



UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES

July 1, 2019

Honorable Hanseul Kang  
State Superintendent of Education  
District of Columbia  
Office of the State Superintendent of Education  
1050 First Street, N.E.  
Washington, D.C. 20002

Dear Superintendent Kang:

We have conditionally approved the District of Columbia (D.C.) application for Federal Fiscal Year (FFY) 2019 funds under Part B of the Individuals with Disabilities Education Act (IDEA Part B). Our conditional approval is based on our review of the IDEA Part B application submitted by the D.C. Office of the State Superintendent of Education (OSSE) to the U.S. Department of Education (Department), Office of Special Education Programs (OSEP), on May 14, 2019, including the assurances provided in Section II and incorporated by reference to this letter as noted in Enclosure A.

In addition, the State provided specific assurances that it will:

1. Operate throughout the period of the FFY 2019 grant award consistently with IDEA Part B and applicable regulations; and
2. Make such changes to existing policies and procedures as are necessary to bring those policies and procedures into compliance with the requirements of IDEA Part B as soon as possible, and not later than June 30, 2020. Within Section II of its application, the State has included, for each assurance it cannot meet at this time, the date by which it expects to complete necessary changes to any policies and procedures that are not yet in compliance with the requirements of IDEA Part B.

Pursuant to IDEA section 616(g) of IDEA Part B and 2 C.F.R. §§ 200.207 and 3471.10, OSEP designated D.C. as a “high-risk” grantee and imposed Specific Conditions on D.C. OSSE’s FFY 2018 grant awards to ensure: (a) timely reevaluations; (b) compliance with secondary transition requirements; and (c) timely correction of noncompliance. Based on the data and other information provided in the State’s FFY 2018 Specific Conditions progress reports, OSEP has concluded that D.C. has satisfied the Specific Condition related to ensuring timely correction of noncompliance. We note that OSEP had imposed Specific or Special Conditions related to timely correction of noncompliance on the State’s IDEA Part B grant awards since 2005. We appreciate the steps D.C. OSSE has taken to correct noncompliance related to this critical requirement. We expect that the State will continue to diligently monitor its local educational agencies (LEAs). Further, when the State identifies noncompliance with requirements of Part B, it will ensure the noncompliance is corrected as soon as possible, and in no case later than one year after the State’s identification of the noncompliance.

400 MARYLAND AVE., S.W. WASHINGTON, D.C. 20202-2600  
[www.ed.gov](http://www.ed.gov)

*The Department of Education’s mission is to promote student achievement and preparedness for global competitiveness by fostering educational excellence and ensuring equal access.*

OSEP has further concluded that we will remove the “high-risk” designation from D.C.’s IDEA Part B grant awards, effective the date of this letter. OSEP’s decision to remove the “high-risk” designation is based on the State’s progress toward the FFY 2018 Specific Conditions, the State’s 2019 “needs assistance” determination, and the data and other information provided in the State’s FFY 2017 Performance Plan/Annual Performance Report. We note that OSEP had designated D.C. a “high-risk” grantee since 2001. We appreciate the steps OSSE has taken to improve compliance with key IDEA requirements and to work toward achieving positive results for children with disabilities in the District of Columbia.

Notwithstanding, OSEP will continue to impose Specific Conditions on D.C.’s FFY 2019 IDEA Part B grant awards relating to ensuring that: (1) reevaluations are provided to children with disabilities in a timely manner as required by IDEA sections 612(a)(7) and 614(a) through (c) and 34 C.F.R. § 300.303; and (2) LEAs comply with the secondary transition requirements in IDEA section 614(d)(1)(A)(i)(VIII) and 34 C.F.R. §§ 300.320(b) and 300.321(b). The reasons for doing so and the specific reporting requirements are detailed in Enclosure D.

Please note that as part of your application for FFY 2019, your State has provided a certification, pursuant to 34 C.F.R. § 76.104, that its application meets the requirements of IDEA Part B and that the State will operate its Part B program in accordance with all of the required assurances and certifications. Any changes made by the State, after OSEP approval, to information that is a part of the State’s Part B application, must meet the public participation requirements in 34 C.F.R. § 300.165.

Enclosed are the State’s FFY 2019 grant awards for funds currently available under the Department of Education Appropriations Act, 2019 (Title III of Division B, Public Law 115-245), for the IDEA Part B Section 611 (Grants to States) and Section 619 (Preschool Grants) programs. These funds are available for obligation by States from July 1, 2019 through September 30, 2021, in accordance with 34 C.F.R. § 76.709.

The amount in your award for Section 619 represents the full amount of funds to which you are entitled. However, the amount shown in your award for the Section 611 program is only part of the total funds that will be awarded to you for FFY 2019. Of the \$12,364,392,000 appropriated for Section 611 in FFY 2019, \$3,081,009,000 is available for awards on July 1, 2019, and \$9,283,383,000 will be available for awards on October 1, 2019. Under the Section 611 formula, in a year in which the amount available for allocations to States increases from the prior year, subject to certain maximum and minimum funding requirements, State allocations are based on the amount that each State received under Section 611 for FFY 1999, the relative population of children in the age range for which each State ensures the availability of a free appropriate public education (FAPE) to children with disabilities, and the relative population of children living in poverty in the age range for which each State ensures the availability of FAPE to children with disabilities.<sup>1</sup>

---

<sup>1</sup> The amount that a State’s allocation may increase from one year to the next is capped at the amount the State received in the prior year multiplied by the sum of 1.5 percent and the percentage increase in the total amount appropriated for Part B of IDEA from the prior year. Additionally, the maximum amount that a State may receive in

In FFY 2019, the appropriation for the Preschool Grants program is \$391,120,000. Under the Section 619 formula, in a year in which the amount available for allocation to States remains the same or increases from the prior year, State allocations, subject to certain maximum and minimum funding requirements, are based on the amount that each State received under Section 619 for FFY 1997, the relative population of children aged three through five, and the relative population of all children aged three through five living in poverty.

Enclosure B provides a short description of how Section 611 funds were allocated and how those funds can be used. In addition, Table I in Enclosure B shows funding levels for distribution of Section 611 funds and the parameters for within-State allocations.

Enclosure C provides a short description of how Section 619 funds were allocated and how those funds can be used. In addition, Table II in Enclosure C shows State-by-State funding levels for distribution of Section 619 funds.

Section 611(e)(1)(C) of the IDEA provides that “[p]rior to expenditure of funds under this paragraph [Section 611(e)(1) concerning funds for State administration], the State shall certify to the Secretary that the arrangements to establish responsibility for services pursuant to [S]ection 612(a)(12)(A) are current.” We read this provision to mean that if a State does not have interagency agreements or other arrangements in place to establish responsibility for the provision of services, the State may not expend funds available to the State under Section 611(e)(1) [State administration funds] until the State has these agreements or arrangements in place.

Under Section 608(a)(2) of the IDEA, each State that receives funds under IDEA Part B is required to inform in writing local educational agencies located in the State of any State-imposed rule, regulation, or policy that is not required by IDEA or Federal regulations. A State may use the same list of State-imposed rules, regulations, and policies that it was required to submit to the Department in Section IV of its IDEA Part B application for this purpose.

In Section V of its IDEA Part B application, pursuant to the authority in IDEA Section 618(a)(3), the State was required to submit data on the total amount of State financial support made available for special education and related services for children with disabilities in State fiscal year (SFY) 2017 and SFY 2018. If OSEP receives information through audits, fiscal monitoring or other means that raises questions about the data your State has provided in Section V, OSEP will follow-up with your State.

Section 604 of the IDEA provides that “[a] State shall not be immune under the 11th amendment to the Constitution of the United States from suit in Federal court for a violation of this [Act].” Section 606 provides that each recipient of assistance under the IDEA make positive efforts to employ and advance in employment qualified individuals with disabilities in programs assisted

---

any fiscal year is calculated by multiplying the number of children with disabilities ages 3 through 21 served during the 2004-2005 academic year in that State by 40 percent of the annual per pupil expenditure (APPE), adjusted by the rate of annual change in the sum of 85 percent of the children aged 3 through 21 for whom that State ensures the availability of FAPE and 15 percent of the children living in poverty. Because there are multiple caps, in any year the “effective cap” on a State’s allocation is the lowest cap for that State.

**District of Columbia:**

**Enclosure A**

**Section II**

**A. Assurances Related to Policies and Procedures**

The State makes the following assurances that it has policies and procedures in place as required by Part B of the Individuals with Disabilities Education Act. (20 U.S.C. 1411-1419; 34 CFR §§300.100-300.174)

<p><b>Yes</b> <i>(Assurance is given.)</i></p>	<p><b>No</b> <i>(Assurance cannot be given. Provide date on which State will complete changes in order to provide assurance.)</i>  <i>Check and enter date(s) as applicable</i></p>	<p><b>Assurances Related to Policies and Procedures</b></p>
<b>X</b>		<p>1. A free appropriate public education is available to all children with disabilities residing in the State between the ages of 3 and 21, inclusive, including children with disabilities who have been suspended or expelled, in accordance with 20 U.S.C. 1412(a)(1); 34 CFR §§300.101-300.108.</p>
<b>X</b>		<p>2. The State has established a goal of providing a full educational opportunity to all children with disabilities and a detailed timetable for accomplishing that goal. (20 U.S.C. 1412(a)(2); 34 CFR §§300.109-300.110)</p>
<b>X</b>		<p>3. All children with disabilities residing in the State, including children with disabilities who are homeless or are wards of the State and children with disabilities attending private schools, regardless of the severity of their disabilities, and who are in need of special education and related services, are identified, located, and evaluated and a practical method is developed and implemented to determine which children with disabilities are currently receiving needed special education and related services in accordance with 20 U.S.C. 1412(a)(3); 34 CFR §300.111.</p>
<b>X</b>		<p>4. An individualized education program, or an individualized family service plan that meets the requirements of section 636(d), is developed, reviewed, and revised for each child with a disability in accordance with 34 CFR §§300.320 through 300.324, except as provided in §§300.300(b)(3) and 300.300(b)(4). (20 U.S.C. 1412(a)(4); 34 CFR §300.112)</p>
<b>X</b>		<p>5. To the maximum extent appropriate, children with disabilities, including children in public or private institutions or other care facilities, are educated with children who are not disabled, and special classes,</p>

<b>Yes</b> <i>(Assurance is given.)</i>	<b>No</b> <i>(Assurance cannot be given. Provide date on which State will complete changes in order to provide assurance.)</i>  <i>Check and enter date(s) as applicable</i>	<b>Assurances Related to Policies and Procedures</b>
		separate schooling, or other removal of children with disabilities from the regular educational environment occurs only when the nature or severity of the disability of a child is such that education in regular classes with the use of supplementary aids and services cannot be achieved satisfactorily in accordance with 20 U.S.C. 1412(a)(5)(A)-(B); 34 CFR §§300.114-300.120.
<b>X</b>		6. Children with disabilities and their parents are afforded the procedural safeguards required by 34 CFR §§300.500 through 300.536 and in accordance with 20 U.S.C. 1412(a)(6); 34 CFR §300.121.
<b>X</b>		7. Children with disabilities are evaluated in accordance with 34 CFR §§300.300 through 300.311. (20 U.S.C. 1412(a)(7); 34 CFR §300.122)
<b>X</b>		8. Agencies in the State comply with 34 CFR §§300.610 through 300.626 (relating to the confidentiality of records and information). (20 U.S.C. 1412(a)(8); 34 CFR §300.123)
<b>X</b>		9. Children participating in early intervention programs assisted under Part C, and who will participate in preschool programs assisted under this part, experience a smooth and effective transition to those preschool programs in a manner consistent with section 637(a)(9). By the third birthday of such a child, an individualized education program or, if consistent with 34 CFR §300.323(b) and section 636(d), an individualized family service plan, has been developed and is being implemented for the child. The local educational agency will participate in transition planning conferences arranged by the designated lead agency under section 635(a)(10). (20 U.S.C. 1412(a)(9); 34 CFR §300.124)
<b>X</b>		10. Agencies in the State, and the SEA if applicable, comply with the requirements of 34 CFR §§300.130 through 300.148 (relating to responsibilities for children in private schools), including that to the extent consistent with the number and location of children with disabilities in the State who are enrolled by their parents in private elementary schools and secondary schools in the school district served by a local educational agency, provision is made for the participation of those children in the program assisted or carried out under this part by providing for such children special education and related services in accordance with the requirements found in 34 CFR §§300.130 through 300.148 unless the Secretary has arranged for services to those

<b>Yes</b> <i>(Assurance is given.)</i>	<b>No</b> <i>(Assurance cannot be given. Provide date on which State will complete changes in order to provide assurance.)</i>  <i>Check and enter date(s) as applicable</i>	<b>Assurances Related to Policies and Procedures</b>
		children under subsection (f) [By pass]. (20 U.S.C. 1412(a)(10); 34 CFR §§300.129-300.148)
<b>X</b>		11. The State educational agency is responsible for ensuring that the requirements of Part B are met including the requirements of 34 CFR §§300.113, 300.149, 300.150 through 300.153, and 300.175 and 300.176 and that the State monitors and enforces the requirements of Part B in accordance with 34 CFR §§300.600-300.602 and 300.606-300.608. (20 U.S.C. 1412(a)(11); 34 CFR §300.149)
	<b>X</b> June 30, 2020	12. The Chief Executive Officer of a State or designee of the officer shall ensure that an interagency agreement or other mechanism for interagency coordination is in effect between each public agency described in subparagraph (b) of 34 CFR §300.154 and the State educational agency, in order to ensure that all services described in paragraph (b)(1)(i) that are needed to ensure a free appropriate public education are provided, including the provision of such services during the pendency of any dispute under §300.154(a)(3). Such agreement or mechanism shall meet the requirements found in 20 U.S.C. 1412(a)(12)(A)-(C); 34 CFR §300.154.
<b>X</b>		13. The State educational agency will not make a final determination that a local educational agency is not eligible for assistance under this part without first affording that agency reasonable notice and an opportunity for a hearing. (20 U.S.C. 1412(a)(13); 34 CFR §300.155)
	<b>X</b> June 30, 2020	14. The State educational agency has established and maintains qualifications to ensure that personnel necessary to carry out this part are appropriately and adequately prepared and trained, including that those personnel have the content knowledge and skills to serve children with disabilities as noted in 20 U.S.C. 1412(a)(14)(A)-(E); 34 CFR §300.156.
<b>X</b>		15. The State has established goals for the performance of children with disabilities in the State that meet the requirements found in 20 U.S.C. 1412(a)(15)(A)-(C); 34 CFR §300.157.
<b>X</b>		16. All children with disabilities are included in all general State and districtwide assessment programs, including assessments described under section 1111 of the Elementary and Secondary Education Act of 1965, with appropriate accommodations and alternate assessments where necessary and as indicated in their respective individualized

<b>Yes</b> <i>(Assurance is given.)</i>	<b>No</b> <i>(Assurance cannot be given. Provide date on which State will complete changes in order to provide assurance.)</i>  <i>Check and enter date(s) as applicable</i>	<b>Assurances Related to Policies and Procedures</b>
		education programs as noted in 20 U.S.C. 1412(a)(16)(A)-(E); 34 CFR §300.160.
<b>X</b>		17. Funds paid to a State under this part will be expended in accordance with all the provisions of Part B including 20 U.S.C. 1412(a)(17)(A)-(C); 34 CFR §300.162.
<b>X</b>		18. The State will not reduce the amount of State financial support for special education and related services for children with disabilities, or otherwise made available because of the excess costs of educating those children, below the amount of that support for the preceding fiscal year, unless a waiver is granted, in accordance with 20 U.S.C. 1412(a)(18)(A)-(D); 34 CFR §§300.163 through 300.164.
<b>X</b>		19. Prior to the adoption of any policies and procedures needed to comply with this section (including any amendments to such policies and procedures), the State ensures that there are public hearings, adequate notice of the hearings, and an opportunity for comment available to the general public, including individuals with disabilities and parents of children with disabilities. (20 U.S.C. 1412(a)(19); 34 CFR §300.165)
<b>X</b>		20. In complying with 34 CFR §§300.162 and 300.163, a State may not use funds paid to it under this part to satisfy State-law mandated funding obligations to local educational agencies, including funding based on student attendance or enrollment, or inflation. (20 U.S.C. 1412(a)(20); 34 CFR §300.166)
<b>X</b>		21. The State has established and maintains an advisory panel for the purpose of providing policy guidance with respect to special education and related services for children with disabilities in the State as found in 20 U.S.C. 1412(a)(21)(A)-(D); 34 CFR §§300.167-300.169.
<b>X</b>		22. The State educational agency examines data, including data disaggregated by race and ethnicity, to determine if significant discrepancies are occurring in the rate of long-term suspensions and expulsions of children with disabilities in accordance with 20 U.S.C. 1412(a)(22)(A)-(B); 34 CFR §300.170.
<b>X</b>		23a. The State adopts the National Instructional Materials Accessibility Standard for the purposes of providing instructional materials to blind persons or other persons with print disabilities, in a timely manner after the publication of the National Instructional Materials Accessibility

<b>Yes</b> <i>(Assurance is given.)</i>	<b>No</b> <i>(Assurance cannot be given. Provide date on which State will complete changes in order to provide assurance.)</i>  <i>Check and enter date(s) as applicable</i>	<b>Assurances Related to Policies and Procedures</b>
		Standard in the Federal Register in accordance with 20 U.S.C. 1412(a)(23)(A) and (D); 34 CFR §300.172.
		23b. <i>(Note: Check either "23b.1" or "23b.2" whichever applies.</i>
<b>X</b>		23b.1 The State educational agency coordinates with the National Instructional Materials Access Center and not later than 12/03/06 the SEA as part of any print instructional materials adoption process, procurement contract, or other practice or instrument used for purchase of print instructional materials enters into a written contract with the publisher of the print instructional materials to: <ul style="list-style-type: none"> <li>• require the publisher to prepare and, on or before delivery of the print instructional materials, provide to the National Instructional Materials Access Center, electronic files containing the contents of the print instructional materials using the National Instructional Materials Accessibility Standard; or</li> <li>• purchase instructional materials from the publisher that are produced in, or may be rendered in, specialized formats. (20 U.S.C. 1412(a)(23)(C); 34 CFR §300.172)</li> </ul>
		23b.2 The State educational agency has chosen not to coordinate with the National Instructional Materials Access Center but assures that it will provide instructional materials to blind persons or other persons with print disabilities in a timely manner. (20 U.S.C. 1412(a)(23)(B); 34 CFR §300.172)
<b>X</b>		24. The State has in effect, consistent with the purposes of the IDEA and with section 618(d) of the Act, policies and procedures designed to prevent the inappropriate overidentification or disproportionate representation by race and ethnicity of children as children with disabilities, including children with disabilities with a particular impairment described in 34 CFR §300.8. (20 U.S.C 1412(a)(24); 34 CFR §300.173)
<b>X</b>		25. The State educational agency shall prohibit State and local educational agency personnel from requiring a child to obtain a prescription for a substance covered by the Controlled Substances Act (21 U.S.C. 812(c)) as a condition of attending school, receiving an evaluation under 34 CFR §§300.300 through 300.311, or receiving services under the IDEA as described in 20 U.S.C. 1412(a)(25)(A)-(B); 34 CFR §300.174.

## B. Other Assurances

The State also makes the following assurances:

Yes	Other Assurances
X	1. The State shall distribute any funds the State does not reserve under 20 U.S.C. 1411(e) to local educational agencies (including public charter schools that operate as local educational agencies) in the State that have established their eligibility under section 613 for use in accordance with this part as provided for in 20 U.S.C. 1411(f)(1)-(3); 34 CFR §300.705.
X	2. The State shall provide data to the Secretary on any information that may be required by the Secretary. (20 U.S.C. 1418(a)(3); 34 CFR §§300.640-300.645.)
X	3. The State, local educational agencies, and educational service agencies shall use fiscal control and fund accounting procedures that insure proper disbursement of and accounting for Federal funds. (34 CFR §76.702)
X	4. As applicable, the assurance in OMB Standard Form 424B (Assurances for Non-Construction Programs), relating to legal authority to apply for assistance; access to records; conflict of interest; merit systems; nondiscrimination; Hatch Act provisions; labor standards; flood insurance; environmental standards; wild and scenic river systems; historic preservation; protection of human subjects; animal welfare; lead-based paint; Single Audit Act; and general agreement to comply with all Federal laws, executive orders and regulations.

## C. Certifications

The State is providing the following certifications:

Yes	
X	<p>1. The State certifies that ED Form 80-0013, <i>Certification Regarding Lobbying</i>, is on file with the Secretary of Education.</p> <p>With respect to the <i>Certification Regarding Lobbying</i>, the State recertifies that no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making or renewal of Federal grants under this program; that the State shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," when required (34 CFR Part 82, Appendix B); and that the State Agency shall require the full certification, as set forth in 34 CFR Part 82, Appendix A, in the award documents for all sub awards at all tiers.</p>
X	2. The State certifies that certification in the Education Department General Administrative Regulations (EDGAR) at 34 CFR §76.104 relating to State eligibility, authority and approval to submit and carry out the provisions of its State application, and consistency of that application with State law are in place within the State.
X	3. The State certifies that the arrangements to establish responsibility for services pursuant to 20 U.S.C. 1412(a)(12)(A)-(C); 34 CFR §300.154 (or 20 U.S.C. 1412(a)(12)(A); 34 CFR §300.154(a) are current. This certification must be received prior to the expenditure of any funds reserved by the State under 20 U.S.C. 1411(e)(1); 34 CFR §300.171.



Page 4 - Chief State School Officer

under the IDEA. Therefore, by accepting this grant, your State is expressly agreeing as a condition of IDEA funding to a waiver of Eleventh Amendment immunity and to ensuring that positive efforts are made to employ and advance employment of qualified individuals with disabilities in programs assisted under the IDEA.

The enclosed grant awards of FFY 2019 funds are made with the continued understanding that this Office may, from time to time, require clarification of information within your application, if necessary. These inquiries may be necessary to allow us to appropriately carry out our administrative responsibilities related to IDEA Part B.

As a reminder, all prime recipients of IDEA Part B funds must report subaward information as required by the Federal Funding Accountability and Transparency Act of 2006 (FFATA), as amended in 2008. First-tier subaward information must be reported by the end of the following month from when the award was made or obligated. FFATA guidance is found at <https://www.fsrs.gov/>. Please contact your State's Fiscal Accountability Facilitator if you have further questions.

We appreciate your ongoing commitment to the provision of quality educational services to children with disabilities.

Sincerely,

/S/

Laurie VanderPloeg  
Director  
Office of Special Education Programs

Enclosures

Enclosure A  
Enclosure B  
Enclosure C  
Enclosure D

cc: State Director of Special Education

## Enclosure D

### Specific Conditions<sup>1</sup>

#### **I. Basis for Requiring Specific Conditions**

These are Specific Conditions imposed pursuant to IDEA section 616(g) of Part B of the Individuals with Disabilities Education Act (IDEA or Part B) and 2 C.F.R. §§200.207 by the U.S. Department of Education's (Department's) Office of Special Education Programs (OSEP). OSEP is imposing Specific Conditions on the District of Columbia, Office of the State Superintendent of Education's (State, D.C., or D.C. OSSE) Federal fiscal year (FFY) FFY 2019 grant awards under IDEA Part B to ensure the State corrects its longstanding noncompliance with certain IDEA requirements.

The State did not meet the Specific Conditions imposed on its FFY 2018 IDEA Part B grant awards to ensure timely reevaluations and compliance with secondary transition requirements. OSEP has imposed Specific or Special Conditions related to timely reevaluations on D.C.'s IDEA Part B grant awards since 2001. This issue was initially identified in the 1998-2001 Compliance Agreement between D.C. and the Department. OSEP has imposed Conditions on D.C.'s IDEA Part B grant awards related to compliance with secondary transition requirements since 2009.

***Timely reevaluations:*** A reevaluation that meets the requirements of section 614(a)(2), (b), and (c) of the IDEA and 34 C.F.R. § 300.303 must be completed for each child with a disability, no later than 36 months after the date on which the previous evaluation or reevaluation was completed, unless the parent and the local educational agency (LEA) agree that a reevaluation is unnecessary.<sup>2</sup>

In its May 1, 2019 Specific Conditions progress report, revised June 11, 2019, the State reported that, for the period of October 1, 2018 through March 31, 2019, 87.1 percent of children were provided a timely reevaluation. The State further reported that at the end of the October 1, 2018 through March 31, 2019 reporting period, 216 children had not been provided a timely reevaluation. The State reported that it had ensured timely correction of all 112 findings of noncompliance identified in FFY 2017 related to the timely reevaluation requirements.

Because the State has not yet achieved compliance with the reevaluation requirements in IDEA sections 612(a)(7) and 614(a) through (c) and 34 C.F.R. § 300.303, OSEP requires the State to take the actions outlined in these Specific Conditions during FFY 2019.

***Secondary transition:*** Beginning not later than the first individualized education program (IEP) to be in effect when the child turns 16, or younger, if determined appropriate by the IEP Team, and updated annually, thereafter, the IEP must include: (1) appropriate measurable postsecondary goals based upon age appropriate transition assessments related to training, education, employment, and where appropriate, independent living skills; and (2) the transition services (including courses of

---

<sup>1</sup> Consistent with 2 C.F.R. §§ 200.207, the term "specific" conditions replaces the previously used term "special" conditions." In this document, the term "Special Conditions" is used when referencing the State's IDEA Part B grant awards and required reporting associated with the receipt of those funds for years prior to FFY 2018.

<sup>2</sup> Section 614(a)(2) of the IDEA and 34 C.F.R. §300.303 require that a reevaluation occur at least once every three years, unless the parents and the LEA agree that a reevaluation is unnecessary. The State's "Part B Initial Evaluation/Reevaluation Policy," dated March 22, 2010, states: "The LEA must hold a reevaluation meeting within three years of the date that the previous initial evaluation or reevaluation was completed. The reevaluation meeting must be scheduled in time to allow the IEP team to conduct assessments, if necessary, and to reconvene within three years of the previous eligibility meeting."

study) needed to assist the child in reaching those goals, as required by section 614(d)(1)(A)(i)(VIII) of the IDEA and 34 C.F.R. § 300.320(b). The public agency must invite a child with a disability to attend the child’s IEP Team meeting if a purpose of the meeting will be the consideration of the postsecondary goals for the child and the transition services needed to assist the child in reaching those goals. See 34 C.F.R. § 300.321(b)(1). To the extent appropriate, with the prior consent of the parents or a child who has reached the age of majority, the public agency must invite the representative of any participating agency that is likely to be responsible for providing or paying for transition services. See 34 C.F.R. § 300.321(b)(3).

D.C. reported under Indicator 13 of its FFY 2017 APR that 76 percent of youth aged 16 and above had an IEP that includes appropriate measurable postsecondary goals that are annually updated and based upon an age appropriate transition assessment, transition services, including courses of study, that will reasonably enable the student to meet those postsecondary goals, and annual IEP goals related to the student’s transition services needs; evidence that the student was invited to the IEP Team meeting where transition services were to be discussed; and evidence, that if appropriate, a representative of any participating agency was invited to the IEP Team meeting with the prior consent of the parent or student who has reached the age of majority.

D.C.’s FFY 2018 Specific Conditions progress reports for the period July 1, 2018 through March 31, 2019, reflect 77.5 percent compliance with the secondary transition requirements. These data demonstrate progress from the FFY 2016 APR data of 71 percent.

Because the State has not yet achieved compliance with the secondary transition requirements in IDEA section 614(d)(1)(A)(i)(VIII) and 34 C.F.R. §§ 300.320(b) and 300.321(b), OSEP requires the State to take the actions outlined in these Specific Conditions during FFY 2019.

**II. Nature of the Specific Conditions**

OSEP imposes the following Specific Conditions on D.C.’s FFY 2019 IDEA Part B grant awards to ensure that D.C. corrects the areas in which the Department has determined the State did not meet the FFY 2018 Specific Conditions.

**Required Reporting on Areas of Longstanding Noncompliance:** D.C. must provide updated data and other information on the areas of longstanding noncompliance as described below.

**A. Demonstrate compliance with the requirement to conduct timely reevaluations**

1. **Required report on progress:** The State must provide reevaluations data in two progress reports during FFY 2019. The reporting period for each progress report is reflected below:

Due Date	Reporting Period
November 1, 2019	April 1, 2019 – September 30, 2019
May 1, 2020	October 1, 2019 – March 31, 2020

In its FFY 2019 Specific Conditions progress reports, the State must report the following information:

- a. The number of children who, as of the end of the previous reporting period had not been provided a timely triennial reevaluation.
- b. The number of children whose triennial reevaluation became overdue during the reporting period.

- c. The number of children from (a) and (b) above, who had been provided triennial reevaluations during the reporting period.
- d. The number of children who had not been provided a timely triennial reevaluation at the conclusion of the reporting period.
- e. The percent of triennial reevaluations provided to children with disabilities whose reevaluation deadlines fell within the reporting period that were conducted in a timely manner.

The State must also report the actual numbers for the following:

- i. The number of children whose triennial reevaluation deadlines fell within the reporting period.
- ii. The number of those children who were provided a timely triennial reevaluation.

To calculate the percent of triennial reevaluations provided in a timely manner use the data reported in (ii) divided by (i) times 100.

- f. The average number of days the triennial reevaluations that had not been provided in a timely manner were overdue.
- g. For reevaluations that were not provided in a timely manner, provide:
  - i. The reasons for the delay; and
  - ii. The number of children whose reevaluation was delayed for each reason identified.
- h. A description of the actions the State is taking to address the noncompliance.
- i. The following information related to the correction of findings of noncompliance the State identified pertaining to the timeliness of reevaluations in FFY 2018:
  - i. The number of findings of noncompliance the State identified.
  - ii. The number of findings for which the State verified the noncompliance was corrected as soon as possible and in no case later than one year after the State’s identification of the noncompliance.
  - iii. Number of findings for which the State verified the noncompliance was corrected more than one year after the State’s identification of noncompliance (i.e., “subsequent correction”).
  - iv. Number of findings for which the one-year timeline had not yet expired.

**B. Demonstrate compliance with secondary transition requirements**

- 1. **Required report on progress:** The State must provide secondary transition compliance data in two progress reports during FFY 2019. The reporting period for each progress report is reflected below:

Due Date	Reporting Period
November 1, 2019	April 1, 2019 – September 30, 2019
May 1, 2020	October 1, 2019 – March 31, 2020

For each reporting period, consistent with the State’s monitoring plan approved by OSEP in 2017, D.C. must select a new random sample of at least 100 IEPs of youth aged 16 and above to be reviewed for IEP secondary transition content during the reporting period from the cohort of LEAs that have been designated for review.<sup>3</sup>

- a. Report, of the student records reviewed, consistent with the required measurement for Indicator 13, the number and percent of youth aged 16 and above with an IEP that includes appropriate measurable postsecondary goals that are annually updated and based upon an age appropriate transition assessment; transition services, including course of study, that will reasonably enable the student to meet those postsecondary goals; and annual IEP goals related to the student’s transition service needs. There also must be evidence that the student was invited to the IEP Team meeting where transition services were to be discussed and evidence that, if appropriate, a representative of any participating agency was invited to the IEP Team meeting with the prior consent of the parent or student who has reached the age of majority.
  - b. Report the number of LEAs included in its review and the number of those LEAs that demonstrated compliance with the secondary transition requirements.
  - c. Provide an explanation of the progress or slippage that occurred for the reporting period and a description of the actions the State is taking to address any noncompliance with secondary transition requirements.
2. **Report SPP/APR Indicator 13 data:** D.C. must report FFY 2018 actual target data for Indicator 13 (secondary transition) consistent with the required measurement and instructions in its FFY 2018 APR, due February 3, 2020. D.C. must also address all of the issues related to Indicator 13 identified in OSEP’s June 20, 2019 response to the State’s FFY 2017 SPP/APR submission.

#### **IV. Evidence Necessary for Conditions to be Removed**

These Specific Conditions require D.C. to submit data demonstrating: (1) compliance with the timely reevaluation requirements; and (2) compliance with the secondary transition requirements.

The Department will remove these Specific Conditions if, at any time prior to the expiration of the FFY 2019 grant year, the State provides documentation, satisfactory to the Department, that it has fully met the requirements and conditions set forth above.

#### **V. Method of Requesting Reconsideration**

The State can write to OSEP’s Director, Laurie VanderPloeg, if it wishes the Department to reconsider any aspect of the Specific Conditions. The request must describe in detail the changes to the Specific Conditions sought by the State and the reasons for those requested changes.

#### **VI. Submission of Reports**

---

<sup>3</sup> In accordance with D.C. law, effective July 1, 2018, LEAs must begin transition planning for students with disabilities at age 14. For the purposes of the FFY 2019 Specific Conditions, D.C. may choose to: (1) report the percent of IEPs for youth aged 16 and above reviewed for IEP secondary transition content; or (2) include youth beginning at age 14 in its report of IEPs reviewed for secondary transition content.

The State Superintendent of Education or other authorized official of the SEA shall certify the completeness and accuracy of each report. D.C. must submit all reports required under these Specific Conditions to:

Lisa Pagano  
U.S. Department of Education  
Office of Special Education and Rehabilitative Services  
Office of Special Education Programs – MSIP  
550 12<sup>th</sup> Street, S.W., Room 5171  
Washington, D.C. 20202 or by email to: [Lisa.Pagano@ed.gov](mailto:Lisa.Pagano@ed.gov)