



UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE  
SERVICES

September 11, 2014

Honorable Debra Minott  
Secretary  
Indiana Family and Social Services Administration  
402 W. Washington Street  
Indianapolis, Indiana 46204

Dear Secretary Minott:

We have conditionally approved Indiana's application for Federal fiscal year (FFY) 2014 funds under Part C of the Individuals with Disabilities Education Act (IDEA Part C). Our conditional approval is based on our review of the IDEA Part C application, submitted by the Indiana Family and Social Services Administration (FSSA) to the U.S. Department of Education, Office of Special Education Programs (OSEP), on April 21, 2014. We issued Indiana's IDEA Part C FFY 2014 grant award originally on July 1, 2014, which is the effective date of this grant award. We are reissuing this revised grant letter for the sole purpose of correcting Enclosure A to accurately reflect FSSA's April 21, 2014 application.

Our conditional approval of the State's FFY 2014 IDEA Part C grant is also based on the State's policies, procedures, methods, descriptions, assurances, and certifications identified in Section II.A, II.B, and II.C, which is incorporated by reference to this grant award letter as Enclosure A. Also, OSEP's conditional approval of the State's FFY 2014 IDEA Part C application is based on our approval of the policy submitted by the State on April 17, 2014, under Section II.A.12 (General Education Provision Act).

Our approval is further based on the State's certification in Section II.D of its FFY 2014 IDEA Part C application, in which section the State certifies under 34 CFR §76.104 that it will:

1. Operate throughout the period of the FFY 2014 grant award consistently with the requirements of the IDEA as found in 20 U.S.C. §§1431 through 1443 and the final regulations in 34 CFR Part 303 (as published on September 28, 2011); and
2. Make such changes to existing policies, procedures, methods, and descriptions as are necessary to bring those policies, procedures, methods and descriptions into compliance with the requirements of IDEA Part C, as soon as possible, and not later than either the date indicated by the State in Section II.A or B of its application or June 30, 2015, whichever is earlier.

The conditional approval for Indiana's FFY 2014 IDEA Part C grant is also based on the State's assurance, dated June 27, 2014, from the FSSA in which the State specifically assured that it shall:

- (1) Make all changes necessary to First Steps Cost Participation Procedures, and complete all actions as specified in OSEP's memorandum, dated June 27, 2014 and attached checklist, to comply with the IDEA Part C requirements, including 34 CFR §303.521(a)(4)(iv) and submit to OSEP those revised policies under Section II.A.3a of the IDEA Part C grant application, as soon as they are finalized, but no later than September 1, 2014;

(2) Ensure that all early intervention service (EIS) programs and providers in the State will comply with all requirements of IDEA Part C throughout the period that the State uses its IDEA Part C FFY 2014 grant funds, including 34 CFR §303.521(a)(4)(iv) and specifically the requirement to charge one schedule of fees to all parents (including those with or without insurance and those who do not consent to use their private insurance), meaning that families that decline to provide consent to use their private insurance will be charged the same sliding fee as other families without private insurance but with similar income and expenses;

(3) Provide OSEP, by July 31, 2014, a copy of the memorandum notifying all EIS programs and providers that are part of the State's IDEA Part C early intervention system of the changes described in (2) above that affect the provision of early intervention services under IDEA Part C, in 34 CFR §303.521(a)(4)(iv); and

(4) Ensure that the statewide system of early intervention required by IDEA Part C, in 20 U.S.C. §§ 1431 through 1444 and final regulations in 34 CFR Part 303, will be in effect throughout the FFY 2014 grant period.

As part of your State's application for FFY 2014, your State has made an assurance, under Section II.C.2 of its FFY 2014 application and pursuant to 34 CFR §80.11(c), that it will comply with all applicable Federal statutes and regulations in effect during the FFY 2014 grant period.

Enclosed is the State's FFY 2014 grant award for funds currently available under the Consolidated Appropriations Act, 2014 – P.L. 113-76 for the IDEA Part C program. These funds are available for obligation by States from July 1, 2014 through September 30, 2016 in accordance with 34 CFR §76.709.

Section IV.B of the IDEA Part C FFY 2014 application requested updated information about how your lead agency implements the restricted indirect cost requirements in 34 CFR §303.225(c). Your State indicated in Section IV.B that FSSA is not charging and will not charge indirect costs to its IDEA Part C FFY 2014 grant funds. By accepting this IDEA Part C FFY 2014 grant, your agency (as the lead agency designated under Part C) is agreeing not to charge indirect costs to any funds awarded under this FFY 2014 Part C grant.

Section 604 of the IDEA provides that “[a] State shall not be immune under the 11<sup>th</sup> amendment to the Constitution of the United States from suit in Federal court for a violation of this [Act].” Section 606 provides that each recipient of assistance under IDEA make positive efforts to employ and advance in employment qualified individuals with disabilities in programs assisted under the IDEA. Therefore, by accepting this grant, your State is expressly agreeing as a condition of IDEA funding to a waiver of Eleventh Amendment immunity and to ensuring that positive efforts are made to employ and advance employment of qualified individuals with disabilities in programs assisted under the IDEA.

The enclosed grant award of FFY 2014 funds is made with the continued understanding that this Office may, from time to time, require clarification of information within your application, if necessary. These inquiries may be necessary to allow us to appropriately carry out our administrative responsibilities related to IDEA Part C. If your State adopts a new or revised IDEA Part C policy or procedure that is required under Part C of the IDEA or under the final regulations in 34 CFR Part 303, it must subject those policies and procedures to the public participation requirements in 34 CFR §303.208 and, for those policies and procedures specifically referenced in 34 CFR §303.101(c), receive OSEP approval prior to their

implementation.

As a reminder, all prime recipients of IDEA (Part B or Part C) funds, must report subaward information as required by the Federal Funding Accountability and Transparency Act of 2006 (FFATA), as amended in 2008. First-tier subaward information must be reported by the end of the following month from when the award was made or obligated. FFATA guidance is found at <http://www2.ed.gov/policy/gen/leg/recovery/rms-web-conferences.html>. Please contact your State's Fiscal Accountability Facilitator if you have further questions.

We appreciate your ongoing commitment to the provision of quality early intervention services to infants and toddlers with disabilities and their families.

Sincerely,

A handwritten signature in black ink, appearing to read "Melody Musgrove". The signature is fluid and cursive, with a large loop at the end.

Melody Musgrove, Ed.D.  
Director  
Office of Special Education Programs

Enclosure

Enclosure A

cc: State Part C Coordinator