



CONSOLIDATED STATE PLAN PEER REVIEW PROCESS

MARCH 2017

AGENDA

- Overview of Peer Review Process ESSA State Plans
- Resources
- Questions

TIMELINES

STATE PLANS

- Release of Revised Consolidated State Plan Absolutely Necessary Requirements
 - **March 13, 2017**
- Consolidated State plan or individual program State plans must be submitted on one of two deadlines:
 - **April 3, 2017** **spring peer review window**
 - **September 18, 2017** **summer peer review window**



TIMELINES

STATE PLANS

- States submitting for the **spring peer review window** where the Governor cannot complete full 30-day review by April 3rd must submit by **May 3, 2017**
- States planning to submit by May 3, 2017 for the spring peer review window should notify the Deputy Director of the Office of State Support, Roberta Miceli at Roberta.Miceli@ed.gov and include the date on which the SEA provided its consolidated State plan to the Governor for review



CONSOLIDATED STATE PLAN TEMPLATE

REVISED TEMPLATE

- An SEA must use the [Revised Consolidated State Plan template](#) or a format that includes the required elements and that the State has developed working with the Council of Chief State School Officers (CCSSO)
- States may consider using the previous template released by ED as a guide



CONSOLIDATED STATE PLAN TEMPLATE

ALTERNATIVE TEMPLATE

- If an SEA does not use this template, it must:
 - Include the information on the Cover Sheet;
 - Include a table of contents or guide that clearly indicates where the SEA has addressed each requirement in its consolidated State plan;
 - Indicate that the SEA worked through CCSSO in developing its own template; and
 - Include the required information regarding equitable access to, and participation in, the programs included in its consolidated State plan as required by section 427 of the General Education Provisions Act. (See Appendix B of Revised Consolidated State Plan Requirements).





Peer Review Process ESSA State Plans



PEER REVIEW PROCESS

ESEA SECTION 1111(a)

- The Secretary must establish a peer review process to assist in the review of State plans (Section 1111(a)(4)(A)(i))
- Peer reviewers will conduct an objective review of State plans in their totality and out of respect for State and local judgements, with the goal of supporting State and local-led innovation and providing objective feedback on the technical and overall quality of a State plan (Section 1111(a)(4)(C))
- Consistent with ESEA section 1111(a)(6), the Department will make all peer review guidance, final peer panel notes, and names of peer reviewers publicly available at the completion of the review



PEER REVIEW PROCESS

ESEA SECTION 1111(a)(4)(B)

- The purpose of the peer review process is to:
 - Maximize collaboration with each State
 - Promote effective implementation of the challenging State academic standards through State and local innovation
 - Provide transparent, timely, and objective feedback to States designed to strengthen the technical and overall quality of the State plans

SELECTION OF PEERS

ESEA SECTION 1111(a)(4)(II)

- The ESEA, as amended by the ESSA, requires that the Department establish multi-disciplinary peer review teams and appoint members of such teams that include:
 - Parents
 - Educators (e.g., teachers, principals or other school leaders, or specialized instructional support personnel);
 - State and local educational agency personnel
 - Researchers who are familiar with the implementation of standards, assessments and accountability systems
 - Researchers who are familiar with how to meet the needs of disadvantaged students, children with disabilities, and English learners, the needs of low-performing schools, and other educational needs of students



SELECTION OF PEERS

ESEA SECTION 1111(a)(4)(II)

- The ESEA, as amended by the ESSA, also requires that peer reviewers include, to the extent practicable:
 - Individuals who, in the most recent 2 years, have had practical experience in the classroom, school administration, or State or local government (such as direct employees of a school, local educational agency, or State educational agency)
 - Representation from a regionally diverse cross-section of States



PEER REVIEW PROCESS

TIMELINE

- A State plan must be approved after the **120-day review period** unless the Secretary issues a written determination that the plan is not in compliance with the ESEA requirements (ESEA section 8451)



PORTIONS OF THE PLAN PEER REVIEWED

PEER REVIEW PROCESS

- Consistent with ESEA sections 1111(a)(4) and 3113(e), the Department will conduct a peer review of the sections in each SEA's consolidated State plan related to the **Title I, Part A** and **Title III** programs to facilitate the review and approval of consolidated State plans
- Consistent with section 724(a) of the **McKinney-Vento** Act, the Department will also conduct a peer review to evaluate whether State laws, policies, and practices described in the State plan adequately address the problems of homeless children and youths relating to access to education and placement as described in such plan
- All other programs will be reviewed by Department staff



PEER REVIEW PANELS

PEER REVIEW PROCESS

- Peer reviewers will work both independently and on-site in panels
- Peer review panels will consist of four peer reviewers
- The panel of peer reviewers will generate one set of peer review notes that reflects their collective review and evaluation of the SEA's consolidated State plan





PEER REVIEW PANELS

PEER REVIEW PROCESS

- Peer review notes will serve as recommendations to the Department staff to inform our review of each State's plan
- Each State will receive:
 - Peer reviewer notes Title I, Part A and Title III, Part A
 - Peer reviewer notes for McKinney-Vento
 - Communication regarding areas that must be addressed prior to approval

COMPLETENESS OF PLANS

PEER REVIEW PROCESS

- State must submit complete plans
- Once a plan is received, the Department will check each consolidated State plan for completeness prior to peer review
- If there are section that are determined to be incomplete, each State will have up to **three business days** to make necessary changes and resubmit its plan
- Incomplete plans will not be sent to peer reviewers



COMPLETENESS OF PLANS

PEER REVIEW PROCESS

- A **complete** State plan that is ready for peer review:
 - Was submitted on or before the deadline
 - Used the required template issued by the Department or worked with CCSSO on an alternate template (and provides a table of contents or guide to finding the required information)
 - Includes required signatures (i.e., includes a completed version of the revised template cover sheet, including the assurance for equitable services)
 - Substantively responded to all required questions (i.e., “TBD” would not be considered a complete response)



ASSURANCES

STATE PLANS

- In order to receive fiscal year (FY) 17 ESEA funds on July 1, 2017 for the programs that may be included in a consolidated State plan, each SEA must submit a comprehensive set of assurances to the Department at a date and time established by the Secretary
- In the near future, the Department will publish an information collection request that details these assurances; this collection will be available by no later than June 2017



STATE PLAN REVIEW PROCESS

PLAN REVIEW CRITERIA

- The Department will be issuing peer review criteria for programs that are being peer reviewed (Title I, Part A; Title III, Part A; and McKinney-Vento)
- The Department will not issue review criteria for the other covered programs but encourages States to thoughtfully consider whether they have addressed each component of each requirement



Resources



OMB MAX

STATE PLAN SUBMISSION

Reminder - Identify your 3 Users for MAX.gov:

- ED will accept submission of ESEA consolidated State plans or individual program State plans through MAX.gov.
- ED will grant **3** users from each State access to the State plan page on MAX.gov.
- Please have **1** person email the names and email addresses for the three users to stateplans@luxsourcesolutions.com. Include your State name in the subject line of the email (e.g., Subject: Request for sponsorship for MAX.gov for Texas).
- Please refer to the Thursday, March 16th email from Patrick Rooney, Deputy Director of OSS, to Title I Directors and Federal Liaisons for additional information.



OMB MAX

STATE PLAN SUBMISSION

- For assistance gaining permission for the State plan page on MAX.gov, contact stateplans@luxsourcesolutions.com.
- If, after receiving the registration e-mail and link, you need additional assistance registering for MAX.gov, please contact maxsupport@max.gov or 202-395-6860.
- Please contact your State's OSS program officers at [OSS.\[State\]@ed.gov](mailto:OSS.[State]@ed.gov) (e.g., OSS.SouthCarolina@ed.gov) if you have any additional questions.



OMB MAX

STATE PLAN SUBMISSION

MAX.gov LOGIN

Don't Have a MAX ID Yet? [REGISTER NOW](#)

HOME | MANAGE PASSWORD | CONTACT US

LOGIN WITH ...

MAX.GOV USER ID & PASSWORD

User ID Set a Personal Username

Password [Forgot, Set, or Change Your Password?](#)

Use MAX Secure+ SMS 2-Factor (BETA)

LOGIN WITH USER ID

PIV OR CAC CARD

LOGIN WITH YOUR PIV OR CAC

Remember to plug in your PIV/CAC card

Register a Secure+ SMS 2-Factor Device

LOGIN WITH PIV/CAC

MAX AGENCY FEDERATED PARTNER AUTOMATED LOGIN

NASA	DOJ	HHS	MCC
USAID	NAVMED	TREASURY	OGE
ED	VA	DHS OIG	EOP PITC

ED will grant permission to 3 users from each State to access the State's page on MAX.gov and upload the consolidated State plan.

Each of the 3 State users will need to self-register with [MAX.gov](https://login.max.gov).

You will be contacted by ED to identify your 3 State users.



OMB MAX

STATE PLAN SUBMISSION

The screenshot shows a web browser window with two tabs: 'MAX.gov Home Page' and 'ESSA Consolidated State Plan'. The address bar shows the URL: <https://community.max.gov/display/EDEternal/ESSA+Consolidated+State+Plan>. The page header is yellow and reads 'MAX Federal Community - including NON-FEDERAL Partners'. Below this is a blue navigation bar with links for 'Home', 'Find', 'Help', and 'Contact Us'. A search bar is present with the text 'Search The MAX Community' and a user greeting 'Welcome Daniel'. A secondary navigation bar shows the user's current location: 'Dashboard > Dept of Education > Home > ESSA Consolidated State Plan (5)'. The main content area features the 'DEPT OF EDUCATION-EXTERNAL' logo and the title 'ESSA CONSOLIDATED STATE PLAN'. A blue horizontal menu bar contains 'Home' and 'State Plans'. On the left, a vertical 'PAGE TREE' sidebar lists 'Overview' and 'State Plans'. A large green arrow points to the 'Overview' link. The 'Overview' content area contains the following text: 'Welcome to the Office of State Support (OSS) Web Page for ESSA Consolidated State Plans Submission and Review. On the blue menu bar above, use the menu option "State Plans" to access an individual State Plan page.' To the right, there are sections for 'Due Dates' (April 3, 2017) and 'Contacts' (Technical questions on accessing or using the MAX Community: MAX Support (202-395-6860) or MAXSupport@max.gov).



OMB MAX

STATE PLAN SUBMISSION

MAX.gov Home Page x District of Columbia - State Plan x

Secure | https://community.max.gov/display/EDExternal/District+of+Columbia+--+State+Plan

MAX Federal Community - including NON-FEDERAL Partners

Home Find Help Contact Us Search The MAX Community All

PERMISSIONS RESTRICTED Dashboard > Dept of E > Home > ESSA Cor > State Plans > District of Columbia - State Plan (2)

DEPT OF EDUCATION-EXTERNAL
DISTRICT OF COLUMBIA - STATE PLAN

Home State Plans

+ Add Attachment(s) Sort By: Type File Name Size User File Modified Properties Modified Comment

PAGE TREE



RESOURCES

SUPPORT FOR STATES

- ED's Every Student Succeeds Act (ESSA) website
 - <https://www.ed.gov/essa>
- ED's ESSA Consolidated State Plans page
 - <https://www2.ed.gov/admins/lead/account/stateplan17/plans.html>
- OSS Technical Assistance (TA) Resources
 - <http://www2.ed.gov/about/offices/list/oese/oss/technicalassistance>
- Individual Assistance
 - Send questions and requests for individualized TA to your State contacts at: OSS.State@ed.gov





Questions?

