

**CONSOLIDATED STATE PERFORMANCE REPORT:  
Parts I and II**

for  
**STATE FORMULA GRANT PROGRAMS**  
under the  
**ELEMENTARY AND SECONDARY EDUCATION ACT**  
As amended by the  
**No Child Left Behind Act of 2001**

For reporting on  
**School Year 2009-10**

**NEW YORK**



**PART I DUE FRIDAY, DECEMBER 17, 2010**  
**PART II DUE FRIDAY, FEBRUARY 18, 2011**

**U.S. DEPARTMENT OF EDUCATION**  
**WASHINGTON, DC 20202**

## INTRODUCTION

Sections 9302 and 9303 of the *Elementary and Secondary Education Act (ESEA)*, as amended by the *No Child Left Behind Act of 2001 (NCLB)* provide to States the option of applying for and reporting on multiple *ESEA* programs through a single consolidated application and report. Although a central, practical purpose of the Consolidated State Application and Report is to reduce "red tape" and burden on States, the Consolidated State Application and Report are also intended to have the important purpose of encouraging the integration of State, local, and *ESEA* programs in comprehensive planning and service delivery and enhancing the likelihood that the State will coordinate planning and service delivery across multiple State and local programs. The combined goal of all educational agencies—State, local, and Federal—is a more coherent, well-integrated educational plan that will result in improved teaching and learning. The Consolidated State Application and Report includes the following *ESEA* programs:

- Title I, Part A – *Improving Basic Programs Operated by Local Educational Agencies*
- Title I, Part B, Subpart 3 – *William F. Goodling Even Start Family Literacy Programs*
- Title I, Part C – *Education of Migratory Children* (Includes the Migrant Child Count)
- Title I, Part D – *Prevention and Intervention Programs for Children and Youth Who Are Neglected, Delinquent, or At-Risk*
- Title II, Part A – *Improving Teacher Quality State Grants (Teacher and Principal Training and Recruiting Fund)*
- Title III, Part A – *English Language Acquisition, Language Enhancement, and Academic Achievement Act*
- Title IV, Part A, Subpart 1 – *Safe and Drug-Free Schools and Communities State Grants*
- Title IV, Part A, Subpart 2 – *Safe and Drug-Free Schools and Communities National Activities (Community Service Grant Program)*
- Title V, Part A – *Innovative Programs*
- Title VI, Section 6111 – *Grants for State Assessments and Related Activities*
- Title VI, Part B – *Rural Education Achievement Program*
- Title X, Part C – *Education for Homeless Children and Youths*

The *NCLB* Consolidated State Performance Report (CSPR) for school year (SY) 2009-10 consists of two Parts, Part I and Part II.

## **PART I**

Part I of the CSPR requests information related to the five *ESEA* Goals, established in the June 2002 Consolidated State Application, and information required for the Annual State Report to the Secretary, as described in Section 1111(h)(4) of the *ESEA*. The five *ESEA* Goals established in the June 2002 Consolidated State Application are:

- **Performance Goal 1:** By SY 2013-14, all students will reach high standards, at a minimum attaining proficiency or better in reading/language arts and mathematics.
- **Performance Goal 2:** All limited English proficient students will become proficient in English and reach high academic standards, at a minimum attaining proficiency or better in reading/language arts and mathematics.
- **Performance Goal 3:** By SY 2005-06, all students will be taught by highly qualified teachers.
- **Performance Goal 4:** All students will be educated in learning environments that are safe, drug free, and conducive to learning
- **Performance Goal 5:** All students will graduate from high school

Beginning with the CSPR SY 2005-06 collection, the Education of Homeless Children and Youths was added. The Migrant Child count was added for the SY 2006-07 collection.

## **PART II**

Part II of the CSPR consists of information related to State activities and outcomes of specific *ESEA* programs. While the information requested varies from program to program, the specific information requested for this report meets the following criteria:

1. The information is needed for Department program performance plans or for other program needs.
2. The information is not available from another source, including program evaluations pending full implementation of required ED Facts submission.
3. The information will provide valid evidence of program outcomes or results.

## GENERAL INSTRUCTIONS AND TIMELINES

All States that received funding on the basis of the Consolidated State Application for the SY 2009-10 must respond to this Consolidated State Performance Report (CSPR). Part I of the Report is due to the Department by **Friday, December 17, 2010**. Part II of the Report is due to the Department by **Friday, February 18, 2011**. Both Part I and Part II should reflect data from the SY 2009-10, unless otherwise noted.

The format states will use to submit the Consolidated State Performance Report has changed to an online submission starting with SY 2004-05. This online submission system is being developed through the Education Data Exchange Network (EDEN) and will make the submission process less burdensome. Please see the following section on transmittal instructions for more information on how to submit this year's Consolidated State Performance Report.

## TRANSMITTAL INSTRUCTIONS

The Consolidated State Performance Report (CSPR) data will be collected online from the SEAs, using the EDEN web site. The EDEN web site will be modified to include a separate area (sub-domain) for CSPR data entry. This area will utilize EDEN formatting to the extent possible and the data will be entered in the order of the current CSPR forms. The data entry screens will include or provide access to all instructions and notes on the current CSPR forms; additionally, an effort will be made to design the screens to balance efficient data collection and reduction of visual clutter.

Initially, a state user will log onto EDEN and be provided with an option that takes him or her to the "SY 2009-10 CSPR". The main CSPR screen will allow the user to select the section of the CSPR that he or she needs to either view or enter data. After selecting a section of the CSPR, the user will be presented with a screen or set of screens where the user can input the data for that section of the CSPR. A user can only select one section of the CSPR at a time. After a state has included all available data in the designated sections of a particular CSPR Part, a lead state user will certify that Part and transmit it to the Department. Once a Part has been transmitted, ED will have access to the data. States may still make changes or additions to the transmitted data, by creating an updated version of the CSPR. Detailed instructions for transmitting the SY 2009-10 CSPR will be found on the main CSPR page of the EDEN web site (<https://EDEN.ED.GOV/EDENPortal/>).

According to the Paperwork Reduction Act of 1965, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 1810-0614. The time required to complete this information collection is estimated to average 111 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimates(s) contact School Support and Technology Programs, 400 Maryland Avenue, SW, Washington DC 20202-6140. Questions about the new electronic CSPR submission process, should be directed to the EDEN Partner Support Center at 1-877-HLP-EDEN (1-877-457-3336).

		OMB Number: 1810-0614
		Expiration Date: 10/31/2010
<p>Consolidated State Performance Report          For          State Formula Grant Programs          under the          Elementary And Secondary Education Act          as amended by the          No Child Left Behind Act of 2001</p>		
Check the one that indicates the report you are submitting: <input checked="" type="checkbox"/> Part I, 2009-10 <input type="checkbox"/> Part II, 2009-10		
Name of State Educational Agency (SEA) Submitting This Report: NYS Education Department		
Address: 89 Washington Avenue Albany, NY 12234		
Person to contact about this report:		
Name: Kristen DeSalvatore		
Telephone: 518-474-7965		
Fax: 518-474-4351		
e-mail: <a href="mailto:kdesalva@mail.nysed.gov">kdesalva@mail.nysed.gov</a>		
Name of Authorizing State Official: (Print or Type): Ken Wagner		
		Friday, April 29, 2011, 3:31:02 PM
Signature _____		

**CONSOLIDATED STATE PERFORMANCE REPORT  
PART I**

For reporting on  
**School Year 2009-10**



**PART I DUE DECEMBER 17, 2010  
5PM EST**

## 1.1 STANDARDS AND ASSESSMENT DEVELOPMENT

### STANDARDS OF ASSESSMENT DEVELOPMENT

This section requests descriptions of the State's implementation of the *Elementary and Secondary Education Act, as amended (ESEA)* academic content standards, academic achievement standards and assessments to meet the requirements of Section 1111(b)(1) of ESEA.

#### 1.1.1 Academic Content Standards

In the space below, provide a description and timeline of any actions the State has taken or is planning to take to make revisions to or change the State's academic content standards in mathematics, reading/language arts or science. Responses should focus on actions taken or planned since the State's content standards were approved through ED's peer review process for State assessment systems. Indicate specifically in what school year your State expects the changes to be implemented.

If the State has not made or is not planning to make revisions or changes, respond "No revisions or changes to content standards made or planned."

The response is limited to 4,000 characters.

The New York State Learning Standards for English language arts (ELA), mathematics and science were adopted by the Board of Regents in 1996. In 2005, the NYS Learning Standard for Mathematics was reviewed and revised with a grade by grade articulation of student expectations for P-8 and high school student expectations for Integrated Algebra, Geometry, and Algebra 2 and Trigonometry. Also, in 2005 the ELA standards were reviewed but not revised. The four ELA standards remained intact with a grade by grade (P-12) articulation of student expectations. This level of specificity was in response to the NCLB mandate for states to develop grade 3-8 content standards and related state assessments.

In December 2009, The Board of Regents approved a timeline and plan for the review, adoption, and implementation of the Common Core State Standards in ELA and mathematics. This plan consisted of four phases. The four phases were:

Phase I: Public Review and Comment NGA/CCSSO ELA/Mathematics Standards (December 2009 - February 2010)

Phase II: Propose a revised set of NYS Learning Standards for ELA/Mathematics (March 2010 - April 2010)

Phase III: Public Review, Comment and Adoption of NYS Learning Standards for ELA and Mathematics (April 2010 - July 2010)

Phase IV: Design ELA and Mathematics curriculum frameworks, align professional development and pre-service education, and integrate new standards into virtual high school. (Revise/create standards in other subjects, beginning with science and social studies.)

This plan was adjusted to align with the public release of the Common Core State Standards. The adjustments are as follows:

July 2010 - The Board of Regents adopted the Common Core State Standards (CCSS) for Mathematics and CCSS for English Language Arts & Literacy in History/Social Studies, Science, and Technical Subjects, with the understanding that New York State may add additional expectations to the Common Core. The State Education Department convened two groups of educators (one for ELA and one for Mathematics) to review the Common Core and to determine if any additional standards, up to 15%, were necessary. Both Workgroups recommended adding a small number of student achievement expectations unique to New York State.

Fall 2010 - The Board of Regents reviewed the recommendations of the two groups of educators and shared the resulting standards with the public for feedback.

January 2011 - The Board of Regents expects to adopt new P-12 ELA and mathematics standards for NYS which include the CCSS.

State Education Department staff has reviewed A Framework for Science Education, which was published for public comment in July 2010 by the National Research Council. The final version of this Framework will guide Achieve, Inc. as they develop Next Generation Science Standards. The Board of Regents has not yet reached a decision regarding the adoption of the Next Generation Science Standards. The Board of Regents has requested that State Education Department staff continue to monitor the development of the final version of the Framework and the drafting of the Next Generation Science Standards.

Implementation of the Board of Regents approved ELA and Mathematics Standards will include development of curriculum models, formative instruction/assessments, alignment of professional development, and improvements in pre-service education. In addition, the Department will develop and implement virtual courses to increase opportunity for students to achieve college and career readiness through online coursework. As resources become available, the Department will engage the field in the revision of all NYS learning standards with priority given to science and social studies. Although this process will be ongoing, the Department expects the curriculum models for ELA and mathematics to be completed by September 2012 and for science and social studies to be completed by September 2013 as per NYS's Race to the Top application. New Y

Source – Manual input by the SEA using the online collection tool.

### 1.1.2 Assessments in Mathematics and Reading/Language Arts and Science

In the space below, provide a description and timeline of any actions the State has taken or is planning to take to make revisions to or change the State's assessments and/or academic achievement standards in mathematics, reading/language arts and/or science required under Section 1111(b)(3) of ESEA. Responses should focus on actions taken or planned since the State's assessment system was approved through ED's peer review process. Responses also should indicate specifically in what school year your State expects the changes to be implemented.

As applicable, include any assessment (e.g., alternate assessments based on alternate achievement standards, alternate assessments based on modified achievement standards, native language assessments, or others) implemented to meet the assessment requirements under Section 1111(b)(3) of ESEA as well as alternate achievement standards for students with the most significant cognitive disabilities and modified academic achievement standards for certain students with disabilities implemented to meet the requirements of Section 1111(b)(3) of ESEA. Indicate specifically in what year your state expects the changes to be implemented.

If the State has not made or is not planning to make revisions or changes, respond "No revisions or changes to assessments and/or academic achievement standards taken or planned."

The response is limited to 8,000 characters.

There are no revisions or changes to alternative assessments or science assessments.

The following changes apply to the 3 - 8 ELA and Math assessment program:

The cut scores for the 3 - 8 Math and ELA assessments were reset in the 2009-2010 school year. This change was made to ensure rigor and track for college and career readiness. Beginning in the 2010-2011 school year, we will expand the number of multiple choice items to cover more performance indicators & make tests less predictable. We will continue to focus on developing quality performance based items.

We are also planning a transition to the common core state standards. The following timeline is in place for this transition:

2011-2012: Tests are based on 2005 NYS Learning Standards. Department will issue items maps showing relationships to NYS Learning Standards, Common Core Standards, and NAEP Frameworks in Reading and Math.

2012-2013: Tests are based on Common Core Standards. Department will issue items maps showing relationship between Common Core Standards and NAEP Frameworks in Reading and Math.

2014-2015: We will adopt the PARCC assessments, based on the Common Core State Standards.

In January 2011 we are implementing changes to our Regents Comprehensive Examination in English. The exam has been changed from a 6-hour, 2-session exam to a 3-hour, 1-session exam.

Source – Manual input by the SEA using the online collection tool.

**1.1.3 Grants for State Assessments and Related Activities****1.1.3.1 Percentages of Funds Used for Standards and Assessment Development and Other Purposes**

For funds your State had available under ESEA section 6111 (Grants for State Assessments and Related Activities) during SY 2009-10, estimate what percentage of the funds your State used for the following (round to the nearest ten percent).

Purpose	Percentage (rounded to the nearest ten percent)
To pay the costs of the development of the State assessments and standards required by section 1111(b)	60.0
To administer assessments required by section 1111(b) or to carry out other activities described in section 6111 and other activities related to ensuring that the State's schools and local educational agencies are held accountable for the results	40.0
<b>Comments:</b>	

**1.1.3.2 Uses of Funds for Purposes Other than Standards and Assessment Development**

For funds your State had available under ESEA 6111 (Grants for State Assessments and Related Activities) during SY 2009-10 that were used for purposes other than the costs of the development of the State assessments and standards required by section 1111(b), for what purposes did your State use the funds? (Enter "yes" for all that apply and "no" for all that do not apply).

Purpose	Used for Purpose (yes/no)
Administering assessments required by section 1111(b)	<input type="checkbox"/> No
Developing challenging State academic content and student academic achievement standards and aligned assessments in academic subjects for which standards and assessments are not required by section 1111(b)	<input type="checkbox"/> Yes
Developing or improving assessments of English language proficiency necessary to comply with section 1111(b)(7)	<input type="checkbox"/> No
Ensuring the continued validity and reliability of State assessments, and/or refining State assessments to ensure their continued alignment with the State's academic content standards and to improve the alignment of curricula and instructional materials	<input type="checkbox"/> Yes
Developing multiple measures to increase the reliability and validity of State assessment systems	<input type="checkbox"/> Yes
Strengthening the capacity of local educational agencies and schools to provide all students the opportunity to increase educational achievement, including carrying out professional development activities aligned with State student academic achievement standards and assessments	<input type="checkbox"/> No
Expanding the range of accommodations available to students with limited English proficiency and students with disabilities (IDEA) to improve the rates of inclusion of such students, including professional development activities aligned with State academic achievement standards and assessments	<input type="checkbox"/> No
Improving the dissemination of information on student achievement and school performance to parents and the community, including the development of information and reporting systems designed to identify best educational practices based on scientifically based research or to assist in linking records of student achievement, length of enrollment, and graduation over time	<input type="checkbox"/> No
Other	<input type="checkbox"/> No
<b>Comments:</b>	

## 1.2 PARTICIPATION IN STATE ASSESSMENTS

This section collects data on the participation of students in the State assessments.

### 1.2.1 Participation of all Students in Mathematics Assessment

In the table below, provide the number of students enrolled during the State's testing window for mathematics assessments required under Section 1111(b)(3) of *ESEA* (regardless of whether the students were present for a full academic year) and the number of students who participated in the mathematics assessment in accordance with *ESEA*. The percentage of students who were tested for mathematics will be calculated automatically.

The student group "children with disabilities (*IDEA*)" includes children who participated in the regular assessments with or without accommodations and alternate assessments. Do not include former students with disabilities (*IDEA*). Do not include students only covered under Section 504 of the Rehabilitation Act of 1973.

The student group "limited English proficient (*LEP*) students" includes recently arrived students who have attended schools in the United States for fewer than 12 months. Do not include former *LEP* students.

Student Group	# Students Enrolled	# Students Participating	Percentage of Students Participating
All students	1,431,469		>97
American Indian or Alaska Native	6,643		>97
Asian or Pacific Islander	114,689		>97
Black, non-Hispanic	272,243		>97
Hispanic	299,764		>97
White, non-Hispanic	732,461		>97
Children with disabilities ( <i>IDEA</i> )	229,448		>97
Limited English proficient ( <i>LEP</i> ) students	103,955		>97
Economically disadvantaged students	729,966		>97
Migratory students	926		>97
Male	734,327		>97
Female	697,142		>97
<b>Comments:</b>			

### 1.2.2 Participation of Students with Disabilities in Mathematics Assessment

In the table below, provide the number of children with disabilities (*IDEA*) participating during the State's testing window in mathematics assessments required under Section 1111(b)(3) of *ESEA* (regardless of whether the children were present for a full academic year) by the type of assessment. The percentage of children with disabilities (*IDEA*) who participated in the mathematics assessment for each assessment option will be calculated automatically. The total number of children with disabilities (*IDEA*) participating will also be calculated automatically.

The data provided below should include mathematics participation data from all students with disabilities as defined under the Individuals with Disabilities Education Act (*IDEA*). Do not include former students with disabilities (*IDEA*). Do not include students only covered under Section 504 of the Rehabilitation Act of 1973.

Type of Assessment	# Children with Disabilities ( <i>IDEA</i> ) Participating	Percentage of Children with Disabilities ( <i>IDEA</i> ) Participating, Who Took the Specified Assessment
Regular Assessment without Accommodations	40,047	17.8
Regular Assessment with Accommodations	169,246	75.3
Alternate Assessment Based on Grade-Level Achievement Standards		
Alternate Assessment Based on Modified Achievement Standards		
Alternate Assessment Based on Alternate Achievement Standards	15,323	6.8
Total	224,616	

**Comments:** The total number of children with disabilities tested (224,616) does not equal the sum of children with disabilities who completed the assessment and for whom a proficiency level was assigned (as reported by grade level) in 1.3.1 (227,906) because of differences in how high school students are counted. The data reported in 1.2.2 (participation) includes high school students who were seniors (grade 12) in 2009-10. The data reported in 1.3.1 (performance) includes all high school students, regardless of grade, in accordance with our accountability cohort of students with disabilities. New York State's approved accountability workbook allows the difference between our participation population and our performance population of students with disabilities.

### 1.2.3 Participation of All Students in the Reading/Language Arts Assessment

This section is similar to 1.2.1 and collects data on the State's reading/language arts assessment.

Student Group	# Students Enrolled	# Students Participating	Percentage of Students Participating
All students	1,431,156		>97
American Indian or Alaska Native	6,633		>97
Asian or Pacific Islander	114,570		>97
Black, non-Hispanic	272,194		>97
Hispanic	299,632		>97
White, non-Hispanic	732,469		>97
Children with disabilities ( <i>IDEA</i> )	229,497		>97
Limited English proficient (LEP) students	103,765		>97
Economically disadvantaged students	729,802		>97
Migratory students	928		>97
Male	734,183		>97
Female	696,973		>97
<b>Comments:</b>			

### 1.2.4 Participation of Students with Disabilities in Reading/Language Arts Assessment

This section is similar to 1.2.2 and collects data on the State's reading/language arts assessment.

The data provided should include reading/language arts participation data from all students with disabilities as defined under the Individuals with Disabilities Education Act (*IDEA*). Do not include former students with disabilities (*IDEA*). Do not include students only covered under Section 504 of the Rehabilitation Act of 1973.

Type of Assessment	# Children with Disabilities ( <i>IDEA</i> ) Participating	Percentage of Children with Disabilities ( <i>IDEA</i> ) Participating, Who Took the Specified Assessment
Regular Assessment without Accommodations	38,797	17.3
Regular Assessment with Accommodations	169,887	75.8
Alternate Assessment Based on Grade-Level Achievement Standards		
Alternate Assessment Based on Modified Achievement Standards		
Alternate Assessment Based on Alternate Achievement Standards	15,557	6.9
Total	224,241	

**Comments:** The total number of children with disabilities tested (224,241) does not equal the sum of children with disabilities who completed the assessment and for whom a proficiency level was assigned (as reported by grade level) in 1.3.1 (227,906) because of differences in how high school students are counted. The data reported in 1.2.2 (participation) includes high school students who were seniors (grade 12) in 2009-10. The data reported in 1.3.1 (performance) includes all high school students, regardless of grade, in accordance with our accountability cohort of students with disabilities. New York State's approved accountability workbook allows the difference between our participation population and our performance population of students with disabilities.

### 1.2.5 Participation of All Students in the Science Assessment

This section is similar to 1.2.1 and collects data on the State's science assessment.

Student Group	# Students Enrolled	# Students Participating	Percentage of Students Participating
All students	416,425		>97
American Indian or Alaska Native	1,940		>97
Asian or Pacific Islander	34,158		>97
Black, non-Hispanic	79,033	76,359	96.6
Hispanic	88,522		>97
White, non-Hispanic	211,101		>97
Children with disabilities ( <i>IDEA</i> )	70,283	66,933	95.2
Limited English proficient (LEP) students	31,999		>97
Economically disadvantaged students	218,967		>97
Migratory students	296		>97
Male	214,705		>97
Female	201,720		>97
<b>Comments:</b>			

Source – Manual input by the SEA using the online collection tool.

### 1.2.6 Participation of Students with Disabilities in Science Assessment

This section is similar to 1.2.2 and collects data on the State's science assessment.

The data provided should include science participation results from all students with disabilities as defined under the Individuals with Disabilities Education Act (*IDEA*). Do not include former students with disabilities (*IDEA*). Do not include students only covered under Section 504 of the Rehabilitation Act of 1973.

Type of Assessment	# Children with Disabilities ( <i>IDEA</i> ) Participating	Percentage of Children with Disabilities ( <i>IDEA</i> ) Participating, Who Took the Specified Assessment
Regular Assessment without Accommodations	64,911	97.0
Regular Assessment with Accommodations		
Alternate Assessment Based on Grade-Level Achievement Standards		
Alternate Assessment Based on Modified Achievement Standards		
Alternate Assessment Based on Alternate Achievement Standards	2,023	3.0
Total	66,934	
<b>Comments:</b> The difference between the counts in 1.2.5 and 1.2.6 is 1 student.		

### 1.3 STUDENT ACADEMIC ACHIEVEMENT

This section collects data on student academic achievement on the State assessments.

#### 1.3.1 Student Academic Achievement in Mathematics

In the format of the table below, provide the number of students who received a valid score on the State assessment(s) in mathematics implemented to meet the requirements of Section 1111(b)(3) of *ESEA* (regardless of whether the students were present for a full academic year) and for whom a proficiency level was assigned, and the number of these students who scored at or above proficient, in grades 3 through 8 and high school. The percentage of students who scored at or above proficient is calculated automatically.

The student group "children with disabilities (*IDEA*)" includes children who participated, and for whom a proficiency level was assigned in the regular assessments with or without accommodations and alternate assessments. Do not include former students with disabilities (*IDEA*). The student group "limited English proficient (*LEP*) students" does include recently arrived students who have attended schools in the United States for fewer than 12 months. Do not include former *LEP* students.

**1.3.1.1 Student Academic Achievement in Mathematics - Grade 3**

<b>Grade 3</b>	<b># Students Who Received a Valid Score and for Whom a Proficiency Level Was Assigned</b>	<b># Students Scoring at or Above Proficient</b>	<b>Percentage of Students Scoring at or Above Proficient</b>
All students	201,183	188,921	93.9
American Indian or Alaska Native	986	912	92.5
Asian or Pacific Islander	16,143		>97
Black, non-Hispanic	38,103	33,848	88.8
Hispanic	45,346	41,398	91.3
White, non-Hispanic	99,473	96,027	96.5
Children with disabilities ( <i>IDEA</i> )	32,615	25,762	79.0
Limited English proficient (LEP) students	20,891	17,786	85.1
Economically disadvantaged students	111,848	102,018	91.2
Migratory students	146	124	84.9
Male	103,536	96,637	93.3
Female	97,647	92,284	94.5
<b>Comments:</b>			

**1.3.2.1 Student Academic Achievement in Reading/Language Arts - Grade 3**

<b>Grade 3</b>	<b># Students Who Received a Valid Score and for Whom a Proficiency Level Was Assigned</b>	<b># Students Scoring at or Above Proficient</b>	<b>Percentage of Students Scoring at or Above Proficient</b>
All students	200,989	127,702	63.5
American Indian or Alaska Native	977	537	55.0
Asian or Pacific Islander	16,075	11,566	72.0
Black, non-Hispanic	38,041	18,677	49.1
Hispanic	45,234	23,081	51.0
White, non-Hispanic	99,532	73,059	73.4
Children with disabilities ( <i>IDEA</i> )	32,551	9,904	30.4
Limited English proficient (LEP) students	20,705	6,978	33.7
Economically disadvantaged students	111,668	58,606	52.5
Migratory students	147	51	34.7
Male	103,420	62,756	60.7
Female	97,569	64,946	66.6
<b>Comments:</b>			

## 1.3.3.1 Student Academic Achievement in Science -Grade 3

Grade 3	#Students Who Received a Valid Score and for Whom a Proficiency Level Was Assigned	#Students Scoring at or Above Proficient	Percentage of Students Scoring at or Above Proficient
All students			
American Indian or Alaska Native			
Asian or Pacific Islander			
Black, non-Hispanic			
Hispanic			
White, non-Hispanic			
Children with disabilities ( <i>IDEA</i> )			
Limited English proficient (LEP) students			
Economically disadvantaged students			
Migratory students			
Male			
Female			
Comments			

**1.3.1.2 Student Academic Achievement in Mathematics - Grade 4**

<b>Grade 4</b>	<b># Students Who Received a Valid Score and for Whom a Proficiency Level Was Assigned</b>	<b># Students Scoring at or Above Proficient</b>	<b>Percentage of Students Scoring at or Above Proficient</b>
All students	204,218	173,812	85.1
American Indian or Alaska Native	955	755	79.1
Asian or Pacific Islander	17,316	16,248	93.8
Black, non-Hispanic	38,676	28,620	74.0
Hispanic	44,385	34,692	78.2
White, non-Hispanic	101,881	92,617	90.9
Children with disabilities ( <i>IDEA</i> )	33,932	20,328	59.9
Limited English proficient (LEP) students	18,864	12,473	66.1
Economically disadvantaged students	111,754	88,158	78.9
Migratory students	168	120	71.4
Male	105,130	89,400	85.0
Female	99,088	84,412	85.2
<b>Comments:</b> Data verified as accurate.			

**1.3.2.2 Student Academic Achievement in Reading/Language Arts - Grade 4**

<b>Grade 4</b>	<b># Students Who Received a Valid Score and for Whom a Proficiency Level Was Assigned</b>	<b># Students Scoring at or Above Proficient</b>	<b>Percentage of Students Scoring at or Above Proficient</b>
All students	204,092	155,587	76.2
American Indian or Alaska Native	951	653	68.7
Asian or Pacific Islander	17,229	14,608	84.8
Black, non-Hispanic	38,659	23,571	61.0
Hispanic	44,301	28,447	64.2
White, non-Hispanic	101,948	87,488	85.8
Children with disabilities ( <i>IDEA</i> )	33,931	14,562	42.9
Limited English proficient (LEP) students	18,687	8,213	44.0
Economically disadvantaged students	111,626	73,608	65.9
Migratory students	169	98	58.0
Male	105,031	77,105	73.4
Female	99,061	78,482	79.2
<b>Comments:</b> Data verified as accurate.			

**1.3.3.2 Student Academic Achievement in Science - Grade 4**

<b>Grade 4</b>	<b># Students Who Received a Valid Score and for Whom a Proficiency Level Was Assigned</b>	<b># Students Scoring at or Above Proficient</b>	<b>Percentage of Students Scoring at or Above Proficient</b>
All students	203,038	179,636	88.5
American Indian or Alaska Native	951	820	86.2
Asian or Pacific Islander	17,284	15,979	92.4
Black, non-Hispanic	38,307	30,257	79.0
Hispanic	44,074	35,645	80.9
White, non-Hispanic	101,423	96,020	94.7
Children with disabilities ( <i>IDEA</i> )	33,539	24,166	72.1
Limited English proficient (LEP) students	18,704	12,223	65.3
Economically disadvantaged students	110,850	91,374	82.4
Migratory students	169	128	75.7
Male	104,429	92,215	88.3
Female	98,609	87,421	88.7
<b>Comments:</b> Data verified as accurate.			

**1.3.1.3 Student Academic Achievement in Mathematics - Grade 5**

<b>Grade 5</b>	<b># Students Who Received a Valid Score and for Whom a Proficiency Level Was Assigned</b>	<b># Students Scoring at or Above Proficient</b>	<b>Percentage of Students Scoring at or Above Proficient</b>
All students	202,119	176,791	87.5
American Indian or Alaska Native	933	787	84.4
Asian or Pacific Islander	16,053	15,276	95.2
Black, non-Hispanic	38,781	30,052	77.5
Hispanic	43,714	35,810	81.9
White, non-Hispanic	101,759	94,103	92.5
Children with disabilities ( <i>IDEA</i> )	34,492	22,093	64.1
Limited English proficient (LEP) students	15,560	10,484	67.4
Economically disadvantaged students	109,137	89,548	82.1
Migratory students	150	116	77.3
Male	104,261	90,739	87.0
Female	97,858	86,052	87.9
<b>Comments:</b> Data verified as accurate.			

**1.3.2.3 Student Academic Achievement in Reading/Language Arts - Grade 5**

<b>Grade 5</b>	<b># Students Who Received a Valid Score and for Whom a Proficiency Level Was Assigned</b>	<b># Students Scoring at or Above Proficient</b>	<b>Percentage of Students Scoring at or Above Proficient</b>
All students	202,028	157,511	78.0
American Indian or Alaska Native	930	662	71.2
Asian or Pacific Islander	16,004	13,707	85.6
Black, non-Hispanic	38,749	25,515	65.8
Hispanic	43,597	29,715	68.2
White, non-Hispanic	101,868	87,195	85.6
Children with disabilities ( <i>IDEA</i> )	34,446	15,885	46.1
Limited English proficient (LEP) students	15,435	6,535	42.3
Economically disadvantaged students	109,029	75,412	69.2
Migratory students	151	89	58.9
Male	104,198	77,869	74.7
Female	97,830	79,642	81.4
<b>Comments:</b> Data verified as accurate.			

1.3.3.3 Student Academic Achievement in Science -Grade 5

Grade 5	#Students Who Received a Valid Score and for Whom a Proficiency Level Was Assigned	#Students Scoring at or Above Proficient	Percentage of Students Scoring at or Above Proficient
All students			
American Indian or Alaska Native			
Asian or Pacific Islander			
Black, non-Hispanic			
Hispanic			
White, non-Hispanic			
Children with disabilities ( <i>IDEA</i> )			
Limited English proficient (LEP) students			
Economically disadvantaged students			
Migratory students			
Male			
Female			
Comments:			

**1.3.1.4 Student Academic Achievement in Mathematics - Grade 6**

<b>Grade 6</b>	<b># Students Who Received a Valid Score and for Whom a Proficiency Level Was Assigned</b>	<b># Students Scoring at or Above Proficient</b>	<b>Percentage of Students Scoring at or Above Proficient</b>
All students	203,189	170,662	84.0
American Indian or Alaska Native	967	782	80.9
Asian or Pacific Islander	15,985	14,974	93.7
Black, non-Hispanic	39,043	27,929	71.5
Hispanic	43,265	32,639	75.4
White, non-Hispanic	103,157	93,693	90.8
Children with disabilities ( <i>IDEA</i> )	34,742	18,722	53.9
Limited English proficient (LEP) students	13,426	7,234	53.9
Economically disadvantaged students	106,974	81,996	76.7
Migratory students	123	80	65.0
Male	104,181	86,160	82.7
Female	99,008	84,502	85.3
<b>Comments:</b>			

**1.3.2.4 Student Academic Achievement in Reading/Language Arts - Grade 6**

<b>Grade 6</b>	<b># Students Who Received a Valid Score and for Whom a Proficiency Level Was Assigned</b>	<b># Students Scoring at or Above Proficient</b>	<b>Percentage of Students Scoring at or Above Proficient</b>
All students	202,887	152,170	75.0
American Indian or Alaska Native	964	688	71.4
Asian or Pacific Islander	15,929	13,076	82.1
Black, non-Hispanic	38,935	23,605	60.6
Hispanic	43,130	26,047	60.4
White, non-Hispanic	103,166	88,150	85.4
Children with disabilities ( <i>IDEA</i> )	34,625	13,084	37.8
Limited English proficient (LEP) students	13,318	3,146	23.6
Economically disadvantaged students	106,784	67,644	63.3
Migratory students	124	60	48.4
Male	103,970	74,971	72.1
Female	98,917	77,199	78.0
<b>Comments:</b>			

## 1.3.3.4 Student Academic Achievement in Science -Grade 6

Grade 6	#Students Who Received a Valid Score and for Whom a Proficiency Level Was Assigned	#Students Scoring at or Above Proficient	Percentage of Students Scoring at or Above Proficient
All students			
American Indian or Alaska Native			
Asian or Pacific Islander			
Black, non-Hispanic			
Hispanic			
White, non-Hispanic			
Children with disabilities ( <i>IDEA</i> )			
Limited English proficient (LEP) students			
Economically disadvantaged students			
Migratory students			
Male			
Female			
Comments:			

**1.3.1.5 Student Academic Achievement in Mathematics - Grade 7**

<b>Grade 7</b>	<b># Students Who Received a Valid Score and for Whom a Proficiency Level Was Assigned</b>	<b># Students Scoring at or Above Proficient</b>	<b>Percentage of Students Scoring at or Above Proficient</b>
All students	204,912	171,400	83.6
American Indian or Alaska Native	985	761	77.3
Asian or Pacific Islander	16,360	15,183	92.8
Black, non-Hispanic	39,221	27,133	69.2
Hispanic	42,736	31,883	74.6
White, non-Hispanic	104,881	95,820	91.4
Children with disabilities ( <i>IDEA</i> )	34,117	18,372	53.8
Limited English proficient (LEP) students	12,952	6,838	52.8
Economically disadvantaged students	105,756	79,946	75.6
Migratory students	141	95	67.4
Male	105,429	87,108	82.6
Female	99,483	84,292	84.7
<b>Comments:</b> Data verified as accurate.			

**1.3.2.5 Student Academic Achievement in Reading/Language Arts - Grade 7**

<b>Grade 7</b>	<b># Students Who Received a Valid Score and for Whom a Proficiency Level Was Assigned</b>	<b># Students Scoring at or Above Proficient</b>	<b>Percentage of Students Scoring at or Above Proficient</b>
All students	204,734	152,026	74.3
American Indian or Alaska Native	983	623	63.4
Asian or Pacific Islander	16,285	13,199	81.1
Black, non-Hispanic	39,199	23,434	59.8
Hispanic	42,716	25,561	59.8
White, non-Hispanic	104,825	88,646	84.6
Children with disabilities ( <i>IDEA</i> )	34,034	12,979	38.1
Limited English proficient (LEP) students	12,881	3,029	23.5
Economically disadvantaged students	105,706	65,941	62.4
Migratory students	140	74	52.9
Male	105,334	73,525	69.8
Female	99,400	78,501	79.0
<b>Comments:</b>			

1.3.3.5 Student Academic Achievement in Science -Grade 7

Grade 7	#Students Who Received a Valid Score and for Whom a Proficiency Level Was Assigned	#Students Scoring at or Above Proficient	Percentage of Students Scoring at or Above Proficient
All students			
American Indian or Alaska Native			
Asian or Pacific Islander			
Black, non-Hispanic			
Hispanic			
White, non-Hispanic			
Children with disabilities ( <i>IDEA</i> )			
Limited English proficient (LEP) students			
Economically disadvantaged students			
Migratory students			
Male			
Female			
Comments:			

**1.3.1.6 Student Academic Achievement in Mathematics - Grade 8**

<b>Grade 8</b>	<b># Students Who Received a Valid Score and for Whom a Proficiency Level Was Assigned</b>	<b># Students Scoring at or Above Proficient</b>	<b>Percentage of Students Scoring at or Above Proficient</b>
All students	209,050	171,826	82.2
American Indian or Alaska Native	961	739	76.9
Asian or Pacific Islander	16,696	15,549	93.1
Black, non-Hispanic	39,385	26,314	66.8
Hispanic	43,657	31,525	72.2
White, non-Hispanic	107,706	97,194	90.2
Children with disabilities ( <i>IDEA</i> )	34,654	17,963	51.8
Limited English proficient (LEP) students	12,886	7,252	56.3
Economically disadvantaged students	105,783	77,888	73.6
Migratory students	124	89	71.8
Male	107,650	86,306	80.2
Female	101,400	85,520	84.3
<b>Comments:</b>			

**1.3.2.6 Student Academic Achievement in Reading/Language Arts - Grade 8**

<b>Grade 8</b>	<b># Students Who Received a Valid Score and for Whom a Proficiency Level Was Assigned</b>	<b># Students Scoring at or Above Proficient</b>	<b>Percentage of Students Scoring at or Above Proficient</b>
All students	209,175	130,302	62.3
American Indian or Alaska Native	959	474	49.4
Asian or Pacific Islander	16,645	11,996	72.1
Black, non-Hispanic	39,526	17,125	43.3
Hispanic	43,663	19,712	45.1
White, non-Hispanic	107,747	80,574	74.8
Children with disabilities ( <i>IDEA</i> )	34,738	8,647	24.9
Limited English proficient (LEP) students	12,849	1,633	12.7
Economically disadvantaged students	105,932	50,229	47.4
Migratory students	124	46	37.1
Male	107,723	61,566	57.2
Female	101,452	68,736	67.8
<b>Comments:</b>			

**1.3.3.6 Student Academic Achievement in Science - Grade 8**

<b>Grade 8</b>	<b># Students Who Received a Valid Score and for Whom a Proficiency Level Was Assigned</b>	<b># Students Scoring at or Above Proficient</b>	<b>Percentage of Students Scoring at or Above Proficient</b>
All students	205,194	151,234	73.7
American Indian or Alaska Native	937	636	67.9
Asian or Pacific Islander	16,493	13,638	82.7
Black, non-Hispanic	38,053	19,067	50.1
Hispanic	42,364	23,225	54.8
White, non-Hispanic	106,724	94,212	88.3
Children with disabilities ( <i>IDEA</i> )	33,395	14,990	44.9
Limited English proficient (LEP) students	12,493	3,521	28.2
Economically disadvantaged students	102,829	60,459	58.8
Migratory students	119	68	57.1
Male	105,498	78,132	74.1
Female	99,696	73,102	73.3
<b>Comments:</b>			

**1.3.1.7 Student Academic Achievement in Mathematics - High School**

<b>High School</b>	<b># Students Who Received a Valid Score and for Whom a Proficiency Level Was Assigned</b>	<b># Students Scoring at or Above Proficient</b>	<b>Percentage of Students Scoring at or Above Proficient</b>
All students	190,757	171,298	89.8
American Indian or Alaska Native	793	678	85.5
Asian or Pacific Islander	14,964	14,446	96.5
Black, non-Hispanic	34,505	27,333	79.2
Hispanic	33,508	27,690	82.6
White, non-Hispanic	106,620	100,810	94.6
Children with disabilities ( <i>IDEA</i> )	23,354	13,600	58.2
Limited English proficient (LEP) students	8,067	5,887	73.0
Economically disadvantaged students	73,834	61,631	83.5
Migratory students	67	57	85.1
Male	95,500	84,667	88.7
Female	95,257	86,631	90.9
<b>Comments:</b>			

**1.3.2.7 Student Academic Achievement in Reading/Language Arts - High School**

<b>High School</b>	<b># Students Who Received a Valid Score and for Whom a Proficiency Level Was Assigned</b>	<b># Students Scoring at or Above Proficient</b>	<b>Percentage of Students Scoring at or Above Proficient</b>
All students	188,231	173,447	92.1
American Indian or Alaska Native	756	671	88.8
Asian or Pacific Islander	14,815	14,212	95.9
Black, non-Hispanic	33,629	28,843	85.8
Hispanic	32,732	28,353	86.6
White, non-Hispanic	105,927	101,012	95.4
Children with disabilities ( <i>IDEA</i> )	22,292	14,053	63.0
Limited English proficient (LEP) students	7,603	5,191	68.3
Economically disadvantaged students	72,288	62,915	87.0
Migratory students	58	46	79.3
Male	93,681	84,582	90.3
Female	94,550	88,865	94.0
<b>Comments:</b> Data verified as accurate.			

## 1.3.3.7 Student Academic Achievement in Science - High School

High School	# Students Who Received a Valid Score and for Whom a Proficiency Level Was Assigned	# Students Scoring at or Above Proficient	Percentage of Students Scoring at or Above Proficient
All students			
American Indian or Alaska Native			
Asian or Pacific Islander			
Black, non-Hispanic			
Hispanic			
White, non-Hispanic			
Children with disabilities ( <i>IDEA</i> )			
Limited English proficient (LEP) students			
Economically disadvantaged students			
Migratory students			
Male			
Female			
<b>Comments:</b> In New York State, high school science assessments are course specific and are not used for accountability. Our N079 data only includes grades 4 and 8 as those are the only grades assessed for NCLB in New York State.			

**1.4 SCHOOL AND DISTRICT ACCOUNTABILITY**

This section collects data on the Adequate Yearly Progress (AYP) status of schools and districts.

**1.4.1 All Schools and Districts Accountability**

In the table below, provide the total number of public elementary and secondary schools and districts in the State, including charters, and the total number of those schools and districts that made AYP based on data for the SY 2009-10. The percentage that made AYP will be calculated automatically.

Entity	Total #	Total # that Made AYP in SY 2009-10	Percentage that Made AYP in SY 2009-10
Schools	4,607	2,937	63.8
Districts	866	617	71.2
<b>Comments:</b> Data verified as accurate.			

**1.4.2 Title I School Accountability**

In the table below, provide the total number of public Title I schools by type and the total number of those schools that made AYP based on data for the SY 2009-10 school year. Include only public Title I schools. Do not include Title I programs operated by local educational agencies in private schools. The percentage that made AYP will be calculated automatically.

Title I School	# Title I Schools	# Title I Schools that Made AYP in SY 2009-10	Percentage of Title I Schools that Made AYP in SY 2009-10
All Title I schools	3,252	1,895	58.3
Schoolwide (SWP) Title I schools	1,593	671	42.1
Targeted assistance (TAS) Title I schools	1,659	1,224	73.8
<b>Comments:</b> Data verified as accurate.			

**1.4.3 Accountability of Districts That Received Title I Funds**

In the table below, provide the total number of districts that received Title I funds and the total number of those districts that made AYP based on data for SY 2009-10. The percentage that made AYP will be calculated automatically.

# Districts That Received Title I Funds in SY 2009-10	# Districts That Received Title I Funds and Made AYP in SY 2009-10	Percentage of Districts That Received Title I Funds and Made AYP in SY 2009-10
802	585	72.9
<b>Comments:</b>		

#### 1.4.4 Title I Schools Identified for Improvement

##### 1.4.4.1 List of Title I Schools Identified for Improvement

In the following table, provide a list of Title I schools identified for improvement, corrective action, or restructuring under Section 1116 for the SY 2010-11 based on the data from SY 2009-10. For each school on the list, provide the following:

- District Name
- District NCES ID Code
- School Name
- School NCES ID Code
- Whether the school met the proficiency target in reading/language arts as outlined in the State's Accountability Plan
- Whether the school met the participation rate target for the reading/language arts assessment
- Whether the school met the proficiency target in mathematics as outlined in the State's Accountability Plan
- Whether the school met the participation rate target for the mathematics assessment
- Whether the school met the other academic indicator for elementary/middle schools (if applicable) as outlined in the State's Accountability Plan
- Whether the school met the graduation rate for high schools (if applicable) as outlined in the State's Accountability Plan
- Improvement status for SY 2010-11 (Use one of the following improvement status designations: School Improvement - Year 1, School Improvement - Year 2, Corrective Action, Restructuring Year 1 (planning), or Restructuring Year 2 (implementing)<sup>1</sup>)
- Whether (yes or no) the school is or is not a Title I school (*This column **must be completed** by States that choose to list all schools in improvement. Column is optional for States that list only Title I schools.*)
- Whether (yes or no) the school was provided assistance through 1003(a).
- Whether (yes or no) the school was provided assistance through 1003 (g).

See attached for blank template that can be used to enter school data.

Download template: [Question 1.4.4.1 \(Get MS Excel Viewer\)](#).

<sup>1</sup> The school improvement statuses are defined in *LEA and School Improvement Non-Regulatory Guidance*. This document may be found on the Department's Web page at <http://www.ed.gov/policy/elsec/guid/schoolimprovementguid.doc>.

**1.4.4.3 Corrective Action**

In the table below, for schools in corrective action, provide the number of schools for which the listed corrective actions under *ESEA* were implemented in SY 2009-10 (based on SY 2008-09 assessments under Section 1111 of *ESEA*).

Corrective Action	# of Title I Schools in Corrective Action in Which the Corrective Action was Implemented in SY 2009-10
Required implementation of a new research-based curriculum or instructional program	
Extension of the school year or school day	34
Replacement of staff members relevant to the school's low performance	
Significant decrease in management authority at the school level	
Replacement of the principal	
Restructuring the internal organization of the school	
Appointment of an outside expert to advise the school	34
<b>Comments:</b> This reflects NYC data only. The rest of state data was not available for CSPR re-open but will be submitted via EDFacts when it becomes available.	

**1.4.4.4 Restructuring – Year 2**

In the table below, for schools in restructuring – year 2 (implementation year), provide the number of schools for which the listed restructuring actions under *ESEA* were implemented in SY 2009-10 (based on SY 2008-09 assessments under Section 1111 of *ESEA*).

Restructuring Action	# of Title I Schools in Restructuring in Which Restructuring Action Is Being Implemented
Replacement of all or most of the school staff (which may include the principal)	
Reopening the school as a public charter school	
Entering into a contract with a private entity to operate the school	
Takeover the school by the State	
Other major restructuring of the school governance	22
<b>Comments:</b> This reflects NYC data only. The rest of state data was not available for CSPR re-open but will be submitted via EDFacts when it becomes available.	

In the space below, list specifically the "other major restructuring of the school governance" action(s) that were implemented.

The response is limited to 8,000 characters.

Dramatic change in school structure, organization, or curriculum, to affect change in the area(s) for which the school was identified. These changes can include grade reconfiguration or truncation, implementation of Small Learning Communities and/or freshman academies, adoption of a research-based whole school reform model or new curriculum, etc. School restructurings are intensely supported and monitored by the Clusters and Children First Network leaders and support staff working with the school and the central NYCDOE Division of Portfolio and Planning and the Division of School Support and Instruction.

## 1.4.5 Districts That Received Title I Funds Identified for Improvement

### 1.4.5.1 List of Districts That Received Title I Funds and Were Identified for Improvement

In the following table, provide a list of districts that received Title I funds and were identified for improvement or corrective action under Section 1116 for the SY 2010-11 based on the data from SY 2009-10. For each district on the list, provide the following:

- District Name
- District NCES ID Code
- Whether the district met the proficiency target in reading/language arts as outlined in the State's Accountability Plan
- Whether the district met the participation rate target for the reading/language arts assessment
- Whether the district met the proficiency target in mathematics as outlined in the State's Accountability Plan
- Whether the school met the participation rate target for the mathematics assessment
- Whether the district met the other academic indicator for elementary/middle schools (if applicable) as outlined in the State's Accountability Plan
- Whether the district met the graduation rate for high schools (if applicable) as outlined in the State's Accountability Plan
- Improvement status for SY 2010-11 (Use one of the following improvement status designations: Improvement or Corrective Action<sup>2</sup>)
- Whether the district is a district that received Title I funds. Indicate "Yes" if the district received Title I funds and "No" if the district did not receive Title I funds. (**This column must be completed by States that choose to list all districts or all districts in improvement.** This column is optional for States that list only districts in improvement that receive Title I funds.)

See attached for blank template that can be used to enter district data.

Download template: [Question 1.4.5.1 \(Get MS Excel Viewer\)](#).

<sup>2</sup> The district improvement statuses are defined in *LEA and School Improvement Non-Regulatory Guidance*. This document may be found on the Department's Web page at <http://www.ed.gov/policy/elsec/guid/schoolimprovementguid.doc>.

### 1.4.5.2 Actions Taken for Districts That Received Title I Funds and Were Identified for Improvement

In the space below, briefly describe the measures being taken to address the achievement problems of districts identified for improvement or corrective action. Include a discussion of the technical assistance provided by the State (e.g., the number of districts served, the nature and duration of assistance provided, etc.).

The response is limited to 8,000 characters.

Consistent with Section 6316A(10)(C)(ii) of the No Child Left Behind (NCLB) legislation, the New York State Education Department (NYSED) requires school districts that are identified for corrective action to conduct an audit of their written, tested, and taught curriculum by an external evaluator. These districts are also required to prepare and provide NYSED with a district Action Plan that details how the recommended corrective actions identified through the audit will be implemented.

The NYSED provides these designated districts with a protocol and template, which outlines the steps that a district must undertake in order to be in compliance with this requirement. Additionally, leadership staffs from these districts are invited to a meeting during which this requirement is discussed and each of the required steps is summarized, including implementation timelines, strategies, and fiscal support.

Following is a description of the format that designated districts are required to include in their audit.

#### NCLB DINI CORRECTIVE ACTION FORMAT FOR AUDIT OF CURRICULUM AND INSTRUCTION AND PLAN OF ACTION

##### Required Components

The Audit of Curriculum and Instruction, unless otherwise approved by SED, will pertain only to the subject(s) in which the district has been identified for Corrective Action: English Language Arts and/or mathematics. In instances where a district is identified for only specific subpopulations, and achievement for all other populations is strong, the Audit of Curriculum and Instruction may focus on that specific subpopulation. The report must address the grade level(s) and subpopulations for which the district failed to make Adequate Yearly progress. To the extent appropriate, the report should include all grade levels, from Pre-K through high school and all NCLB identified subgroups, and examine the education of all district students, regardless of program placement, with particular attention to at-risk subpopulations. Additionally, the report must include an analysis of the learning environment and school culture including, policies to provide a safe, equitable and orderly learning environment. Recommendations must meet all applicable State Education Department (SED) regulations and requirements, including addressing mastery of all learning standards in the identified area(s). The auditors should meet early in the process with the district to determine what plans, documents, etc. should be reviewed, and who should be interviewed/observed.

Auditors must complete class visits as part of the audit. Selected auditors are required to attend a meeting with State Education Department staff regarding the expectations for the conduct of an Audit of Curriculum and Instruction. SED may also invite to this meeting as appropriate representatives of Regional School Support Centers and other network representatives. The report may not make recommendations that conflict with applicable State or Federal laws or regulations or with local collective bargaining agreements. The report, while it may highlight constraints beyond district control, should include recommendations that are "actionable" and "doable" in light of the realities of district fiscal constraints.

The school district must be given at a minimum at least one opportunity to review and comment upon The Report and recommendations before the final documents are submitted to the district and the State Education Department. The Plan of Action developed by the school district must be based on the recommendations contained in the audit and should be long term, for at least three years, with a timeline that delineates action steps across years. Implementation of the plan of action must commence by September with the start of the XX school year. Unless the district receives permission from the State Education Department, the district must include in its Plan of Action a strategy to fully implement each of the recommendations contained in the auditor's report. Upon SED's approval of the district's plan of action, the district must incorporate the plan of action into the district's Consolidated Application, CDEP or DCEP, and/or partnership agreement. Failure to complete the Audit of Curriculum and Instruction process or to successfully implement the approved Plan of Action will subject the district to additional State mandated corrective actions.

**1.4.5.3 Corrective Action**

In the table below, for districts in corrective action, provide the number of districts in corrective action in which the listed corrective actions under *ESEA* were implemented in SY 2009-10 (based on SY 2008-09 assessments under Section 1111 of *ESEA*).

<b>Corrective Action</b>	<b># of Districts receiving Title I funds in Corrective Action in Which Corrective Action was Implemented in SY 2009-10</b>
Implemented a new curriculum based on State standards	8
Authorized students to transfer from district schools to higher performing schools in a neighboring district	
Deferred programmatic funds or reduced administrative funds	
Replaced district personnel who are relevant to the failure to make AYP	
Removed one or more schools from the jurisdiction of the district	
Appointed a receiver or trustee to administer the affairs of the district	
Restructured the district	
Abolished the district (list the number of districts abolished between the end of SY 2008-09 and beginning of SY 2009-10 as a corrective action)	
<b>Comments:</b> This reflects NYC data only. The rest of state data was not available for CSPR re-open.	

**1.4.7 Appeal of AYP and Identification Determinations**

In the table below, provide the number of districts and schools that appealed their AYP designations based on SY 2009-10 data and the results of those appeals.

	<b># Appealed Their AYP Designations</b>	<b># Appeals Resulted in a Change in the AYP Designation</b>
Districts	2	0
Schools	4	3
<b>Comments:</b>		

Date (MM/DD/YY) that processing appeals based on SY 2009-10 data was complete	11/19/10
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### 1.4.8 School Improvement Status

In the section below, "Schools in Improvement" means Title I schools identified for improvement, corrective action, or restructuring under Section 1116 of *ESEA* for SY 2009-10.

Note: With the exception of 1.4.8.5.3, in section 1.4.8 references to 1003(g) mean refers to FY 2008 and/or FY 2007 1003(g) funds that may have been used to assist schools during SY 2009-10.

#### 1.4.8.1 Student Proficiency for Schools Receiving Assistance Through Section 1003(a) and 1003(g) Funds

The table below pertains only to schools that received assistance through section 1003(a) and/or 1003(g) funds during SY 2009-10.

Note: In section 1.4.8 references to 1003(g) mean FY 2008 and/or FY 2007 1003(g) funds that may have been used to assist schools during SY 2009-10

*Instructions for States that during SY 2009-10 administered assessments required under section 1116 of ESEA after fall 2009 (i.e., non fall-testing states):*

- In the **SY 2009-10 column**, provide the total number and percentage of students in schools receiving School Improvement funds in SY 2009-10 who were:
  - Proficient in mathematics as measured by your State's assessments required under section 1111(b)(3) of *ESEA* that were administered in SY 2009-10.
  - Proficient in reading/language arts as measured by your State's assessments required under section 1111(b)(3) of *ESEA* in SY 2009-10.
  - In SY 2008-09 column, provide the requested data for the same schools whose student proficiency data are reported for SY 2009-10.

*States that in SY 2009-10 administered assessments required under section 1116 of ESEA during fall 2009 (i.e., fall-testing states):*

- In the **SY 2009-10 column**, provide the total number and percentage of students in schools receiving School Improvement funds in SY 2009-10 who were:
  - Proficient in mathematics as measured by your State's assessments required under section 1111(b)(3) of *ESEA* that were administered in fall 2010.
  - Proficient in reading/language arts as measured by your State's assessments required under section 1111(b)(3) of *ESEA* that were administered in fall 2010.
  - In the **SY 2008-09 column**, provide the requested data for the same schools whose student proficiency data are reported in the SY 2009-10 column.

Category	SY 2009-10	SY 2008-09
Total number of students who completed the <b>mathematics</b> assessment and for whom proficiency level was assigned and were enrolled in schools that received assistance through Section 1003(a) and/or 1003(g) funds in SY 2009-10	218,244	215,990
Total number of students who were proficient or above in <b>mathematics</b> in schools that received assistance through Section 1003(a) and/or 1003(g) funds in SY 2009-10	174,806	173,609
Percentage of students who were proficient or above in <b>mathematics</b> in schools that received assistance through Section 1003(a) and/or 1003(g) funds in SY 2009-10	80.1	80.4
Total number of students who completed the <b>reading/language arts</b> assessment and for whom proficiency level was assigned and were enrolled in schools that received assistance through Section 1003(a) and/or 1003(g) funds in SY 2009-10	217,720	215,415
Total number of students who were proficient or above in <b>reading/language arts</b> in schools that received assistance through Section 1003(a) and/or 1003(g) funds in SY 2009-10	145,854	156,500
Percentage of students who were proficient in <b>reading/language arts</b> in schools that received assistance through Section 1003(a) and/or 1003(g) funds in SY 2009-10	67.0	72.7
<b>Comments:</b>		

#### 1.4.8.2 School Improvement Status and School Improvement Assistance

In the table below, indicate the number of schools receiving assistance through section 1003(a) and/or 1003(g) funds during SY 2009-10 that:

- Made adequate yearly progress
- Exited improvement status
- Did not make adequate yearly progress

Category	# of Schools
Number of schools receiving assistance through Section 1003(a) and/or 1003(g) funds during SY 2009-10 that <b>made adequate yearly progress</b> based on testing in SY 2009-10	318
Number of schools receiving assistance through Section 1003(a) and/or 1003(g) funds during SY 2009-10 that <b>exited improvement status</b> based on testing in SY 2009-10	

Number of schools receiving assistance through Section 1003(a) and/or 1003(g) funds during SY 2009-10 that **did not make adequate yearly progress** based on testing in SY 2009-10

**Comments:**

**1.4.8.3 Effective School Improvement Strategies**

In the table below, indicate the effective school improvement strategies used that were supported through Section 1003(a) and/or 1003(g) funds.

For fall-testing States, responses for this item would be based on assessments administered in fall 2010. For all other States the responses would be based on assessments administered during SY 2009-10.

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7
<b>Effective Strategy or Combination of Strategies Used</b>  (See response options in "Column 1 Response Options Box" below.)  If your State's response includes a "5" (other strategies), identify the specific strategy(s) in Column 2.	<b>Description of "Other Strategies"</b>  This response is limited to 500 characters.	<b>Number of schools in which the strategy (strategies) was(were) used</b>	<b>Number of schools that used the strategy(strategies) and exited improvement status based on testing after the schools received this assistance</b>	<b>Number of schools that used the strategy(strategies), made AYP based on testing after the schools received this assistance, but did not exit improvement status</b>	<b>Most common other Positive Outcome from the strategy (strategies)</b>  (See response options in "Column 6 Response Options Box" below)	<b>Description of "Other Positive Outcome" if Response for Column 6 is "D"</b>  This response is limited to 500 characters.
6 = Combo 1	1-5	320	24	31	B	
1		5	4	3	A	
2		2	2	1	C	
5		2	2		A	
7 = Combo 2	1-4	7	1	4	B	
8 = Combo 3	All Others (1-2;1-3;1-3,5; 1,2,4,5;1,2,4;1,2,5,1-3	12	2	3	B	
<b>Comments:</b>						

Column 1 Response Options Box
1 = Provide customized technical assistance and/or professional development that is designed to build the capacity of LEA and school staff to improve schools and is informed by student achievement and other outcome-related measures.
2 = Utilize research-based strategies or practices to change instructional practice to address the academic achievement problems that caused the school to be identified for improvement, corrective action, or restructuring.
3 = Create partnerships among the SEA, LEAs and other entities for the purpose of delivering technical assistance, professional development, and management advice.
4 = Provide professional development to enhance the capacity of school support team members and other technical assistance providers who are part of the Statewide system of support and that is informed by student achievement and other outcome-related measures.
5 = Implement other strategies determined by the SEA or LEA, as appropriate, for which data indicate the strategy is likely to result in improved teaching and learning in schools identified for improvement, corrective action, or restructuring.
6 = Combination 1: Schools using a combination of strategies from above. Please use Column 2 to indicate which of the above strategies comprise this combination.
7 = Combination 2: Schools using a combination of strategies from above. Please use Column 2 to indicate which of the above strategies comprise this combination.
8 = Combination 3: Schools Using a combination of strategies from above. Please use Column 2 to indicate which of the above strategies comprise this combination.

Column 6 Response Options Box
A = Improvement by at least five percentage points in two or more AYP reporting cells
B = Increased teacher retention
C = Improved parental involvement



#### 1.4.8.4 Sharing of Effective Strategies

In the space below, describe how your State shared the effective strategies identified in item 1.4.8.3 with its LEAs and schools. Please exclude newsletters and handouts in your description.

This response is limited to 8,000 characters.

New York State has implemented and supported a variety of strategies and interventions to support Schools in Improvement, as well as take a proactive approach to ensure schools that are making adequately yearly progress can sustain and enhance their levels of success. Effective strategies and practices are shared with LEAs and schools through a myriad of venues:

- School Improvement Networking Meetings (audience of 75- 90 individuals, representing all arms of technical support available to NYC districts and schools) provide esteemed speakers who share effective strategies and practices. Instructional materials and handouts, and books for professional libraries are disseminated. Speakers from various offices and divisions of the New York City Department of Education (NYCDOE) and NYSED present latest research-based findings and share effective practices.
- Special Reading, Mathematics, Science and ESL Institutes are presented 5 times each year (bi-monthly). The institutes are hosted by NYSED and NYCDOE and are designed to share best practices and effective strategies. The full-day institute includes a keynote speaker, a nationally known and published educator who speaks to the invited small teams from schools that are either Title I Schools in Need of Improvement or Schools Under Registration Review. After the keynote, workshops take place in individual rooms for specialized audiences with special needs, e.g., high school math; middle school ELA; ESL practices; special education needs, etc. The institute builds over the year, and the same team from the school is encouraged to attend each session, and by the end of the year, the entire team is armed with research-based, effective strategies and practices that are shared at the school level. District and School Support Personnel also attend these institutes, and they share the same information with schools throughout the year, if those schools did not attend or were not invited because they are schools in good standing.
- The NYSED provide LEAs with accountability tools and achievement resources to identify schools that are struggling and are in need of intensive support or intervention.
- Drawing on current research and best practices, the New York State's Regional Support Centers (RSSC) provide technical assistance and professional development primarily to schools and to LEAs. This school-based support is delivered by a cadre of experienced educators and is designed to continue, modify or redirect school improvement efforts. The level and type of support is designed around the identified needs of the schools that are determined by multiple accountability measures. Schools receive a broad range of technical assistance and professional development that focus on comprehensive school reform.
- NYCDOE's Title I funded Office of School Improvement employs 13 School/District Improvement Liaisons (SDIL) and the Office of Accountability employs 5 Accountability and Data Support Specialists. These 18 individuals work directly with schools, District Leadership Teams, School Support Organizations and the NYC School Support Center to form a strong network of support to schools. These individuals provide professional development opportunities regarding data analysis and how to conduct needs assessments to inform their comprehensive educational planning, create effective and realistic goals and objectives and provide the tools to implement the goals and objectives to insure increased student performance. Their primary function is to provide support in multi-faceted ways, and is in constant contact with the network of schools to which each SDIL is assigned.

#### 1.4.8.5 Use of Section 1003(a) and (g) School Improvement Funds

##### 1.4.8.5.1 Section 1003(a) State Reservations

In the space provided, enter the percentage of the FY 2009 (SY 2009-10) Title I, Part A allocation that the SEA reserved in accordance with Section 1003(a) of *ESEA* and §200.100(a) of ED's regulations governing the reservation of funds for school improvement under Section 1003(a) of *ESEA*: 4.0%

**Comments:**

**1.4.8.5.2 Section 1003(a) and 1003(g) Allocations to LEAs and Schools**

For SY 2009-10 there is no need to upload a spreadsheet to answer this question in the CSPR.

1.4.8.5.2 will be answered automatically using data submitted to ED Facts in Data Group 694, School improvement funds allocation table, from File Specification N/X132. You may review data submitted to ED Facts using the report named "Section 1003(a) and 1003(g) Allocations to LEAs and Schools- CSPR 1.4.8.5.2 (EDEN012)" from the ED Facts Reporting System.

**1.4.8.5.3 Use of Section 1003(g)(8) Funds for Evaluation and Technical Assistance**

Section 1003(g)(8) of *ESEA* allows States to reserve up to five percent of Section 1003(g) funds for administration and to meet the evaluation and technical assistance requirements for this program. In the space below, identify and describe the specific Section 1003(g) evaluation and technical assistance activities that your State conducted during SY 2009-10.

This response is limited to 8,000 characters.

1003(g)

- School Quality Reviews (SQR) are required for each school in their first year of identification. The SQR Basic Review requires the school to complete a self-assessment using the NYSED Quality Indicators document. An SQR team, which includes representatives from the various technical support offices, reviews the report and provides written recommendations to each school. The school must address these recommendations in developing their Comprehensive Educational Plan (CEP).
- Seven Corrective Action Districts were invited to participate in Science, Technology, Engineering and Mathematics (STEM) Projects based on their high needs and commitment to school reform. STEM is an extended summer learning opportunity program for low income students in grades 6-8.

**1.4.8.6 Actions Taken for Title I Schools Identified for Improvement Supported by Funds Other than Those of Section 1003(a) and 1003(g).**

In the space below, describe actions (if any) taken by your State in SY 2009-10 that were supported by **funds other than Section 1003(a) and 1003(g) funds** to address the achievement problems of schools identified for improvement, corrective action, or restructuring under Section 1116 of *ESEA*.

The response is limited to 8,000 characters.

During the past year, New York State committed approximately 2 million dollars to support the implementation of our State sponsored Schools Under Registration Review (SURR) process. SURR schools are the lowest performing schools in our state and once identified they are subjected to a comprehensive external review by a team of educators and administrators which culminates in a detailed set of recommendations that they must implement. These LEAs are assigned a State Education Department liaison that monitors them throughout the process to insure that the recommended corrective actions are being implemented. These LEAs are given priority and technical assistance in accessing professional development opportunities and in preparing applications for competitive grants.

During the 2007-08 legislative session the state provided funding under Chapter 57 of the Laws of 2007 for the State Education Department to make funds available to low performing LEAs/schools through Contracts for Excellence (C4E). These funds are provided to targeted LEAs to assist them with implementation of school improvement efforts that are reviewed and approved by the NYSED for implementation. This funding was continued for the reporting year.

Additionally, NYSED has staff that are designated as liaisons to these LEAs who work closely with them on their implementation plans and assisting them with accessing support services through our statewide networks of Regional School Support Services. State law requires that these districts - those that have at least one school in need of improvement and received an increase in State Foundation Aid above a threshold - enter into "Contracts for Excellence." Contract districts must spend a portion of their Foundation Aid increase on programs and activities that have been shown to improve student achievement and that are focused primarily on students with the greatest educational needs. These districts may use funds for class size reduction; increased time on task; teacher/principal quality initiatives; middle school/high school restructuring; model programs for English language learners; full day pre-kindergarten/kindergarten, and experimental programs.

Examples of specific programs to be implemented by the designated districts include:

**Class Size Reduction:** Research has shown that reductions in class size, particularly in the early grades, can improve student achievement. More than three-quarters of the approved Contract for Excellence districts will use at least part of their fund allocations to support these efforts.

Snapshots of some LEAs:

**ALBANY CITY SCHOOL DISTRICT**

**Middle and High School Restructuring:**

Albany CSD has committed C4E money district wide to support the hire of a new English as a Second Language Coordinator to oversee instructional programming, and to identify need for both resources and staff development for ESL teachers, at all schools, at all levels.

**Increase Time on Task:**

Albany CSD will provide additional support for attendance monitoring at Albany High School through the services of an attendance officer.

**Improved Teacher/Principal Quality:**

Albany CSD will provide targeted professional development to improve the quality of instruction to teachers and administrators at Albany High School by the National Urban Alliance for Effective Education (NUA).

**Class Size Reduction:**

Albany CSD is continuing their commitment to maintain smaller class size with a teacher funded through C4E at the Arbor Hill and Giffen Elementary schools and an additional teacher at the high school.

**SCHENECTADY CITY SCHOOL DISTRICT**

**Reduced Class Size**

Schenectady CSD has maintained its commitment to add additional staff at the middle and high school level to maintain a student teacher ratio of 18:1.

Schenectady CSD also uses C4E funds to hire additional staff to reduce the case load for academic intervention services enabling students most in need to receive at least one hour of intervention each day in ELA and Math; design and implement a three-day professional development institute in the fall and follow this up with regularly scheduled professional development in the areas of differentiated instruction and teaching literacy in the content areas; add thirty minutes each school day at all schools for all children, essentially adding ninety hours of direct instruction for students throughout the school year and into the future; and supplement the existing instructional and coaching program in both classroom instruction and literacy initiatives.

### 1.4.9 Public School Choice and Supplemental Educational Services

This section collects data on public school choice and supplemental educational services.

#### 1.4.9.1 Public School Choice

This section collects data on public school choice. FAQs related to the public school choice provisions are at the end of this section.

##### 1.4.9.1.2 Public School Choice – Students

In the table below, provide the number of students who were eligible for public school choice, the number of eligible students who applied to transfer, and the number who transferred under the provisions for public school choice under Section 1116 of *ESEA*. The number of students who were eligible for public school choice should include:

1. All students currently enrolled in a school Title I identified for improvement, corrective action or restructuring.
2. All students who transferred in the current school year under the public school choice provisions of Section 1116, and
3. All students who previously transferred under the public school choice provisions of Section 1116 and are continuing to transfer for the current school year under Section 1116.

The number of students who applied to transfer should include:

1. All students who applied to transfer in the current school year but did not or were unable to transfer.
2. All students who transferred in the current school year under the public school choice provisions of Section 1116; and
3. All students who previously transferred under the public school choice provisions of Section 1116 and are continuing to transfer for the current school year under Section 1116.

For any of the respective student counts, States should indicate in the Comment section if the count does not include any of the categories of students discussed above.

	<b># Students</b>
Eligible for public school choice	9,446
Applied to transfer	6,621
Transferred to another school under the Title I public school choice provisions	1,794
<b>Comments:</b>	

**1.4.9.1.3 Funds Spent on Public School Choice**

In the table below, provide the total dollar amount spent by LEAs on transportation for public school choice under Section 1116 of ESEA.

	<b>Amount</b>
Dollars spent by LEAs on transportation for public school choice	\$

**1.4.9.1.4 Availability of Public School Choice Options**

In the table below provide the number of LEAs in your State that are unable to provide public school choice to eligible students due to any of the following reasons:

1. All schools at a grade level in the LEA are in school improvement, corrective action, or restructuring.
2. LEA only has a single school at the grade level of the school at which students are eligible for public school choice.
3. LEA's schools are so remote from one another that choice is impracticable.

	<b># LEAs</b>
LEAs Unable to Provide Public School Choice	30

**FAQs about public school choice:**

- a. How should States report data on Title I public school choice for those LEAs that have open enrollment and other choice programs? For those LEAs that implement open enrollment or other school choice programs in addition to public school choice under Section 1116 of ESEA, the State may consider a student as having applied to transfer if the student meets the following:

- Has a "home" or "neighborhood" school (to which the student would have been assigned, in the absence of a school choice program) that receives Title I funds and has been identified, under the statute, as in need of improvement, corrective action, or restructuring; and
- Has elected to enroll, at some point since July 1, 2002 (the effective date of the Title I choice provisions), and after the home school has been identified as in need of improvement, in a school that has not been so identified and is attending that school; and
- Is using district transportation services to attend such a school.

In addition, the State may consider costs for transporting a student meeting the above conditions towards the funds spent by an LEA on transportation for public school choice if the student is using district transportation services to attend the non-identified school.

- b. How should States report on public school choice for those LEAs that are not able to offer public school choice? In the count of LEAs that are not able to offer public school choice (for any of the reasons specified in 1.4.9.1.4), States should include those LEAs that are unable to offer public school choice at one or more grade levels. For instance, if an LEA is able to provide public school choice to eligible students at the elementary level but not at the secondary level, the State should include the LEA in the count. States should also include LEAs that are not able to provide public school choice at all (i.e., at any grade level). States should provide the reason(s) why public school choice was not possible in these LEAs at the grade level(s) in the Comment section. In addition, States may also include in the Comment section a separate count just of LEAs that are not able to offer public school choice at any grade level.

For LEAs that are not able to offer public school choice at one or more grade levels, States should count as eligible for public school choice (in 1.4.9.1.2) all students who attend identified Title I schools regardless of whether the LEA is able to offer the students public school choice.

**Comments:**

<sup>3</sup> Adapted from OESE/OII policy letter of August 2004. The policy letter may be found on the Department's Web page at <http://www.ed.gov/policy/elsec/guid/stateletters/choice/choice081804.html>.

**1.4.9.2 Supplemental Educational Services**

This section collects data on supplemental educational services.

**1.4.9.2.2 Supplemental Educational Services – Students**

In the table below, provide the number of students who were eligible for, who applied for, and who received supplemental educational services under Section 1116 of *ESEA*.

	<b># Students</b>
Eligible for supplemental educational services	132,585
Applied for supplemental educational services	88,357
Received supplemental educational services	87,406
<b>Comments:</b> data available as of 12/6/10. At least 13 LEAs that could possibly have data to add have not reported as of yet for the "Applied and "Received" categories. An updated count will be submitted during the re-opening window.	

**1.4.9.2.3 Funds Spent on Supplemental Educational Services**

In the table below, provide the total dollar amount spent by LEAs on supplemental educational services under Section 1116 of *ESEA*.

	<b>Amount</b>
Dollars spent by LEAs on supplemental educational services	\$
<b>Comments:</b> Dollars spent by LEAs on SES will be entered during the re-opening window.	

**1.5 TEACHER QUALITY**

This section collects data on "highly qualified" teachers as the term is defined in Section 9101(23) of ESEA.

**1.5.1 Core Academic Classes Taught by Teachers Who Are Highly Qualified**

In the table below, provide the number of core academic classes for the grade levels listed, the number of those core academic classes taught by teachers who are highly qualified, and the number taught by teachers who are not highly qualified. The percentage of core academic classes taught by teachers who are highly qualified and the percentage taught by teachers who are not highly qualified will be calculated automatically. Below the table are FAQs about these data.

	<b>Number of Core Academic Classes (Total)</b>	<b>Number of Core Academic Classes Taught by Teachers Who Are Highly Qualified</b>	<b>Percentage of Core Academic Classes Taught by Teachers Who Are Highly Qualified</b>	<b>Number of Core Academic Classes Taught by Teachers Who Are <u>NOT</u> Highly Qualified</b>	<b>Percentage of Core Academic Classes Taught by Teachers Who Are <u>NOT</u> Highly Qualified</b>
All classes	756,520	739,832	97.8	16,688	2.2
All elementary classes	380,079	374,569	98.6	5,510	1.4
All secondary classes	376,441	365,263	97.0	11,178	3.0
NYS reported a greater total number of classes (756,520) than are reported at the school level (732,607). This is because there are classes taught at the district level that cannot be attributed to a specific school. New York's data on HQT is not affected by this discrepancy.					

Do the data in Table 1.5.1 above include classes taught by special education teachers who provide direct instruction core academic subjects?

Data table includes classes taught by special education teachers who provide direct instruction core academic subjects.	<u>Yes</u>
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If the answer above is no, please explain below. The response is limited to 8,000 characters.

Does the State count elementary classes so that a full-day self-contained classroom equals one class, or does the State use a departmentalized approach where a classroom is counted multiple times, once for each subject taught?

The response is limited to 8,000 characters.

Each common branch course is counted as 5 classes whenever a teacher reports one assignment code.

**FAQs about highly qualified teachers and core academic subjects:**

- a. *What are the core academic subjects?* English, reading/language arts, mathematics, science, foreign languages, civics and government, economics, arts, history, and geography [Title IX, Section 9101(11)]. While the statute includes the arts in the core academic subjects, it does not specify which of the arts are core academic subjects; therefore, States must make this determination.
- b. *How is a teacher defined?* An individual who provides instruction in the core academic areas to kindergarten, grades 1 through 12, or ungraded classes, or individuals who teach in an environment other than a classroom setting (and who maintain daily student attendance records) [from NCES, CCD, 2001-02]
- c. *How is a class defined?* A class is a setting in which organized instruction of core academic course content is provided to one or more students (including cross-age groupings) for a given period of time. (A course may be offered to more than one class.) Instruction, provided by one or more teachers or other staff members, may be delivered in person or via a different medium. Classes that share space should be considered as separate classes if they function as separate units for more than 50% of the time [from NCES Non-fiscal Data Handbook for Early Childhood, Elementary, and Secondary Education, 2003].
- d. *Should 6th-, 7th-, and 8th-grade classes be reported in the elementary or the secondary category?* States are responsible for determining whether the content taught at the middle school level meets the competency requirements for elementary or secondary instruction. Report classes in grade 6 through 8 consistent with how teachers have been classified to determine their highly qualified status, regardless of whether their schools are configured as elementary or middle schools.
- e. *How should States count teachers (including specialists or resource teachers) in elementary classes?* States that count self-contained classrooms as one class should, to avoid over-representation, also count subject-area specialists (e.g., mathematics or music teachers) or resource teachers as teaching one class. On the other hand, States using a departmentalized approach to instruction where a self-contained classroom is counted multiple times (once for each subject taught) should also count subject-area specialists or resource teachers as teaching multiple classes.
- f. *How should States count teachers in self-contained multiple-subject secondary classes?* Each core academic subject taught for which students are receiving credit toward graduation should be counted in the numerator and the denominator. For example, if the same teacher teaches English, calculus, history, and science in a self-contained classroom, count these as four classes in the denominator. If the teacher is Highly Qualified to teach English and history, he/she would be counted as Highly Qualified in two of the four subjects in the numerator.
- g. *What is the reporting period?* The reporting period is the school year. The count of classes must include all semesters, quarters, or terms of the school year. For example, if core academic classes are held in summer sessions, those classes should be included in the count of core academic classes. A state determines into which school year classes fall.

**1.5.2 Reasons Core Academic Classes Are Taught by Teachers Who Are Not Highly Qualified**

In the tables below, estimate the percentages for each of the reasons why teachers who are not highly qualified teach core academic classes. For example, if 900 elementary classes were taught by teachers who are not highly qualified, what percentage of those 900 classes falls into each of the categories listed below? If the three reasons provided at each grade level are not sufficient to explain why core academic classes at a particular grade level are taught by teachers who are not highly qualified, use the row labeled "other" and explain the additional reasons. The total of the reasons is calculated automatically for each grade level and must equal 100% at the elementary level and 100% at the secondary level.

**Note:** Use the numbers of core academic classes taught by teachers who are not highly qualified from 1.5.1 for both elementary school classes (1.5.2.1) and for secondary school classes (1.5.2.2) as your starting point.

	Percentage
Elementary School Classes	
Elementary school classes taught by certified general education teachers who did not pass a subject-knowledge test or (if eligible) have not demonstrated subject-matter competency through HOUSSE	94.8
Elementary school classes taught by certified special education teachers who did not pass a subject-knowledge test or have not demonstrated subject-matter competency through HOUSSE	5.2
Elementary school classes taught by teachers who are not fully certified (and are not in an approved alternative route program)	0.0
Other (please explain in comment box below)	0.0
Total	100.0

The response is limited to 8,000 characters.

	Percentage
Secondary School Classes	
Secondary school classes taught by certified general education teachers who have not demonstrated subject-matter knowledge in those subjects (e.g., out-of-field teachers)	78.1
Secondary school classes taught by certified special education teachers who have not demonstrated subject-matter competency in those subjects	21.9
Secondary school classes taught by teachers who are not fully certified (and are not in an approved alternative route program)	0.0
Other (please explain in comment box below)	0.0
Total	100.0

The response is limited to 8,000 characters.

### 1.5.3 Poverty Quartiles and Metrics Used

In the table below, provide the number of core academic classes for each of the school types listed and the number of those core academic classes taught by teachers who are highly qualified. The percentage of core academic classes taught by teachers who are highly qualified will be calculated automatically. The percentages used for high- and low-poverty schools and the poverty metric used to determine those percentages are reported in the second table. Below the tables are FAQs about these data.

This means that for the purpose of establishing poverty quartiles, some classes in schools where both elementary and secondary classes are taught would be counted as classes in an elementary school rather than as classes in a secondary school in 1.5.3. This also means that such a 12th grade class would be in different category in 1.5.3 than it would be in 1.5.1.

**NOTE:** No source of classroom-level poverty data exists, so States may look at school-level data when figuring poverty quartiles. Because not all schools have traditional grade configurations, and because a school may not be counted as both an elementary and as a secondary school, States may include as elementary schools all schools that serve children in grades K through 5 (including K through 8 or K through 12 schools).

School Type	Number of Core Academic Classes (Total)	Number of Core Academic Classes Taught by Teachers Who Are Highly Qualified	Percentage of Core Academic Classes Taught by Teachers Who Are Highly Qualified
<b>Elementary Schools</b>			
High Poverty Elementary Schools	98,786	95,688	96.9
Low-poverty Elementary Schools	92,753	92,502	99.7
<b>Secondary Schools</b>			
High Poverty secondary Schools	58,347	53,567	91.8
Low-Poverty secondary Schools	125,184	124,416	99.4
1.5.3.1 In the table below, provide the poverty quartiles breaks used in determining high- and low-poverty schools and the poverty metric used to determine the poverty quartiles. Below the table are FAQs about the data collected in this table.			

**1.5.3.1** In the table below, provide the poverty quartiles breaks used in determining high and low-poverty schools and the poverty metric used to determine the poverty quartiles. Below the table are FAQs about the data collected in this table.

	High-Poverty Schools (more than what %)	Low-Poverty Schools (less than what %)
Elementary schools	80.3	20.8
Poverty metric used	Free and Reduced Price Lunch	
Secondary schools	76.9	24.4
Poverty metric used	Free and Reduced Price Lunch	

#### FAQs on poverty quartiles and metrics used to determine poverty

- What is a "high-poverty school"?* Section 1111(h)(1)(C)(viii) defines "high-poverty" schools as schools in the top quartile of poverty in the State.
- What is a "low-poverty school"?* Section 1111(h)(1)(C)(viii) defines "low-poverty" schools as schools in the bottom quartile of poverty in the State.
- How are the poverty quartiles determined?* Separately rank order elementary and secondary schools from highest to lowest on your percentage poverty measure. Divide the list into four equal groups. Schools in the first (highest group) are high-poverty schools. Schools in the last group (lowest group) are the low-poverty schools. Generally, States use the percentage of students who qualify for the free or reduced-price lunch program for this calculation.
- Since the poverty data are collected at the school and not classroom level, how do we classify schools as either elementary or secondary for this purpose?* States may include as elementary schools all schools that serve children in grades K through 5 (including K through 8 or K through 12 schools) and would therefore include as secondary schools those that exclusively serve children in grades 6 and higher.

**1.6 TITLE III LANGUAGE INSTRUCTIONAL PROGRAMS**

This section collects annual performance and accountability data on the implementation of Title III programs.

**1.6.1 Language Instruction Educational Programs**

In the table below, place a check next to each type of language instruction educational programs implemented in the State, as defined in Section 3301(8), as required by Sections 3121(a)(1), 3123(b)(1), and 3123(b)(2).

**Table 1.6.1 Definitions:**

1. **Types of Programs** = Types of programs described in the subgrantee's local plan (as submitted to the State or as implemented) that is closest to the descriptions in [http://www.ncele.gwu.edu/files/uploads/5/Language\\_Instruction\\_Educational\\_Programs.pdf](http://www.ncele.gwu.edu/files/uploads/5/Language_Instruction_Educational_Programs.pdf).
2. **Other Language** = Name of the language of instruction, other than English, used in the program.

Check Types of Programs	Type of Program	Other Language
<input type="checkbox"/> Yes	Dual language	Spanish, Chinese, Haitian, French
<input type="checkbox"/> No	Two-way immersion	
<input type="checkbox"/> Yes	Transitional bilingual programs	Spanish, Chinese, Polish, Haitian, Korean, Russian, Yiddish
<input type="checkbox"/> No	Developmental bilingual	
<input type="checkbox"/> Yes	Heritage language	Spanish, Chinese
<input type="checkbox"/> No	Sheltered English instruction	
<input type="checkbox"/> No	Structured English immersion	
<input type="checkbox"/> No	Specially designed academic instruction delivered in English (SDAIE)	
<input type="checkbox"/> Yes	Content-based ESL	
<input type="checkbox"/> No	Pull-out ESL	
<input type="checkbox"/> Yes	Other (explain in comment box below)	

The response is limited to 8,000 characters.

**1.6.2 Student Demographic Data**

**1.6.2.1 Number of ALL LEP Students in the State**

In the table below, provide the unduplicated number of ALL LEP students in the State who meet the LEP definition under Section 9101(25).

- Include newly enrolled (recent arrivals to the U.S.) and continually enrolled LEP students, whether or not they receive services in a Title III language instruction educational program
- Do not include Former LEP students (as defined in Section 200.20(f)(2) of the Title I regulation) and monitored Former LEP students (as defined under Section 3121(a)(4) of Title III) in the ALL LEP student count in this table.

Number of ALL LEP students in the State	237,634
<b>Comments:</b>	

**1.6.2.2 Number of LEP Students Who Received Title III Language Instruction Educational Program Services**

In the table below, provide the unduplicated number of LEP students who received services in Title III language instructional education programs.

	#
LEP students who received services in a Title III language instruction educational program in grades K through 12 for this reporting year.	231,361
<b>Comments:</b>	

**1.6.2.3 Most Commonly Spoken Languages in the State**

In the table below, provide the five most commonly spoken languages, other than English, in the State (for all LEP students, not just LEP students who received Title III Services). The top five languages should be determined by the highest number of students speaking each of the languages listed.

Language	# LEP Students
Spanish; Castilian	149,396
Undetermined	15,104
Chinese	8,244
Arabic	6,709
Bengali	5,798

Report additional languages with significant numbers of LEP students in the comment box below.

The response is limited to 8,000 characters.

--

**1.6.3 Student Performance Data**

This section collects data on LEP student English language proficiency, as required by Sections 1111(h)(4)(D) and 3121(a)(2).

**1.6.3.1.1 All LEP Students Tested on the State Annual English Language Proficiency Assessment**

In the table below, please provide the number of ALL LEP students tested and not tested on annual State English language proficiency assessment (as defined in 1.6.2.1).

	#
Number tested on State annual ELP assessment	206,642
Number not tested on State annual ELP assessment	15,773
Total	222,415
<p><b>Comments:</b> 1,462 of the LEP students not tested are reported as GED students and 342 students are reported as Pre-K and Pre-School students. These students do not take the New York State English as a Second Language Achievement Test (NYSESLAT) but are counted as enrolled LEP. The Title III program office contacted LEAs around the state in order to provide an explanation as to why the remaining 13,969 LEP students are not tested. The prevailing response from LEAs was that these students were no longer enrolled in the LEA at time of testing because of dropping out, moving out of state, or moving out of the country. The program office provides technical assistance and guidance on an annual basis to LEAs in order to ensure that all LEP students are identified correctly and tested appropriately. This information is disseminated to LEAs just prior to the administration of the NYSESLAT.</p> <p>The number tested (206,642) will not match the total LEP count from 1.6.2.1 (237,634) because the student populations for these numbers</p>	

**1.6.3.1.2 ALL LEP Student English Language Proficiency Results**

	#
Number attained proficiency on State annual ELP assessment	32,754
Percent attained proficiency on State annual ELP assessment	15.9
<b>Comments:</b>	

**1.6.3.2.1 Title III LEP Students Tested on the State Annual English Language Proficiency (ELP) Assessment**

In the table below, provide the number of Title III LEP students tested on annual State English language proficiency assessment.

	<b>#</b>
Number tested on State annual ELP assessment	200,557
Number not tested on State annual ELP assessment	15,436
Total	215,993
<b>Comments:</b> The number tested 200,557 will not match the total Title III LEP count from 1.6.2.2 since we are looking at two different enrollment periods as specified by the EDFacts file specs.	
In the table below, provide the number of Title III students who took the State annual ELP assessment for the first time and whose progress cannot be determined and whose results were not included in the calculation for AMAO1. Report this number ONLY if the State did not include these students in establishing AMAO1/ making progress target and did not include them in the calculations for AMAO1/ making progress (# and % making progress).	
	<b>#</b>
Number of Title III students who took the State annual ELP assessment for the first time whose progress cannot be determined and whose results were not included in the calculation for AMAO 1.	0

**1.6.3.2.2**

**Table 1.6.3.2.2 Definitions:**

- Annual Measureable Achievement Objectives (AMAOs)** = State targets for the number and percent of students making progress and attaining proficiency.
- Making Progress** = Number and percent of Title III LEP students that met the definition of "Making Progress" as defined by the State and submitted to ED in the State Consolidated Application (CSA), or as amended.
- ELP Attainment** = Number and percent of Title III LEP students that meet the State definition of "Attainment" of English language proficiency submitted to ED in the State Consolidated Application (CSA), or as amended.
- Results** = Number and percent of Title III LEP students that met the State definition of "Making Progress" and the number and percent that met the State definition of "Attainment" of English language proficiency.

In the table below, provide the State targets for the number and percentage of States making progress and attaining English proficiency for this reporting period. Additionally, provide the results from the annual State English language proficiency assessment for Title III-served LEP students who participated in a Title III language instruction educational program in grades K through 12. If your State uses cohorts, provide us with the range of targets, (i.e., indicate the lowest target among the cohorts, e.g., 10% and the highest target among a cohort, e.g., 70%).

	Results		Targets	
	#	%	#	%
Making progress	133,948	66.8	134,912	62.10
Attained proficiency	32,207	16.1	25,635	11.80
<b>Comments:</b> Target numbers are based on all identified ELLs served by Title III services(N=217,249), including those who are tested and not tested on the NYSESLAT.				

**1.6.3.5 Native Language Assessments**

This section collects data on LEP students assessed in their native language (Section 1111(b)(6)) to be used for AYP determinations.

**1.6.3.5.1 LEP Students Assessed in Native Language**

In the table below, check "yes" if the specified assessment is used for AYP purposes.

State offers the State reading/language arts content tests in the students' native language(s).	No
State offers the State mathematics content tests in the students' native language(s).	Yes
State offers the State science content tests in the students' native language(s).	Yes
<b>Comments:</b>	

**1.6.3.5.2 Native Language of Mathematics Tests Given**

In the table below, report the language(s) in which native language assessments are given for *ESEA* accountability determinations for mathematics.

Language(s)
Chinese (Traditional)
Haitian Creole
Korean
Russian
Spanish
<b>Comments:</b>

**1.6.3.5.3 Native Language of Reading/Language Arts Tests Given**

In the table below, report the language(s) in which native language assessments are given for *ESEA* accountability determinations for reading/language arts.

Language(s)
<b>Comments:</b> N/A

**1.6.3.5.4 Native Language of Science Tests Given**

In the table below, report the language(s) in which native language assessments are given for *ESEA* accountability determinations for science.

Language(s)
Chinese (Traditional)
Haitian Creole
Korean
Russian
Spanish
<b>Comments:</b>

**1.6.3.6 Title III Served Monitored Former LEP (MFLEP) Students**

This section collects data on the performance of former LEP students as required by Sections 3121(a)(4) and 3123(b)(8).

**1.6.3.6.1 Title III Served MFLEP Students by Year Monitored**

In the table below, report the unduplicated count of monitored former LEP students during the two consecutive years of monitoring, which includes both MFLEP students in AYP grades and in non-AYP grades.

Monitored Former LEP students include:

- Students who have transitioned out of a language instruction educational program.
- Students who are no longer receiving LEP services and who are being monitored for academic content achievement for 2 years after the transition.

**Table 1.6.3.6.1 Definitions:**

1. **# Year One** = Number of former LEP students in their first year of being monitored.
2. **# Year Two** = Number of former LEP students in their second year of being monitored.
3. **Total** = Number of monitored former LEP students in year one and year two. This is automatically calculated.

# Year One	# Year Two	Total
21,791	21,556	43,347
<b>Comments:</b>		

**1.6.3.6.2** In the table below, report the number of MFLEP students who took the annual mathematics assessment. Please provide data only for those students who transitioned out of language instruction educational programs and who no longer received services under Title III in this reporting year. These students include both students who are monitored former LEP students in their first year of monitoring, and those in their second year of monitoring.

**Table 1.6.3.6.2 Definitions:**

1. **# Tested** = State-aggregated number of MFLEP students who were tested in mathematics in all AYP grades.
2. **# At or Above Proficient** = State-aggregated number of MFLEP students who scored at or above proficient on the State annual mathematics assessment.
3. **% Results** = Automatically calculated based on number who scored at or above proficient divided by the number tested.
4. **# Below proficient** = State-aggregated number of MFLEP students in grades used for NCLB accountability determinations (3 through 8 and once in high school) who did not score proficient on the State NCLB mathematics assessment.

# Tested	# At or Above Proficient	% Results	# Below Proficient
40,867	27,169	66.5	13,698
<b>Comments:</b>			

**1.6.3.6.3 Monitored Former LEP (MFLEP) Students Results for Reading/Language Arts**

In the table below, report results MFLEP students who took the annual reading/language arts assessment. Please provide data only for those students who transitioned out of language instruction educational programs and who no longer received services under Title III in this reporting year. These students include both students who are monitored former LEP students in their first year of monitoring, and those in their second year of monitoring.

**Table 1.6.3.6.3 Definitions:**

1. **# Tested** = State-aggregated number of MFLEP students who were tested in reading/language arts in all AYP grades.
2. **# At or Above Proficient** = State-aggregated number of MFLEP students who scored at or above proficient on the State annual reading/language arts assessment.
3. **% Results** = Automatically calculated based on number who scored at or above proficient divided by the total number tested.
4. **# Below proficient** = State-aggregated number MFLEP students in grades used for NCLB accountability determinations(3 through 8 and once in high school) who did not score proficient on the State annual reading/language arts assessment. This will be automatically calculated.

# Tested	# At or Above Proficient	% Results	# Below Proficient
37,230	19,492	52.4	17,738
<b>Comments:</b>			

**1.6.3.6.4 Monitored Former LEP (MFLEP) Students Results for Science**

In the table below, report results for monitored former LEP students who took the annual science assessment. Please provide data only for those students who transitioned out of language instruction educational programs and who no longer received services under Title III in this reporting year. These students include both students who are monitored former LEP students in their first year of monitoring, and those in their second year of monitoring.

**Table 1.6.3.6.4 Definitions:**

1. **# Tested** = State-aggregated number of MFLEP students who were tested in science.
2. **# At or Above Proficient** = State-aggregated number of MFLEP students who scored at or above proficient on the State annual science assessment.
3. **% Results** = Automatically calculated based on number who scored at or above proficient divided by the total number tested.
4. **# Below proficient** = State-aggregated number MFLEP students who did not score proficient on the State annual science assessment.

# Tested	# At or Above Proficient	% Results	# Below Proficient
16,715	13,041	78.0	3,674
<b>Comments:</b>			

**1.6.4 Title III Subgrantees**

This section collects data on the performance of Title III subgrantees.

**1.6.4.1 Title III Subgrantee Performance**

In the table below, report the number of Title III subgrantees meeting the criteria described in the table. Do not leave items blank. If there are zero subgrantees who met the condition described, put a zero in the number (#) column. Do not double count subgrantees by category.

**Note:** Do not include number of subgrants made under Section 3114(d)(1) from funds reserved for education programs and activities for immigrant children and youth. (Report Section 3114(d)(1) subgrants in 1.6.5.1 ONLY.)

	#
# - Total number of subgrantees for the year	191
# - Number of subgrantees that met all three Title III AMAOs	94
# - Number of subgrantees who met AMAO 1	145
# - Number of subgrantees who met AMAO 2	160
# - Number of subgrantees who met AMAO 3	101
# - Number of subgrantees that did not meet any Title III AMAOs	20
# - Number of subgrantees that did not meet Title III AMAOs for two consecutive years (SYs 2008-09 and 2009-10)	7
# - Number of subgrantees implementing an improvement plan in SY 2009-10 for not meeting Title III AMAOs for two consecutive years	4
# - Number of subgrantees that have not met Title III AMAOs for four consecutive years (SYs 2006-07, 2007-08, 2008-09, and 2009-10)	13

Provide information on how the State counted consortia members in the total number of subgrantees and in each of the numbers in table 1.6.4.1.

The response is limited to 4,000 characters.

**Comments:**

**1.6.4.2 State Accountability**

In the table below, indicate whether the State met all three Title III AMAOs.

**Note:** Meeting all three Title III AMAOs means meeting each State-set target for each objective: Making Progress, Attaining Proficiency, and Making AYP for the LEP subgroup. This section collects data that will be used to determine State AYP, as required under Section 6161.

State met <u>all</u> three Title III AMAOs	<u>      </u> No
<b>Comments:</b>	

**1.6.4.3 Termination of Title III Language Instruction Educational Programs**

This section collects data on the termination of Title III programs or activities as required by Section 3123(b)(7).

Were any Title III language instruction educational programs <u>or</u> activities terminated for failure to reach program goals?	<u>      </u> No
If yes, provide the number of language instruction educational programs <u>or</u> activities for immigrant children and youth terminated.	
<b>Comments:</b>	

**1.6.5 Education Programs and Activities for Immigrant Students**

This section collects data on education programs and activities for immigrant students.

**1.6.5.1 Immigrant Students**

In the table below, report the unduplicated number of immigrant students enrolled in schools in the State and who participated in qualifying educational programs under Section 3114(d)(1).

**Table 1.6.5.1 Definitions:**

1. **Immigrant Students Enrolled** = Number of students who meet the definition of immigrant children and youth under Section 3301(6) and enrolled in the elementary or secondary schools in the State.
2. **Students in 3114(d)(1) Program** = Number of immigrant students who participated in programs for immigrant children and youth funded under Section 3114(d)(1), using the funds reserved for immigrant education programs/activities. This number should not include immigrant students who receive services in Title III language instructional educational programs under Sections 3114(a) and 3115(a).
3. **3114(d)(1)Subgrants** = Number of subgrants made in the State under Section 3114(d)(1), with the funds reserved for immigrant education programs/activities. Do not include Title III Language Instruction Educational Program (LIEP) subgrants made under Sections 3114(a) and 3115(a) that serve immigrant students enrolled in them.

# Immigrant Students Enrolled	# Students in 3114(d)(1) Program	# of 3114(d)(1) Subgrants
18,936	18,936	60

If state reports zero (0) students in programs or zero (0) subgrants, explain in comment box below.

The response is limited to 8,000 characters.

**1.6.6 Teacher Information and Professional Development**

This section collects data on teachers in Title III language instruction education programs as required under Section 3123(b)(5).

**1.6.6.1 Teacher Information**

This section collects information about teachers as required under Section 3123 (b)(5).

In the table below, report the number of teachers who are working in the Title III language instruction educational programs as defined under Section 3301(8) and reported in 1.6.1 (Types of language instruction educational programs) even if they are not paid with Title III funds.

**Note:** Section 3301(8) The term 'Language instruction educational program' means an instruction course: (A) in which a limited English proficient child is placed for the purpose of developing and attaining English proficiency, while meeting challenging State academic content and student academic achievement standards, as required by Section 1111(b)(1); and (B) that may make instructional use of both English and a child's native language to enable the child to develop and attain English proficiency and may include the participation of English proficient children if such course is designed to enable all participating children to become proficient in English as a second language.

	#
Number of all certified/licensed teachers currently working in Title III language instruction educational programs.	6,445
Estimate number of <b>additional</b> certified/licensed teachers that will be needed for Title III language instruction educational programs in the next 5 years*.	2,000

Explain in the comment box below if there is a zero for any item in the table above.

The response is limited to 8,000 characters.

\* This number should be the total additional teachers needed for the next 5 years, not the number needed for each year. Do not include the number of teachers currently working in Title III English language instruction educational programs.

**1.6.6.2 Professional Development Activities of Subgrantees Related to the Teaching and Learning of LEP Students**

In the tables below, provide information about the subgrantee professional development activities that meet the requirements of Section 3115(c)(2).

**Table 1.6.6.2 Definitions:**

1. **Professional Development Topics** = Subgrantee activities for professional development topics required under Title III.
2. **#Subgrantees** = Number of subgrantees who conducted each type of professional development activity. A subgrantee may conduct more than one professional development activity. (Use the same method of counting subgrantees, including consortia, as in 1.6.1.1 and 1.6.4.1.)
3. **Total Number of Participants** = Number of teachers, administrators and other personnel who participated in each type of the professional development activities reported.
4. **Total** = Number of all participants in professional development (PD) activities

<b>Type of Professional Development Activity</b>	<b># Subgrantees</b>	
Instructional strategies for LEP students	938	
Understanding and implementation of assessment of LEP students	245	
Understanding and implementation of ELP standards and academic content standards for LEP students	575	
Alignment of the curriculum in language instruction educational programs to ELP standards	353	
Subject matter knowledge for teachers	185	
Other (Explain in comment box)	165	
<b>Participant Information</b>	<b># Subgrantees</b>	<b># Participants</b>
PD provided to content classroom teachers	92	2,872
PD provided to LEP classroom teachers	72	5,290
PD provided to principals	48	1,170
PD provided to administrators/other than principals	48	2,576
PD provided to other school personnel/non-administrative	91	3,046
PD provided to community based organization personnel	48	1,494
<b>Total</b>	<b>399</b>	<b>16,448</b>

The response is limited to 8,000 characters.

--

**1.6.7 State Subgrant Activities**

This section collects data on State grant activities.

**1.6.7.1 State Subgrant Process**

In the table below, report the time between when the State receives the Title III allocation from ED, normally on July 1 of each year for the upcoming school year, and the time when the State distributes these funds to subgrantees for the intended school year. Dates must be in the format MM/DD/YY.

**Table 1.6.7.1 Definitions:**

1. **Date State Received Allocation** = Annual date the State receives the Title III allocation from US Department of Education (ED).
2. **Date Funds Available to Subgrantees** = Annual date that Title III funds are available to approved subgrantees.
3. **# of Days/\$\$ Distribution** = Average number of days for States receiving Title III funds to make subgrants to subgrantees beginning from July 1 of each year, except under conditions where funds are being withheld.

Example: State received SY 2009-10 funds July 1, 2009, and then made these funds available to subgrantees on August 1, 2009, for SY 2009-10 programs. Then the "# of days/\$\$ Distribution" is 30 days.

Date State Received Allocation	Date Funds Available to Subgrantees	# of Days/\$\$ Distribution
07/02/09	09/23/09	81
<b>Comments:</b>		

**1.6.7.2 Steps To Shorten the Distribution of Title III Funds to Subgrantees**

In the comment box below, describe how your State can shorten the process of distributing Title III funds to subgrantees.

The response is limited to 8,000 characters.

Steps NYSED is taken to shorten the process of distributing Title III funds to subgrantees are as follows.

- 1) Working on an e-grant application.
- 2) Risked based review process.

**1.7 PERSISTENTLY DANGEROUS SCHOOLS**

In the table below, provide the number of schools identified as persistently dangerous, as determined by the State, by the start of the school year. For further guidance on persistently dangerous schools, refer to Section B "Identifying Persistently Dangerous Schools" in the Unsafe School Choice Option Non-Regulatory Guidance, available at: <http://www.ed.gov/policy/elsec/guid/unsafeschoolchoice.pdf>.

	#
Persistently Dangerous Schools	113
<b>Comments:</b>	

**1.8 GRADUATION RATES AND DROPOUT RATES**

This section collects graduation and dropout rates.

**1.8.1 Graduation Rates**

In the table below, provide the graduation rates calculated using the methodology that was approved as part of the State's accountability plan for the **previous school year** (SY 2008-09). Below the table are FAQs about the data collected in this table.

Student Group	Graduation Rate
All Students	74.5
American Indian or Alaska Native	60.4
Asian or Pacific Islander	81.8
Black, non-Hispanic	59.4
Hispanic	57.2
White, non-Hispanic	84.4
Children with disabilities ( <i>IDEA</i> )	44.5
Limited English proficient	40.3
Economically disadvantaged	62.8
Migratory students	22.9
Male	70.3
Female	78.8
<b>Comments:</b> Data verified as accurate.	

**FAQs on graduation rates:**

- a. *What is the graduation rate?* Section 200.19 of the Title I regulations issued under the *No Child Left Behind Act* on December 2, 2002, defines graduation rate to mean:
  - The percentage of students, measured from the beginning of high school, who graduate from public high school with a regular diploma (not including a GED or any other diploma not fully aligned with the State's academic standards) in the standard number of years; or,
  - Another more accurate definition developed by the State and approved by the Secretary in the State plan that more accurately measures the rate of students who graduate from high school with a regular diploma; and
  - Avoids counting a dropout as a transfer.
- b. *What if the data collection system is not in place for the collection of graduate rates?* For those States that are reporting transitional graduation rate data and are working to put into place data collection systems that will allow the State to calculate the graduation rate in accordance with Section 200.19 for all the required subgroups, please provide a detailed progress report on the status of those efforts.

The response is limited to 8,000 characters.

--

### 1.8.2 Dropout Rates

In the table below, provide the dropout rates calculated using the annual event school dropout rate for students leaving a school in a single year determined in accordance with the National Center for Education Statistic's (NCES) Common Core of Data (CCD) for the **previous school year** (SY 2008-09). Below the table is a FAQ about the data collected in this table.

Student Group	Dropout Rate
All Students	3.4
American Indian or Alaska Native	
Asian or Pacific Islander	
Black, non-Hispanic	
Hispanic	
White, non-Hispanic	
Children with disabilities ( <i>IDEA</i> )	5.2
Limited English proficient	
Economically disadvantaged	
Migratory students	
Male	
Female	
<p><b>Comments:</b> The NYS Education Department has been transitioning out of an external contract for data extraction from our student data repository. The current contract does not provide dropout rates for the subpopulations we are missing data for. NYSED has been transitioning to a replacement system with more local control and will be able to report the subpopulations beginning with the 2011-12 reporting year.</p>	

#### FAQ on dropout rates:

*What is a dropout?* A dropout is an individual who: 1) was enrolled in school at some time during the previous school year; and 2) was not enrolled at the beginning of the current school year; and 3) has not graduated from high school or completed a State- or district-approved educational program; and 4) does not meet any of the following exclusionary conditions: a) transfer to another public school district, private school, or State- or district-approved educational program (including correctional or health facility programs); b) temporary absence due to suspension or school-excused illness; or c) death.

**1.9 EDUCATION FOR HOMELESS CHILDREN AND YOUTHS PROGRAM**

This section collects data on homeless children and youths and the McKinney-Vento grant program.

In the table below, provide the following information about the number of LEAs in the State who reported data on homeless children and youths and the McKinney-Vento program. The totals will be will be automatically calculated.

	#	# LEAs Reporting Data
LEAs without subgrants	801	801
LEAs with subgrants	87	87
Total	888	888
<b>Comments:</b> Calculations assume that there are a total of 32 LEAs based in New York City being counted as one LEA. None of the Migrant Education Outreach Programs participating in a Consortium are being counted as an LEA. Therefore, the 888 includes 730 school districts, 37 Boards of Cooperative Educational Services and 121 Charter Schools (Total = 856).		

**1.9.1 All LEAs (with and without McKinney-Vento subgrants)**

The following questions collect data on homeless children and youths in the State.

**1.9.1.1 Homeless Children And Youths**

In the table below, provide the number of homeless children and youths by grade level enrolled in public school at any time during the regular school year. The totals will be automatically calculated:

<b>Age/Grade</b>	<b># of Homeless Children/Youths Enrolled in Public School in LEAs <u>Without</u> Subgrants</b>	<b># of Homeless Children/Youths Enrolled in Public School in LEAs <u>With</u> Subgrants</b>
Age 3 through 5 (not Kindergarten)	107	217
K	901	6,576
1	1,042	7,777
2	875	7,677
3	866	6,541
4	705	5,990
5	738	5,622
6	677	5,153
7	671	4,871
8	620	4,709
9	843	5,970
10	744	4,323
11	716	2,665
12	908	2,156
Ungraded	111	1,638
Total	10,524	71,885
<b>Comments:</b>		

**1.9.1.2 Primary Nighttime Residence of Homeless Children and Youths**

In the table below, provide the number of homeless children and youths by primary nighttime residence enrolled in public school at any time during the regular school year. The primary nighttime residence should be the student's nighttime residence when he/she was identified as homeless. The totals will be automatically calculated.

	<b># of Homeless Children/Youths - LEAs <u>Without</u> Subgrants</b>	<b># of Homeless Children/Youths - LEAs <u>With</u> Subgrants</b>
Shelters, transitional housing, awaiting foster care	2,950	41,033
Doubled-up (e.g., living with another family)	6,587	25,152
Unsheltered (e.g., cars, parks, campgrounds, temporary trailer, or abandoned buildings)	110	4,917
Hotels/Motels	877	783
Total	10,524	71,885
<b>Comments:</b>		

**1.9.2 LEAs with McKinney-Vento Subgrants**

The following sections collect data on LEAs with McKinney-Vento subgrants.

**1.9.2.1 Homeless Children and Youths Served by McKinney-Vento Subgrants**

In the table below, provide the number of homeless children and youths by grade level who were served by McKinney-Vento subgrants during the regular school year. The total will be automatically calculated.

<b>Age/Grade</b>	<b># Homeless Children/Youths Served by Subgrants</b>
Age 3 through 5 (not Kindergarten)	393
K	2,424
1	2,964
2	2,977
3	2,676
4	2,375
5	2,271
6	2,136
7	1,894
8	1,858
9	2,165
10	1,645
11	1,109
12	1,099
Ungraded	672
Total	28,658
<b>Comments:</b>	

**1.9.2.2 Subgroups of Homeless Students Served**

In the table below, please provide the following information about the homeless students served during the regular school year.

	<b># Homeless Students Served</b>
Unaccompanied youth	13,364
Migratory children/youth	118
Children with disabilities ( <i>IDEA</i> )	7,701
Limited English proficient students	3,695
<b>Comments:</b>	

**1.9.2.3 Educational Support Services Provided by Subgrantees**

In the table below, provide the number of subgrantee programs that provided the following educational support services with McKinney-Vento funds.

	<b># McKinney-Vento Subgrantees That Offer</b>
Tutoring or other instructional support	43
Expedited evaluations	20
Staff professional development and awareness	44
Referrals for medical, dental, and other health services	42
Transportation	40
Early childhood programs	24
Assistance with participation in school programs	48
Before-, after-school, mentoring, summer programs	37
Obtaining or transferring records necessary for enrollment	36
Parent education related to rights and resources for children	47
Coordination between schools and agencies	47
Counseling	36
Addressing needs related to domestic violence	38
Clothing to meet a school requirement	38
School supplies	48
Referral to other programs and services	44
Emergency assistance related to school attendance	36
Other (optional – in comment box below)	3
Other (optional – in comment box below)	2
Other (optional – in comment box below)	

The response is limited to 8,000 characters.

Other = 3 - Summer Programming  
Other = 2 - Field Trips

**1.9.2.4 Barriers To The Education Of Homeless Children And Youth**

In the table below, provide the number of subgrantees that reported the following barriers to the enrollment and success of homeless children and youths.

	<b># Subgrantees Reporting</b>
Eligibility for homeless services	14
School Selection	14
Transportation	25
School records	22
Immunizations	23
Other medical records	15
Other Barriers – in comment box below	13

The response is limited to 8,000 characters.

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**1.9.2.5 Academic Progress of Homeless Students**

The following questions collect data on the academic achievement of homeless children and youths served by McKinney-Vento subgrants.

**1.9.2.5.1 Reading Assessment**

In the table below, provide the number of homeless children and youths served who were tested on the State *ESEA* reading/language arts assessment and the number of those tested who scored at or above proficient. Provide data for grades 9 through 12 only for those grades tested for *ESEA*.

<b>Grade</b>	<b># Homeless Children/Youth Who Received a Valid Score and for Whom a Proficiency Level Was Assigned</b>	<b># Homeless Children/Youth Scoring at or Above Proficient</b>
3	1,819	745
4	1,658	885
5	1,624	921
6	1,541	773
7	1,385	705
8	1,362	427
High School	788	636
<b>Comments:</b>		

**1.9.2.5.2 Mathematics Assessment**

This section is similar to 1.9.2.5.1. The only difference is that this section collects data on the State *ESEA* mathematics assessment.

<b>Grade</b>	<b># Homeless Children/Youth Who Received a Valid Score and for Whom a Proficiency Level Was Assigned</b>	<b># Homeless Children/Youth Scoring at or Above Proficient</b>
3	1,816	1,508
4	1,662	1,134
5	1,619	1,124
6	1,553	957
7	1,399	845
8	1,350	738
High School	837	634
<b>Comments:</b>		

## 1.10 MIGRANT CHILD COUNTS

This section collects the Title I, Part C, Migrant Education Program (MEP) child counts which States are required to provide and may be used to determine the annual State allocations under Title I, Part C. The child counts should reflect the reporting period of September 1, 2009 through August 31, 2010. This section also collects a report on the procedures used by States to produce true, accurate, and valid child counts.

To provide the child counts, each SEA should have sufficient procedures in place to ensure that it is counting only those children who are eligible for the MEP. Such procedures are important to protecting the integrity of the State's MEP because they permit the early discovery and correction of eligibility problems and thus help to ensure that only eligible migrant children are counted for funding purposes and are served. If an SEA has reservations about the accuracy of its child counts, it must inform the Department of its concerns and explain how and when it will resolve them under Section 1.10.3.4 Quality Control Processes.

**Note:** In submitting this information, the Authorizing State Official must certify that, to the best of his/her knowledge, the child counts and information contained in the report are true, reliable, and valid and that any false Statement provided is subject to fine or imprisonment pursuant to 18 U.S.C. 1001.

### FAQs on Child Count:

*a. How is "out-of-school" defined?* Out-of-school means youth up through age 21 who are entitled to a free public education in the State but are not currently enrolled in a K-12 institution. This could include students who have dropped out of school, youth who are working on a GED outside of a K-12 institution, and youth who are "here-to-work" only. It does not include preschoolers, who are counted by age grouping.

*b. How is "ungraded" defined?* Ungraded means the children are served in an educational unit that has no separate grades. For example, some schools have primary grade groupings that are not traditionally graded, or ungraded groupings for children with learning disabilities. In some cases, ungraded students may also include special education children, transitional bilingual students, students working on a GED through a K-12 institution, or those in a correctional setting. (Students working on a GED outside of a K-12 institution are counted as out-of-school youth.)

**1.10.1 Category 1 Child Count**

In the table below, enter the unduplicated statewide number by age/grade of **eligible** migrant children age 3 through 21 who, within 3 years of making a qualifying move, resided in your State for one or more days during the reporting period of September 1, 2009 through August 31, 2010. This figure includes all eligible migrant children who may or may not have participated in MEP services. Count a child who moved from one age/grade level to another during the reporting period only once in the highest age/grade that he/she attained during the reporting period. The unduplicated statewide total count is calculated automatically.

Do not include:

- Children age birth through 2 years
- Children served by the MEP (under the continuation of services authority) after their period of eligibility has expired when other services are not available to meet their needs
- Previously eligible secondary-school children who are receiving credit accrual services (under the continuation of services authority).

Age/Grade	12-Month Count of Eligible Migrant Children Who Can Be Counted for Funding Purposes
Age 3 through 5 (not Kindergarten)	599
K	228
1	210
2	200
3	164
4	148
5	143
6	137
7	116
8	100
9	143
10	82
11	66
12	27
Ungraded	N<5
Out-of-school	2,999
Total	
<b>Comments:</b>	

**1.10.1.1 Category 1 Child Count Increases/Decreases**

In the space below, explain any increases or decreases from last year in the number of students reported for Category 1 greater than 10 percent.

The response is limited to 8,000 characters.

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Not applicable.

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**1.10.2 Category 2 Child Count**

In the table below, enter by age/grade the unduplicated statewide number of **eligible** migrant children age 3 through 21 who, within 3 years of making a qualifying move, were served for one or more days in a MEP-funded project conducted during either the summer term or during intersession periods that occurred within the reporting period of September 1, 2009 through August 31, 2010. Count a child who moved from one age/grade level to another during the reporting period only once in the highest age/grade that he/she attained during the reporting period. Count a child who moved to different schools within the State and who was served in both traditional summer and year-round school intersession programs only once. The unduplicated statewide total count is calculated automatically.

Do not include:

- Children age birth through 2 years
- Children served by the MEP (under the continuation of services authority) after their period of eligibility has expired when other services are not available to meet their needs
- Previously eligible secondary-school children who are receiving credit accrual services (under the continuation of services authority).

<b>Age/Grade</b>	<b>Summer/Intersession Count of Eligible Migrant Children Who Are Participants and Who Can Be Counted for Funding Purposes</b>
Age 3 through 5 (not Kindergarten)	458
K	171
1	169
2	145
3	117
4	114
5	108
6	93
7	86
8	78
9	102
10	48
11	41
12	7
Ungraded	N<5
Out-of-school	1,471
Total	
<b>Comments:</b>	

**1.10.2.1 Category 2 Child Count Increases/Decreases**

In the space below, explain any increases or decreases from last year in the number of students reported for Category 2 greater than 10 percent.

The response is limited to 8,000 characters.

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Not applicable.

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**1.10.3 Child Count Calculation and Validation Procedures**

The following question requests information on the State's MEP child count calculation and validation procedures.

**1.10.3.1 Student Information System**

In the space below, respond to the following questions: What system(s) did your State use to compile and generate the Category 1 and Category 2 child count for this reporting period (e.g., NGS, MIS 2000, COEStar, manual system)? Were child counts for the last reporting period generated using the same system(s)? If the State's category 2 count was generated using a different system from the category 1 count, please identify each system.

The response is limited to 8,000 characters.

The New York State Migrant Education Program has been using the Management Information Systems-2000 (MIS-2000) since 1997. This system tabulates the Category 1 & 2 counts.

### 1.10.3.2 Data Collection and Management Procedures

In the space below, respond to the following questions: How was the child count data collected? What data were collected? What activities were conducted to collect the data? When were the data collected for use in the student information system? If the data for the State's category 2 count were collected and maintained differently from the category 1 count, please describe each set of procedures.

The response is limited to 8,000 characters.

The category one migrant child count was based solely on certificate of eligibility's(COE's)completed on migrant children that qualified and were identified in New York State between September 1, 2009 and August 31, 2010 by the migrant education recruiters. Recruiters go to farms, agribusinesses, neighborhoods, schools, human services agencies, local Migrant Education Outreach Programs, etc. to obtain leads on individuals and families who may be eligible for the New York State Migrant Education Program. They then locate and interview individuals and families to ascertain if they moved for qualifying temporary or seasonal qualifying agricultural/fishing activities within the past 36 months across school district lines, that the qualifying work obtained was out of economic necessity, and that they or their families are between 3 and twenty-two years of age and not high school graduates or have obtained their GED. These interviews by the recruiters are conducted in person, face-to-face. At that point the recruiter will complete a certificate of eligibility if the family/individual is eligible, obtaining the following information: name, address (current and prior), homebase address, present school district, children's names, sex, date of birth, age, place of birth, present grade, last school attended, (if still in school), their native language, their race code (observed), from what school/town/state they came from/to, where in New York State they arrived, their arrival date in New York State, if their children traveled with them joined them or on their own and on what dates, the name of the qualifying person they traveled with or to join, if they sought temporary or seasonal work in a qualifying activity and the specific activity they applied for or work at, their residency date in the current district, and their signature on the form. The recruiters have been trained to recognize all qualifying activities areas, such as but not limited to fruit and vegetable farms, dairy farms, nurseries, logging (the felling, trimming and skidding of trees/logs on site), food processing (vegetables, fruits, poultry, meat), apiaries, making sure to note specific activities done (e.g. picking and packing hydroponic tomatoes, etc.). Recruiters complete the COEs and send them to the ID/R office as well as a copy to the local MEOP (Migrant Education Outreach Program) as they are completed for the ID/R office to review and certify as eligible. Children who have been identified in a prior year and are still eligible and still reside in New York State must have their residency verified by one of 18 recruiters across New York State using sources such as the families themselves, local school personnel and regional MEOPS service records, by stamping a copy of the family's COE with a date still here, their signature, what source told them they were still here, and send that copy to the MEOP's and the Identification/Recruitment office as a validation copy. This process of verification begins each year in November and concludes the following November. The category 2 count was done on the MIS-2000 data system utilizing the following records; COEs -- supplemental services records and summer enrollment/withdrawal dates/records. The State will determine the dates of enrollment for the summer program which cannot occur before the last day of the regular school year. For the 9/1/09-08/31/10 period the summer start date could be no earlier than 6/25/10 and no later than 8/31/10. Each of the 11 local Migrant Education Programs (MEOP) use a State approved and developed summer enrollment form which must contain the necessary state mandated instructional and support service codes for the summer period as required by the State Migrant Education Program. Each MEOP conducts an in-service to train their tutor/advocate on the correct completion of this form. When the tutor/advocate begins providing services they start documenting their activities on the student summer enrollment record forms. Forms are submitted throughout the summer period through early September. When the local MEOP data specialist receives the summer enrollment form he/she adds a new school history line for each migrant student receiving services. These enrollment lines are added as an "S" type of enrollment and have to contain the supplemental program services that were provided. If no supplemental services are listed for a "S" type of school history line, the migrant student will not count towards the category 2 count. No instructional bag drop off is counted as a supplemental service for the summer term. The summer enrollment forms are kept in hard copy at the local level.

In the space below, describe how the child count data are inputted, updated, and then organized by the student information system for child count purposes at the State level

The response is limited to 8,000 characters.

The local MEOPs (eleven) data entry specialists input all information related to regular year and summer services and upload this information to the state computer server located in the State ID/R & MIS-2000 office. The MIS-2000 coordinator monitors the MIS-2000 system weekly throughout the year. All data is checked for completeness and accuracy, and the MIS-2000 coordinator accesses all data inputted on MIS-2000 and compiles the information necessary to obtain the category 2 count. Local sites will notify the State MIS-2000 coordinator of possible duplicate students. The statewide coordinator will merge duplicate records which can only be merged on the state server by the coordinator. COE's completed on the MIS-2000 system are compared against COE's that arrive in the ID/R office by the MIS-2000 coordinator to insure both accurate data entry and that the COE's appear on the State server. The State server computer is responsible for producing the Category 1 and 2 counts. Additionally, local MEOP's receive reports listing eligible migrant children from the State server to compare against their local data counts. Data specialists then insure that eligible migrant children appear on the State lists.

If the data for the State's category 2 count were collected and maintained differently from the category 1 count, please describe each set of procedures.

The response is limited to 8,000 characters.

The category 2 numbers were also collected using MIS-2000.



**1.10.3.3 Methods Used To Count Children**

In the space below, respond to the following question: How was each child count calculated? Please describe the compilation process and edit functions that are built into your student information system(s) specifically to produce an accurate child count. In particular, describe how your system includes and counts only:

- Children who were between age 3 through 21;
- Children who met the program eligibility criteria (e.g., were within 3 years of a last qualifying move, had a qualifying activity);
- Children who were resident in your State for at least 1 day during the eligibility period (September 1 through August 31);
- Children who—in the case of Category 2—received a MEP-funded service during the summer or intersession term;
- Children once per age/grade level for each child count category.

The response is limited to 8,000 characters.

Through programming, our system only allows specific qualifying arrival dates, valid age ranges (3-21) as well as a child's eligibility expiration dates. Every time a child is entered on the computer system, a program checks to make sure that the child's age or grade status is eligible to be counted. If not, the computer refuses further data entry by relaying that the information is out of the range of acceptability. This also happens with eligibility expiration dates, residency dates and Qualifying Arrival Dates. Another check to insure an accurate child count is late name/first name matching. When a new Certificate of Eligibility is reviewed for eligibility, that name is then entered as a query of similar last/first names is made to ascertain if the spelling could be different (e.g. Hernandez vs. Hernandes). This activity is even more intensely engaged in when a child has made a move from another residence in the State to the current residence in New York State. If there is a close match, the date of birth, parents' names and other data are compared. If the information still continues to match somewhat closely, the recruiter is asked to revisit and determine if the person is the same. If the two separate children are the same person their records are merged to create one unique student. This insures the accuracy of the Category 1 count along with the Category 2 count. Safeguards for valid qualifying activities are taken by the Identification and Recruitment coordinator and assistant coordinator on each and every Certificate of Eligibility received and inputted in New York State. They both individually review each COE for completeness and validity, returning those to the recruiter when not acceptable. Every child that is entered onto the MIS-2000 database is assigned a unique number. Every time a data entry specialist at any of the MEOP sites enters a child's name, they must do a query based on child's unique number, last and first name and date of birth. If a match is found, then a new number is not reated, thus ensuring only unique students are counted. If a match is not found, the child is assigned a unique number. Through programming, our system only allows specific Qualifying Arrival Dates, valid age ranges (ages 3-21) as well as child eligibility expiration dates. If a child graduates or receives their GED the expiration date is manually changed to the date of graduation or the day they receive their GED by the regional data entry specialist. This information is collected by the tutor/advocate. Every time a child's data are entered on MIS-2000, a program checks to make sure that the child's age and grade status is eligible to be counted. If not, the program refuses further data entry as out of the range of acceptability. This also happens with eligibility expiration dates, residency dates and Qualifying Arrival Dates. Safeguards for valid qualifying activities are taken by the Identification and Recruitment coordinator and assistant coordinator on each and every Certificate of Eligibility received and inputted in New York State. They both individually review each Certificate of Eligibility for completeness and validity, returning those deemed unacceptable to recruiters stating why those COE's were rejected. Two comparisons were added to our category 1 and 2 counts to insure that no migrant children who turned 3 during the funding period (09/01/09-08/31/10) were counted if they departed or with withdrawn from the program before they turned 3.  
# ThirdBDay<=DepDate or DepDate is null  
# ThirdBday<=WithdrawDate or WithdrawDate is null  
The summer service code of 020(OSY Instructional Bag) is excluded from our category 2 child count comparisons to insure that migrant children who did not receive a face to face instructional or support service are not counted in our category 2 counts.

If your State's category 2 count was generated using a different system from the category 1 count, please describe each system separately.

The response is limited to 8,000 characters.

The category 2 numbers were also collected using MIS-2000.

### 1.10.3.4 Quality Control Processes

In the space below, respond to the following question: What steps are taken to ensure your State properly determines and verifies the eligibility of each child included in the child counts for the reporting period of September 1 through August 31 before that child's data are included in the student information system(s)?

The response is limited to 8,000 characters.

The New York State Migrant Education Programs Identification and Recruitment Project is a separate and independent entity not related to local Migrant Education Outreach Programs. All recruiters in New York State are hired, trained, and monitored by the Identification and Recruitment Program not the local MEOP. This quality control measure insures objectivity and impartiality in this process. The New York State Identification and Recruitment Office reviews every COE completed in the State. If approved the date of approval is entered onto the MIS-2000 computer system. If not acceptable, it is returned to the recruiter to complete, update, correct or to invalidate. If not approved, the local site is notified not to provide service to the migrant children until further information is obtained by the ID/R office. If the COE is not approved after further investigation, no services will be provided and no enrollment lines will be entered into MIS-2000.

The New York State Migrant Identification and Recruitment Program requires all newly identified migrant children/families census forms to have a parent/guardian signature. Exceptions are made, for example, for those individuals who are unable to write. This process helps assure that we receive the most accurate information possible on a child/family to determine eligibility. This combined with over 58 years of administrative identification and recruitment experience assures our MEP accuracy and efficiency in all Identification and Recruitment matters. The New York State Migrant Identification and Recruitment Office conducts one statewide and two regional trainings for recruiters. All new recruiters receive extensive Identification and Recruitment training by the Migrant Identification and Recruitment office staff and then are individually field trained by an experienced field recruiter for several weeks (2-4 weeks). The training consists of providing the Regulatory and Non-Regulatory Guidance to the new recruiter and explaining each.

The Buckley Act of 1974 (privacy) is explained to them, mock ID/R interviews conducted and training done on how to fill out all documents related to eligibility (COEs, etc). Qualifying agricultural industries are described along with qualified activities deemed acceptable. The New York State ID/R training manual is reviewed and explained to new recruiters. All recruiters are regularly visited in the field by the identification/Recruitment staff (ID/R Coordinator, Associate ID/R Coordinator, Veteran Recruiters) for quality control and recruiter effectiveness. A dedicated migrant recruiter statewide toll free 800 number is available to all recruiters to ask eligibility questions from the field regarding the eligibility of newly located children. E-mail access is also available along with electronic reports which list migrant children by MEOP, county and school districts. The New York State Migrant Education ID/R Program continues its recruiter skills self evaluation during 2009/10 based on the CONQIR model; a test evaluating their knowledge of various eligibility areas (e.g. "to join" issues). Based on their answers, the ID/R Coordinator and Associate Coordinator modify their training content to address these perceived weaknesses.

In the space below, describe specifically the procedures used and the results of any re-interview processes used by the SEA during the reporting period to test the accuracy of the State's MEP eligibility determinations. In this description, please include the number of eligibility determinations sampled, the number for which a test was completed, and the number found eligible.

The response is limited to 8,000 characters.

The New York State Migrant Education ID/R Program conducted a re-interview process during the summer of 2010 by creating and refining re-interview. On site face to face re-interviews were conducted on a random state wide sample of 50 certificate of eligibility (COE's). Based on the New York Migrant Identification/Recruitment Programs Office experience with the 2004 retrospective re-interview of migrant population, we knew a 300% over sample, which means 150 children's names would be needed in order to obtain a 100% response rate, and therefore the 300% over sample was run prior to any re-interviews. In all cases the initial sample population was exhausted for interview purposes before the over sample populations were re-interviewed. Four trained re-interviewers conducted the interviews. The instrument used to re-interview families was developed by the 1308 ConQIR Grant. The "Re-Interview Questionnaire" was field tested by 8 states for accuracy. The interviewers were giving a day's training on conducting the re-interview using the "Re-Interview Questionnaire." We assured that interviewers:

- Communicated with the families in their native language.
- Used a re-interview protocol that contains all data items used in making the original eligibility determination.
- All interviewers had a strong background in migrant identification and recruitment.
- Used non threatening tactics to obtain information.
- Were well trained in regard to the re-interview questionnaire.
- Were assigned geographical areas in the following manner:

100% of re-interviews completed by non-resident recruiter, that is, they never had recruited in the area they re-interviewed.

The results of the study of the 56 families/individuals concluded that all were eligible for the Migrant Education Program. 56 interviews were conducted to ensure the target number of 50 was obtained.

#### Quality Control Processes

During the spring of 2010, the New York State Migrant Identification and Recruitment Program conducted an 'Attrition Rate Study' on temporary agricultural worksites covering the period 9/1/07 to 8/31/08. The work sites reviewed in this study to ascertain that the annual worker turnover rate met the 90% threshold consisted of individual dairy farms, poultry farms, duck farms, chicken egg laying sites, meat processing facilities, and chicken processing facilities.

After months of re-interviewing migrant youth, families, farm owners, farm managers, migrant co-workers, reviewing migrant student/family data, 59 work sites statewide failed the attrition rate study's criteria.

All Migrant Education recruiters and Migrant Education sites statewide were notified that until further notice, no identification and recruitment of migrant children will be made at these sites with the exceptions noted in the 2008 Office of Migrant Education's Non-Regulatory

Guidance.

In the space below, respond to the following question: Throughout the year, what steps are taken by staff to check that child count data are inputted and updated accurately (and—for systems that merge data—consolidated accurately)?

The response is limited to 8,000 characters.

In New York, two statewide staff members are responsible for the quality control and management of the student count. Two annual twoday statewide trainings are hosted for the 11 regional MIS-2000 data entry specialists. The following are some of the topics included in the trainings:  
Proper school history enrollment by type (Academic, Summer, Residency Only);  
Definition of supplemental services;  
Needs assessment documentation/Priority of Service;  
Possible duplicate student canned reports;  
Reporting for academic and school year programs;  
Designing Reports to eliminate data entry errors;  
Health screen/Immunizations; and,  
Testing information.  
In addition, at least one on-site training per data entry specialist is conducted each year. Additional training is available upon request. The New York MIS-2000 director reviews each site individually to insure accuracy of information that is transferred to the New York State Server which serves as the statewide database. A toll free number is also available to data entry specialists for technical assistance.  
In the 2008/09 school year a State specific data entry manual was updated by the MIS-2000 director, 3 MEOP Directors, and 3 data entry specialists. This manual is now available on the New York State Migrant Programs web-site. This manual contains snapshots of different screens to visually provide proper enrollment techniques. This has been well received state wide. This manual is continuously changing to keep up with the ever changing needs of migrant children along with the new Migrant Student Information Exchange(MSIX) initiative.

In the space below, respond to the following question: What final steps are taken by State staff to verify the child counts produced by your student information system(s) are accurate counts of children in Category 1 and Category 2 prior to their submission to ED?

The response is limited to 8,000 characters.

One of the final processes to insure a unique student count in New York State is running reports which are generated using Soundex. Soundex reports compare similar names, and dates of birth. Other fields utilized to insure uniqueness are parents names, place of birth, current addresses and MEOP student service records. The ID/R director and the MIS-2000 director are the individuals responsible for comparing these reports. These records are merged insuring the child will only count once for the Category 1 & 2 counts. Once counts are completed they are shared and discussed with the NYS Migrant Program Manager and State Director for final approval and submission.

In the space below, describe those corrective actions or improvements that will be made by the SEA to improve the accuracy of its MEP eligibility determinations in light of the prospective re-interviewing results.

The response is limited to 8,000 characters.

Since no ineligibility cases were found as a result of New York States Migrant Education ID/R Programs prospective rolling re-interview initiative, no corrective actions were needed or taken.

In the space below, discuss any concerns about the accuracy of the reported child counts or the underlying eligibility determinations on which the counts are based.

The response is limited to 8,000 characters.

The New York State Migrant Education program has no concerns regarding the accuracy of the reported child counts or the underlying determinations on which the counts are based.