on their web site <http://csrc.nist.gov/publications/welcome.html>. Following the guide and taking the approach or addressing each area as a question, you simply answer in plain language how each security controls area is addressed (or not).

Question 235: In Part 2, II, E. Training Awareness, 1. of the security handbook it requires contractor staff to receive Computer Security Awareness Training. Does it also require the contractor staff receive the Computer Security Training? Is this training provided by the Department or does the contractor provide the training using ED's IT Security Training Program.

Response: Yes, the contractor staff will be required to obtain computer security awareness briefings, and training as appropriate. This can be done via ED's intranet if possible.

Question 236: Part 3, III, Personnel Security, A., of the handbook, "All positions that involve data processing activities of any kind may be considered sensitive to some degree." What percentage of the contractor's positions are anticipated to be National Security Positions: Special, Critical, Noncritical, and NonSensitive? What percentage of the contractor's positions are anticipated to be Public Trust positions: High, Moderate and Low Risk?

Response: Any potential contractor must be made aware that depending on the sensitivity of the task and position duties, their staff may be subject to a background investigation. Each contractor position must be evaluated from a risk perspective. The level of risk should be commensurate with how sensitive the contractor's duties AND system access/duties are. The more powerful the users access, the more damage can be done. The risk level goes up as well. It is the internal system security controls that will determine if you need to raise or lower that risk level determination.

Question 237: Part 3, III, Personnel Security, B.1. of the security handbook requires "the screening of all individuals before they are allowed to participate in the design, operation, maintenance or use of sensitive automated information systems: or are granted access to sensitive data". Are waivers granted to contractors for the National Security positions: Special, Noncritical, and NonSensitive? Are waivers granted to contractors prevented from accessing the Department's IT resources until the clearance are obtained?

Response: There are no National Security Positions at ED for contractors. Those contractors

whose position risk level determination is at high risk (6) cannot work in their full capacity until the screening process is complete. It is highly recommended that in these cases the contractor make sure any high risk positions be staffed with employees who already have background investigations on file that are of the proper type commensurate with what ED requires (a full 5 year BI or better for high risk positions.)

Question 238: Should the contractor's security plan follow the handbook format prescribed in Appendix B, Information Technology Security Plan Format in the security handbook?

Response: NIST 800-18 should be used.