

Department of Education
PROGRAM ADMINISTRATION
Fiscal Year 2022 Budget Request

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PROGRAM ADMINISTRATION

For carrying out, to the extent not otherwise provided, the Department of Education Organization Act, including rental of conference rooms in the District of Columbia and hire of three passenger motor vehicles, [~~\$430,000,000~~] \$480,000,000, of which up to \$13,000,000, to remain available until expended, shall be available for relocation expenses, and for the renovation and repair of leased buildings:¹ *Provided*, That notwithstanding any other provisions of law, none of the funds provided by this Act or provided by previous Appropriations Acts to the Department of Education available for obligation or expenditure in the current fiscal year may be used for any activity relating to implementing a reorganization that decentralizes, reduces the staffing level, or alters the responsibilities, structure, authority, or functionality of the Budget Service of the Department of Education, relative to the organization and operation of the Budget Service as in effect on January 1, 2018.² (*Department of Education Appropriations Act, 2021.*)

NOTE

Each language provision that is followed by a footnote reference is explained in the Analysis of Language Provisions and Changes document, which follows the appropriation language.

PROGRAM ADMINISTRATION

Analysis of Language Provisions and Changes

| Language Provision | Explanation |
|---|---|
| <u>1...of which up to \$13,000,000, to remain available until expended, shall be available for relocation expenses, and for the renovation and repair of leased buildings:</u> | This language sets aside non-expiring funds to relocate staff and to renovate and repair Department of Education occupied buildings. |
| ² <i>Provided</i> , That notwithstanding any other provisions of law, none of the funds provided by this Act or provided by previous Appropriations Acts to the Department of Education available for obligation or expenditure in the current fiscal year may be used for any activity relating to implementing a reorganization that decentralizes, reduces the staffing level, or alters the responsibilities, structure, authority, or functionality of the Budget Service of the Department of Education, relative to the organization and operation of the Budget Service as in effect on January 1, 2018. | This language prohibits the use of any funding to reduce staffing, decentralize, or change the structure, authority, responsibilities, functionality, or operation of the Department's Budget Service as it existed on January 1, 2018. |

PROGRAM ADMINISTRATION

Amounts Available for Obligation
(dollars in thousands)

| Appropriation and Adjustments | 2020 | 2021 | 2022 |
|---|---------------------------|----------------------------|---------------------------|
| Discretionary budget authority: | | | |
| Appropriation..... | \$430,000 | \$430,000 | \$480,000 |
| Supplemental, CARES (P.L. 116-136)..... | 8,000 | 0 | 0 |
| Supplemental, CRRSAA (P.L. 116-260) | <u>0</u> | <u>15,000</u> | <u>0</u> |
| Subtotal, discretionary appropriation | 438,000 | 445,000 | 480,000 |
| Mandatory budget authority: | | | |
| Supplemental, ARPA (P.L. 117-02) | <u>0</u> | <u>15,000</u> | <u>0</u> |
| Subtotal, mandatory appropriation | 0 | 15,000 | 0 |
| Total, discretionary and mandatory appropriation..... | 438,000 | 460,000 | 480,000 |
| Unobligated balance, start of year | 4,010 | 6,791 | 19,720 |
| Recovery of prior-year obligations | 87 | 0 | 0 |
| Unobligated balance expiring..... | -1,306 | 0 | 0 |
| Unobligated balance, end of year | <u>-6,791¹</u> | <u>-19,720²</u> | <u>-6,505³</u> |
| Total, direct obligations | 434,000 | 447,071 | 493,215 |

¹ FY 2020 Unobligated balance, end of year includes carry over for Building Modernization \$369,000, Hurricane Education Recovery Act (HERA) \$2.335 million, and CARES Act \$4.087 million.

² FY 2021 Unobligated balance, end of year includes carry over for HERA \$1.168 million, CRRSA Act \$8.786 million, and ARP Act \$9.766 million.

³ FY 2022 Unobligated balance, end of year includes carry over for CRRSA Act \$3.176 million and ARP Act \$3.329 million.

PROGRAM ADMINISTRATION

Obligations by Object Classification, Program Administration
(dollars in thousands)

| Object Class | | 2020 Actual | 2021 Appropriation | 2022 Request | Change from 2021 to 2022 |
|--------------|--------------------------------|----------------|-----------------------|-----------------|-----------------------------|
| 11.10 | Full-time permanent | \$171,828 | \$200,176 | \$207,490 | \$7,314 |
| 11.31 | Full-time temporary | 14,777 | 3,624 | 4,195 | 571 |
| 11.32 | Part-time | 1,815 | 423 | 432 | 9 |
| 11.33 | Consultants | 154 | 0 | 0 | 0 |
| 11.51 | Overtime | 429 | 618 | 619 | 1 |
| 11.52 | Awards | 2,356 | 3,674 | 4,712 | 1,038 |
| 11.8 | Other compensation | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| | Subtotal, Personnel Comp. | 191,359 | 208,515 | 217,448 | 8,933 |
| 12.00 | Benefits | 64,090 | 71,366 | 77,060 | 5,694 |
| 12.15 | Student Loan Repayment | 0 | 22 | 22 | 0 |
| 13.10 | Benefits for former personnel | <u>175</u> | <u>433</u> | <u>433</u> | <u>0</u> |
| | Subtotal, Benefits | 64,265 | 71,821 | 77,515 | 5,694 |
| 21.00 | Travel | 1,138 | 1,315 | 1,998 | 683 |
| 22.00 | Transportation of things | 4 | 0 | 0 | 0 |
| 23.10 | Rental Payments to GSA | 25,229 | 31,413 | 30,296 | -1,117 |
| 23.31 | Communications | 997 | 1,060 | 962 | -98 |
| 23.32 | Postage/fees | <u>136</u> | <u>140</u> | <u>132</u> | <u>-8</u> |
| | Subtotal, 23 | 26,362 | 32,613 | 31,390 | -1,223 |
| 24.00 | Printing & Reproduction | 1,074 | 995 | 1,005 | 10 |
| 25.10 | Advisory and Assistance Svcs | 2,547 | 3,039 | 3,499 | 460 |
| 25.21 | Other Services | 25,094 | 16,676 | 27,174 | 10,498 |
| 25.22 | Training/Tuition/Contracts | 1,364 | 2,198 | 2,810 | 612 |
| 25.23 | Field Readers | 26 | 34 | 0 | -34 |
| 25.30 | Goods/Services from Gov't | 23,070 | 16,741 | 15,554 | -1,187 |
| 25.40 | Operations/Maint of Facilities | 0 | 0 | 0 | 0 |
| 25.71 | Operations/Maint of Equipment | 1,975 | 1,351 | 1,452 | 101 |
| 25.72 | IT Services/Contracts | <u>75,716</u> | <u>74,025</u> | <u>86,782</u> | <u>12,757</u> |
| | Subtotal, 25 | 129,792 | 114,064 | 137,271 | 23,207 |
| 26.00 | Supplies | 268 | 475 | 472 | -3 |
| 31.01 | IT Equipment/Software | 921 | 717 | 662 | -55 |
| 31.03 | Other Equipment | <u>1,186</u> | <u>354</u> | <u>549</u> | <u>195</u> |
| | Subtotal, 31 | 2,107 | 1,071 | 1,211 | 140 |
| 32.00 | Building Alterations | 13,178 | 667 | 12,858 | 12,191 |
| 41.10 | Grants | 540 | 0 | 0 | 0 |
| 43.10 | Interest and Dividends | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| | Total, Obligations | 430,087 | 431,536 | 481,168 | 49,632 |
| | Total, Pers. Comp. & Ben. | 255,624 | 280,336 | 294,963 | 14,627 |
| | Total, Non-Personnel | 174,463 | 151,200 | 186,205 | 35,005 |

PROGRAM ADMINISTRATION

Obligations by Object Classification, Supplemental, CARES Act (P.L. 116-136)
(dollars in thousands)

| Object Class | | 2020 Actual | 2021 Appropriation | 2022 Request | Change from 2021 to 2022 |
|--------------|--------------------------------|----------------|-----------------------|-----------------|-----------------------------|
| 11.10 | Full-time permanent | 0 | 0 | 0 | 0 |
| 11.31 | Full-time temporary | 0 | \$2,509 | 0 | -\$2,509 |
| 11.32 | Part-time | 0 | 0 | 0 | 0 |
| 11.33 | Consultants | 0 | 0 | 0 | 0 |
| 11.51 | Overtime | \$167 | 150 | 0 | -150 |
| 11.52 | Awards | 3 | 0 | 0 | 0 |
| 11.8 | Other compensation | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| | Subtotal, Personnel Comp. | 170 | 2,659 | 0 | -2,659 |
| 12.00 | Benefits | 2 | 0 | 0 | 0 |
| 12.15 | Student Loan Repayment | 0 | 0 | 0 | 0 |
| 13.10 | Benefits for former personnel | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| | Subtotal, Benefits | 2 | 0 | 0 | 0 |
| 21.00 | Travel | 0 | 0 | 0 | 0 |
| 22.00 | Transportation of things | 0 | 0 | 0 | 0 |
| 23.10 | Rental Payments to GSA | 0 | 0 | 0 | 0 |
| 23.31 | Communications | 0 | 0 | 0 | 0 |
| 23.32 | Postage/fees | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| | Subtotal, 23 | 0 | 0 | 0 | 0 |
| 24.00 | Printing & Reproduction | 8 | 0 | 0 | 0 |
| 25.10 | Advisory and Assistance Svcs | 2,571 | 1,428 | 0 | -1,428 |
| 25.21 | Other Services | 0 | 0 | 0 | 0 |
| 25.22 | Training/Tuition/Contracts | 0 | 0 | 0 | 0 |
| 25.23 | Field Readers | 0 | 0 | 0 | 0 |
| 25.30 | Goods/Services from Gov't | 646 | 0 | 0 | 0 |
| 25.40 | Operations/Maint of Facilities | 0 | 0 | 0 | 0 |
| 25.71 | Operations/Maint of Equipment | 0 | 0 | 0 | 0 |
| 25.72 | IT Services/Contracts | <u>324</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| | Subtotal, 25 | 3,541 | 1,428 | 0 | -1,428 |
| 26.00 | Supplies | 192 | 0 | 0 | 0 |
| 31.01 | IT Equipment/Software | 0 | 0 | 0 | 0 |
| 31.03 | Other Equipment | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| | Subtotal, 31 | 0 | 0 | 0 | 0 |
| 32.00 | Building Alterations | 0 | 0 | 0 | 0 |
| 41.10 | Grants | 0 | 0 | 0 | 0 |
| 43.10 | Interest and Dividends | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| | Total, Obligations | 3,913 | 4,087 | 0 | -4,087 |
| | Total, Pers. Comp. & Ben. | 172 | 2,659 | 0 | -2,659 |
| | Total, Non-Personnel | 3,741 | 1,428 | 0 | -1,428 |

PROGRAM ADMINISTRATION

Obligations by Object Classification, Supplemental, CRRSA Act (P.L. 116-260)
(dollars in thousands)

| Object Class | | 2021 Appropriation | 2022 Request | Change from 2021 to 2022 |
|--------------|--------------------------------|-----------------------|-----------------|-----------------------------|
| 11.10 | Full-time permanent | 0 | 0 | 0 |
| 11.31 | Full-time temporary | \$4,454 | \$5,374 | \$920 |
| 11.32 | Part-time | 0 | 0 | 0 |
| 11.33 | Consultants | 0 | 0 | 0 |
| 11.51 | Overtime | 210 | 0 | -210 |
| 11.52 | Awards | 0 | 0 | 0 |
| 11.8 | Other compensation | <u>0</u> | <u>0</u> | <u>0</u> |
| | Subtotal, Personnel Comp. | 4,664 | 5,374 | 710 |
| 12.00 | Benefits | 0 | 0 | 0 |
| 12.15 | Student Loan Repayment | 0 | 0 | 0 |
| 13.10 | Benefits for former personnel | <u>0</u> | <u>0</u> | <u>0</u> |
| | Subtotal, Benefits | 0 | 0 | 0 |
| 21.00 | Travel | 60 | 0 | -60 |
| 22.00 | Transportation of things | 0 | 0 | 0 |
| 23.10 | Rental Payments to GSA | 0 | 0 | 0 |
| 23.31 | Communications | 0 | 0 | 0 |
| 23.32 | Postage/fees | <u>0</u> | <u>0</u> | <u>0</u> |
| | Subtotal, 23 | 0 | 0 | 0 |
| 24.00 | Printing & Reproduction | 0 | 0 | 0 |
| 25.10 | Advisory and Assistance Svcs | 0 | 0 | 0 |
| 25.21 | Other Services | 1,370 | 206 | -1,164 |
| 25.22 | Training/Tuition/Contracts | 60 | 30 | -30 |
| 25.23 | Field Readers | 0 | 0 | 0 |
| 25.30 | Goods/Services from Gov't | 60 | 0 | -60 |
| 25.40 | Operations/Maint of Facilities | 0 | 0 | 0 |
| 25.71 | Operations/Maint of Equipment | 0 | 0 | 0 |
| 25.72 | IT Services/Contracts | <u>0</u> | <u>0</u> | <u>0</u> |
| | Subtotal, 25 | 1,490 | 236 | -1,254 |
| 26.00 | Supplies | 0 | 0 | 0 |
| 31.01 | IT Equipment/Software | 0 | 0 | 0 |
| 31.03 | Other Equipment | <u>0</u> | <u>0</u> | <u>0</u> |
| | Subtotal, 31 | 0 | 0 | 0 |
| 32.00 | Building Alterations | 0 | 0 | 0 |
| 41.10 | Grants | 0 | 0 | 0 |
| 43.10 | Interest and Dividends | <u>0</u> | <u>0</u> | <u>0</u> |
| | Total, Obligations | 6,214 | 5,610 | -604 |
| | Total, Pers. Comp. & Ben. | 4,664 | 5,374 | 710 |
| | Total, Non-Personnel | 1,550 | 236 | -1,314 |

PROGRAM ADMINISTRATION

Obligations by Object Classification, Supplemental, ARP Act (P.L. 117-02)
(dollars in thousands)

| Object Class | | 2021 Appropriation | 2022 Request | Change from 2021 to 2022 |
|--------------|--------------------------------|-----------------------|-----------------|-----------------------------|
| 11.10 | Full-time permanent | 0 | 0 | 0 |
| 11.31 | Full-time temporary | \$1,077 | \$5,267 | \$4,190 |
| 11.32 | Part-time | 0 | 0 | 9 |
| 11.33 | Consultants | 0 | 0 | 0 |
| 11.51 | Overtime | 160 | 0 | -160 |
| 11.52 | Awards | 0 | 0 | 0 |
| 11.8 | Other compensation | <u>0</u> | <u>0</u> | <u>0</u> |
| | Subtotal, Personnel Comp. | 1,237 | 5,267 | 4,030 |
| 12.00 | Benefits | 0 | 0 | 0 |
| 12.15 | Student Loan Repayment | 0 | 0 | 0 |
| 13.10 | Benefits for former personnel | <u>0</u> | <u>0</u> | <u>0</u> |
| | Subtotal, Benefits | 0 | 0 | 0 |
| 21.00 | Travel | 0 | 150 | 150 |
| 22.00 | Transportation of things | 0 | 0 | 0 |
| 23.10 | Rental Payments to GSA | 0 | 0 | 0 |
| 23.31 | Communications | 0 | 0 | 0 |
| 23.32 | Postage/fees | <u>0</u> | <u>0</u> | <u>0</u> |
| | Subtotal, 23 | 0 | 0 | 0 |
| 24.00 | Printing & Reproduction | 0 | 0 | 0 |
| 25.10 | Advisory and Assistance Svcs | 0 | 0 | 0 |
| 25.21 | Other Services | 177 | 0 | -177 |
| 25.22 | Training/Tuition/Contracts | 110 | 0 | -110 |
| 25.23 | Field Readers | 0 | 0 | 0 |
| 25.30 | Goods/Services from Gov't | 210 | 0 | -210 |
| 25.40 | Operations/Maint of Facilities | 0 | 0 | 0 |
| 25.71 | Operations/Maint of Equipment | 0 | 0 | 0 |
| 25.72 | IT Services/Contracts | <u>3,500</u> | <u>1,020</u> | <u>-2,480</u> |
| | Subtotal, 25 | 3,997 | 1,020 | -2,977 |
| 26.00 | Supplies | 0 | 0 | 0 |
| 31.01 | IT Equipment/Software | 0 | 0 | 0 |
| 31.03 | Other Equipment | <u>0</u> | <u>0</u> | <u>0</u> |
| | Subtotal, 31 | 0 | 0 | 0 |
| 32.00 | Building Alterations | 0 | 0 | 0 |
| 41.10 | Grants | 0 | 0 | 0 |
| 43.10 | Interest and Dividends | <u>0</u> | <u>0</u> | <u>0</u> |
| | Total, Obligations | 5,234 | 6,437 | 1,203 |
| | Total, Pers. Comp. & Ben. | 1,237 | 5,267 | 4,030 |
| | Total, Non-Personnel | 3,997 | 1,170 | -2,827 |

PROGRAM ADMINISTRATION

Summary of Changes
(dollars in thousands)

| | |
|---|----------------|
| 2021 | \$430,000 |
| 2021 Supplemental, CRRSA Act (P.L. 116-260) (non-add)..... | (15,000) |
| 2021 Mandatory Supplemental, ARP Act (P.L. 117-02) (non-add). | (15,000) |
| 2022 | <u>480,000</u> |
| Net Change..... | +50,000 |

| | <u>2021</u> <u>Appropriation</u> | <u>Change</u> <u>from 2021</u> |
|--|-------------------------------------|-----------------------------------|
| Increases: | | |
| <u>Built in:</u> | | |
| Increase in salaries and benefits for increased FTE, benefits for FERS contribution rates, and a 2.7 percent pay raise. | \$273,142 | +\$13,932 |
| <u>Program:</u> | | |
| Increase in awards per OPM guidance. | 3,674 | +1,038 |
| Increase in travel per post COVID-19. | 1,315 | +683 |
| Increase in printing. | 995 | +10 |
| Increase in advisory and assistance services primarily for Information Collection and Forms Management Support and Randolph Sheppard Arbitrations. | 3,039 | +460 |
| Increase in other services primarily for the motor vehicle operator services, EDstar Public Key Infrastructure (PKI) certificates, Policy and Program Studies Services (PPSS) Analysis Resources and Quality assurance Special projects, Consolidated State Performance Report (CSPR) Data collection, Enterprise Data Solutions for Support Efficiency and Modernization, Privacy Safeguards, Education Technology project, and the Office of Non-Public Education (ONPE) Technical Assistance and Stakeholder Engagement Plan. | 16,676 | +10,498 |
| Increase in training primarily for continuous learning requirements. | 2,198 | +612 |
| Increase in operations/maintenance of equipment. | 1,351 | +101 |

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Summary of Changes (dollars in thousands)

| | <u>2021</u> <u>Appropriation</u> | <u>Change</u> <u>from 2021</u> |
|--|-------------------------------------|-----------------------------------|
| Increases: | | |
| <u>Program:</u> | | |
| Increase in IT services and contracts for IT primarily for ED Data Express, Salary and Expense Payroll Database and Modeling, Enterprise Cybersecurity Programs, Portfolio of Integrated Value-Oriented Technologies (PIVOT) projects, CIO Innovations, Assistive Technology Support Assistance Program and Education's Central Automated Processing System (EDCAPS): G-5 Grants Management System Redesign project. | 74,025 | +12,757 |
| Increase in other equipment for modernization projects in Headquarters offices and regional offices. | 354 | +195 |
| Increase in building alterations for modernization projects in Headquarters offices in LBJ and PCP, and regional offices. | 298 | <u>+12,560</u> |
| Subtotal, increases | | +52,846 |
| | | |
| Decreases: | | |
| <u>Built in:</u> | | |
| Decrease in rent. | \$31,413 | -\$1,117 |
| <u>Program:</u> | | |
| Decrease in benefits in Central Support transit benefits and workers compensation. | 1,447 | -344 |
| Decrease in communications. | 1,060 | -98 |
| Decrease in Postage and Fees. | 140 | -8 |
| Decrease in field readers. | 34 | -34 |
| Decrease in goods and services mainly due to reduced costs of personnel security. | 16,741 | -1,187 |
| Decrease in supplies. | 475 | -3 |
| Decrease in IT Equipment and software. | 717 | <u>-55</u> |
| Subtotal, decreases | | -2,846 |
| Net Change | | +50,000 |

PROGRAM ADMINISTRATION

Authorizing Legislation
(dollars in thousands)

| Activity | 2021 Authorized | 2021 Appropriation | 2022 Authorized | 2022 Request |
|-------------------------------|--------------------|-----------------------|--------------------|-----------------|
| Salaries and Expenses (DEOA) | Indefinite | \$430,000 | Indefinite | \$467,000 |
| Building Modernization (DEOA) | <u>Indefinite</u> | <u>0</u> | <u>Indefinite</u> | <u>13,000</u> |
| Total appropriation | | 430,000 | | 480,000 |

PROGRAM ADMINISTRATION

Appropriations History (dollars in thousands)

| Year | Budget Estimate to Congress | House Allowance | Senate Allowance | Appropriation |
|---|-----------------------------------|------------------------|------------------------|-----------------------|
| 2013 | \$448,470 | \$446,259 ¹ | \$448,470 ⁴ | \$430,605 |
| 2014 | 430,605 | N/A ² | 422,917 ⁵ | 422,917 |
| 2015 | 442,000 | N/A ³ | 426,917 ⁶ | 418,260 |
| 2016 | 474,089 | 410,000 ⁴ | 391,326 ⁷ | 432,000 |
| 2017 | 474,827 | 432,000 ⁵ | 432,000 ⁸ | 432,000 |
| 2018 | 429,066 | 287,157 ⁶ | 430,000 ⁹ | 430,000 ⁹ |
| 2019 | 459,257 | 430,000 ⁷ | 430,000 ¹⁰ | 430,000 ¹⁰ |
| 2020 | 447,000 | 424,000 | 430,000 ⁸ | 430,000 ¹¹ |
| 2020 Supplemental, CARES Act (P.L. 116-136) | 0 | 0 | 0 | 8,000 ⁹ |
| 2021 | 448,723 | 426,000 ¹⁰ | 430,000 ¹³ | 430,000 ¹³ |
| 2021 Supplemental, CRRSA Act (P.L. 116-260) | 0 | 0 | 0 | 15,000 ¹¹ |
| 2021 Mandatory Supplemental, ARP Act (P.L. 117-02) | 0 | 0 | 0 | 15,000 ¹² |
| 2022 | 480,000 | | | |

¹ The levels for the House and Senate allowances reflect action on the regular annual 2013 appropriations bill, which proceeded in the 112th Congress only through the House Subcommittee and the Senate Committee.

² The House allowance is shown as N/A because there was no Subcommittee action; Senate allowance reflects Committee action only.

³ The House allowance is shown as N/A because there was no Subcommittee action; Senate allowance reflects Senate Subcommittee action only.

⁴ The levels for House and Senate allowances reflect action on the regular annual 2016 appropriations bill, which proceeded in the 114th Congress only through the House Committee and Senate Committee.

⁵ The levels for the House and Senate allowances reflect Committee action on the regular annual 2017 appropriations bill; the Appropriation reflects the Consolidated Appropriations Act, 2017.

⁶ The level for the House allowance reflects floor action on the Omnibus appropriation bill; the Senate allowance reflects Committee action on the regular annual 2018 appropriations bill; the Appropriation reflects the Consolidated Appropriations Act, 2018 (P.L. 115-141).

⁷ The levels for the House and Senate Allowance reflect Committee action on the regular annual 2019 appropriations bill; the Appropriation reflects enactment of the Department of Defense and Labor, Health and Human Services, and Education Appropriations Act, 2019 (P.L. 115-245).

⁸ The Senate Allowance reflects the Chairman's mark; the Appropriation reflects the Further Consolidated Appropriations Act, 2020 (P.L. 116-94).

⁹ The Appropriation reflects the supplemental funds from the Coronavirus Aid, Relief, and Economic Security Act (P.L. 116-136).

¹⁰ The level for the House allowance reflects subcommittee action. The level for the Senate Allowance reflects the Chairman's mark; the Appropriation reflects Division H of the FY 2021 Consolidated Appropriations Act, 2021 (P.L. 116-260).

¹¹ The Appropriation reflects supplemental funds from Division M of the FY 2021 Consolidated Appropriations Act, 2021 (P.L. 116-260).

¹² The Appropriation reflects the American Rescue Plan Act of FY 2021 (P.L. 117-2).

PROGRAM ADMINISTRATION

Significant Items in FY 2021 Appropriations Report

FTE and Attrition Report

- House: The Committee directs the Department to provide the committees on Appropriations a report, not later than 30 days after the conclusion of each quarter, detailing the number of full-time equivalent employees and attrition by principal office and appropriations account.
- Senate: The Committee requests the Department to continue to provide a report not later than 30 days after the conclusion of each quarter detailing the number of full-time equivalent employees and attrition by principal office and appropriations account.
- Response: The Department will comply as directed.

Vaping

- House: The Committee directs the Department to coordinate with the Centers for Disease Control and Prevention (CDC) to ensure that evidence-based strategies are used to more robustly respond to the public health epidemic caused by the dramatic increase in youth use of e-cigarettes, including school-based interventions.
- Response: The Department will explore opportunities to coordinate with the CDC on outreach and technical assistance to schools regarding the dangers of vaping for children and youth, which may include the sharing of best practices and information on evidence-based interventions, to the extent that such interventions are available.

Lead Testing and Remediation

- House: The Committee directs the Department to continue coordinating with Environmental Protection Agency (EPA) in disseminating guidance and resources related to lead testing and remediation in schools, assisting school systems seeking to remediate lead contamination in working with EPA, and sharing best practices among States and local school systems. The Committee expects the Department to report on the Department's ongoing efforts on these issues in the fiscal year 2022 Congressional Budget Justification.
- Response: While the Department has in the past engaged in one-time coordination and outreach activities with the EPA on the issues of lead testing and remediation in schools, it does not have the capacity or resources to carry out such activities on an ongoing basis. However, the Department will explore options for disseminating EPA guidance and best practices on lead testing and remediation in schools through one or more of its technical assistance centers or networks, to the extent that such dissemination is consistent with the mission and purpose of these technical assistance centers.

PROGRAM ADMINISTRATION

Significant Items in FY 2021 Appropriations Report

Study of the Impact of Natural Disasters on Educational Attainment and Economic Outcomes

- House: To help better inform Congress, the Executive Branch, and communities affected by natural disasters, the Committee directs the Department to complete a study on the impact of recent natural disasters on the educational attainment and economic outcomes of individuals and families over time. Such a study could also explore the effects that extreme weather events have on social and emotional learning and other social determinants of health like physical and mental health, nutrition, and sense of community. The Committee directs the Department to brief the Committees on Appropriations on its plans for this study within 180 days of enactment of this Act.
- Response: The American Rescue Plan Act of 2021 includes \$100 million for the Institute of Education Sciences to carry out research related to addressing learning loss caused by the coronavirus among students, including students experiencing homelessness and children and youth in foster care. This research is expected to address many of the contextual factors associated with lost instructional time and the interventions required to mitigate learning loss, such as the impact of the pandemic on students, families, teachers, and communities, that will increase our understanding of the impact of similarly traumatic or violent events—such as natural disasters—on educational outcomes.

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DEPARTMENT OF EDUCATION FISCAL YEAR 2022 PRESIDENT'S BUDGET
(in thousands of dollars)

Z-14

| | Cat Code | 2020 Appropriation | 2021 Appropriation | 2022 Request | 2022 Request Compared to 2021 Appropriation | |
|--------------------------------------|----------|-----------------------|-----------------------|-----------------|--|---------------|
| | | | | | Amount | Percent |
| Program Administration (DEOA) | | | | | | |
| 1. Salaries and expenses | D | 430,000 | 430,000 | 467,000 | 37,000 | 8.60% |
| 2. Building Modernization | D | 0 | 0 | 13,000 | 13,000 | --- |
| Total | | 430,000 | 430,000 | 480,000 | 50,000 | 11.63% |

NOTES: D = discretionary program; M = mandatory program
Detail may not add to totals due to rounding.

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Salaries and Expenses

(Department of Education Organization Act)

(dollars in thousands)

FY 2022 Authorization: Indefinite

Budget Authority:

| | <u>2021</u> <u>Appropriation</u> | <u>2022</u> <u>Request</u> | <u>Change from</u> <u>2021 to 2022</u> |
|--|-------------------------------------|-------------------------------|---|
| Personnel Compensation and Benefits | | | |
| Costs | \$279,169 | \$293,795 | +\$14,626 |
| Non-Personnel Costs | <u>150,831</u> | <u>173,205</u> | <u>+22,374</u> |
| Total | 430,000 | 467,000 | +37,000 |
| FTE | 1,580 ¹ | 1,603 ² | +23 |
| Supplemental Appropriation, CRRSA Act (P.L. 116-260) | 15,000 | 0 | -15,000 |
| Mandatory Supplemental Appropriation, ARP Act (P.L. 117-02) | 15,000 | 0 | -15,000 |

¹ Fiscal Years 2021 and 2022 FTE totals excludes CARES, CRRSA, and ARP Act FTE.

² Fiscal Year 2022 FTE totals excludes CRRSA and ARP Act FTE.

PROGRAM DESCRIPTION

The Program Administration account provides a significant portion of the funding and staff to administer the Department's programs and activities in the following offices:

Program offices:

- Office of Postsecondary Education
- Office of Elementary and Secondary Education
- Office of English Language Acquisition, Language Enhancement, and Academic Achievement for Limited English Proficient Students
- Office of Special Education and Rehabilitative Services
- Office of Career, Technical, and Adult Education
- Institute of Education Sciences

Staff offices:

- Office of the Secretary
- Office of the Under Secretary
- Office of the General Counsel

PROGRAM ADMINISTRATION

Office of Finance and Operations
Office of Planning, Evaluation, and Policy Development
Office of Communications and Outreach
Office of Legislation and Congressional Affairs
Office of the Chief Information Officer

Program offices are the front-line administrators of the Department's programs, providing leadership and financial support for education programs assisting preschoolers; elementary, secondary, and postsecondary students; and adults. Program offices are critical to managing the Department's multi-billion dollar program budget through activities including grant making, grantee technical assistance, grant monitoring, and contract management. In addition, the offices support research and demonstration projects to improve education and disseminate information on research findings and education statistics.

Staff offices provide the legal, management, and financial support needed to help the Department fulfill its mission. These offices are vital to the day-to-day operation of the Department and provide policy leadership and administration of key Departmental priorities.

Funding levels for the past 5 fiscal years were:

| Fiscal Year | (dollars in thousands) |
|-----------------------------|------------------------|
| 2017 | \$432,000 |
| 2018 | 430,000 |
| 2019 | 430,000 |
| 2020 | 430,000 |
| 2020 CARES Act..... | 8,000 |
| 2021 | 430,000 |
| 2021 CRRSA Act..... | 15,000 |
| 2021 Mandatory ARP Act..... | 15,000 |

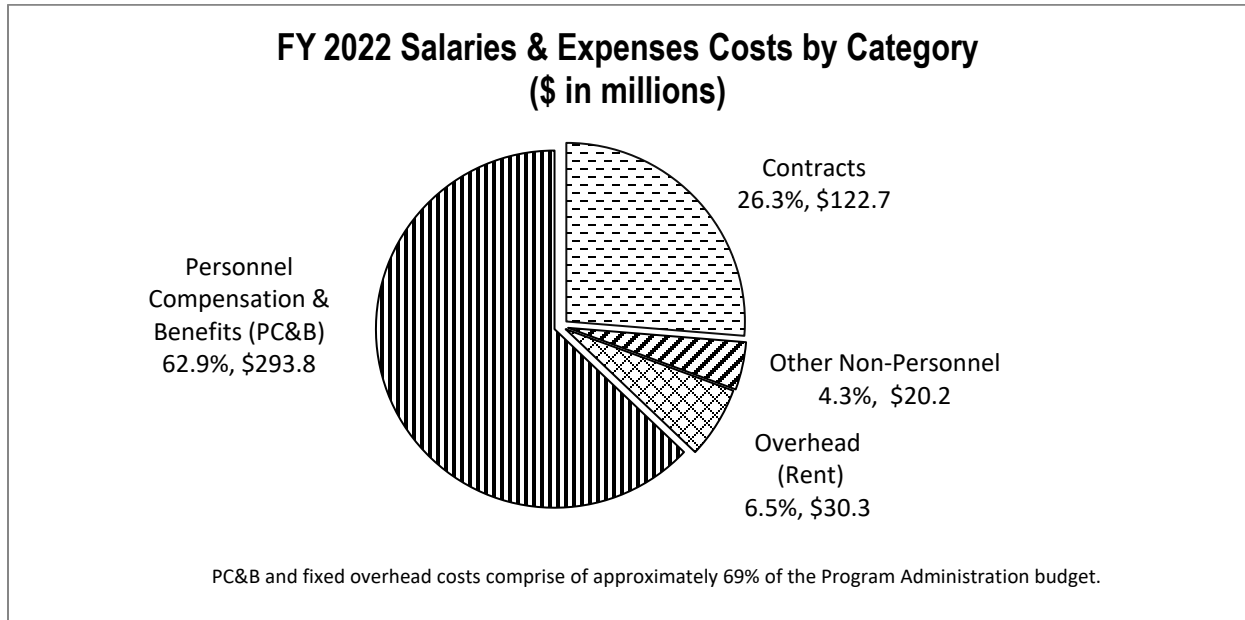
FY 2022 BUDGET REQUEST

The Administration is requesting \$467.0 million for the fiscal year 2022 Salaries and Expenses budget, \$37.0 million more than the fiscal year 2021 Appropriation level. Appropriations for the Program Administration account have remained steady in recent years, but they have not kept pace with inflation and increases in pay rates, physical and information technology (IT) security needs, and other Government requirements prompted by IT security breaches in other Federal agencies and the private sector. These required increases have largely been funded through staff and rent reductions. Unfortunately, staff levels have reached an unsustainable tipping point, while continued rent reductions require increased funding for necessary space renovation investments.

The chart below provides detail on the Program Administration request by type of cost.

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Salaries and Expenses



STAFFING AND COSTS SUMMARY

The fiscal year 2022 request for Program Administration would support 1,603 FTE, an increase of 23 FTE above fiscal year 2021. Decreases in some offices offset increases in other offices. Increases include 12 FTE in the Office of the Chief Information Officer and 14 FTE in the Office of Postsecondary Education to fill critical vacancies. Both of these offices have suffered from key staff departures, as well as difficulty in filling highly skilled positions. We are providing 7 additional FTE to address staffing needs of IES. Lastly, small increases are being requested in the Office of the Secretary, Office of English Language Acquisition, Office of Career, Technical, and Adult Education, and Office of Under Secretary for filling key vacancies. The request also includes pay raise increases of 1 percent for fiscal year 2021 and a 2.7 percent pay raise in fiscal year 2022, plus health and FERS benefits increases in both years.

Personnel Compensation and Benefits (PC&B) Costs

The fiscal year 2022 request includes \$293.8 million for Personnel Compensation and Benefits to support six program and eight staff offices; \$14.6 million more than the fiscal year 2021 Appropriation level. The increase is due to an increase of 23 FTE, a proposed 2.7 percent pay raise, and increased health and FERS benefits contribution rates. The overall increase above the 2021 level for the pay raise, increased health and FERS benefits rates totals \$9.1 million; the increase for the additional FTE totals \$4.4 million; and an increase of \$1.1 for transit benefits and awards.

Staffing levels are expected to stabilize in fiscal years 2021 and 2022 after a sizable decline over the past decade. A 10-year history of staffing is shown in the following table.

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Program Administration FTE Usage (Fiscal Year 2013 – FY 2022)

| FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 | FY 2020 | FY 2021 Est. | FY 2022 Est. |
|---------|---------|---------|---------|---------|---------|---------|---------|--------------|--------------|
| 1,942 | 1,898 | 1,912 | 1,888 | 1,753 | 1,585 | 1,500 | 1,489 | 1,580 | 1,603 |

Note: Fiscal Years 2021 and 2022 FTE usage excludes CARES, CRRSA, and ARP Act FTE.

Non-Personnel Costs

The total request for Non-Personnel activities for fiscal year 2022 is \$173.2 million, \$22.3 million more than the fiscal year 2021 Appropriation level. Non-Personnel costs cover such items as travel, rent, mail, telephones, utilities, printing, Information Technology (IT), contractual services, equipment, supplies, and other overhead departmental services.

Departmental Centralized Services

Approximately 70 percent of the total non-personnel budget request covers Program Administration's share of the Department's centralized services. The centralized services request totals \$114.8 million for fiscal year 2022, \$10.6 million above the fiscal year 2021 Appropriation level. Some of the major costs in centralized services include overhead costs for: interagency agreements for services such as payroll processing, security rent-guard services, and background security investigations. Centralized services also include communications and IT equipment, services, support, maintenance, and improvements. The third major component of the Department's centralized services is rent. The Building Modernization justification provides information about the investments ED has made that have successfully brought down rent costs, as well as the need to continue such investments and the return they can provide.

Departmental Centralized Services (dollars in thousands)

| Item | 2021 Appropriation | 2022 Request | Difference |
|------------------------------------|-----------------------|------------------|-----------------|
| Rent | \$31,413 | \$30,296 | -\$1,117 |
| Other Central Support | 27,780 | 31,085 | 3,305 |
| Central IT | 44,979 | 53,397 | 8,418 |
| Total, Centralized Services | \$104,172 | \$114,778 | \$10,606 |

The following section provides information on projects for centralized services.

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Centralized Services - IT

IT Security

The Office of the Chief Information Officer oversees IT projects that provide security for the Department's IT systems.

The Program Administration request for these projects is \$24.5 million, \$5.8 million more than the fiscal year 2021 Appropriation level. The increases are due to cost increases in cybersecurity, HSPD-12/PIV, security management system, and Public Key Infrastructure (PKI) certificate costs. Additional IT funding is provided by the Department's other Salaries and Expenses accounts. The total request across all accounts for these projects is \$49.8 million.

A primary focus of the IT security funds will be to support Enterprise Cybersecurity Program (ECP) investments aimed to improve security for the PIVOT infrastructure and the applications and systems hosted therein. ECP funds will also ensure that the large number of applications and systems externally hosted at contractor-managed sites across the country are fully compliant with Federal and Department cybersecurity and privacy policies and guidelines.

The ECP projects and investment goals include:

- enhanced protection of high value assets;
- robust implementation of the Department of Homeland Security's Cybersecurity Framework functions (Identify, Protect, Detect, Respond, Recover);
- improved situational awareness;
- enhanced network monitoring;
- timely incident response;
- consistent and formal security authorizations for all Federal Information Security Modernization Act information systems;
- timely mitigation of vulnerabilities to acceptable risk levels; and,
- updated documentation of ECP policies, processes and procedures to conform with the National Institute of Standards and Technology guidelines for risk-based security authorization.

PIVOT

The Portfolio of Integrated Value-Oriented Technologies (PIVOT) contracts are the largest dollar value contracts funded by the Program Administration account and are essential to operations in every Department office. PIVOT services include but are not limited to: desktop, helpdesk, hardware, software, network connectivity (including remote network access), data storage, technical support, email, wireless, and mobile phone services. PIVOT is comprised of the following PIVOT investments: Hosting; Integration; Mobile; Network; Printing; and Oversight. The portfolio of services is created to enhance user experience and foster innovation to improve efficiency and opportunities for improved services.

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All PIVOT contracts have been awarded including the Networks (PIVOT N) contract, which was awarded August 12, 2020. PIVOT-N will serve as the Department's new GSA Enterprise Infrastructure Solution (EIS) contract. PIVOT-O initial contract expired in March 2021 and a bridge contract was done to extend the services to October 2021, until the recomplete is complete.

The PIVOT framework consists of six contracts, shown in the table below.

Portfolio of Integrated Value-Oriented Technologies (PIVOT)

| Contract | Description | Award Date | Period of Performance |
|--|--|--------------|----------------------------|
| PIVOT - Integrator and End-User Services (I) Enterprise Integration | The PIVOT-I Contractor is responsible for the technical management and integration of PIVOT IT services. Through Operating Level Agreements with PIVOT service providers mirrored in contractual SLAs and performance commitments, the PIVOT-I Contractor ensures that the end-to-end user experience (e.g., desktop services, helpdesk services, remote access, etc.) meets contractually obligated operational parameters. | October 2018 | November 2018 - April 2026 |
| PIVOT - Hosting (H) Enterprise Hosting | The PIVOT-H Contractor is responsible for providing a system/application hosting environment, data storage service and Disaster Recovery services. Although it is preferred that tools and services in use be hosted with PIVOT-H there are cases that present special circumstances that require approval for hosting/ services outside of the PIVOT-H enterprise. | June 2018 | July 2018 – December 2022 |
| PIVOT - Network (N) Enterprise MNS | The PIVOT-N Contractors are responsible for providing GSA EIS Managed Network Services, LAN, WAN, Telecommunications and wireless connectivity throughout the PIVOT infrastructure to facilitate all PIVOT IT services. | April 2020 | September 2020 - July 2032 |

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| Contract | Description | Award Date | Period of Performance |
|--|---|----------------|---------------------------------|
| PIVOT - Oversight (O) Enterprise Oversight | Program & Project Management Support, IT Performance Monitoring and IT Technical Expertise. | August 2017 | September 2017 – October 2021 |
| PIVOT - Printers (P) Enterprise Printing | The PIVOT-P Contractor is responsible for providing managed print services for the Department. Managed print services include printing, scanning, copying and faxing. | May 2018 | June 2018 – August 2022 |
| PIVOT - Mobile Services (M) Re-compete Enterprise End-User and Mobile | The PIVOT-M Contractor is responsible for providing mobile device services for the Department. Mobile services include: mobile device management, specific help desk support, inventory and asset management. | September 2019 | September 2019 – September 2029 |

The \$22.6 million budgeted for fiscal year 2022 for PIVOT is an increase of \$1.6 million from the fiscal year 2021 Appropriation Level. The fiscal year 2022 increase reflects anticipated new investments in oversight, printing, and network costs. The requested amount is solely for the Program Administration account's shared costs. The Department's total budget for this investment is \$50.0 million. The balance of the funding needed is included in the budget requests for the other Departmental Management accounts.

EDCAPS

The Program Administration request for EDCAPS is \$7.0 million, an increase of \$910,000 above the fiscal year 2021 Appropriation level. The increase is for the first phase of the Grants Management System (G5) technology refresh implementation. Additional EDCAPS funding is provided by the Department's other Salaries and Expenses accounts. The total request across all accounts for these projects is \$32.9 million.

Funding supports continued operations and enhancement of the EDCAPS core financial system, which includes five major components:

- Financial Management Systems Software (FMSS) (general ledger, accounting, reporting, budget execution, funds control);
- Contracts and Purchasing Support System (CPSS);
- G5 (grant making system);
- Integrated Support Services; and,
- Travel System.

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The FMSS remains in mixed lifecycle with operations and maintenance, and enhancements occurring. In fiscal year 2020, the Department's Office of Business Support Services (OBSS) implemented Oracle's Year End Close Process and is enhancing the Financial Statement Reporting (FSR) Statement of Net Cost to meet Office of Management and Budget (OMB) guidelines related to Statement of Net Cost in OMB Circular A-136. In addition, the OBSS has begun work to meet U.S. General Service Administration's (GSA's) Unique Entity Identifier (UEI) requirements. In fiscal year 2021 the OBSS plans to further enhance the FMSS by implementing the Order-to-Cash (O2C) business process cycle and Procure-to-Pay (P2P) processes, upgrading FMSS to Oracle Release 12.2.7 or higher to support prerequisite requirements for G-Invoicing and UEI patches. In fiscal year 2022, the OBSS will implement G-Invoicing as mandated by Treasury by October 2022 and integrate with Treasury's Cross-Servicing Next Generation.

The Contracts and Purchasing Support System (CPSS) utilizes Computers and Information Technology Company (CACI's) Comprizon Suite software. The OBSS Contracts and Procurement Branch, is completing market research on the feasibility of implementing an end-to-end acquisition management system (AMS) that would manage the entire acquisition lifecycle process from planning through contract close. However, due to budget constraints in fiscal years 2021 and 2022, the Department will focus its efforts on potential modifications to, and re-configuration of, the current Comprizon contract writing system and associated business processes where possible, in accordance with current prioritized requirements. The OBSS and the Contracts and Acquisition Management division will establish an Executive Steering Committee to determine the path forward to an improved acquisition management system.

The G5 modernization effort continues in fiscal year 2021 as the Department further refines its architecture framework by identifying alternatives for Infrastructure-as-a-Service, Platform-as-a-Service, and Software-as-a-Service candidates for the G5 modernization and conducting cost/benefit analysis. This task will feed into execution of the acquisition strategy for the Next Generation Grants Management system by assisting with articulating the requirements to ensure support for the Department's vision of a modular and secure Next Generation Grants Management system.

Due to funding constraints in fiscal year 2021, actions originally intended to take place in fiscal year 2021 have been moved to fiscal year 2022. In fiscal year 2022, the Department will begin the G5 Technology Refresh/Next Generation Grants Management System - Module 1. The two major objectives of Module 1 are first, to upgrade the grants platform allowing the Department to easily incorporate future technology advancements and increasing responsiveness to legislative changes, and second, to implement an updated user interface. The goal is to introduce a more intuitive look and feel that helps the user navigate easily to perform day to day grants management operations. The preliminary G5 Technology Refresh plan implements 4 modules over 4 fiscal years. Module 1 is the first phase of the G5 Technology Refresh and is planned to cover the development of the following:

- overall plan for deployment of modules and data conversion;
- platform architecture and security platform design;
- platform implementation (hardware/software) (development and test environment);

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- user management and security infrastructure implementation (internal and external);
- my profile infrastructure;
- portal landing page framework design;
- payee bank account/financial institutions maintenance; and
- payment requests, adjustments; and recipient maintenance.

Centralized Services – Non-IT

The following section provides additional information on Centralized Service projects not related to IT.

Security for Personnel and Buildings

A total of \$10.0 million is requested for security-related expenses including guard services, background investigations, and the implementation of the Continuity of Operations Plan. This amount is a decrease of \$2.8 million from the fiscal year 2021 Appropriation level. The Federal Protective Service (FPS) is responsible for setting overall security policy and in determining the costs of security items. The Department works with the FPS and other local and Federal law enforcement organizations to ensure the safety and security of the Department's many buildings, its sensitive information, and its employees. The Department's security policies and procedures have been implemented in response to General Services Administration and Department of Homeland Security standards and comply with an array of legal and regulatory requirements. The total request across all accounts for these projects is \$31.8 million.

Rent

The Department pays rent to the General Services Administration on the Federal and non-Federal buildings that are occupied by ED staff across the globe. The funds are used to operate the Government's buildings and pay rent to the private sector for leased space. The Department is actively working to use space more efficiently by modernizing and reducing the total square footage of domestic office and warehouse inventories. The fiscal year 2022 request is \$30.2 million, a decrease of \$1.1 million from the 2021 level.

Other Non-Personnel Costs – IT

The following section provides additional information on projects related to IT.

Salaries and Expense Payroll Database and Modeling

The Salary and Expense Payroll Database and Modeling currently automates the average salary and benefit information in an access database. In fiscal year 2022, the Administration is requesting \$1.0 million, an increase of \$783,000 above the fiscal year 2021 Appropriation to enhance the current process by developing a more flexible, efficient, and reliable database to produce average salary and benefit information that is used to calculate ED's budget request to OMB and Congress. It is anticipated that after completion of building the system in fiscal year 2022 that only operations and maintenance funding will be needed. The database will also produce information that will be utilized to forecast total salary and benefits for principal offices,

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FTE employment data, report on Payroll status of funds, and provide ad-hoc projection modeling and scenario capabilities. This modernized system will have interconnectivity to the Department's current FMSS tool suite.

Payment Integrity Monitoring Application (PIMA)

The Payment Integrity Monitoring Application (PIMA) investment whose purpose is consistent with the 2018 Financial Management (FM) Segment Modernization Plan to provide strong financial stewardship, accurate financial reporting, and well-controlled financial management operations to support the Department's mission. PIMA's goal is to build an analytic infrastructure to provide robust support to data-driven decision-enhance financial management and analytic capability using sustained technology. The amount requested in fiscal year 2022 is \$730,000, an increase of \$330,000 over the 2021 Appropriation. The increase will continue to fund development of additional integrity checks and to initiate a Robotic Process Automation (RPA) functionality pilot program to help achieve reliable data for use in artificial intelligence integration into processes. Considerable development, modernization, and enhancements will continue in the outyears to further improve the system.

ED Data Strategy Support, Data Inventory and Collection Clearance Tools

The requested for this project in fiscal year 2022 is \$800,000, an increase of \$300,000 above the fiscal year 2021 Appropriation. This project addresses three related objectives within the Department's architecture: 1) support the Data Strategy Team to ensure implementation of the data provisions within the Foundations for Evidence Based Policymaking Act (Evidence Act) in alignment with Open Government, Digital Strategy, Open Data, other OMB initiatives, and direction within the ED Strategic Plan; 2) establish the ED Data Inventory as a true IT Investment of the Department; and 3) integrate the ED Data Inventory with existing ED tools, starting with systems used for Information Collection Clearance. The increase will be used to strengthen the connection to information collection clearance tools, implement new requirements based on the Evidence Act and Geospatial Data Act, and cover increased operational costs.

ED Data Express

The ED Data Express website makes high value, K-12 program data available to the public in an easy to access and use format. Prior to ED Data Express, data was only available in State by State PDF documents. In fiscal year 2022, \$500,000 is requested, an increase of \$300,000 over the fiscal year 2021 Appropriation. The increase is to assist with creating a platform for consolidating various websites hosted by OESE programs into a single authoritative branded site.

Budget Formulation Database

The Administration is requesting \$404,000 in fiscal year 2022, an increase of \$197,000 over the 2021 level for additional PIVOT hosting costs and support cost increases. The Budget Formulation Database (BFD) is a government-owned, web-based application that is used by the

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Budget Service to collect salary and expense budget information from individual offices across the Department of Education. After the initial budget request when information is collected from individual Department offices, the Database then facilitates the Department's internal budget review process and submission of the Department's budget request to OMB and Congress. This system allows the Department to process budget data submissions in an efficient and transparent way throughout the entire budget process.

Impact Aid Payment System

The Administration requests \$1.5 million in fiscal year 2022 for operations and maintenance for the newly redesigned Impact Aid Payment system, which is an increase of \$112,000 from the fiscal year 2021 Appropriation level. The new system became operational in fiscal year 2020. The antecedent system was not 508 compliant, lacked document management functionality to meet records management requirements, and lacked an automated interface with the Department's grant system. In addition, ongoing maintenance has proven difficult. The new Impact Aid Payment system adds capabilities to meet higher security requirements and additional business needs that are not available with the legacy system. New capabilities will improve the customer experience for school districts and improve the speed and accuracy with which they receive their funding by reducing reliance on physical documents, streamlining the e-Application process, reducing manual processing, automating transmission of obligation and payment files to the Grants Management System (G5), using current and supported software, and reducing data errors.

IDEA.gov Web Site

The IDEA.gov website provides stakeholders current and valuable resources about the support and services guaranteed to infants, children, and youth with disabilities and their families under the Individuals with Disabilities Education Act (IDEA). In fiscal year 2022, \$205,000, an increase of \$6,000 above the fiscal year 2021 Appropriation level, is requested for operations and maintenance and to support development, modernization and enhancement to modify the site so that content stays relevant and users experience optimal performance.

EDFacts

EDFacts is an information management solution of systems and services related to K-12 education performance data. Systems provide web-based data collection, ad hoc and standard reporting, commercial-off-the-shelf software for business process management and metadata collection, a data warehouse centralizing K-12 data with data from other systems, and a commercial software survey. Services provided by EDFacts include data supplier conferences, data user and supplier assistance, technical assistance to States, custom reports, and training. EDFacts data is centralized with other Department data such as financial grant information. EDFacts supports policy and budget decisions with a significant degree of accuracy and timeliness.

The Administration is requesting a total of \$13.2 million in fiscal year 2022, level with the fiscal year 2021 Appropriation level. Funds will support steady state operations and maintenance

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costs, continue system upgrades to tighten the security profile and respond to data submission innovations gaining interest among the states, address Every Student Succeeds Act changes, upgrade the ED*Facts* Metadata Repository for improvements in reference metadata, and continue to enhance data management and quality improvements.

Enterprise Data Analytics and Risk Management tools

The Administration is requesting a total of \$2.1 million for fiscal year 2022 for Enterprise Risk Management services and tools, a decrease of \$200,000 from the fiscal year 2021 Appropriation level. Funds will support the development, improvement, and maintenance of tools designed to leverage enterprise data and analytical solutions to successfully identify and manage risks, strengthen internal controls, and inform strategic planning and decision-making across the Department. Specifically, this request will support investments that enable the Department to:

- evaluate and strengthen existing risk management tools used by the Department's grant-making offices to support informed determinations and assessments of grantee risk, including performing OMB-mandated risk analyses for Federal grants.
- perform comprehensive data analyses and evaluations of the Department's programs, operations, and administration, resulting in the generation of detailed and interactive reports and dashboards to inform decision makers at all levels of the agency.
- support automated risk management services and tools, including pre-award reports on prospective grantees, grant-related business intelligence data visualization, and calculations to inform program monitoring plans.
- identify, measure, and assess challenges related to mission delivery in order to manage their risk to a tolerable level and developing actionable response plans.
- analyze audit and inquiry data to identify trends and vulnerabilities, applying predictive analytics to inform strategies and communications related to program risk, audit findings, FOIA request, and Congressional communications.

By leveraging data from the Department's management and financial business systems, these investments will deliver actionable insights to ensure enterprise decisions are informed by high quality operational, performance, and risk analyses based on real-time conditions. Additionally, these investments will help the Department proactively manage risks, reducing inefficiencies and increasing the value of management activities.

Other Non-Personnel Costs – Non-IT

The following section provides additional information on projects not related to IT.

Empowering and Leveraging the Experiences and Voices of America's Teachers, Educators, and Students

In fiscal year 2022 the Administration is requesting \$5.0 million to venture into a new initiative called Empowering and Leveraging the Experiences and Voices of America's Teachers, Educators, and Students (ELEVATES) that would elevate the voice of teachers, educators,

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students, and families. The Department would like to recruit, cultivate, and train up to 500,000 participants to thoughtfully structure, craft, and record a focused and engaging 8 to 20 minute video story of their unique education-related experiences of perseverance, innovation, or meaningful impact both during the COVID-19 pandemic or as part of the recovery efforts. The video stories would be made available online to the public through an existing online platform with an established record of driving broad distribution and engagement, and also be spotlighted through a robust social media presence. Education has been forced to truly evolve and innovate during COVID-19. The lessons learned and best practices developed through this painstaking ordeal we have all been through are priceless and should not go to waste. They need to be surfaced, shared, and expanded upon so that something good, a silver lining, can come from all of this hardship so that everyone can learn from these education-related experiences.

Office of Non-Public Education (ONPE) Technical Assistance and Stakeholder Engagement Plan

The project provides ongoing technical assistance to ESEA State Ombudsmen to ensure maximum participation of private school students and teachers in all Federal education programs for which they are eligible. ONPE collaborated with the Office of Elementary and Secondary Education (OESE) to obtain technical assistance services for ESEA ombudsmen through a contract with American Institutes for Research (AIR), which is a State Support Network activity that OESE administers. The ESEA and CARES Act charge ESEA state ombudsmen with monitoring and enforcing equitable services requirements in each state. This current contract ended in August 2020, ONPE would like to enter into a new contract with an organization that will assist the Department with realizing the mission of the office to include additional activities focused on outreach to the nonpublic school community. The request includes \$1.5 million in fiscal year 2022.

Enterprise Data Solutions for Support Efficiency and Modernization (EDSSEM)

A total of \$500,000 is requested for this new project in the Office of Planning, Evaluation, and Policy Development (OPEPD). The purpose of this contract is to implement enterprise data solutions for efficiency and modernization, in support of the Department's plan to progress in meeting goals under the ED Data Strategy. The activities under this contract will support requirements in the Evidence Act and the Federal Data Strategy¹.

Privacy Safeguards

The Administration's fiscal year 2022 request includes \$500,000 in OPEPD to continue the privacy safeguards work for the Department, which includes contract personnel with expertise in privacy law and cybersecurity mandates within Federal Government regarding and governing the collection, use, transfer, and protection of personally identifiable information (PII).

¹ Federal Data Strategy Year-1 Action Plan: <https://strategy.data.gov/action-plan/>

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Federal Audit Clearinghouse (FAC)

This project is an interagency agreement with the U.S. Census Bureau. The Federal Audit Clearinghouse receives, codes, batches, and distributes single audits to Education and States for grant recipients and subrecipients. FAC also provides the primary source of data for Education's pre-award risk assessments conducted by all grant offices. In fiscal year 2022 \$1.1 million is requested, an increase of \$360,000 above the fiscal year 2021 Appropriation. The requested increase will be used to continue to upgrade and modify requirements for the Education Processing System to more securely transfer data files to Education for upload into the intake/processing system and to receive single audits timely without potential data breach or other security risks.

Presidential Scholars Program

The Presidential Scholars Program, which recognizes the Nation's most distinguished graduating high school seniors, started in 1964. Each year, the White House Commission on Presidential Scholars selects up to 161 students for this honor. The fiscal year 2022 costs to support contract renewal for this program is estimated at \$860,000, \$10,000 more than the fiscal year 2021 Appropriation level.

Data Governance Board and Data Governance Infrastructure

The Department's Chief Data Officer (CDO) plans to develop and leverage a new Data Governance Board and Data Governance Infrastructure (DGBDGI) contract to assist the agency in supporting the CDO's functions. The Foundations of Evidence-Based Policymaking Act (Evidence Act) charges the CDO with lifecycle data management across the Department; managing the Department's data assets, including the standardization of data format, sharing of data assets, and publication of data assets; and reviewing the impact of the Department infrastructure on data asset accessibility and ensures, to the extent practical, that Department data conforms with data management best practices.

The CDO is also responsible for developing, publishing, and enforcing the Department's open data plan requiring new data collections to be available in an open format and identifying as priority data assets any data asset for which disclosure would be in the public interest. In supporting statutory requirements that data assets be made open by default, the CDO will maintain a comprehensive data inventory that accounts for all data assets created by, collected by, under the control or direction of, or maintained by the Department. The CDO, in coordination with the Data Governance Board (DGB), also oversees agency-wide actions to develop an open data culture and work to improve the Department's capacity to leverage data as a strategic asset for evidence building and operational decisions, to include the development of data professionals across all principal operating components.

Finally, the Federal Data Strategy also requires that every agency to formalize and convene a DGB, driven by the agency's CDO (as required by the Evidence Act) with participation from Senior Executives in agency business units. (Already approved by the Secretary, the Department of Education's DGB will be steered by deputy-level leaders from OFO, OCIO, OPEPD, NCES, and OS.) Its goal is to empower a data governance body to ensure that

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Salaries and Expenses

agency resources are aligned with agency priorities based on a maturity assessment and mission needs.

This contract will support these and other governance-related functions, leveraging optional task orders as needed to assess Department data maturity, identify and execute necessary data standards, develop and administer data literacy and training programs, implement a targeted master data management program, and/or similar initiatives determined by the CDO and DGB. Several schedules exist that align with these requirements, including IT70 and CIO-SP3, which could be deployed with near-term results if vendors have sufficient pre-requisite knowledge of Department data. The funds requested in fiscal year 2022 is \$725,000, level with fiscal year 2021.

Acquisition Management Program

The Administration's fiscal year 2022 request includes \$1.1 million to fund the contract support for the Department's Acquisition Management Program (AMP), level-funded from the fiscal year 2021 Appropriation level. The contract will provide assistance in four core functions:

- Acquisition Policy;
- Acquisition Workforce Development;
- Supply Chain Management; and
- Acquisition Business Systems.

The contract will support the AMP, which manages the Department's compliance with the Services Acquisition Reform Act. Contractor support is required to assure the Department complies with innovation-related directives in the President's Management Agenda along with other OMB initiatives. It will also provide project management, planning, and support for the Acquisition Management Consolidated Acquisition System.

PROGRAM ADMINISTRATION

Building Modernization

(Department of Education Organization Act)

(dollars in thousands)

FY 2022 Authorization: Indefinite

Budget Authority:

| <u>2021</u> <u>Appropriation</u> | <u>2022</u> <u>Request</u> | <u>Change from</u> <u>2021 to 2022</u> |
|-------------------------------------|-------------------------------|---|
| 0 | \$13,000 | +\$13,000 |

PROGRAM DESCRIPTION

The Building Modernization activity supports relocation of Department of Education staff as well as renovation of Department buildings within the Washington, D.C. metropolitan area and in several regional offices.

Funding levels for the past 5 fiscal years were:

| Fiscal Year | (dollars in thousands) |
|-------------|------------------------|
| 2017 | 0 ¹ |
| 2018 | 0 |
| 2019 | 0 |
| 2020 | 0 |
| 2021 | 0 |

¹ The 2017 Appropriation Act provided authority for up to \$1 million for Building Modernization. The Department chose not to use the authority; it ultimately needed these funds for other expenses under Program Administration.

FY 2022 BUDGET REQUEST

The Administration requests \$13.0 million for fiscal year 2022. The Department is actively working to use space more efficiently. The Department has developed a comprehensive program to modernize and reduce occupied space. This program promises to improve utilization rates through consolidation, more efficient workspaces, and elimination of unneeded space. Rent is a large “fixed” cost that cannot be sustained fiscally. In addition, the need for dedicated office space is likely to lessen in a post-COVID work environment. The primary goal is to reduce the Department’s space footprint and associated out-year costs.

PROGRAM ADMINISTRATION

Building Modernization

Of the \$13.0 million, \$6.5 million is to support renovations to space that are or would be occupied by the Office of Inspector General (OIG). This supports the Puerto Rico, Florida and PCP 9th floor projects described below, as well as a portion of the New York project which houses OIG in addition to Federal Student Aid, Office for Civil Rights, and the Office for Finance and Operations offices. Building Modernization funding is imperative to achieve the larger rent reductions in the Department's comprehensive program and to ensure that taxpayer resources are focused on the operating costs that allow the Department to better serve students and their parents. The cornerstone of this program is a consolidation of the Department's three major buildings in Washington, D.C. – the Lyndon Baines Johnson headquarters building (LBJ), Potomac Center Plaza (PCP), and Union Center Plaza (UCP). The Department developed a staggered renovation and relocation plan that will ultimately fit Department staff into only two of these buildings, LBJ and PCP, by 2025. This project will free up a total of 247,000 square feet from UCP and 22,00 square feet from PCP. This will entirely eliminate the rent costs of \$12 million a year at UCP and reduce rent at PCP by \$1.1 million a year. By the end of fiscal year 2021, the Department will have successfully renovated two floors of LBJ, allowing approximately 400 staff from PCP to move into LBJ. To execute the rest of this move successfully, the Department needs to continue to invest in this project on an annual basis. The Department is receiving financial and logistical support from GSA for this project, contingent upon being able to provide the requested funding.

The funds requested for fiscal year 2022 would go toward the initiatives outlined below.

Headquarters Offices Modernization and Consolidation:

- **PCP 9th floor renovation** – This project to renovate the 9th floor of PCP will increase the occupancy from 145 to approximately 220. The construction renovation amount of \$3.260 million is requested in fiscal year 2022.
- **LBJ 3rd floor renovation** – This project to renovate the 3rd floor of LBJ will increase the occupancy from 273 to approximately 375. The construction renovation amount of \$1.660 million is requested in fiscal year 2022.

Regional Offices Modernization and Consolidation:

- **New York Regional Office relocation** – ED will move from its current location at 32 Old Slip to 26 Fed Plaza in fiscal year 2023. The Department is requesting \$4.333 million for construction at 26 Fed Plaza in fiscal year 2022 to prepare for the move. GSA provided 2021 funds of \$9.4 million in construction funding and \$800,000 for design for this project. The Department expects the new space to save up to \$1.0 million in rent annually.
- **Puerto Rico new lease location** – The old lease expired in fiscal year 2019. ED vacated the space in September 2019. OIG employees have been teleworking and sharing space with the Department of Health and Human Services/OIG in Federal space. This new location will provide 10 seats. The \$1.357 million request in fiscal year

PROGRAM ADMINISTRATION

Building Modernization

2022 is for design, furniture, and construction for a permanent and secure office space for OIG.

- **Philadelphia Regional Office Relocation** – ED will move from its current location at the Wanamaker Building to the WM J. Green Junior Federal building. This new location will provide 98 seats. The Department is requesting \$1.940 million in fiscal year 2022 for construction of the new space.
- **Cleveland Regional Office Relocation** –The previously negotiated lease extension will expire in fiscal year 2023 and by law cannot be renewed. GSA has space available in Celebrezze Federal Building which is desirable due to lower rent rates. This new location will provide 57 seats and reduce the ED footprint by 574 usable square feet. Construction costs needed in fiscal year 2022 are \$26,000. GSA is covering other costs associated with the move, that will be amortized back into the rent costs for Education.
- **Florida Regional Office Relocation** – ED will move from its current location at Pembroke Pines Professional Center to a new lease location. This new location will provide 5 seats. The Department is requesting \$424,000 in fiscal year 2022 for furniture and construction.

PROGRAM ADMINISTRATION

Supplementary Tables

Full-Time Equivalent (FTE) Employment by Office

| OFFICE | 2020 Actual | 2021 Appropriation | 2022 Request | Change from 2021 to 2022 |
|--|----------------|--------------------------|--------------------------|-----------------------------|
| Career, Technical, and Adult Education | 64 | 63 | 66 | 3 |
| Chief Information Officer | 75 | 85 | 97 | 12 |
| Communications and Outreach | 88 | 88 | 86 | -2 |
| Elementary and Secondary Education | 241 | 250 | 250 | 0 |
| English Language Acquisition, Language Enhancement, and Academic Achievement for Limited English Proficient Students | 15 | 17 | 18 | 1 |
| Finance and Operations | 333 | 372 | 372 | 0 |
| General Counsel | 83 | 85 | 85 | 0 |
| Institute of Education Sciences | 155 | 159 | 166 | 7 |
| Legislation and Congressional Affairs | 15 | 14 | 14 | 0 |
| Planning, Evaluation, and Policy Development | 53 | 62 | 62 | 0 |
| Postsecondary Education | 112 | 111 | 125 | 14 |
| Secretary | 74 | 90 | 75 | -15 |
| Special Education and Rehabilitative Services | 169 | 174 | 176 | 2 |
| Under Secretary | <u>12</u> | <u>10</u> | <u>11</u> | <u>1</u> |
| Total | 1,489 | 1,580¹ | 1,603² | 23 |

¹ FY 2021 FTE total excludes 82 FTE related to and funded by CARES, CRRSAA, and ARP Acts in various offices.

² FY 2022 FTE total excludes 78 FTE related to and funded by CRRSAA and ARP Acts in various offices.

PROGRAM ADMINISTRATION

Supplementary Tables

Summary of Budget Authority by Principal Office
(dollars in thousands)

| OFFICE | 2020 BA | 2021 Appropriation | 2022 Request | Change from 2021 to 2022 |
|--|----------------|-----------------------|---------------------|--------------------------------|
| Career, Technical, and Adult Education | \$11,993 | \$12,091 | \$12,978 | \$887 |
| Central Information Technology | 55,314 | 44,979 | 53,397 | 8,418 |
| Central Support | 70,840 | 60,640 | 80,446 ¹ | 19,806 |
| Chief Information Officer | 15,474 | 16,448 | 19,277 | 2,829 |
| Communications and Outreach | 17,744 | 18,613 | 18,953 | 340 |
| Elementary and Secondary Education | 43,775 | 46,908 | 49,627 | 2,719 |
| English Language Acquisition, Language Enhancement, and Academic Achievement for Limited English Proficient Students | 2,459 | 2,778 | 3,043 | 265 |
| Finance and Operations | 68,627 | 74,020 | 77,190 | 3,170 |
| General Counsel | 16,664 | 17,841 | 18,202 | 361 |
| Institute of Education Sciences | 35,656 | 44,570 | 46,892 | 2,322 |
| Legislation and Congressional Affairs | 2,497 | 2,212 | 2,263 | 51 |
| Planning, Evaluation, and Policy Development | 13,039 | 14,614 | 16,944 | 2,330 |
| Postsecondary Education | 19,567 | 20,038 | 23,044 | 3,006 |
| Secretary | 21,914 | 19,255 | 21,320 | 2,065 |
| Special Education and Rehabilitative Services | 31,827 | 33,188 | 34,351 | 1,163 |
| Under Secretary | <u>2,610</u> | <u>1,805</u> | <u>2,073</u> | <u>268</u> |
| Total, Budget Authority | 430,000 | 430,000 | 480,000 | 50,000 |

¹ Fiscal year 2022 includes Building Modernization in Central Support (no funds are included for Building Modernization in fiscal years 2020 or 2021).

Note: No funds are included for CARES, CRRSAA, or ARP Acts.