

**Department of Education**  
**HOWARD UNIVERSITY**  
**Fiscal Year 2021 Budget Request**

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## HOWARD UNIVERSITY

For partial support of Howard University, \$240,018,000, of which not less than \$3,405,000 shall be for a matching endowment grant pursuant to the Howard University Endowment Act and shall remain available until expended.<sup>1</sup> (*Department of Education Appropriations Act, 2020.*)

### NOTE

Each language provision that is followed by a footnote reference is explained in the Analysis of Language Provisions and Changes document that follows the appropriations language.

**HOWARD UNIVERSITY**

**Analysis of Language Provisions and Changes**

Language Provision	Explanation
1 ...of which not less than \$3,405,000 shall be for a matching endowment grant pursuant to the Howard University Endowment Act and shall remain available until expended.	This language establishes a minimum funding level for the endowment program. Endowment funds would remain available for obligation until expended.

**HOWARD UNIVERSITY**

**Appropriation, Adjustments, and Transfers**  
(dollars in thousands)

Appropriation/Adjustments/Transfers	2019	2020	2021
<b>Discretionary:</b>			
Appropriation .....	<u>\$236,518</u>	<u>\$240,018</u>	<u>\$240,018</u>
Total, discretionary appropriation .....	236,518	240,018	240,018

**HOWARD UNIVERSITY**

**Authorizing Legislation**  
(dollars in thousands)

Activity	2020 Authorized	2020 Appropriation	2021 Authorized	2021 Request
General support (20 U.S.C. 121 et seq.) .....	Indefinite	\$212,693 <sup>1</sup>	Indefinite	\$212,693
Endowment program (P.L. 98-480) .....	(2)	(3)	(2)	(3)
Howard University Hospital (20 U.S.C. 128).....	<u>Indefinite</u>	<u>27,325</u>	<u>Indefinite</u>	<u>27,325</u>
Total appropriation.....		240,018		240,018

<sup>1</sup> The University has discretion to allocate funds for activities authorized under the general statute for Howard University.

<sup>2</sup> Title II of P.L. 98-480, the Howard University Endowment Act, authorized \$2,000 thousand in fiscal year 1985 to establish an endowment program. Subsequent year appropriations language has authorized minimum funding levels for the endowment program under the terms and conditions of the Act.

<sup>3</sup> For fiscal year 2020, the University must allocate at least \$3,405 thousand for the endowment program. For fiscal year 2021, the Administration requests that not less than \$3,405 thousand be allocated to the endowment program.

## HOWARD UNIVERSITY

### Appropriations History (dollars in thousands)

Year	Budget Estimate to Congress	House Allowance	Senate Allowance	Appropriation
2012	234,507	234,507 <sup>1</sup>	234,507 <sup>1</sup>	234,064
2013	234,064	234,064 <sup>2</sup>	234,064 <sup>2</sup>	221,821
2014	234,064	N/A <sup>3</sup>	234,064 <sup>1</sup>	221,821
2015	221,821	N/A <sup>3</sup>	221,821 <sup>4</sup>	233,245
2016	221,821	221,821 <sup>5</sup>	219,500 <sup>5</sup>	221,821
2017	221,821	221,821 <sup>6</sup>	221,821 <sup>6</sup>	221,821 <sup>6</sup>
2018	220,314	221,821 <sup>7</sup>	221,821 <sup>7</sup>	232,518 <sup>7</sup>
2019	221,821	232,518 <sup>8</sup>	236,518 <sup>8</sup>	236,518 <sup>8</sup>
2020	221,821	250,000	236,518 <sup>9</sup>	240,018 <sup>9</sup>
2021	240,018			

<sup>1</sup> The level for the House allowance reflects an introduced bill, and the level for the Senate allowance reflects Senate Committee action only.

<sup>2</sup> The levels for the House and Senate allowances reflect action on the regular annual 2013 appropriations bill, which proceeded in the 112<sup>th</sup> Congress only through the House Subcommittee and the Senate Committee.

<sup>3</sup> The House allowance is shown as N/A because there was no Subcommittee action.

<sup>4</sup> The level for the Senate allowance reflects Senate Subcommittee action only.

<sup>5</sup> The levels for House and Senate allowances reflect action on the regular annual 2016 appropriations bill, which proceeded in the 114<sup>th</sup> Congress only through the House Committee and Senate Committee.

<sup>6</sup> The levels for the House and Senate allowances reflect Committee action on the regular annual 2017 appropriations bill; the Appropriation reflects the Consolidated Appropriations Act, 2017.

<sup>7</sup> The level for the House allowance reflects floor action on the Omnibus appropriations bill; the Senate allowance reflects Committee action on the regular annual 2018 appropriations bill; the Appropriation reflects the Consolidated Appropriations Act, 2018 (P.L. 115-141).

<sup>8</sup> The levels for the House and Senate allowance reflect Committee action on the regular annual 2019 appropriations bill; the Appropriation reflects enactment of the Department of Defense and Labor, Health and Human Services, and Education Appropriations Act, 2019 (P.L. 115-245).

<sup>9</sup> The Senate allowance reflects the Chairman's mark; the Appropriation reflect the Further Consolidated Appropriations Act, 2020 (P.L. 116-94).

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### Howard University

(20 U.S.C. 121, et seq.)

(dollars in thousands)

FY 2021 Authorization: Indefinite

Budget Authority:

	<u>2020</u> <u>Appropriation</u>	<u>2021</u> <u>Request</u>	<u>Change from</u> <u>2020 to 2021</u>
General Support	\$212,693 <sup>1</sup>	\$212,693 <sup>1</sup>	0
Howard University Hospital	<u>27,325</u>	<u>27,325</u>	<u>0</u>
Total	240,018	240,018	0

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<sup>1</sup> The University has discretion to allocate funds for activities authorized under the general statute for Howard University. However, the 2020 appropriation required the University to allocate at least \$3,405 thousand for the endowment program. The Administration proposes the same language for 2021.

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### PROGRAM DESCRIPTION

Howard University (University) was federally chartered in 1867 as a private, nonprofit educational institution in Washington, DC. As a comprehensive, research-oriented historically Black university, the University's mission is to provide an educational experience of exceptional quality at the undergraduate, graduate, and professional levels. The University has an enduring commitment to the education and advancement of underrepresented populations in America and the global community, and it ranks among the highest producers of the Nation's Black professionals in medicine, dentistry, pharmacy, engineering, nursing, architecture, religion, law, music, social work, and education. The National Science Foundation consistently ranks the University among the highest producers of Black Ph.D. students. The Association of American Medical Colleges recently named the University the Nation's most prolific source of Black graduates who go on to apply to medical school.

The University offers more than 120 fields of study leading to undergraduate, graduate, and professional degrees within 13 schools and colleges: Arts and Sciences, Business, Communications, Dentistry, Divinity, Education, Engineering and Architecture, Graduate School, Law, Medicine, Nursing and Allied Health Sciences, Pharmacy, and Social Work. A wide range of administrative, library, computer, and technology resources and services support these programs. Together, these investments further the University's goals of expanding the opportunities of graduates, particularly minorities, especially in fields in which they are still underrepresented.

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**Howard University Hospital** — Established in 1862 as Freedmen’s Hospital, Howard University Hospital (Hospital) was incorporated into the University by Congress in 1962. Today, the Hospital is a general medical and surgical facility and is designated as a Level 1 Trauma Center. The 241-bed hospital is one of several comprehensive health care facilities serving the Washington, DC metropolitan area, with over 11,000 admissions and 60,000 emergency room visits annually. Hospital operations are financed through a combination of Federal funds, hospitalization insurance, patient payments, Medicare and Medicaid payments, and contractual agreements for patient care services with the District of Columbia government and other local jurisdictions. The Hospital is also a major teaching facility, providing training opportunities across a wide variety of specialty areas for graduate and professional students in the health sciences.

Funding levels for the past 5 fiscal years were:

Fiscal Year	(dollars in thousands)
2016.....	\$221,821
2017.....	221,821
2018.....	232,518
2019.....	236,518
2020.....	240,018

### **FY 2021 BUDGET REQUEST**

For fiscal year 2021, the Administration requests \$240 million for Howard University (the University), the same as the fiscal year 2020 appropriation. This request supports the Administration’s goals to advance opportunities in higher education and strengthen the capacity of HBCUs to provide the highest quality education. As a congressionally chartered HBCU, the University plays an important role in providing disadvantaged individuals, particularly Blacks and African Americans, with access to a high quality postsecondary education.

According to the University, the Federal appropriation constituted approximately 30 percent of the University’s total revenues and 10 percent of the Howard University Hospital’s (Hospital) total revenues in fiscal year 2019. In order to maintain current levels of educational and healthcare services, approximately \$212.7 million of the fiscal year 2021 appropriation would be allocated under General Support to the University, and \$27.3 million would go to the Hospital. General Support includes the University’s academic programs, research programs, the endowment program, and construction.

Academic Programs: The request would provide approximately \$80 million to support instruction, \$8 million for public service program services, \$30 million for academic support, \$28 million for student services, and \$61 million for institutional support and grant aid to students.

Endowment Program: The 2021 request also would provide at least \$3.4 million for the University’s endowment, and the University would be required to match this contribution on a dollar-for-dollar basis. The Administration believes continuing to earmark a minimum dollar level for the endowment would encourage the University to increase its financial strength and

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independence from Federal support. The University maintains a pooled endowment that is comprised of more than 800 individual endowments that were valued at \$689 million as of June 30, 2018. Revenue earned through the consolidated endowment program is intended to be used for instructional and research support, professorships, scholarships and other forms of student aid, and facilities. In recent years, the University has matched all Federal funds appropriated for endowment purposes in the fiscal year in which they were appropriated, and it plans to do the same in fiscal year 2021.

Howard University's spending rate from its endowment is 4 percent of a spending pool based on market value, using a 3-year moving average with a 1-year lag. The University is currently reviewing its investment and spending policies going forward and looking for opportunities to increase the annual spending to continue its support of these mission critical goals.

Howard University Hospital: Federal support for the Hospital provides the University's students with education, research, and training opportunities in medical, dental, and other health-related fields. The Howard University Hospital remains the primary teaching hospital for more than 800 learners in the health care profession including medical students, nursing and allied health professionals, dentists, and pharmacists.

Construction: In fiscal year 2020, the University allocated \$51.5 million for construction and maintenance of which \$5.15 million was expected to derive from the Federal appropriation. In fiscal year 2021, the University plans to spend \$49.5 million for construction, with an estimated 10 percent of the total coming from the Federal appropriation.

### **PROGRAM OUTPUT MEASURES**

The output data shown below is from Howard University and is based on the University's academic year, which runs from July 1 to June 30.

<u>Output Measures</u>	<u>2019</u>	<u>2020</u>	<u>2021</u>
<b>Student population by enrollment</b> (in whole numbers):			
Number of full-time undergraduate students	6,232	5,872	5,815
Number of full-time graduate students	1,238	1,128	1,099
Number of full-time professional students	<u>1,483</u>	<u>1,449</u>	<u>1,447</u>
Total number of full-time students	8,953	8,449	8,361
Total number of undergraduate students	6,433	6,449	6,343
Total number of graduate students	1,504	1,533	1,467
Total number of professional students	<u>1,487</u>	<u>1,482</u>	<u>1,477</u>
Total number of students	9,424	9,464	9,287

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**PROGRAM OUTPUT MEASURES**

<u>Output Measures</u>	<u>2019</u>	<u>2020</u>	<u>2021</u>
<b>Student population by race</b> (in whole numbers):			
Number of Black U.S. undergraduate students	5,616	5,541	5,371
Number of other U.S. undergraduate students	431	390	412
Number of international undergraduate students	1,487	444	466
Number of Black U.S. graduate students	1,101	1,245	1,270
Number of other U.S. graduate students	171	192	201
Number of international graduate students	232	251	253
Number of Black U.S. professional students	1,111	1,076	1,070
Number of other U.S. professional students	339	364	394
Number of international professional students	64	61	60
<b>Student population by family income</b> (in whole numbers):			
Number of undergraduates with family income of less than \$40,000	2,180	2,180	2,180
Number of graduate students with family income of less than \$40,000	937	937	937
Number of professional students with family income of less than \$40,000	987	987	987
<b>Undergraduate student financial aid</b> (in whole number and dollars):			
Number of U.S. students receiving Federal aid	4,665	4,665	4,665
Average amount of aid	\$17,577	\$17,557	\$17,557
Number of U.S. students receiving non-Fed'l aid	4,655	4,655	4,655
Average amount of aid	\$20,439	\$20,439	\$20,439
Number of international students receiving non-Fed'l aid	333	333	333
Average amount of aid	\$29,165	\$29,165	\$29,165
<b>Graduate student financial aid</b> (in whole numbers and dollars):			
Number of U.S. students receiving Federal aid	887	887	887
Average amount of aid	\$35,800	\$35,800	\$35,800
Number of U.S. students receiving non-Fed'l aid	574	574	574
Average amount of aid	\$16,074	\$16,074	\$16,074
Number of international students receiving non-Fed'l aid	106	106	106
Average amount of aid	\$21,625	\$21,625	\$21,625

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**PROGRAM OUTPUT MEASURES**

<u>Output Measures</u>	<u>2019</u>	<u>2020</u>	<u>2021</u>
<b>Professional student financial aid</b> (in whole numbers and dollars):			
Number of U.S. students receiving Federal aid	1,300	1,300	1,300
Average amount of aid	\$59,916	\$59,916	\$59,916
Number of U.S. students receiving non-Fed'l aid	641	641	641
Average amount of aid	\$16,606	\$16,606	\$16,606
Number of international students receiving non-Fed'l aid	36	36	36
Average amount of aid	\$31,510	\$31,510	\$31,510
<b>Degrees awarded by type and race</b> (in whole numbers):			
Baccalaureates awarded to Black U.S. students	1,150	1,148	1,143
Baccalaureates awarded to other U.S. students	42	69	73
Baccalaureates awarded to international students	<u>125</u>	<u>127</u>	<u>130</u>
Total number of Baccalaureates awarded	1,317	1,344	1,346
Masters awarded to Black U.S. students	204	248	265
Masters awarded to other U.S. students	26	33	36
Masters awarded to international students	<u>47</u>	<u>46</u>	<u>50</u>
Total number of Masters awarded	277	327	351
Ph.D.s awarded to Black U.S. students	53	77	76
Ph.D.s awarded to other U.S. students	9	10	11
Ph.D.s awarded to international students	<u>28</u>	<u>24</u>	<u>25</u>
Total number of Ph.D.s awarded	90	111	112
Professional degrees awarded to Black students	299	287	285
Professional degrees awarded to other U.S. students	79	84	84
Professional degrees awarded to international students	<u>16</u>	<u>14</u>	<u>13</u>
Total number of Professional degrees awarded	394	385	382

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**PROGRAM OUTPUT MEASURES**

<u>Output Measures</u>	<u>2019</u>	<u>2020</u>	<u>2021</u>
<b>Faculty:</b>			
Number of paid faculty	1,257	1,210	1,175
Number of faculty serving without compensation	<u>4</u>	<u>5</u>	<u>5</u>
Total number of faculty	1,261	1,215	1,180
<b>Construction</b> (dollars in thousands):			
Construction expenditures	\$35,999	\$51,500	\$49,500
<b>Endowment program</b> (dollars in thousands):			
Federal appropriation	\$3,405 <sup>1</sup>	\$3,405 <sup>1</sup>	\$3,405 <sup>1</sup>
Matching funds + other funds raised by University	<u>4,549</u>	<u>4,776</u>	<u>5,015</u>
Total new contributions	7,954	8,181	8,420
Market value of total University endowment	686,638	731,270	778,802
Endowment investment income	23,462	24,536	23,300
Investment income as percent of endowment	3.4 %	3.4 %	3.0 %
<b>Howard University General Support</b> (dollars in thousands):			
Federal appropriation	\$209,193 <sup>1</sup>	\$212,693 <sup>1</sup>	\$212,693 <sup>1</sup>
Other Federal funding	<u>33,301</u>	<u>33,471</u>	<u>33,806</u>
Total Federal funding	242,494	246,164	246,499
Restricted non-Federal revenue	24,711	25,117	25,369
Revenue from tuition and fees	252,305	256,459	259,369
Other non-Federal revenue	<u>172,462</u>	<u>142,209</u>	<u>123,629</u>
Total non-Federal revenue	449,478	423,785	408,367
Total University revenue	\$690,910	\$666,449	\$679,006
Total University expenditures	683,865	684,761	691,609
Federal funding as percent of total revenue	35.0 %	36.4 %	37.0 %
Tuition and fees as percent of total revenue	36.5 %	38.3 %	39.6 %
Federal appropriation as percent of total revenue	30.2 %	31.7 %	32.5 %

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<sup>1</sup> Federal funding for the Howard endowment program (\$3,405 thousand) is drawn from the appropriation for Howard University General Support.

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### PROGRAM OUTPUT MEASURES

<u>Output Measures</u>	<u>2019</u>	<u>2020</u>	<u>2021</u>
<b>Howard University Hospital</b> (dollars in thousands):			
Federal appropriation	\$27,325	\$27,325	\$27,325
Other Federal funding	<u>60,309</u>	<u>66,713</u>	<u>67,380</u>
Total Federal funding	87,634	94,038	94,705
Total Hospital revenue	\$250,953	\$283,290	\$283,574
Total Hospital expenditures	247,290	265,897	271,215
Federal appropriation as percent of total revenue	10.9 %	9.6 %	9.6 %

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### PROGRAM PERFORMANCE INFORMATION

#### Performance Measures

This section presents selected program performance information, including, for example, GPRA goals, objectives, measures, and performance targets and data; and an assessment of the progress made toward achieving program results. Achievement of program results is based on the cumulative effect of the resources provided in previous years and those requested in fiscal year 2021 and future years, as well as the resources and efforts invested by those served by this program.

**Goal: To assist Howard University with financial resources needed to carry out its educational mission.**

**Objective:** *Maintain or increase the undergraduate graduation rate.*

**Measure:** The percentage of first-time, full-time, degree-seeking, undergraduate students who graduate within 6 years of enrollment.

Year	Target	Actual
2016	70	61%
2017	70	63
2018	70	62
2019	70	
2020	70	
2021	70	

**Additional Information:** The measure uses data taken from the Integrated Postsecondary Education Data System (IPEDS) database maintained by the National Center for Education Statistics (NCES) rather than directly from the University.

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The long-term goal is to increase Howard University's graduation rate. According to the IPEDS data, in 1998, the graduation rate for Howard University was only 41 percent, but by 2003, it had significantly increased to 69 percent. Since then, Howard's graduation rate has declined, and has hovered around 60 percent.

**Objective:** *Maintain or increase the retention of full-time undergraduate students.*

**Measure:** The percentage of first-time, full-time, degree-seeking, undergraduate students who were in their first year of postsecondary enrollment in the previous year and are enrolled in the current year.

Year	Target	Actual
2016	90	86%
2017	90	94
2018	90	85
2019	90	
2020	90	
2021	90	

**Additional Information:** Data from IPEDS showed Howard University's persistence rate steadily dropped beginning in 2007, when it dipped to 85 percent after multiple years at 90. The rate fell further through 2016 before improving in 2017 to exceed the target. However, Howard University failed to meet the target set for 2018.

**Objective:** *Increase student enrollment over the long term.*

**Measure:** The number of full-time, degree-seeking, undergraduate and graduate students enrolled at Howard University.

Year	Target	Actual
2016	12,000	8,717
2017	12,000	9,689
2018	10,000	9,424
2019	10,000	
2020	10,000	
2021	10,000	

**Additional Information:** This measure utilizes data provided by Howard University.

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### Efficiency Measures

The efficiency measure tracks the cost of a successful outcome, where success is defined as graduation and Federal cost is defined as the program appropriation. This measure ties in with the program's performance indicators.

**Measure:** Federal cost of degrees and certificates awarded by Howard University.

Year	Target	Actual
2016	88,000	\$90,253
2017	88,000	88,770
2018	88,000	98,841
2019	88,000	
2020	88,000	
2021	88,000	

**Additional Information:** The efficiency measure is calculated by dividing the total funds appropriated for Howard (exclusive of Hospital funding) by the number of students successfully completing degree or certificate programs. This measure allows the Department to track program performance in terms of cost to the Federal Government and the efficiency of Federal funds in achieving successful outcomes. The Federal cost per degree or certificate awarded has remained above the target, in part due to the declining enrollment noted above. As a result of the decreased enrollment at the University, the Department will consider revising targets for future years.

### Other Performance Information

In a letter dated August 13, 2018, the Department informed the University that it had been placed on Heightened Cash Monitoring 2 (HCM2) status so that the Department could closely monitor the institution's financial aid management. This meant the University would no longer receive financial aid dollars in advance to award to students but would have to make awards to students and then seek reimbursement from the Federal Government. The Department's letter cited numerous problems that prompted this action and noted that Howard had "failed to provide all documentation requested" during past compliance audits and a program review.

Effective June 27, 2019, Howard University was removed from the HCM2 method of payment to HCM1. The new HCM1 status requires the University to make disbursements to eligible students with institutional funds before drawing down funds from the Department of Education. This change in status requires that the University to report monthly to the Department on the students who are not maintaining Satisfactory Academic Progress (SAP) in accordance with published SAP policy and procedures. The Department will continue working with leadership to stabilize the University's financial condition in fiscal year 2020 and 2021 to ensure continued improvements in financial operational management and full compliance regarding the Federal appropriation.

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In January 2019, the University rolled out its new Strategic Plan, Howard Forward 2024, which outlines new strategic priorities and five goals, that include:

- Enhance student success through transformation and innovation in teaching & learning, enhanced by the use of technology;
- Increase participation in grant funded research activity;
- Use experiential service-learning opportunities to teach awareness of local and global issues that align with our University's mission;
- Operate efficiently and effectively across all levels of the organization; and
- Improve organizational financial accountability and outcomes.

Howard Forward 2024 can be accessed at <https://www2.howard.edu/howard-forward>.