

Department of Education
PROGRAM ADMINISTRATION
Fiscal Year 2014 Budget Request

CONTENTS

	<u>Page</u>
Appropriations Language	Z-1
Analysis of Language Provisions and Changes.....	Z-2
Amounts Available for Obligation	Z-3
Obligations by Object Classification	Z-4
Summary of Changes	Z-5
Authorizing Legislation.....	Z-8
Appropriations History.....	Z-9
Significant Items in FY 2013 Appropriations Reports.....	Z-10
Summary of Request	Z-11
Activities:	
Salaries and Expenses.....	Z-12
Building Modernization	Z-33
Supplementary Tables:	
Summary of Full-time Equivalent Employment by Office	Z-35
Summary of Budget Authority by Office.....	Z-36

PROGRAM ADMINISTRATION

For carrying out, to the extent not otherwise provided, the Department of Education Organization Act, including rental of conference rooms in the District of Columbia and hire of three passenger motor vehicles, [\$463,815,000] \$463,450,000, of which \$2,000,000, to remain available until expended, shall be for relocation of and renovation of buildings occupied by Department staff. (Department of Education Appropriations Act, 2013.)¹

NOTES

A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, this account is operating under a continuing resolution (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Each language provision that is followed by a footnote reference is explained in the Analysis of Language Provisions and Changes document, which follows the appropriation language.

PROGRAM ADMINISTRATION

Analysis of Language Provisions and Changes

Language Provision	Explanation
<u>¹...of which, \$2,000,000, to remain available until expended, shall be for relocation of and renovation of buildings occupied by Department staff.</u>	This language provides for the availability of funds to remain available until expended for relocation of and renovations of buildings occupied by Department staff.

PROGRAM ADMINISTRATION

Amounts Available for Obligation

(dollars in thousands)

Appropriation and Adjustments	2012	2013	2014
<hr/>			
Discretionary budget authority:			
Annual appropriation	\$447,104	\$446,259	\$463,450
Across-the-board reduction (P.L. 112-74)	-845	0	0
Across-the-board increase (P.L. 112-175)	0	+2,731	0
	<hr/>		
Subtotal, budget authority	446,259	448,990	463,450
Unobligated balance, start of year	2,406	9,963	0
Recovery of prior-year obligations	7,559	0	0
Unobligated balance expiring	-193	0	0
Unobligated balance, end of year	-9,963	0	0
	<hr/>		
Total, direct obligations	446,068	458,953	463,450

PROGRAM ADMINISTRATION

Obligations by Object Classification (dollars in thousands)

Object Class	2012	2013	2014
11.10 Full-time permanent	\$193,970	\$206,252	\$209,402
11.31 Full-time temporary	22,830	12,501	12,701
11.32 Part-time	4,783	687	692
11.33 Consultants	592	95	96
11.51 Overtime	299	363	329
11.52 Awards	2,293	2,200	2,200
11.80 Other Compensation	0	0	0
Compensation subtotal	224,767	222,098	225,420
12.00 Benefits	63,000	64,748	66,407
13.10 Benefits for former personnel	2,527	180	180
21.00 Travel	4,529	4,357	4,294
22.00 Transportation of things	47	1	1
23.10 Rental Payments to GSA	41,597	43,200	43,508
23.31 Communications	874	451	451
23.32 Postage/fees	1,052	759	759
Subtotal 23	43,523	44,410	44,718
24.00 Printing & Reproduction	1,147	1,322	1,339
25.10 Advisory and Assistance Svcs	2,659	2,478	2,704
25.21 Other Services	16,597	20,785	22,473
25.22 Training/Tuition/Contracts	2,242	2,367	2,343
25.23 Field Readers	20	0	0
25.30 Goods/Services from Gov't	21,984	22,570	22,214
25.40 Operations/Maint of Facilities	1	0	0
25.71 Operations/Maint of Equipment	757	1,184	1,229
25.72 IT Services/Contracts	59,706	63,892	66,003
Subtotal 25	103,966	113,276	116,966
26.00 Supplies	612	694	702
31.01 IT Equipment/Software	522	624	660
31.03 Other Equipment	428	4,761	1,209
Subtotal 31	950	5,385	1,869
32.00 Building Alterations	1,000	2,482	1,554
43.10 Interest and Dividends	0	0	0
Total, Obligations	446,068	458,953	463,450
Total Pers. Comp. & Ben.	290,294	287,026	292,007
Total Non-Personnel	155,774	171,927	171,443

Note: The amount shown in FY 2013 includes a 0.612 percent across-the-board increase provided in P.L. 112-175.

PROGRAM ADMINISTRATION

Summary of Changes
(dollars in thousands)

2013.....	\$446,259 ¹
2014.....	<u>463,450</u>
Net change	+17,191

¹ Excludes a 0.612 percent across-the-board increase of \$2.731 million provided by P.L. 112-175.

Increases:	<u>2013 base</u>	<u>Change from base</u>
<u>Built in:</u>		
Increase in salaries primarily for 16 additional FTE in 2014 and to support a proposed 1 percent pay raise.	\$219,535	+\$3,356
Increase in benefits for the Department's share of health, retirement, and other benefits.	64,928	+1,659
Increase in GSA rental payments due primarily to new occupancy and lease agreements.	43,200	+308
<u>Program:</u>		
Increase in printing.	1,322	+17
Increase in advisory and assistance services for Indirect Cost Determination services.	2,478	+226
Increase in other services mainly for Science, Technology, Engineering and Mathematics support.	18,054	+4,419
Increase in goods and services from the Government for building modernization renovations.	0	+100
Increase in goods and services from the Government for physical security.	4,038	+254
Increase in goods and services from the Government for GovDelivery.	129	+75
Increase in goods and services from the Government for the e-Government: Grants.gov initiative.	476	+72

PROGRAM ADMINISTRATION

Summary of Changes

(dollars in thousands)

Increases:	<u>2013 base</u>	<u>Change from base</u>
<u>Program:</u>		
Increase in goods and services from the Government for the e-Government: Integrated Acquisition Environment initiative.	67	+9
Increase in supplies.	694	+8
Increase in contracted IT services for EDCAPS, the Department's core financial system, primarily for a new contracts and purchasing system and continued operations of EDCAPS.	7,396	+5,025
Increase in contracted IT services to improve data quality and functionality of ED <i>Facts</i> , designed to measure student achievement.	10,543	+1,054
Increase in IT services from the Government for IT security.	4,501	+498
Increase in operations and maintenance.	1,184	+45
Increase in IT equipment and software.	624	+36
Increase in IT services and contracts for space modifications of Department occupied buildings.	0	+120
Increase in other equipment primarily for the building modernization alterations of Department occupied buildings.	0	+980
Increase in building alterations primarily for space modifications of Department occupied buildings.	0	+800
Subtotal, increases		+19,061

Decreases:	<u>2013 base</u>	<u>Change from base</u>
<u>Program:</u>		
Decrease in overtime.	\$363	-34
Decrease in travel.	4,357	-63
Decrease in training.	2,367	-24

PROGRAM ADMINISTRATION

Summary of Changes

(dollars in thousands)

Decreases:	<u>2013 base</u>	<u>Change from base</u>
<u>Program:</u>		
Decrease in goods and services from the Government primarily for physical security background investigations.	1,794	-638
Decrease in goods and services from the Government for the e-Government: E-Rulemaking initiative.	316	-284
Decrease in goods and services from the Government for e-Government: Enterprise Human Resources Integration.	133	-63
Decrease in IT services in EDWeb and EDUCATE.	2,526	<u>-764</u>
Subtotal, decreases		-1,870
Net Change		+17,191

PROGRAM ADMINISTRATION

Authorizing Legislation
(dollars in thousands)

Activity	2013 Authorized	2013 Estimate	2014 Authorized	2014 Request
Salaries and expenses (DEOA)	Indefinite	\$446,259	Indefinite	\$461,450
Building Modernization (DEOA)	Indefinite	0	Indefinite	2,000
Total definite authorization	0		0	
Total appropriation		446,259		463,450
Total annual appropriation including 0.612 percent ATB increase		448,990		

PROGRAM ADMINISTRATION

Appropriations History (dollars in thousands)

Year	Budget Estimate to Congress	House Allowance	Senate Allowance	Appropriation
2005	\$429,778	\$421,055	\$420,379	\$419,280
2006	418,992	410,612	411,992	411,150
2007	425,966	N/A ¹	N/A ¹	418,587 ¹
2008	446,934	219,487	432,631	411,274
2009	444,439	422,185 ²	427,939 ²	433,482
2010	456,500	452,200	452,200 ³	456,200
2011	492,488	465,000 ⁴	492,488 ³	455,288 ⁵
2012	479,038	449,815 ⁶	449,074 ⁶	446,259
2013	448,470	446,259 ⁷	448,470 ⁷	448,990 ⁸
2014	463,450			

¹ This account operated under a full-year continuing resolution (P.L. 110-5). House and Senate allowances are shown as N/A (Not Available) because neither body passed a separate appropriations bill.

² The levels for the House and Senate allowances reflect action on the regular annual 2009 appropriations bill, which proceeded in the 110th Congress only through the House Subcommittee and the Senate Committee.

³ The level for the Senate allowance reflects Committee action only.

⁴ The level for the House allowance reflects the House-passed full-year continuing resolution.

⁵ The level for appropriation reflects the Department of Defense and Full-Year Continuing Appropriations Act, 2011 (P.L. 112-10).

⁶ The level for the House allowance reflects an introduced bill and the level for the Senate allowance reflects Senate Committee action only.

⁷ The levels for the House and Senate allowances reflect action on the regular annual 2013 appropriations bill, which proceeded in the 112th Congress only through the House Subcommittee and the Senate Committee.

⁸ The amount shown includes the 0.612 percent across-the-board increase provided by P.L. 112-175, in effect through March 27, 2013.

PROGRAM ADMINISTRATION

Significant Items in FY 2013 Appropriations Report

Geography Education

Senate: The Committee is concerned with deficiencies in geography education at the elementary and secondary education levels and geographic education research in the United States. The Committee recognizes that a sound understanding of geography is critical to ensuring that the American workforce is qualified for jobs in geospatial technologies and other emerging industries. The Committee directs GAO to conduct a study on the status of geographic education and the ability of U.S. graduates to fill and retain skilled jobs, particularly in geospatial technologies. Additionally, recognizing fiscal constraints on States and LEAs, the Committee requests GAO to report on the challenges elementary and secondary schools face in providing geographic education within limited resources.

Response: The Department recognizes that GAO is directed to conduct this study and will comply in providing related information upon request.

[Click here for accessible version](#)

(in thousands of dollars)

Account, Program and Activity	Category Code	2012 Appropriation	2013 Appropriation	2014 President's Budget	2014 President's Budget Compared to 2012 Appropriation	
					Amount	Percent
Program Administration (DEOA)						
1. Salaries and expenses	D	446,259	446,259	461,450	15,191	3.404%
2. Building modernization	D	0	0	2,000	2,000	---
Total	D	446,259	446,259	463,450	17,191	3.852%
<i>Across-the-board 0.612% increase applied to discretionary appropriation, provided in P.L. 112-175.</i>			2,731			
Total		446,259	448,990	463,450	17,191	3.852%

NOTES: D = discretionary program, M = mandatory program; FY = fiscal year

FY 2013 discretionary appropriation amounts are based on P.L. 112-175, the Continuing Appropriations Resolution, 2013, that provided appropriations through March 27, 2013. FY 2013 mandatory amounts are either specifically authorized levels, or are based on FY 2013 President's Budget Policy, updated for more recent estimates of mandatory costs, or FY 2014 President's Budget Policy, as applicable.

Detail may not add to totals due to rounding.

PROGRAM ADMINISTRATION

Salaries and Expenses (DEOA)

(dollars in thousands)

FY 2014 Authorization: Indefinite

Budget authority:

	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>Change from 2012</u>
Personnel Costs	290,294	\$287,026	\$292,007	+\$1,713
Non-personnel costs	<u>155,965</u>	<u>159,233</u>	<u>169,443</u>	<u>+13,478</u>
Total	446,259	446,259 ¹	461,450	+15,191
FTE	2,053	2,035	2,051	-2

¹ Excludes a 0.612 percent across-the-board increase provided by P.L. 112-175.

PROGRAM DESCRIPTION

The Program Administration account provides a significant portion of the funding and staff to administer the Department's programs and activities in the following program and staff offices:

Program offices:

- Office of Postsecondary Education
- Office of Elementary and Secondary Education
- Office of English Language Acquisition, Language Enhancement, and Academic Achievement for Limited English Proficient Students
- Office of Special Education and Rehabilitative Services
- Office of Vocational and Adult Education
- Institute of Education Sciences
- Office of Innovation and Improvement

Staff offices:

- Office of the Secretary
- Office of the Deputy Secretary
- Office of the Under Secretary
- Office of the General Counsel
- Office of Planning, Evaluation, and Policy Development
- Office of Communications and Outreach
- Office of Legislation and Congressional Affairs
- Office of Management
- Office of the Chief Financial Officer
- Office of the Chief Information Officer
- Advisory Committee on Student Financial Assistance

PROGRAM ADMINISTRATION

Salaries and Expenses

Program offices are the front-line administrators of the Department's programs, providing leadership and financial support for education programs assisting preschoolers; elementary, secondary, and college students; and adults. Program offices are critical to managing the Department's multi-billion dollar program budget through activities such as grantmaking and review, grantee technical assistance, grant monitoring, and contract management. In addition, the offices support research and demonstration projects to improve education and disseminate information on research findings and education statistics.

Staff offices provide the policy, legal, management, and financial support needed to help the Department fulfill its mission. These offices are vital to the day-to-day operation of the Department and provide policy leadership and administration of key Departmental priorities.

Funding levels for the past 5 fiscal years were:

	(dollars in thousands)
2009.....	\$428,082
2010.....	448,000
2011.....	447,104
2012.....	446,259
2013.....	446,259 ¹

¹Excludes a 0.612 percent across-the-board increase provided by P.L. 112-175.

FY 2014 BUDGET REQUEST

The fiscal year 2014 request for Salaries and Expenses is \$461.450 million, \$15.191 million, or 3.4 percent, above the 2013 level. The additional funds requested for 2014 are targeted in a few key areas, accounting for \$14.387 million of the overall requested increase:

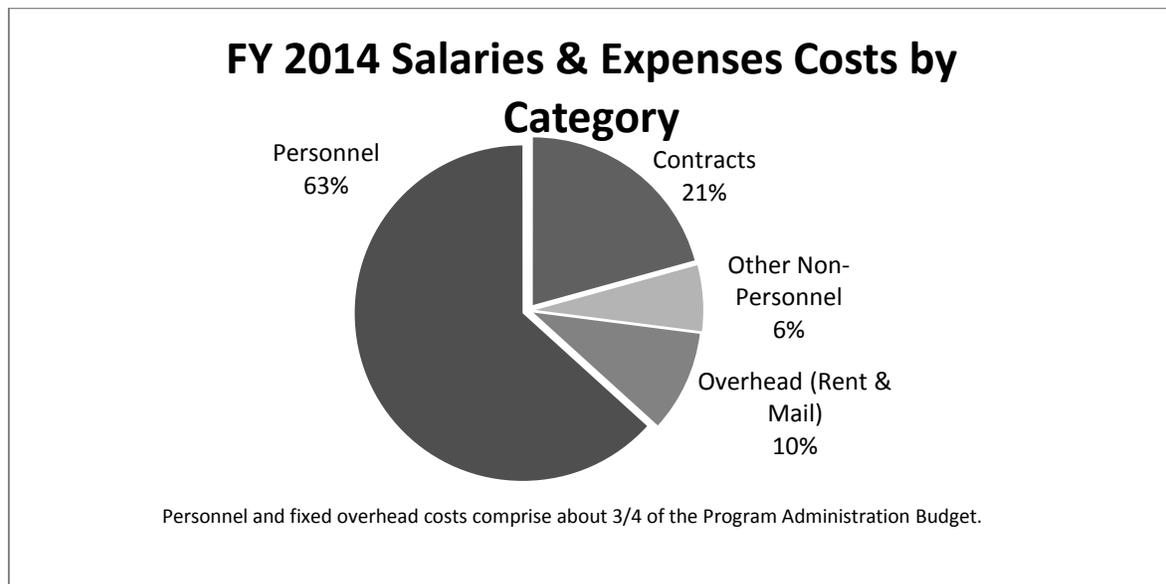
1. Built-in pay raise and benefits increases — A total of \$2.893 million is requested for the proposed 1 percent January 2014 pay raise and associated benefits increases.
2. A total of 16 additional FTE to work on Departmental priorities — \$2.088 million. Office of Management and Budget (OMB) Memorandum M-12-14 calls on agencies to strengthen their evaluation capacities. The Department requests a modest increase of 3 FTE for the Office of Planning, Evaluation and Policy Development and 5 FTE for the Institute of Education Sciences in order to increase the Department's evaluation, research, and data analytic capabilities. An increase of 3 FTE is requested for the Office of Elementary and Secondary Education to handle increased workload related to monitoring new accountability systems for States receiving Elementary and Secondary Education Act (ESEA) flexibility waivers. In addition, 5 FTE are added in the Office of Innovation and Improvement for a new office of Science, Technology, Engineering, and Mathematics (STEM) Education. Individual office FTE increases are discussed in more detail later in this document.
3. Contracts and Purchasing Support System — An increase of \$0.883 million is requested to complete the implementation of a new Contracts and Purchasing

PROGRAM ADMINISTRATION

Salaries and Expenses

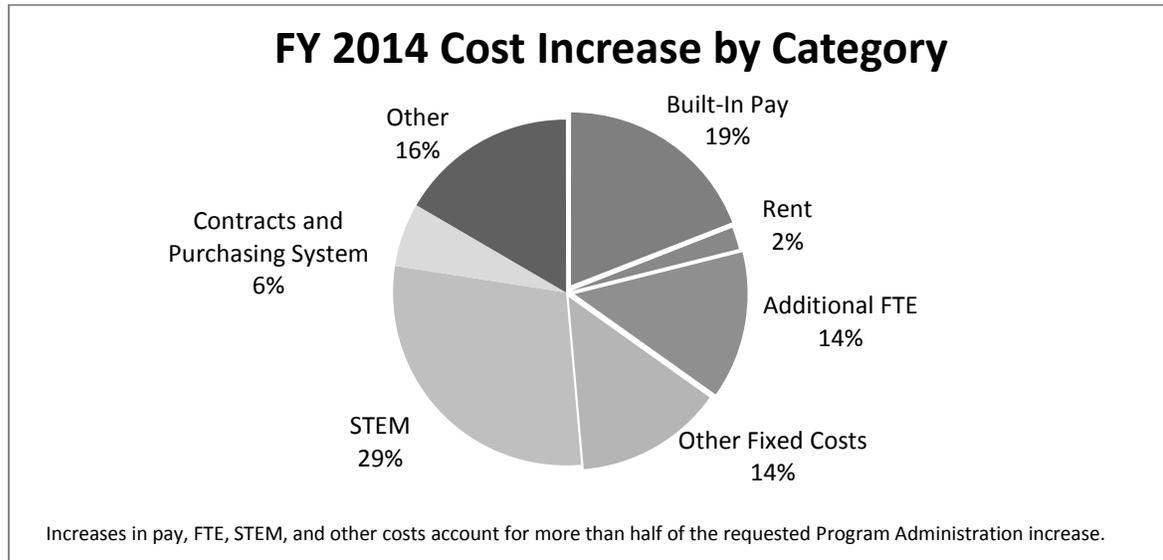
- Support System. As documented in prior requests, the current 10-year-old system is at the end of its lifecycle, creating a serious risk that the contractor will not continue to maintain or update it. Further, the current system does not allow for key functionality required to manage the contract acquisition and purchasing process. The contract was awarded at the start of FY 2012 and is a multi-year effort. The requested increase will be used to complete the development, testing, and implementation. The system is planned to be fully operational in 2015.
- Continued operations of the Education Central Automated Processing System (EDCAPS), the Department's core financial system - \$4.142 million. The Department strategically funded ongoing EDCAPS contracts in 2012, to reduce its financial obligation in 2013. However, these actions will necessitate restored operations funding in 2014. The increase for EDCAPS is critical to ensure continued functioning of the Department's key financial systems, including those providing the disbursement of grants and loans.
 - STEM — \$4.381 million. The Budget includes funding to support the new Office of STEM Education and engage in outreach activities to States and districts to support the STEM Innovation initiative and the STEM Virtual Learning Network, described elsewhere in this Volume. The Administration is proposing a comprehensive reorganization of STEM education programs to support a cohesive national STEM strategy and improve the delivery, impact, and visibility of STEM efforts. The Office of STEM Education will play a critical role in improving K-12 STEM instruction as part of the Administration's consolidation and realignment of Federal STEM programs.

The first chart below provides detail on the Program Administration request by type of cost, while the second chart shows the \$15.191 million cost increases by category.



PROGRAM ADMINISTRATION

Salaries and Expenses



STAFFING AND COSTS SUMMARY

The 2014 request includes funding for 2,051 full-time equivalent (FTE), an increase of 16 FTE from the 2013 level.

Personnel and Non-Personnel Costs Summary

The budget request includes \$292.007 million for personnel compensation and benefits. The increase of \$4.981 million from the 2013 level covers 16 additional FTE and a proposed 1 percent January 2014 pay raise and associated benefits increases. The total request for non-personnel activities in 2014 is \$169.443 million, an increase of \$10.210 million from the 2013 level. Non-personnel costs cover such items as travel, rent, mail, telephones, utilities, printing, information technology (IT), contractual services, equipment, supplies, and other departmental services.

SIGNIFICANT BUDGET ITEMS

Significant changes from 2013 to 2014 and key projects are highlighted in the following sections, grouped by office.

Office of Elementary and Secondary Education

FTE

A total of 3 FTE is requested to handle increased workload related to monitoring new accountability systems for States receiving ESEA flexibility waivers. With a goal of improving overall grant administration, OESE is planning to significantly change the way it conducts business across all of its grant programs by first streamlining core grant administration functions and then adopting a case management approach, whereby

PROGRAM ADMINISTRATION

Salaries and Expenses

collaborative teams will manage the caseloads of States, grouped by States with approved ESEA flexibility plans and States without ESEA flexibility plans.

Standards and assessments initiative

The primary objectives of this project include: 1) obtaining logistical and administrative support for peer reviews related to implementing ESEA Title I, Part A, Section 1111(b) (Academic Standards, Academic Assessments, and Accountability), including reviews of standards and assessment systems, enhanced assessment grants, and Title I accountability plans required under ESEA Title I and ESEA waivers; and 2) providing support for the office of Student Achievement and School Accountability and the office of School Turnaround in implementing ESEA Title I, including the use of consultants for onsite monitoring and technical assistance. In 2014, \$700,000 is requested for this project, a decrease of \$550,000 from 2013.

Impact Aid System Redesign

A total of \$667,000 is requested in 2014 for the redesign of the Impact Aid System (IAS), which processes and manages application data and payment information for the Impact Aid program. The amount requested is an increase of \$497,000 over the 2013 level, which supported maintenance of the current system. Requested funds will be used to begin to redesign the IAS as a Web-based IT system for grant administration and reporting. The current IAS is 13 years old and relies on outdated software that will not be supported in coming years. The redeveloped IAS would be a stand-alone information system on EDCAPS servers with an interface with the Department's G5 grants system. The G5 interface would allow grantees to access information about all their grants from a single location.

Office of Planning, Evaluation, and Policy Development

FTE

A total of 3 FTE is requested in 2014 in the Policy and Program Studies Service (PPSS) in order to strengthen evaluation capacity per OMB Memo M-12-14. PPSS plays a primary role in developing and managing the Department's research agenda, provides independent input to agency policymakers on resource allocation and to program leaders on program management, and refines program performance measures, in collaboration with program managers. Additional staff will provide advanced data analytic skills, with a focus on higher education policy, State longitudinal data systems, and assessment-based evidence.

EDFacts

EDFacts is a U.S. Department of Education initiative to put performance data at the center of policy, management and budget decisions for all K-12 education programs. It provides a Web-based collection for K-12 education performance data, ad hoc and standard reporting, commercial-off-the-shelf software for business process management and meta data collection, a data warehouse centralizing K-12 data with data from other systems, and a

PROGRAM ADMINISTRATION

Salaries and Expenses

commercial software survey. Services also include data supplier conferences, data user and supplier assistance, technical assistance to States, custom reports, and training. *EDFacts* collects data for performance, accountability, and related information for K-12 education programs, including programs under the Elementary and Secondary Education Act, the Individuals with Disabilities Education Act (IDEA), and the Carl D. Perkins Career and Technical Education Act. In addition, *EDFacts* provides data for the Common Core of Data (CCD). *EDFacts* data is centralized with data from other ED investments such as financial grant information. *EDFacts* supports policy and budget decisions with a degree of accuracy and timeliness not previously available. The primary beneficiaries of *EDFacts* are States and Department program offices, which access *EDFacts* to assess education progress in States and districts. *EDFacts* data is also used for special reports and to support initiatives such as Race to the Top.

In 2014, \$11.597 million is requested for *EDFacts*, an increase of \$1.054 million from the 2013 level. The increase is needed to expand the EQuIP data quality program to improve data quality, automate additional data quality checks, conduct Phase 2 of *EDFacts* data collection process reengineering, and incorporate additional IDEA data elements and reports.

The EQuIP data quality program has engaged the expertise of ED program officers over the past year to study the data submitted to *EDFacts* on State assessments and cohort graduation rates and design appropriate business rules to ensure only complete and accurate State, school district and school level data are accepted into *EDFacts*. This work has had a positive impact upon the program offices' ability to provide detailed and timely feedback to State staff regarding the data they are submitting to *EDFacts*. A portion of the additional funds requested will be used to automate additional data quality checks for these and other data groups.

Over the past 2 years, ED has worked with the field to develop and deploy Common Education Data Standards (CEDS), which define the elements of information which States and school districts are managing on a number of educational topics including their students, teachers, classrooms, learning outcomes, assessments, program implementations, and educational inputs. More and more States are developing mappings between CEDS and their data systems. In recent years, an alternatives analysis performed on the *EDFacts* Submission System has highlighted the need to reengineer the system to take advantage of improved models for automation and database-to-database communication. Funds from the 2014 increase requested for *EDFacts* will be used to integrate elements and definitions from CEDS into the *EDFacts* Submission System, enabling States to share automation models and more easily communicate directly with *EDFacts* databases. This second phase of the *EDFacts* data collection process reengineering will engage States, developers of educational information systems and the CEDS Stakeholders groups to ensure that a new system eases the burden placed on States to report data to *EDFacts*, while ensuring that States are in control of their data throughout the reporting process.

PROGRAM ADMINISTRATION

Salaries and Expenses

Doing What Works

The Doing What Works Web site (www.dww.ed.gov) is dedicated to helping educators identify and make use of effective teaching practices. Doing What Works contains practice guides that evaluate research on the effectiveness of teaching practices. The Web site also contains examples of possible ways this research may be used. Currently, the site provides information on early childhood education, English language learners, math and science, and reading instruction. A total of \$443,000 is requested for contractual assistance in 2014, no change from 2013.

Budget formulation and execution line of business

The Department will continue to serve as the Managing Partner for the e-Government Budget Formulation and Execution Line of Business (BFELoB) initiative, designed to improve the efficiency of the budgeting process at Federal Agencies. Areas of focus include Agency collaboration, data collection and tracking, analytics, automated data interface with MAX A-11, document production, budgeting tools, and career development and online training tools. The Department's contribution for this initiative is \$105,000 in 2014, the same as 2013. Over two dozen agencies contribute similar amounts for a total of \$2.5 million in 2014.

Budget formulation database

This system collects information used for the formulation, analysis, and allocation of all Department salaries and expenses funds. In 2014, \$229,000 is requested for this system, no change from the 2013 level.

Office of the Deputy Secretary

Support to States

States are committed to improving student outcomes through historic and transformational educational reforms. In early 2011, the Department formed the Implementation and Support Unit (ISU) in the Office of the Deputy Secretary with the goal of providing better assistance to States as they implement these unprecedented and comprehensive reforms to improve student outcomes. The ISU functions as a laboratory for ideas on how the Department can improve its services to States and identifies and shares these best practices across the Department. In addition, the ISU learns from program offices, and shares effective technical assistance and programmatic practices and processes across the Department. The ISU also coordinates technical assistance and support across the Department to maximize resources and efficiencies, as needed. For 2014, 33 FTE and \$842,000 in non-pay funding are requested for this effort, same as the 2013 level.

The ISU has several core functions:

1. Managing the implementation of three initiatives started under the Recovery Act that require involvement of a State's Governor and Chief State School Officer, including the

PROGRAM ADMINISTRATION

Salaries and Expenses

Race to the Top (RTT) State program, the RTT Assessment program, and the State Fiscal Stabilization Fund (SFSF) program. The ISU also manages the RTT – District and Education Jobs Fund programs.

2. Providing high-quality technical assistance to Race to the Top State and district grantees, and to all States in ways that help State educational agencies work effectively with their districts, schools, and teachers to improve student outcomes and ensure that all students receive a high-quality education.
3. Coordinating support of State and local efforts to maximize the extent to which policies, programs, and technical assistance are aligned across Department programs and resources are optimized to support key reforms.

The Department has implemented a portfolio approach in the ISU. Each State is assigned an individual Department staff member as its point of contact with whom the State interacts regularly and to whom the State can address questions or concerns regarding program or technical assistance needs. Each individual team member is responsible for approximately two to five States and territories.

Planned ISU activities include: 1) conduct the RTT grant competition in fiscal year 2014; 2) continue the program review process for RTT Phase 1, 2, and 3 State grantees and for the grantees under the RTT – District program; 3) expand technical assistance support to grantees and non-grantees; 4) continue the program review process for RTT Assessment grantees, including providing technical assistance; and 5) closing out SFSF and Education Jobs Fund grants.

The non-pay request is needed for technical assistance and programmatic support to a growing number of grantees. Funds will also support travel for monitoring and onsite program reviews and for technical assistance meetings and activities, collecting and public reporting of grantee performance data, preparing RTT State grantee reports, training, supplies, IT equipment for staff, and enhancements to the grants management system to support new performance management functions. In addition, non-pay funding will be used for other advisory and assistance services, including supporting ongoing expert and public input on the RTT Assessment program.

Enterprise risk management services and tools

A total of \$974,000 is requested in 2014 for the continued development of the Decision Support System (DSS) used by the Department's Risk Management service to identify, track, and monitor potential high-risk grantees. The system integrates data from existing systems (e.g., G-5, ED*Facts*) and external data sources. The outputs of the DSS are designed to promote data-driven decisionmaking and provide program officers with reports that enable them to prioritize services to grantees. DSS outputs are one component in ED's overall risk assessment practice. The 2014 request is an increase of \$102,000 from 2013. Increased funding is needed to: 1) obtain expert advice on the development of risk models from consultants; 2) add data sources to the IT infrastructure so it could be used in risk

PROGRAM ADMINISTRATION

Salaries and Expenses

assessments; and 3) handle new mandates related to the nature and scope of risk assessment and risk mitigation.

This investment is expected to result in long-term efficiencies in program spending, including the development of a common set of risk-based standards. This standard will help program offices use risk information better before making grant decisions, improve the Department's risk abatement culture, and limit negative oversight findings about programmatic risk.

Office of the Secretary

White House special events

A total of \$291,000 is requested for administrative support of White House meetings and special events in 2014. The request is no change from the 2013 level.

Office of Communication and Outreach

Blue Ribbon Schools

The Blue Ribbon Schools program honors elementary and secondary schools that make significant progress in closing the achievement gap or whose students achieve at very high levels. In 2014, \$1.2 million is requested to continue this program, no change from the 2013 level.

Green Ribbon Schools

Green Ribbon Schools recognizes schools that save energy, reduce costs, feature environmentally sustainable learning spaces, protect health, foster wellness, and offer environmental education to boost academic achievement and community engagement. The recognition award is part of a larger Department effort to identify and disseminate knowledge about practices proven to result in improved student engagement, academic achievement, graduation rates, and workforce preparedness, as well as a Governmentwide aim to increase energy independence and economic security. In 2014, \$85,000 is requested, no change from the 2013 level.

GovDelivery

GovDelivery is a digital subscription management system designed to enhance customer satisfaction with several of the Department's Web sites. GovDelivery provides customers a seamless transition between Web sites, e-mail, and Rich Site Summary (RSS) feeds. It incorporates social media integration of Departmental information, generates automatic e-mails, and provides analytical tools to assess customers' interactions with Department Web sites and e-mail information. In 2014, \$204,000 is requested for this project, an increase of \$75,000 from the 2013 level. This restores funding to earlier levels. Service was curtailed in 2013 due to funding restraints.

PROGRAM ADMINISTRATION

Salaries and Expenses

Presidential Scholars Program

This program, started in 1964, recognizes the Nation's most distinguished graduating high school seniors. Each year, the White House Commission on Presidential Scholars selects up to 141 students for this honor. To support this program in 2014, \$939,000 is requested, a decrease of \$75,000 from the 2013 level.

President's Education Awards

The President's Education Awards program is an annual effort to recognize students for outstanding educational achievement. Awards are given in two categories: 1) the President's Award for Educational Excellence, which recognizes academic success in the classroom; and 2) the President's Award for Educational Achievement, which recognizes students who show outstanding educational growth or intellectual development but do not meet the criteria for the Educational Excellence Awards. In 2014, \$140,000 is requested for this program, no change from the 2013 level.

Teaching Ambassador Fellowship program

The Teaching Ambassador Fellowship program enables outstanding teachers to bring their classroom expertise to the Department and expand their knowledge of national education policy. In turn, Teaching Fellows facilitate the learning of other educators and community members in their hometowns, home schools, and throughout the country, while informing policy through interactions with Department leaders in Washington, DC. The Department is looking at creating a Principal Fellowship that would bring at least one principal to the Department on a full-time basis to provide input on policy from a principal's perspective. In 2014, \$326,000 is requested for non-personnel items for this program, no change from 2013.

Office of the Chief Financial Officer

Financial Advisor's contract

The financial advisor's contract supports preparation of financial statements, data reconciliation, development of policies and procedures, and other financial management activities. In 2014, \$350,000 is requested for this contract, an increase of \$50,000 from the 2013 level.

Indirect Cost Project

Approved indirect cost rate agreements are required by OMB Circulars and the Education Department General Administrative Regulations (EDGAR). Indirect cost rate proposals and cost allocation plans require intensive analysis and highly technical accounting expertise. In order to manage the growing backlog and number of unapproved or expired indirect cost rates, contractor support services are required. With an estimated increase of 76 percent in the number of carryover proposals between FY 2012 and 2013, a key goal—keeping up with

PROGRAM ADMINISTRATION

Salaries and Expenses

the incoming volume of cost rate proposal requests—will not be met without outside support. In 2014, \$100,000 is requested for this new contract.

Continuous Controls Monitoring System (CCMS)

CCMS is a business management monitoring system used to help ensure that controls operate as designed and that transactions are processed appropriately. CCMS uses automated controls to reduce fraud and improve financial governance. CCMS improves the reliability of controls and improves management's oversight, policy enforcement, and operational efficiency. Specifically, the CCMS will be used to help mitigate the Department's risk of improper payments. Identifying and reducing improper payments has become a focus in recent years as a result of the enactment of the Improper Payments Elimination and Recovery Act of 2010. In 2014, \$158,000 is requested, an increase of \$52,000 from the 2013 level.

Government Performance and Results Act (GPRA) planning support

A total of \$200,000 is requested in 2014 for strategic planning and performance reporting required under GPRA, including the annual Performance and Accountability Report and the Annual Performance Budget. The request is no change from the 2013 level.

Chief Financial Officer's Council/Chief Information Officer's Council

In 2014, \$578,000, no change from 2013, is included primarily to support the operations and supported activities of two interagency organizations: 1) the U.S. Chief Financial Officers (CFO) Council, an organization consisting of the CFOs and Deputy CFOs of the largest Federal Agencies, and senior officials of OMB and the Department of the Treasury, who work collaboratively to improve financial management; and 2) the Chief Information Officer's Council, consisting of Federal Agency chief information officers, who monitor and consult on Agency technology efforts.

OMB Circular A-123 initiative

Contractual assistance will support the Department's actions related to OMB Circular A-123, which provides guidance on improving the accountability and effectiveness of Federal programs and operations by establishing, assessing, correcting, and reporting on internal controls. Although Department staff perform the majority of internal control assessments for agency financial reporting, the Department does not have the technical expertise to perform all risk assessments and other A-123 activities related to general computer controls. Without contract support, the Department would not be able to provide assurance that its internal control structure and financial management systems meet the A-123 requirements. In 2014, a total of \$425,000 is requested for this initiative, an increase of \$25,000 from the 2013 level.

PROGRAM ADMINISTRATION

Salaries and Expenses

Acquisition support services

This contract provides the resources necessary to complete required contract compliance reviews and to close out expiring contracts while allowing the organization to sustain operational levels necessary to satisfy performance and customer service standards established by the Agency. This increase for support will position the Contracts and Acquisition Management office to close an anticipated backlog of contracts. A total of \$225,000 is requested for this activity in 2014, an increase of \$75,000 from the 2013 level.

Federal audit clearinghouse

Funds are requested for an agreement with the Bureau of the Census to serve as the Clearinghouse for Single Audit Act Reports. The Bureau of the Census processes incoming reporting packages and related data collection forms; develops and maintains an electronic database of data from the forms; and handles inquiries from auditees, auditors, and the general public concerning audit requirements. The 2014 request of \$645,000, based on historical usage data, reflects an increase of \$199,000 from the 2013 level.

E-Gov: Performance Management Line of Business

Funds are requested to support the development of a Performance Management Line of Business (PMLOB) in 2014. The 2014 request of \$219,000 will facilitate a transition from print-based GPRA performance plans and reports to a shared, Web-based, Governmentwide performance portal, as required by the GPRA Modernization Act (Sec. 7 and Sec. 10). This is an increase of \$15,000 from the 2013 level.

Office of the Chief Information Officer

Central information technology

Information technology activities that comprise centralized IT services include Departmentwide computer operations, maintenance and improvements, local area network operations, telecommunications, and other commonly shared Department IT and office automation activities. The funds are administered by the Office of the Chief Information Officer. A total of \$50.624 million is requested, an increase of \$2.940 million from the 2013 level, mostly for continued operations of and enhancements to EDCAPS, the Department's core financial system. Requested funds are primarily for EDUCATE, EDCAPS, and IT security. The following projects are included in the Central IT budget:

Education Department utility for communications, applications, and technical environment (EDUCATE)

The EDUCATE IT investment encompasses the Department's centralized IT network and telecommunications projects. Items supported include security and privacy operations, desktop services, Helpdesk support, data center operations, telecommunications services, e-mail, disaster recovery operations, and printer services.

PROGRAM ADMINISTRATION

Salaries and Expenses

The EDUCATE project is funded from multiple Department accounts. The total requested in the Program Administration account for 2014 is \$26.354 million, a decrease of \$1.834 million from the 2013 level. The savings are a result of a project starting in FY 2013 on reviewing the EDUCATE contract to optimize services and costs.

Independent Verification and Validation for EDUCATE

Independent Verification and Validation contract support will be used to validate that the EDUCATE contractor is performing its contractual requirements and deliverables with no disruptions in service to Department customers. In 2014, \$489,000 is requested for this contract, an increase of \$17,000 from the 2013 level.

Education central automated processing system (EDCAPS)

A total of \$12.421 million is requested in 2014 for the continued operations and enhancement of the EDCAPS core financial system, which includes five major components: G5, the Contracts and Purchasing Support System (primarily development funds to replace the current system), the Financial Management Systems Software, the Integrated Support System, and the Travel System. The request is an increase of \$5.025 million from the 2013 level. A significant part of the increase (\$883,000) is for continued development of a replacement Contracts and Purchasing Support System, scheduled to be operational in 2015. The contract was awarded at start of FY 2012 and is a multi-year effort.

The goal of the replacement project is to provide users with greater functionality, ease of use, and reliability. The replacement system will enable the Department to electronically link to other systems, including the Past Performance Information Retrieval System, and to meet new technical requirements for electronic rather than paper processing, such as electronically processing proposal receipts and awards. In addition to the software itself, contractor support is required to implement and integrate it with the rest of EDCAPS. Finally, a project of this size and scope requires a contractor supported Project Management Office to manage the project, as well as Independent Verification and Validation support services to provide an unbiased opinion of the project's health.

The remaining portion of the increase (\$4.142 million) for EDCAPS is critical to ensure continued functioning of the Department's key financial systems, including those providing the disbursement of grants and loans.

Both the Program Administration and Student Aid Administration accounts provide EDCAPS funding of \$12.421 million and \$15.065 million, respectively.

EDWeb

The Department's Internet site (www.ed.gov) provides a critical communications link to both its internal and external customers, including grantees, students, parents, educational institutions, government agencies, and contractors. The request for maintenance and enhancements of the sites in 2014 is \$1.864 million, a decrease of

PROGRAM ADMINISTRATION

Salaries and Expenses

\$662,000 from 2013. The decrease is a result of returning to a predominantly maintenance budget level. The funds will allow the continued transformation of the main Department Web site into a primary vehicle for conducting Department work with stakeholders, enhance the site's search capability, enable customers to create their own personalized view of Web site content, and improve site administration.

IT investment management

The Investment Management project has two parts. The first is designed to improve the manner in which the Department selects, manages, and evaluates IT projects. The second enables the Department to collect and report electronically on its IT projects, as required by OMB Circular A-11 and the Federal Information Management Security Act. A total of \$591,000 is requested for the project in 2014, an increase of \$21,000 from 2013.

IT security

Several IT projects provide security for the Department's IT systems. These include: 1) Cyber Security, which provides the Department with cyber monitoring and analysis of cyber intrusion, system vulnerabilities, and support for remediation after a breach has occurred; 2) the Federal Information Security Management Act (FISMA) Reporting Tool, which collects and reports on the security status of the Department's IT systems; 3) Information Assurance Enhancement, designed to establish, maintain, and sustain cyber security situational awareness Departmentwide; 4) Information Assurance Security Training, which provides IT security training to Department employees and contractors; 5) Identity Management, which addresses the new requirement, One Time Password, for two-factor authentication for Department employees and support contractors when they are accessing Department IT systems from remote locations; and 6) Security Authorization, which supports Department compliance with FISMA and OMB standards and requirements for IT systems.

The total request for these projects is \$4.501 million, an increase of \$498,000 from the 2013 level, primarily due to the large number of systems that need security certification in 2014, and to provide additional support and technical expertise in the areas of computer network defense and cyber watch in response to new and ongoing cyber security threats. Most Information Assurance activities, including Certification and Accreditation, are required by statute, Executive Order, OMB guidance, or Governmentwide directive.

Enterprise architecture

Enterprise Architecture (EA) is a strategic planning and management framework that ensures IT investments meet the Department's primary goals and objectives. This project supports analysis of IT investments on an enterprise-wide basis and collects information describing current and future use of technology. This funding request will be used to develop and implement the Department's Enterprise Architecture, including the Future State Vision, Transition Plan, and Information Resource Management Strategic Plan. EA is mandated by the Clinger-Cohen Act and OMB regulation, and ensures that

PROGRAM ADMINISTRATION

Salaries and Expenses

business needs drive technology acquisitions. The 2014 request for the project is \$601,000, an increase of \$18,000 from 2013.

Enterprise intranet (ConnectED)

The ConnectED Intranet Web sites are a communication mechanism used by the Department to deliver and receive information among Department of Education employees and contractors. In addition to the main Web site, ConnectED hosts almost 50 other Web sites for Department offices and programs. Contractor support is needed to maintain the Web sites and to provide support and training to staff who are content providers. The 2014 request is \$501,000, a decrease of \$4,000 from the 2013 level.

Grants.gov

Grants.gov was created as a single portal for all Federal grant customers to find, apply for, and manage grants online. In 2014, the Department of Health and Human Services, the managing partner of this initiative, increased the amount to \$548,000, an increase of \$72,000 from the 2013 level.

Financial management line of business and Grants management line of business

The goal of the Financial Management Line of Business initiative is to identify opportunities to reduce the cost of Government and improve services to citizens through business performance improvements. In 2014, \$231,000 is requested for this e-Government initiative, an increase of \$88,000 above the 2013 level. The increase in FY 2014 is due to the consolidation of this effort with the Grants Management Line of Business initiative, which will reduce the cost of Government and improve services to citizens through business performance improvements in grants management.

Office of Management

Central support items

Departmental overhead expenses, administered by the Office of Management, cover centralized support and administrative services for all offices. These services include rent, building alterations and repairs, training, supplies, mail, and interagency agreements for services purchased from other agencies. A total of \$80.842 million is requested for these items in 2014, an increase of \$348,000 from the 2013 level, primarily for rent and physical security items. The following projects are included in the Central Support budget:

Rent

The \$43.508 million request for rent is an increase of \$308,000 from the 2013 level, due primarily to the scheduled renewal of the LBJ occupancy agreement and PCP lease agreement in 2014.

PROGRAM ADMINISTRATION

Salaries and Expenses

Security items for personnel and buildings

A total of \$9.333 million is requested for security-related items including guard services, investigations, and the implementation of the Continuity of Operations Plan. The Federal Protective Service (FPS) is responsible for setting overall security policy and in determining the costs of security items. The Department works with the FPS and other local and Federal law enforcement organizations to ensure the safety and security of the Department's many buildings, its classified information, and its employees. The Department's security policies and procedures have been implemented in response to General Services Administration and Department of Homeland Security standards, and follow regulations set forth in HSPD-12, FISMA, and a series of Executive Orders. The 2014 request is a decrease of \$68,000 from the 2013 level.

ED Pubs

ED Pubs provides for storage, shipping, and dissemination of Department publications. The Department procures these services via an interagency agreement with the National Technical Information Service. The 2014 request is \$4.677 million, no change from 2013.

Mail

The 2014 request for mail is \$759,000, no change from the 2013 level. Within the scope of the Executive Order on "Promoting Efficient Spending", the Department's postage costs have gone down from FY 2012. Even though mailing of material is an important way the Department communicates with its customers, the Department relies heavily on the Internet and electronic communications and applications, and has limited the amount of material that is sent out.

Transit subsidies

The request includes \$3.103 million to provide subsidies to encourage Department employees to take mass transit to work. The request is no change from the 2013 level.

Training

A total of \$971,000 is requested for employee training and management development activities in 2014, a decrease of \$28,000 from the 2013 level.

Federal personnel and payroll system

Through an interagency agreement with the Department of the Interior, the Department uses the Federal Personnel Payroll System for automated human resource services such as payroll operations, time and attendance system, and training. In 2014, \$1.901 million is requested for this system, an increase of \$55,000 from the 2013 level.

PROGRAM ADMINISTRATION

Salaries and Expenses

Overtime utilities

This project funds after-hour heating and air conditioning costs in headquarters buildings occupied by Department personnel. The request in 2014 is \$1.046 million, an increase of \$93,000 from the 2013 level for the addition of the Mary E. Switzer building and expected cost increases. The Department has reduced its overtime utilities for LBJ and PCP in 2013 and 2014 by reducing core operating hours and after-hours air conditioning timeframes, producing a cost savings in both years.

Record information management services (RIMS) support services

A total of \$1.168 million in 2014 will support compliance with Government initiatives regarding the acquisition, release, and maintenance of information. This includes carrying out provisions of the Freedom of Information Act and the Privacy Act. The request is \$119,000 below the 2013 level.

Enterprise human resource integration

The Enterprise Human Resource Integration project streamlines and automates the exchange of Federal employee human resources information. Participation in this initiative will provide the Department's Human Resources managers and specialists with a centralized data warehouse to assist in workforce planning and analysis capabilities that are currently not possible with a paper-based system. With these tools, trends for retirement, promotions, and reassignments can be accurately forecast and personnel transactions completed quickly. In 2014, \$70,000 is requested for this ongoing e-Government initiative, a decrease of \$63,000 from the 2013 level.

Human resources management line of business

The goal of the Human Resources Line of Business initiative is to identify opportunities to reduce the cost of Government and improve services to citizens through business performance improvements. The Department will benefit by utilizing "best-in-class" human resources systems. In 2014, \$66,000 is requested for this ongoing e-Government initiative, no change from the 2013 level.

Office of Postsecondary Education

Grants electronic monitoring system (GEMS)

The GEMS system provides electronic support for the more than 6,000 open discretionary grants for the Office of Postsecondary Education. The system is utilized by the Office's program officers to manage grant monitoring and electronic grant folders. The request in 2014 is \$413,000, a slight increase of \$12,000 from the 2013 level. This system is also used by the Office of Elementary and Secondary Education.

PROGRAM ADMINISTRATION

Salaries and Expenses

OPE information management system

The OPE information management system assists in applications such as the OPE Field Reader System, the Title II Scholarship Administration and Reporting System, and the Audit Tracking System. The 2014 request is \$560,000, a slight increase of \$20,000 from the 2013 level.

Information Management Performance System (IDUES)

This Web-based system is used to collect grantee performance data that are analyzed by the Institutional Development and Undergraduate Education Programs (IDUES) office for reports on the Title III and Title V programs, as required by GPRA. In 2014, \$505,000 is requested for the operations of this system, no change from the 2013 level.

Workforce Assessment

In response to results from the OPE employee viewpoint survey, the Department is requesting funding for this project to ensure staff are effectively performing mission critical occupational functions such as grants management. This project is intended to develop an inventory of staff skill sets, identify gaps, and determine future training and development needs for effective succession planning and workforce position management. The 2014 request is \$375,000.

Office of Special Education and Rehabilitative Services

Randolph-Sheppard arbitrations

A total of \$220,000 is requested in 2014 for Randolph-Sheppard arbitrations. The Randolph-Sheppard Act authorizes a program to provide gainful employment for individuals who are blind to operate vending facilities on Federal and other property. The Rehabilitation Services Administration (RSA) is required to administer the arbitration process that involves grievances for blind licensees; hearing and arbitration; non-compliance by Federal Departments and Agencies; and complaints by State licensing agencies. The request is no change from the 2013 level.

Office of Adult and Vocational Education

Adult Education National Reporting System Web-based Data Collection System

The Adult Education National Reporting System Web-based Data Collection System is a cornerstone of the effort to meet the accountability requirements of the Workforce Investment Act. Statistics for performance measures such as student advances in educational functional levels and employment retention are collected online from grantee States and used as a basis for negotiation of continuous improvement goals. In addition, required narrative reports and annual financial status reports are submitted by the States through the system. In 2014, \$348,000 is requested for operating the system, an increase of \$165,000 from the 2013 level. The increase will be used to improve the capacity to

PROGRAM ADMINISTRATION

Salaries and Expenses

produce tailored reports across program years on demand and to improve longitudinal data aggregation and graphical presentation.

Office of the Under Secretary

White House Initiative on Educational Excellence for Hispanic Americans

The White House Initiative on Educational Excellence for Hispanic Americans is responsible for improving Federal efforts to promote quality education for Hispanic Americans. Activities include enhancing opportunities in education by connecting Hispanic communities with resources to improve outcomes for all students. In 2014, \$269,000 for non-personnel items such as travel and contractual services, a decrease of \$1,000 from 2013, is requested to support this initiative.

White House Initiative on American Indians and Alaska Native Education (formerly WHITCU)

The goals of this initiative are to provide American Indian and Alaska Native (AI/AN) students of all ages high-quality education and career-building opportunities. This initiative is responsible for improving AI/AN early education programs, promoting excellence, driving the reform of elementary and secondary schools, and increasing the expectations of AI/AN's and the achievements of career-ready students. This initiative facilitates increased access to and participation in Federal programs where AI/AN students remain underserved. In 2014, \$133,000 for non-personnel items such as travel and contractual support, an increase of \$25,000 from the 2013 level, is requested for this initiative to effectively implement the President's Executive Order.

White House Initiative on Historically Black Colleges and Universities

This initiative is designed to assist Historically Black Colleges and Universities (HBCU) to advance equal opportunity in higher education, strengthen the capacity to provide the highest quality education, increase opportunities for these institutions to participate in and benefit from Federal programs, and increase the private sector role in strengthening these institutions. In 2014, \$246,000 for non-personnel items including board and staff travel, printing, contractual services and an annual HBCU conference will support this initiative, an increase of \$14,000 from the 2013 level.

Faith-Based and Neighborhood Partnerships

This initiative's focus is to engage community-based organizations, both secular and faith-based, in building a culture of high expectations, support for education, develop and support initiatives within the Federal Government to help maximize the education contributions of community-based organizations. The Department is working to identify and eliminate barriers to participation in ED programs by faith-based and community based organizations. In 2014, \$84,000 for related non-personnel items such as travel and contractual support costs is requested to achieve the goals of this initiative. The request is no change from the 2013 level.

PROGRAM ADMINISTRATION

Salaries and Expenses

White House Initiative on Asian Americans and Pacific Islanders

The Initiative, co-chaired by the Secretaries of [Education](#) and [Commerce](#), is housed within the Department of Education. The Initiative works to improve the quality of life and opportunities for Asian Americans and Pacific Islanders by facilitating increased access to and participation in Federal programs in which they remain underserved. In 2014, \$233,000 for non-personnel items such as board and staff travel, printing, contractual services is requested to achieve the goals of this initiative, a slight increase of \$4,000 from the 2013 level.

White House Initiative on Educational Excellence for African Americans

This new Initiative, established in FY 2013, will work across Federal Agencies and with partners and communities nationwide to produce a more effective continuum of education programs for African-American students. The initiative aims to ensure that all African American students receive an education that fully prepares them for high school graduation, college completion, and productive careers.

Office of Innovation and Improvement

FTE

Five additional FTE are requested to establish an Office of STEM Education that would play a critical role in improving K-12 STEM instruction as part of the Administration's consolidation and realignment of Federal STEM. More information on the STEM Innovation initiative is provided in the Innovation and Instructional Teams justification.

Activities to Support the Office of STEM Education

A total of \$4.3 million would support and allow the new Office of STEM Education to engage in outreach activities to States and districts to support the STEM Innovation initiative and the STEM Virtual Learning Network.

Investing in Innovation (i3) special projects

The special projects funds will provide administrative support for the Department's i3 program, which is one of the signature grant programs of the Department and the Administration. In order to optimize its success and ultimate impact on the field, evaluations need to be conducted and grantees and applicants need to receive technical assistance such as, through project directors meetings, outreach for the next round of competition, a dedicated communities of practice platform, and work with secondary markets that support the mission of the i3 program. In 2014, a total of \$210,000 is requested, no change from the 2013 level.

PROGRAM ADMINISTRATION

Salaries and Expenses

Institute of Education Sciences

FTE

A total of 5 FTE are requested in 2014 in order to bolster evaluation capacity per OMB Memo M-12-14. The FTE requested will provide support for the following positions:

- The Commissioner of the National Center for Education Evaluation and Regional Assistance is responsible for managing and coordinating all evaluation, library and clearinghouse, and regional technical assistance activities within the Institute, serving as the senior evaluation advisor to the Director, and representing the evaluation and knowledge utilization activities to key constituencies and organizations both within and outside the Department. This position was formerly funded via an Intra Personnel Agreement (IPA), so the requested FTE increase is offset by a corresponding non-pay decrease.
- The Commissioner of the National Center for Education Research is responsible for managing and coordinating all research activities within the Institute, serving as the senior research advisor to the Director, and representing the research mission and activities of the Institute to key constituencies and organizations both within and outside the Department. This position was formerly funded via an IPA, so the requested FTE increase is offset by a corresponding non-pay decrease.
- Three IES Research Scientists, who will be assigned to the National Center for Education Evaluation and Regional Assistance and to the National Center for Education Research, will be responsible for planning, implementing, and managing research and evaluation activities and programs carried out by their respective Centers. This work includes conducting scientific reviews of research and evaluation plans and products, and analyzing data and synthesizing information from education research and related areas.

OTHER BUDGET ITEMS

The funds requested for the offices not mentioned in the previous section—the Offices of English Language Acquisition, General Counsel, Legislation and Congressional Affairs, and the Advisory Committee on Student Financial Assistance—are primarily for ongoing operations including travel, supplies, overtime, and small contracts.

PROGRAM ADMINISTRATION

Building Modernization

Building Modernization
(DEOA)

(dollars in thousands)

FY 2014 Authorization: Indefinite

Budget authority:

<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>Change from 2012</u>
\$0	\$0	\$2,000	+\$2,000

PROGRAM DESCRIPTION

The Building Modernization activity supports building renovations and related expenses necessary for the relocation of Department of Education staff within the Washington, D.C. metropolitan area and in several regional offices.

Funding levels for the past 5 fiscal years were:

	(dollars in thousands)
2009	\$5,400
2010	8,200
2011	8,184
2012	0
2013	0

FY 2014 BUDGET REQUEST

A total of \$2 million is requested in 2014 for the Building Modernization activity, an increase of \$2 million from the 2013 level.

The funds requested in 2014 are for the LBJ 2nd Floor modernization project, to renovate and refurbish space to include smaller workstations, hoteling space to accommodate telework and flexible work schedules, and other special areas resulting in space efficiencies of about 24 percent. These changes are expected to provide \$3 million in cumulative rent savings from FY 2015 through FY 2017. In order to fully capitalize on and achieve these savings, the Department expects to extend the 2nd floor renovations to the remainder of LBJ.

PROGRAM ADMINISTRATION

Building Modernization

Requested funds would be used as follows:

(dollars in thousands)

Object Class/Office	Description	2013	2014
25.3 Goods/Services from Government 25.72 IT Services/contracts 31.3 Other Equipment 32 Building Alterations (OM)	LBJ 2 nd Floor Modernization Project	\$0	\$2,000
Total		0	2,000

PROGRAM ADMINISTRATION

Full-time Equivalent Employment (Staff Years)

OFFICE	2012	2013	2014 Request	Change from 2013 to 2014
Advisory Committee on Student Financial Assistance	5	6	6	0
Chief Information Officer	124	121	121	0
Chief Financial Officer	161	160	160	0
Communications and Outreach	111	104	104	0
Deputy Secretary	65	69	69	0
Elementary and Secondary Education	248	251	254	3
English Language Acquisition, Language Enhancement, and Academic Achievement for Limited English Proficient Students	19	18	18	0
General Counsel	97	96	96	0
Innovation and Improvement	97	95	100	5
Institute of Education Sciences	182	182	187	5
Legislation and Congressional Affairs	18	18	18	0
Management	184	183	183	0
Planning, Evaluation, and Policy Development	101	102	105	3
Postsecondary Education	162	160	160	0
Secretary	98	94	94	0
Special Education and Rehabilitative Services	271	264	264	0
Under Secretary	29	30	30	0
Vocational and Adult Education	81	82	82	0
Total	2,053	2,035	2,051	16

PROGRAM ADMINISTRATION

Summary of Budget Authority by Principal Office

(dollars in thousands)

OFFICE	2012	2013	2014 Request
Advisory Committee on Student Financial Assistance	818	875	881
Central Information Technology	49,048	47,684	50,744
Chief Information Officer	18,229	17,983	18,172
Chief Financial Officer	26,739	25,719	26,455
Central Support	77,619	83,225	82,722
Communications and Outreach	18,612	17,815	17,976
Deputy Secretary	10,835	11,678	11,997
Elementary and Secondary Education	35,543	35,591	36,349
English Language Acquisition, Language Enhancement, and Academic Achievement for Limited English Proficient Students	2,565	2,374	2,397
General Counsel	16,518	16,696	16,895
Innovation and Improvement	12,215	12,282	17,403
Institute of Education Sciences	28,108	27,256	28,257
Legislation and Congressional Affairs	2,254	2,362	2,406
Management	25,609	25,970	26,172
Planning, Evaluation, and Policy Development	25,632	26,998	28,635
Postsecondary Education	23,900	23,478	23,986
Secretary	16,101	15,432	15,592
Special Education and Rehabilitative Services	38,693	38,135	38,483
Under Secretary	5,033	5,389	5,473
Vocational and Adult Education	11,997	12,048	12,455
Total Budget Authority	446,068	448,990	463,450

Note: The amount shown in FY 2013 includes a 0.612 percent across-the-board increase provided by P.L. 112-175.