



UNITED STATES DEPARTMENT OF EDUCATION

OFFICE OF VOCATIONAL AND ADULT EDUCATION

MAR 12 2009

PROGRAM MEMORANDUM – FY 2009 - 01

TO: State Directors of Adult Education

FROM: Dennis Berry
Acting Assistant Secretary
Vocational and Adult Education

SUBJECT: Estimated Adult Education State Award Allocations for
Fiscal Year (FY) 2009

This memorandum provides you with planning estimates for FY 2009 Adult Education State Grants and, provides background information about the data used to determine these estimates. These funds will be available July 1, 2009.

As discussed during an earlier “Shop Talk” conference call, the Department of Education (Department) is changing the data source for the number of *qualifying adults*¹ used to determine State allocations for the Adult Education State Grants program, beginning with the allocations for fiscal year (FY) 2009. The U.S. Census Bureau has replaced the decennial long form, the previous source of data for many Federal education programs, with the American Community Survey (ACS), an annual population survey². As a result, the decennial data that have been used to determine State allocations for the Adult Education program will no longer be available. The Department must transition to ACS data to make these determinations. ACS data sets are produced annually and become available in January each year. These data sets will provide a more up-to-date and accurate estimate of the number of qualifying adults in each State. The Department will use data based on a 3-year 2007 ACS estimate in order to mitigate any fluctuations created by using a data set based on only a one-year time period.

The predicted shifts in funding, as estimated here, are the result of population changes experienced by States, as reflected by current and available U.S. Census Bureau data, and, the correction of an administrative error that occurred from FY 2003-2008 that incorrectly calculated the number of *qualifying adults* in States. The transition from Census decennial data to 3-year ACS data for *qualifying adults*, and correction of the administrative error (i.e., the proper exclusion of 16-19 year olds enrolled in school) will result in some States receiving reduced funding for FY 2009. However, the AEFLA hold-harmless³ factor minimizes allocation reductions to 10 percent in any one year.

¹ A qualifying adult, as defined in Section 211(d) of the *Adult Education and Family Literacy Act* (AEFLA), Title II of the Workforce Investment Act, is: a person at least 16 years of age, beyond the age of compulsory school attendance under the State’s law, who does not have a secondary school diploma or its recognized equivalent, and is not enrolled in secondary school.

² For more information on the ACS, go to <http://www.census.gov/acs>

³ Hold-harmless, as defined in Section 211(f)(1) States that no State will receive an allocation that is less than 90 percent of the prior year’s allocation under AEFLA.

The Department does not expect to make any adjustments to the FY 2009 allocations to rectify the prior overpayments and underpayments that States received due to the administrative error in FYs 2003-2008, because the Department still is in the process of determining the precise amounts that were overpaid and underpaid to individual States. At this time, we expect to request further information from the Census Bureau to calculate these amounts. The Administration will take action to provide additional funds for the Adult Education program that will enable States that were underpaid in FYs 2003-2008 to receive their full allocation while holding harmless the States that were overpaid in those years. As soon as we have more information on how your State will be impacted by this situation, we will let you know.

The attached chart provides preliminary information on estimated AEFLA allocations for FY 2009. The estimates are based on FY 2009 funding levels, calculated using the 3-year 2007 ACS data for *qualifying adults*.

The second column reflects the estimated FY 2009 allocations for the AEFLA State Grant program.

The third column reflects the estimated EL/Civics award, which is expected to be reserved in appropriations language for integrated English literacy and civics education services to immigrants and other limited English proficient populations. The appropriations statute is expected to allocate 65 percent of EL/Civics funds to States based on a State's absolute need. This is determined by calculating each State's share of a 10-year average of the United States Citizenship and Immigration Services data for immigrants admitted for legal permanent residence for the 10 most recent years. The appropriations statute is expected to allocate 35 percent to States that experienced growth as measured by the average of the three most recent years for which United States Citizenship and Immigration Services data for immigrants admitted for legal permanent residence are available. Every State is allocated a minimum of \$60,000 for EL/Civics. The most recent year for which legal immigration data are available is 2007.

For State specific information about your planning allocation, please contact Mike Dean in the Division of Adult Education and Literacy at 202-245-7828 or Mike.Dean@ed.gov.

Adult Education and Family Literacy Act State Grants

Estimated State Award Amounts for FY 2009

STATE	State Grants: FY 2009 Estimated Award Amount	EL/Civics: FY 2009 Estimated Award Amount	Total: FY 2009 Estimated Award Amount
ALABAMA	\$8,980,141	\$201,871	\$9,182,012
ALASKA	\$887,056	\$96,271	\$983,327
ARIZONA	\$9,986,287	\$1,104,871	\$11,091,158
ARKANSAS	\$5,293,197	\$157,146	\$5,450,343
CALIFORNIA	\$66,053,960	\$15,747,494	\$81,801,454
COLORADO	\$5,718,806	\$736,763	\$6,455,569
CONNECTICUT	\$4,374,764	\$880,534	\$5,255,298
DELAWARE	\$1,437,531	\$135,151	\$1,572,682
FLORIDA	\$28,163,014	\$7,238,996	\$35,402,010
GEORGIA	\$15,847,736	\$1,540,420	\$17,388,156
HAWAII	\$1,617,301	\$430,251	\$2,047,552
IDAHO	\$1,989,372	\$148,858	\$2,138,230
ILLINOIS	\$17,749,217	\$3,032,701	\$20,781,918
INDIANA	\$9,110,277	\$421,831	\$9,532,108
IOWA	\$3,504,870	\$252,194	\$3,757,064
KANSAS	\$3,282,624	\$280,004	\$3,562,628
KENTUCKY	\$8,430,122	\$281,964	\$8,712,086
LOUISIANA	\$8,538,525	\$207,417	\$8,745,942
MAINE	\$1,726,310	\$93,246	\$1,819,556
MARYLAND	\$7,253,954	\$1,525,233	\$8,779,187
MASSACHUSETTS	\$7,684,899	\$1,935,947	\$9,620,846
MICHIGAN	\$12,914,820	\$1,300,460	\$14,215,280
MINNESOTA	\$5,299,077	\$866,787	\$6,165,864
MISSISSIPPI	\$6,107,196	\$90,041	\$6,197,237
MISSOURI	\$8,626,432	\$459,989	\$9,086,421
MONTANA	\$1,214,127	\$60,000	\$1,274,127
NEBRASKA	\$2,120,636	\$200,730	\$2,321,366
NEVADA	\$4,265,383	\$693,712	\$4,959,095
NEW HAMPSHIRE	\$1,539,688	\$164,317	\$1,704,005
NEW JERSEY	\$11,452,918	\$3,567,920	\$15,020,838
NEW MEXICO	\$3,571,629	\$227,813	\$3,799,442
NEW YORK	\$29,741,823	\$8,675,479	\$38,417,302
NORTH CAROLINA	\$15,129,777	\$919,040	\$16,048,817
NORTH DAKOTA	\$1,020,867	\$60,000	\$1,080,867
OHIO	\$15,014,321	\$902,864	\$15,917,185
OKLAHOMA	\$5,550,280	\$265,568	\$5,815,848
OREGON	\$4,763,218	\$578,559	\$5,341,777
PENNSYLVANIA	\$16,814,140	\$1,458,310	\$18,272,450

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STATE	State Grants: FY 2009 Estimated Award Amount	EL/Civics: FY 2009 Estimated Award Amount	Total: FY 2009 Estimated Award Amount
RHODE ISLAND	\$1,985,859	\$227,267	\$2,213,126
SOUTH CAROLINA	\$7,870,472	\$253,968	\$8,124,440
SOUTH DAKOTA	\$1,156,771	\$60,000	\$1,216,771
TENNESSEE	\$11,187,896	\$472,914	\$11,660,810
TEXAS	\$44,501,182	\$5,302,129	\$49,803,311
UTAH	\$2,586,347	\$319,055	\$2,905,402
VERMONT	\$891,673	\$60,000	\$951,673
VIRGINIA	\$10,686,678	\$1,791,125	\$12,477,803
WASHINGTON	\$7,173,009	\$1,483,182	\$8,656,191
WEST VIRGINIA	\$3,596,485	\$60,000	\$3,656,485
WISCONSIN	\$6,610,242	\$447,914	\$7,058,156
WYOMING	\$749,994	\$60,000	\$809,994
DISTRICT OF COLUMBIA	\$1,137,242	\$184,304	\$1,321,546
PUERTO RICO	\$12,037,553	\$233,390	\$12,270,943
VIRGIN ISLANDS	\$466,271	\$0	\$466,271
AMERICAN SAMOA	\$229,267	\$0	\$229,267
GUAM	\$394,267	\$0	\$394,267
NORTHERN MARIANAS	\$328,223	\$0	\$328,223
PALAU	\$31,382	\$0	\$31,382
Subtotal	\$476,397,108	\$67,896,000	\$544,293,108
Set-asides			
PREL Competitive Grants	\$68,618	\$0	\$68,618
Incentive Grants	\$9,760,451	\$0	\$9,760,451
TOTAL	\$486,226,177	\$67,896,000	\$554,122,177