



# Fiscal Year 2012 Competition Highlights for the Strengthening Institutions Program

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## Institutional Service Fiscal Year 2012 Competitions at a Glance

<b>Strengthening Institutions Division</b>					
<b>FY 2012 Grants</b>					
<b>Program</b>	<b>2 Yr Public</b>	<b>2 Yr Private</b>	<b>4 Yr Public</b>	<b>4 Yr Private</b>	<b>Program Total</b>
<b>AANAPISI</b>	11 IHEs		8 IHEs		<b>19 IHEs</b>
A- NCC	\$1,621,031	\$0	\$1,110,338	\$0	
F -NCC	\$2,289,268	\$0	\$1,914,503	\$0	
A-Supplemental	\$242,329	\$0	\$145,396	\$0	
F-Supplemental	\$434,305	\$0	\$361,924	\$0	
<b>Total ANNAPISI</b>	<b>\$4,586,933</b>	<b>\$0</b>	<b>\$3,532,161</b>	<b>\$0</b>	<b>\$8,119,094</b>
<b>ANNH</b>	29 IHEs	1 IHE	8 IHEs	4 IHEs	<b>42 IHEs</b>
A-NCC (Alaska)	\$5,043,875	\$0	\$0	\$574,123	
A-NCC (Hawaii)	\$4,202,187	\$0	\$1,699,425	\$1,298,648	
A-Supplemental (AK)	\$1,995	\$2,125	\$0	\$0	
A-Supplemental (HI)	\$4,250	\$0	\$2,125	\$23,041	
F - New Awards (AK)	\$5,602,947	\$0	\$0	\$0	
F- New Awards (HI)	\$1,733,186		\$3,015,436	\$2,000,000	
<b>Total ANNH</b>	<b>\$7,342,378</b>	<b>\$2,125</b>	<b>\$3,017,561</b>	<b>\$2,023,041</b>	<b>\$12,385,105</b>
<b>NASNTI</b>	8 IHEs		11 IHEs		<b>19 IHEs</b>
A-NCC	\$785,315	\$0	\$1,569,832	\$0	
A-Supplemental	\$254,650	\$0	\$509,297	\$0	
F-NCC	\$2,513,482	\$0	\$2,358,893	\$0	
F-Supplemental	\$63,812	\$0	\$63,813	\$0	
<b>Total NASNTI</b>	<b>\$3,617,259</b>	<b>\$0</b>	<b>\$4,501,835</b>	<b>\$0</b>	<b>\$8,119,094</b>
<b>SIP</b>	141 IHEs	4 IHEs	33 IHEs	36 IHEs	<b>214 IHEs</b>
A - Supplemental	\$48,877,857	\$1,408,356	\$11,044,246	\$13,325,508	
A - New Awards	\$3,845,903	\$0	\$1,192,063	\$799,994	
<b>Total SIP</b>	<b>\$52,723,760</b>	<b>\$1,408,356</b>	<b>\$12,236,309</b>	<b>\$14,125,502</b>	<b>\$80,493,927</b>
<b>TCCU</b>	16 IHEs		17 IHEs		<b>33 IHEs</b>
A - Awards (NCCs)	\$17,732,430	\$0	\$7,980,880	\$0	
F - Awards (NCCs)	\$20,485,050	\$0	\$9,514,950	\$0	
<b>Total TCCU</b>	<b>\$38,217,480</b>	<b>\$0</b>	<b>\$17,495,830</b>	<b>\$0</b>	<b>\$55,713,310</b>
<b>Grand Totals</b>	<b>\$106,487,810</b>	<b>\$1,410,481</b>	<b>\$40,783,696</b>	<b>\$16,148,543</b>	<b>\$164,830,530</b>

## Strengthening Institutions Division

### Background and Program Focus

The Strengthening Institutions Division (SID) manages nine Title III discretionary (Part A) and mandatory (Part F) grant programs to institutions of higher education (IHE) serving a high percentage of disadvantaged students by strengthening academic programs and institutional management, improving physical plants, and improving their fiscal stability. The goal of SID is to increase the rate at which low income students, and students from certain minority populations, enroll in and graduate from institutions of postsecondary education.

SID carries out its mission by serving the following populations:

1. Alaska Natives: Grants to IHEs that enroll 20% or greater Alaska Natives;
2. Asian Americans: Grants to IHEs that enroll 10% or greater Asian Americans;
3. Hawaiians: Grants to IHEs that enroll 10% or greater Native Hawaiians;
4. Native Americans: Grants to Tribally Controlled Colleges and Universities, and IHEs that enroll 10% or greater Native Americans enroll 10% or greater Native Americans;
5. Native Pacific Islanders: Grants to IHEs that enroll 10% or greater Native Pacific Islanders; and
6. Low Income: Grants to IHEs that enroll students where at least 50% of degree students receive Federal need-based assistance.

To apply for any grant program in SID, an institution must be designated as an eligible institution. To do so, an institution must satisfy the following criteria:

- (1) Meet the statutory standard of needy student enrollment;
- (2) Meet the statutory standard for low average educational and general expenditures;
- (3) Be legally authorized by the State in which it is located; and
- (4) Be accredited or pre-accredited by a nationally recognized accrediting agency or association that the Secretary had determined to be a reliable authority as to the quality of education or training offered.

In accordance with the regular process, the Department published a [Federal Register](#) Notice inviting institutions to apply for designation as eligible institutions for the purpose of applying for grants under Title III or Title V of the HEA on December 15, 2011. The deadline for submitting an application to be considered an eligible institution was February 10, 2012.

There are two types of grants for which IHEs may apply for: Individual or Cooperative Development grants. Individual Development grants are to one institution. Cooperative Development grants allow a

number of institutions to work together towards a specific goal. The applicant IHE must be designated an eligible institution.

Three SID Programs conducted grant competitions during fiscal year (FY) 2012: American Indian Tribally Controlled Colleges and Universities, Alaska Native and Native Hawaiian-Serving Institutions; and Strengthening Institutions Program.

## **Strengthening Institutions Program**

SIP is authorized under Title III, Part A, Section 311 of the Higher Education Act (HEA) of 1965, as amended. The program helps eligible IHEs to become self-sufficient and expand their capacity to serve low-income students by providing funds to improve and strengthen the academic quality, institutional management, and fiscal stability of eligible institutions.

The Federal Register published the Notice Inviting Applications (Notice) for new awards on March 23, 2012. The Notice informed applicants of the availability of individual and cooperative arrangement grants for this FY 2012 competition

More information about the SIP Program can be found on their [Web site](#).

## Funding History

For FY 2012, SIP received an appropriation of \$80,623,333. This FY 2012 appropriation reflects a reduction of \$3,208,667 from the FY 2011 appropriation. In FY 2012, a total of \$5,837,960 was used to support 15 new grant awards. SIP did not conduct a grant competition in FY 2011 and instead funded down the FY 2010 slate, making 34 new awards. In FY 2010, SIP conducted a competition and funded 48 new awards totaling \$18,216,000. In FY 2009, SIP funded 57 new awards from the FY 2008 slate, totaling \$21,307,000. In FY 2008 SIP funded 61 new grants via a grant competition. These 61 new awards totaled \$23,927,000. In FY 2007, SIP funded 20 new grants from the FY 2006 slate. A total of \$7,446,000 was used for these 20 new grants.

More information about SIP Program funding history can be found on the SIP Program [award](#) and [funding status](#) websites.

<b>Fiscal Year</b>	<b>Program Appropriation</b>	<b>Funds for New Awards</b>	<b>Number of New Awards</b>
2012	\$80,623,333	\$5,837,960	15
2011	\$83,832,000	\$12,965,081	34
2010	\$84,000,000	\$18,216,000	48
2009	\$80,000,000	\$21,307,000	57
2008	\$78,146,000	\$23,927,000	61
2007	\$79,535,000	\$7,446,000	20

## Competition Specifics

To strategically align SIP with Department priorities, the Notice for the FY 2012 SIP competition included one Competitive Preference Priority (CPP).

The CPP was: “Supporting Programs, Practices, or Strategies for Which There is Strong or Moderate Evidence of Effectiveness.”

Institutions that addressed the CPP were awarded either five or two and a half points, depending on how well the application met the CPP evaluation criteria defined in the Notice.

The Institute of Education Sciences (IES) coordinated the review of the CPP in collaboration with the What Works Clearinghouse (WWC). IES reviewed the studies cited by the applicants to determine if they both supported the applicant’s proposed project activities and were conducted in a manner that allowed conclusions about effectiveness to be drawn. Potential studies of effectiveness were then examined by certified reviewers to determine if they meet study standards set by the WWC.

A total of 208 applications were received. Of the 208, 151 IHEs were deemed eligible. Out of those 151 institutions, 137 addressed the CPP.

<b>Total Applications</b>	<b>Eligible Applications</b>	<b>Eligible Addressed CPPs</b>	<b>Funded</b>
208	151	137	15

All funded applicants were deemed to have either strong or moderate evidence. Eight institutions, including the seven highest-scoring applicants, received five additional points for strong evidence of effectiveness, 14 institutions received two and a half points for moderate evidence of effectiveness and 12 institutions did not meet the standards of evidence of effectiveness and did not receive additional points. A total of 34 applications were funded.

## Issues

No issues were found in the competition.

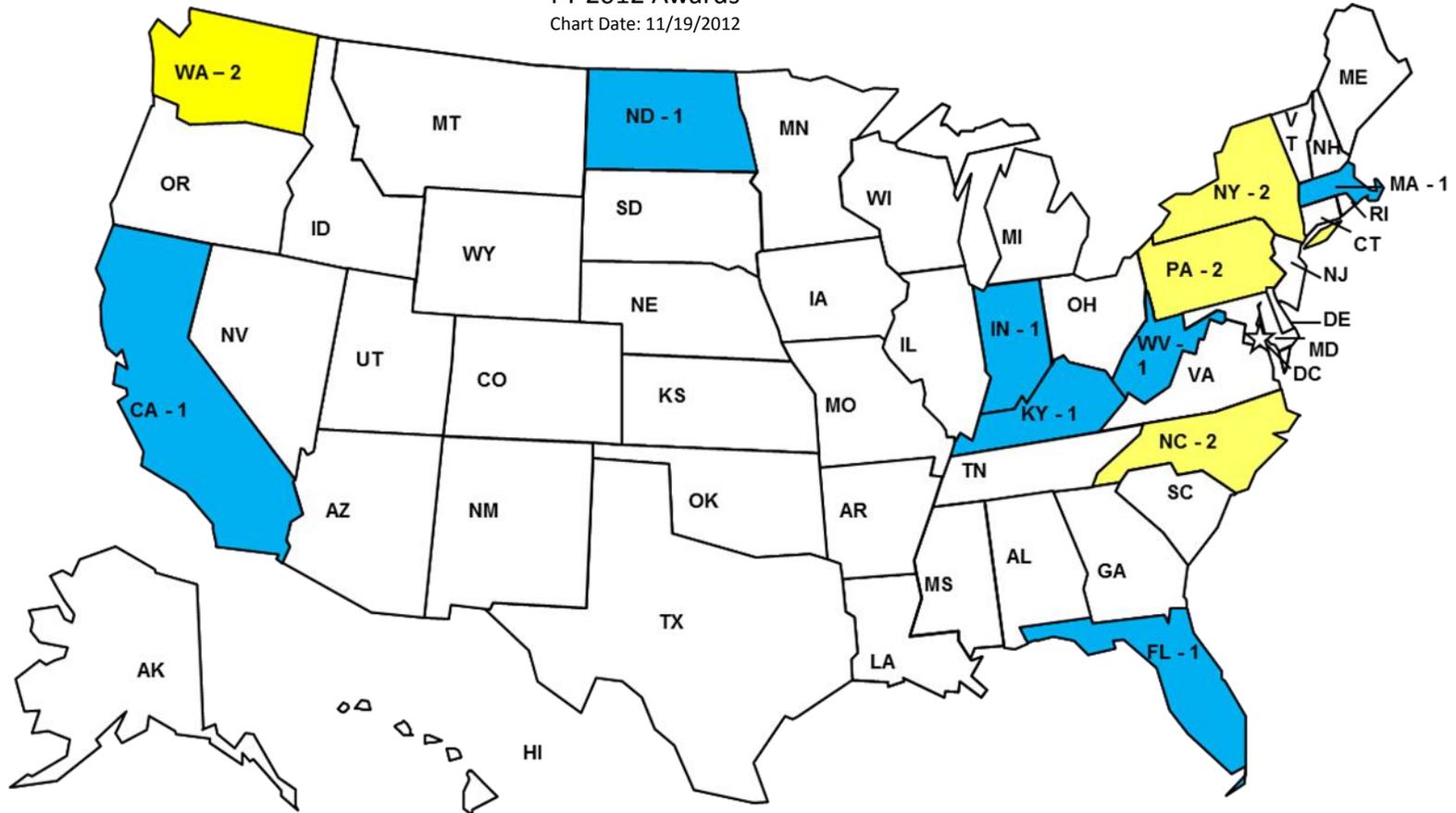
## Appendices

## Grant Recipients by State

### Strengthening Institutions Program

FY 2012 Awards

Chart Date: 11/19/2012



#### Others Not on the Map

Puerto Rico – 0 awards    Guam – 0 awards  
Federated States of Micronesia – 0 awards  
Palau – 0 awards

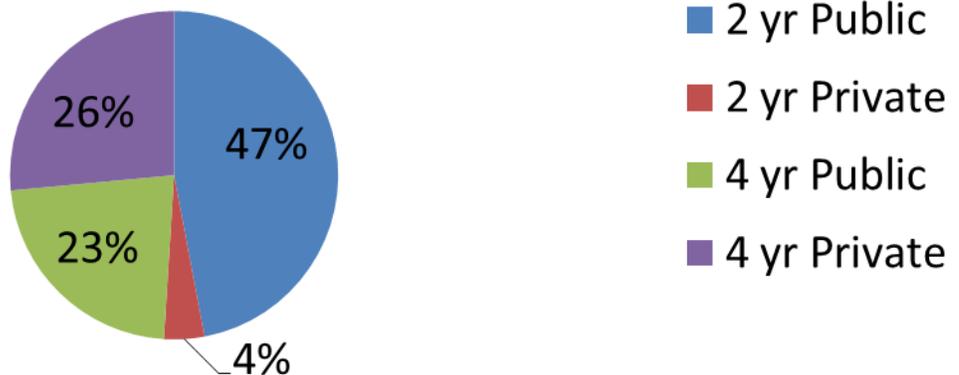


## Grant Applicants and Recipients by Institution Type and Control

A total of 151 IHEs were eligible to be read. Of these, 71 were two-year Public, 6 were two-year Private IHEs, 34 were four-year Public, and 40 were four-year Private IHE.

Type and Control	Number of Applicants
Public Two-Year Institutions	71
Private Two-Year Institutions	6
Public Four-Year Institutions	34
Private Four-Year Institutions	40
<b>Total</b>	<b>151</b>

### FY 2012 SIP Eligible Applicants by Institution Type and Control



Of the 15 IHEs that were awarded SIP grants this fiscal year, 10 were two-year Public, none were two-year Private IHEs, 3 were four-year Public, and 2 were four-year Private IHEs. The following graph provides the distribution of FY 2012 funds by institution type:

Type and Control	Number of Awards
Public Two-Year Institutions	10
Private Two-Year Institutions	0
Public Four-Year Institutions	3
Private Four-Year Institutions	2
<b>Total</b>	<b>15</b>

### FY 2012 SIP Awards by Institution Type and Control

