

## Appendix B1: Summary of Inspector General and Government Accountability Office Reports

For all Department of Education Inspector General reports for FY 2011, please visit the Inspector General's website at <http://www2.ed.gov/about/offices/list/oig/reports.html>.

For Government Accountability Office reports on education for FY 2011, please visit GAO's website at <http://www.gao.gov/docsearch/agency.php>.

### Relevant Inspector General Reports

#### Recovery Act

- States' Treasury-State Agreements Might Need to Include American Recovery and Reinvestment Act, Education Jobs Fund, and Other Similarly Funded Programs  
<http://www2.ed.gov/about/offices/list/oig/auditreports/AlertMemorandums/I05I0004.pdf>
- California: Use of Funds and Data Quality for Selected American Recovery and Reinvestment Act Programs  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a09k0002.pdf>
- Illinois: Use of Funds and Data Quality for Selected American Recovery and Reinvestment Act Programs  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a05k0005.pdf>
- Louisiana: Use of Funds and Data Quality for Selected American Recovery and Reinvestment Act Programs  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a06k0003.pdf>
- Missouri: Use of and Reporting on Selected American Recovery and Reinvestment Act of 2009 Program Funds  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a07k0002.pdf>
- Oklahoma: Use of Funds and Data Quality for Selected American Recovery and Reinvestment Act Programs  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a06k0002.pdf>
- Commonwealth of Pennsylvania's Local Educational Agencies' Systems of Internal Controls over American Recovery and Reinvestment Act Funds  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a03k0003.pdf>
- Systems of Internal Controls over Selected Recovery Act Funds in Puerto Rico  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a04k0001.pdf>
- South Carolina: Use of Funds and Data Quality for Selected American Recovery and Reinvestment Act Program  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a04k0005.pdf>
- South Carolina Governor's Office: Use of Funds and Data Quality for Selected American Recovery and Reinvestment Act Programs  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a04k0006.pdf>
- Utah: Use of Funds and Data Quality for Selected American Recovery and Reinvestment Act Programs  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a09k0001.pdf>

- Virginia: Use of Funds and Data Quality for Selected ARRA Funds  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a03k0008.pdf>
- Wisconsin: Milwaukee Public Schools: Use of Funds and Data Quality for Selected American Recovery and Reinvestment Act Programs  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a02k0009.pdf>

### **Elementary and Secondary Education-Related**

- Camden City Public School District's Administration of Federal Education Funds  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a02j0002.pdf>
- Camden City Public School District's Administration of its Supplemental Educational Services Program  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a02k0011.pdf>
- Insufficient Controls for the Puerto Rico Department of Education's Use of Education Funds for Personal Services Contracts  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/l04k0018.pdf>
- Kiryas Joel UFSD Title I, Part A of the Elementary and Secondary Education Act and Individuals with Disabilities Education Act Part B Expenditures  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a02k0003.pdf>
- Puerto Rico Department of Education Award and Administration of Personal Services Contracts  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a04j0005.pdf>

### **Federal Student Aid**

- Sallie Mae, Inc.'s Compliance with Selected Requirements of the Loan Participation Purchase Program Authorized by the Ensuring Continued Access to Student Loans Act of 2008  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a02k0002.pdf>
- Wells Fargo Bank, National Association's Management of Collection Account Funds and Oversight Activities under the Ensuring Continued Access to Student Loans Act  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a04j0019.pdf>
- Ashford University's Administration of the Title IV, Higher Education Act Programs  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a05i0014.pdf>
- Zions First National Bank's Management of Collection Account Funds and Oversight Activities Under the ECASLA Loan Participation Purchase Program  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a09j0009.pdf>
- Survey of Federal Student Aid Contracts and Guaranty Agency Agreements that Provide Information Technology Support or Services  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/x11l0002.pdf>
- Review of the Department of Education's Outreach Activities Related to the Student Aid and Fiscal Responsibility Act of 2009 for Compliance with Restrictions on Use of Appropriated Funds for Lobbying  
<http://www2.ed.gov/about/offices/list/oig/aireports/i13k0003.pdf>

- Investigative Program Advisory Report Distance Education Fraud Rings  
<http://www2.ed.gov/about/offices/list/oig/invtreports/l42l0001.pdf>

### Internal Operations

- Education Department Utility for Communications, Applications, and Technology Environment Information Security Audit  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a11l0001.pdf>
- The Effectiveness of the Department's Data Quality Review Processes  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a19k0010.pdf>
- Department's Processes for Validating the EDUCATE Contractor's Performance  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a19k0007.pdf>
- Closure of OIG Audit of the Appropriateness, Accuracy, and Timeliness of Processing Personnel Actions  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a19i0005.pdf>
- Educational Credit Management Corporation's 2006 Agreement with the United States Department of Education  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a05k0001.pdf>
- Investigative Program Advisory Report Incident Response and Reporting Procedures  
<http://www2.ed.gov/about/offices/list/oig/invtreports/l21l0001.pdf>
- Financial Statement Audits—Fiscal Years 2010 and 2009—U.S. Department of Education  
<http://www2.ed.gov/about/reports/annual/2010report/agency-financial-report.pdf>
- Financial Statement Audits for Fiscal Years 2010 and 2009—U.S. Department of Education Special Purpose Financial Statements  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/a17k0003.pdf>
- Financial Statement Audits for Fiscal Years 2010 and 2009—Federal Student Aid  
<http://www2.ed.gov/about/reports/annual/2010report/fsa-report.pdf>
- OIG's Independent Report on the Department's Detailed Accounting of Fiscal Year 2010 Drug Control Funds  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/b19l0001.pdf>
- OIG's Independent Report on the Department's Performance Summary Report for Fiscal Year 2010  
<http://www2.ed.gov/about/offices/list/oig/auditreports/fy2011/b19l0001a.pdf>

### Relevant Government Accountability Office Reports

For-Profit Schools: Large Schools and Schools that Specialize in Healthcare Are More Likely to Rely Heavily on Federal Student Aid

<http://www.gao.gov/docdblite/details.php?rptno=GAO-11-4>

Higher Education: Stronger Federal Oversight Needed to Enforce Ban on Incentive Payments to School Recruiters

<http://www.gao.gov/docdblite/details.php?rptno=GAO-11-10>

K-12 Education: Many Challenges Arise in Educating Students Who Change Schools Frequently

<http://www.gao.gov/products/GAO-11-40>

Charter Schools: Education Could Do More to Assist Charter Schools with Applying for Discretionary Grants

<http://www.gao.gov/docdblite/details.php?rptno=GAO-11-89>

K-12 Education: Selected Cases of Public and Private Schools That Hired or Retained Individuals with Histories of Sexual Misconduct

<http://www.gao.gov/docdblite/details.php?rptno=GAO-11-200>

Recovery Act: Head Start Grantees Expand Services, but More Consistent Communication Could Improve Accountability and Decisions about Spending

<http://www.gao.gov/docdblite/details.php?rptno=GAO-11-166>

Program Evaluation: Experienced Agencies Follow a Similar Model for Prioritizing Research

<http://www.gao.gov/products/GAO-11-176>

Department of Education: Improved Oversight and Controls Could Help Education Better Respond to Evolving Priorities

<http://www.gao.gov/products/GAO-11-194>

Elementary and Secondary Education Act: Potential Effects of Changing Comparability Requirements

<http://www.gao.gov/products/GAO-11-258>

District of Columbia Charter Schools: Criteria for Awarding School Buildings to Charter Schools Needs Additional Transparency

<http://www.gao.gov/products/GAO-11-263>

DOD Education Benefits: Further Actions Needed to Improve Oversight of Tuition Assistance Program

<http://www.gao.gov/products/GAO-11-389T>

DOD Education Benefits: Increased Oversight of Tuition Assistance Program Is Needed

<http://www.gao.gov/products/GAO-11-300>

Education of Military Dependent Students: Better Information Needed to Assess Student Performance

<http://www.gao.gov/products/GAO-11-231>

VA Education Benefits: Actions Taken, but Outreach and Oversight Could Be Improved

<http://www.gao.gov/products/GAO-11-256>

Financial Literacy: The Federal Government's Role in Empowering Americans to Make Sound Financial Choices

<http://www.gao.gov/products/GAO-11-504T>

Opportunities to Reduce Fragmentation, Overlap, and Potential Duplication in Federal Teacher Quality and Employment and Training Programs

<http://www.gao.gov/products/GAO-11-509T>

Opportunities to Reduce Potential Duplication in Federal Teacher Quality Programs

<http://www.gao.gov/products/GAO-11-510T>

Deaf and Hard of Hearing Children: Federal Support for Developing Language and Literacy

<http://www.gao.gov/products/GAO-11-357>

Federal Student Loans: Patterns in Tuition, Enrollment, and Federal Stafford Loan Borrowing Up to the 2007–08 Loan Limit Increase

<http://www.gao.gov/products/GAO-11-470R>

International School Feeding: USDA's Oversight of the McGovern-Dole Food for Education Program Needs Improvement

<http://www.gao.gov/products/GAO-11-544>

School Meal Programs: More Systematic Development of Specifications Could Improve the Safety of Foods Purchased through USDA's Commodity Program

<http://www.gao.gov/products/GAO-11-376>

Veterans' Education Benefits: Enhanced Guidance and Collaboration Could Improve Administration of the Post-9/11 GI Bill Program

<http://www.gao.gov/products/GAO-11-356R>

Financial Literacy: A Federal Certification Process for Providers Would Pose Challenges

<http://www.gao.gov/products/GAO-11-614>

Race to the Top: Reform Efforts Are Under Way and Information Sharing Could Be Improved

<http://www.gao.gov/products/GAO-11-658>

States Could Provide More Information on Education Programs to Enhance the Public's Understanding of Fund Use

<http://www.gao.gov/products/GAO-10-807>

Institutions' Reported Data Collection Burden Is Higher Than Estimated but Can Be Reduced through Increased Coordination

<http://www.gao.gov/products/GAO-10-871>

For-Profit Colleges: Undercover Testing Finds Colleges Encouraged Fraud and Engaged in Deceptive and Questionable Marketing Practices

<http://www.gao.gov/products/GAO-10-948T>

Improved Dissemination and Timely Product Release Would Enhance the Usefulness of the What Works Clearinghouse

<http://www.gao.gov/products/GAO-10-644>

Federal Student Loan Programs: Opportunities Exist to Improve Audit Requirements and Oversight Procedures

<http://www.gao.gov/products/GAO-10-668>

Recovery Act: Increasing the Public's Understanding of What Funds Are Being Spent on and What Outcomes Are Expected

<http://www.gao.gov/products/GAO-10-581>

Many States Collect Graduates' Employment Information, but Clearer Guidance on Student Privacy Requirements Is Needed

<http://www.gao.gov/products/GAO-10-927>

Student Loans: Federal Web-based Tool on Private Loans Would Pose Implementation Challenges and May Be Unnecessary

<http://www.gao.gov/products/GAO-10-990>

Cost and Legal Authority for Selected Financial Literacy Programs and Activities

<http://www.gao.gov/products/GAO-11-781R>

Disadvantaged Students: School Districts Have Used Title I Funds Primarily to Support Instruction

<http://www.gao.gov/products/GAO-11-595>

Financial Education and Counseling Pilot Program

<http://www.gao.gov/products/GAO-11-737R>

School Improvement Grants: Early Implementation Under Way, but Reforms Affected by Short Time Frames

<http://www.gao.gov/products/GAO-11-741>

Hurricanes Katrina and Rita: Temporary Emergency Impact Aid Provided Education Support for Displaced Students

<http://www.gao.gov/products/GAO-11-839>

Recovery Act Education Programs: Funding Retained Teachers, but Education Could More Consistently Communicate Stabilization Monitoring Issues

<http://www.gao.gov/products/GAO-11-804>

Recovery Act Education Programs: Survey of School Districts' Uses of Funds

<http://www.gao.gov/special.pubs/gao-11-885sp/index.htm>