



Fiscal Year 2012 Competition Highlights for the Developing Hispanic-Serving Institutions Program

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Institutional Service Fiscal Year 2012 Competitions at a Glance

Hispanic-Serving Institutions Division					
Fiscal Year 2012 Grants					
Program	2 Yr Public	2 Yr Private	4 Yr Public	4 Yr Private	Program Total
HSI	14	1	4	1	20
New Awards	\$ 9,004,464	\$649,991	\$ 2,218,774	\$649,395	\$ 12,522,624
NCCs	\$ 49,490,106	\$0	\$22,049,763	\$16,284,350	\$ 87,824,219
Supplemental	\$0	\$0	\$0	\$0	
Total HSI	\$58,494,570	\$649,991	\$24,268,537	\$16,933,745	\$100,346,843
HSI STEM	72	0	25	12	109
NCC	\$66,155,796	\$0	\$22,613,539	\$11,230,665	\$100,000,000
Supplemental	\$0	\$0	\$0	\$0	
Total HSI STEM	\$66,155,796	\$0	\$22,613,539	\$11,230,665	\$100,000,000
PPOHA	0	0	25	18	43
NCC	\$0	\$0	\$11,599,694	\$8,911,243	\$20,510,937
Supplemental	\$0	\$0	\$0	\$0	
Total PPOHA	\$0	\$0	\$11,599,694	\$8,911,243	\$20,510,937
Grand Totals	\$124,650,366	\$649,991	\$58,481,770	\$37,075,653	\$220,857,780

Abbreviations:

Yr = Year

HSI = Hispanic Serving Institutions

NCC = Non-competitive Continuation

PPOHA = Promoting Postbaccalaureate Opportunities for Hispanic Americans

Hispanic-Serving Institutions Division

Background and Program Focus

The Hispanic-Serving Institutions Division (HSI-D) encompasses three programs:

- Developing Hispanic-Serving Institutions Program (HSI), (84.031S)
- Promoting Postbaccalaureate Opportunities for Hispanic Americans (PPOHA) (84.031M) and
- HSI Science, Technology, Engineering and Mathematics Program (84.031C)

Each of these programs awards two types of five-year grants to HSIs to expand and enhance educational opportunities for Hispanic and low-income students. The two types of five-year grants are:

- Individual (one applicant institution) and
- Cooperative (multiple institutions, where the lead must be an HSI) grants.

Of the three programs housed at the HSI Division, only the HSI Program conducted a competition. The PPOHA and HSI STEM Programs provided non-competing continuation (NCC) funding for current grantees.

Developing Hispanic-Serving Institutions Program

The HSI Program is authorized under Title V, Part A, Section 311 of the Higher Education Act (HEA) of 1965, as amended. The program helps eligible Hispanic-Serving Institutions to become self-sufficient and expand their capacity to serve low-income students by providing funds to improve and strengthen the academic quality, institutional management, and fiscal stability of eligible institutions.

HSIs are defined as an Institution of higher education that: (a) is an eligible institution; and (b) at the time of application, has an enrollment of undergraduate full-time equivalent students that is at least 25 percent Hispanic students.

To be designated as an eligible institution, an institution must satisfy several criteria:

- (1) Meet the statutory standard of needy student enrollment;
- (2) Meet the statutory standard for low average educational and general expenditures;
- (3) Be legally authorized by the State in which it is located; and
- (4) Be accredited or pre-accredited by a nationally recognized accrediting agency or association that the Secretary had determined to be a reliable authority as to the quality of education or training offered.

In accordance with the regular process, on December 15, 2011 the Department published a Federal Register Notice inviting institutions to apply for designation as eligible institutions for the purpose of applying for grants under Title III or Title V of the HEA. The deadline for submitting an application to be

considered an eligible institution was February 10, 2012.

More information about the HSI Program can be found on the HSI [Program Information](#) Web site.

Funding History

For Fiscal Year (FY) 2012, the HSI Program received an appropriation of \$100,431,824. This FY 2012 appropriation reflects a reduction of \$3,962,968 from the FY 2011 appropriation. In FY 2012, a total of \$12,522,624 was used to support 20 new grant awards. The HSI Program did not conduct a grant competition in FY 2011 and instead funded down the FY 2010 slate, making 13 new awards. In FY 2010, the HSI Program conducted a competition and funded 78 new awards totaling \$49,748,909. In FY 2009, the HSI Program funded 29 new awards totaling \$16,354,998. In FY 2008 the HSI Program funded down the slate, making 23 new awards totaling \$12,951,000 from the FY 2007 grant competition. In FY 2007, the HSI Program funded 30 new awards totaling \$17,164,331.

More information about HSI Program funding history can be found on the HSI Program [award](#) and [funding status](#) Web sites.

Fiscal Year	Program Appropriation	Funds for New Awards	Number of New Awards
2012	\$100,431,824	\$12,522,624	20
2011	\$104,394,792	\$ 7,769,711	13
2010	\$117,429,000	\$49,748,909	78
2009	\$93,256,000	\$16,354,998	29
2008	\$93,256,000	\$12,951,000	23
2007	\$94,919,270	\$17,164,331	30

A note of clarification – The majority of current fiscal year appropriations are allocated to funding non-competing continuation grants from prior fiscal years.

Hispanic Serving Institutions Competition Specifics

On February 14, 2012, the Department published a Federal Register Notice inviting institutions to apply for grants under the Title V, HSI Program. The deadline for submitting an application was March 15, 2012.

The HSI Division conducted technical assistance webinars and conference calls on the following dates:

HSI Grant Competition Webinars

- February 22, 2012
- February 28, 2012

HSI Grant Competition Conference Calls

- February 29, 2012—one call was in the morning and another in the afternoon.

For FY 2012 the Department introduced three competitive preference priorities (CPP). Applicants who responded to all three priorities received nine points. All of the 20 grantees responded to the three CPPs and received nine points. Out of 142 applications that were received, only nine did not address all three CPPs. The three CPPs were:

1. **Increasing Postsecondary Success** – Projects that are designed to increase the number and proportion of high-need students who persists in and complete college or other postsecondary education and training.
2. **Enabling More Data-Based Decision-Making** – Projects that are designed to collect (or obtain), analyze, and use high-quality and timely data, including data on program participant outcomes in the following priority area: improving postsecondary student outcomes relating to enrollment, persistence, and completion and leading to career success.
3. **Improving Productivity** – Projects that are designed to significantly increase efficiency in the use of time, staff, money, or other resources while improving student learning or other educational outcomes.

The Department received 142 applications (105 Individual and 37 Cooperative), 125 of which were deemed eligible and reviewed.

Total Applications	Eligible Applications	Eligible Addressed CPPs	Funded
142	125	116	20

The Department made 20 grant awards in FY 2012. Of these 20 new awards, 16 are “new” grantees – applications not awarded a grant in FY 2010 or FY 2011.

Issues

The task order for the HSI Program contractor, Command Decisions Systems and Solutions (CDS²), to provide logistical support to IS staff during the FY 2012 application review process was delayed. This delay canceled a number of contractor-based tasks, which were performed by HSI Program staff.

Once the contract was established, CDS² staff conducted a second review of all the applications against specific eligibility criteria based on the prescreening sheet provided by the HSI Division. All applications that were determined to be duplicates as well as ineligible by the HSI program office were re-checked by CDS² program staff to ensure the determination was accurate.

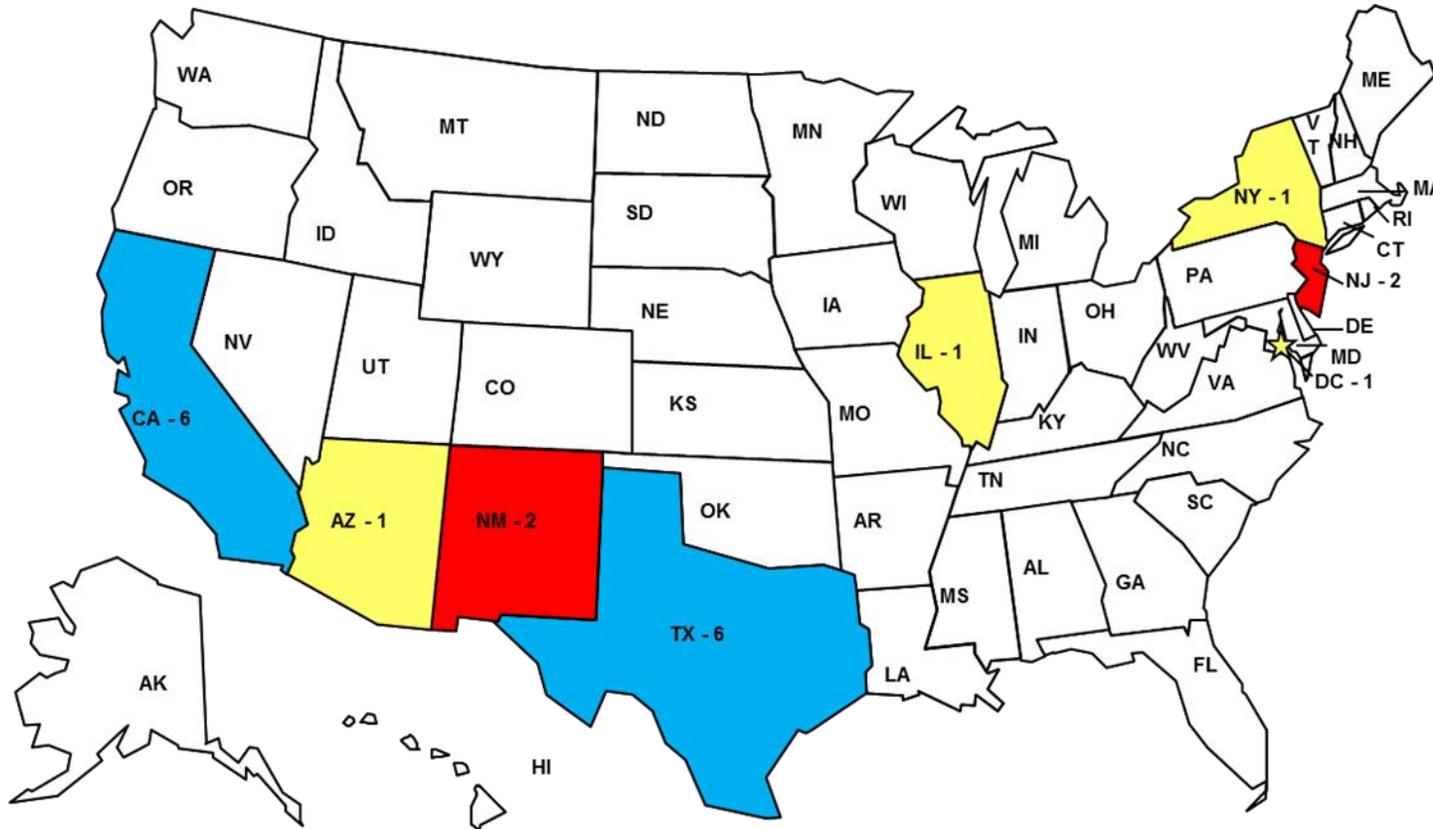
Appendices

Grant Recipient by State

Developing Hispanic-Serving Institutions Program

FY 2012 Awards

Chart Date: 10/19/2012



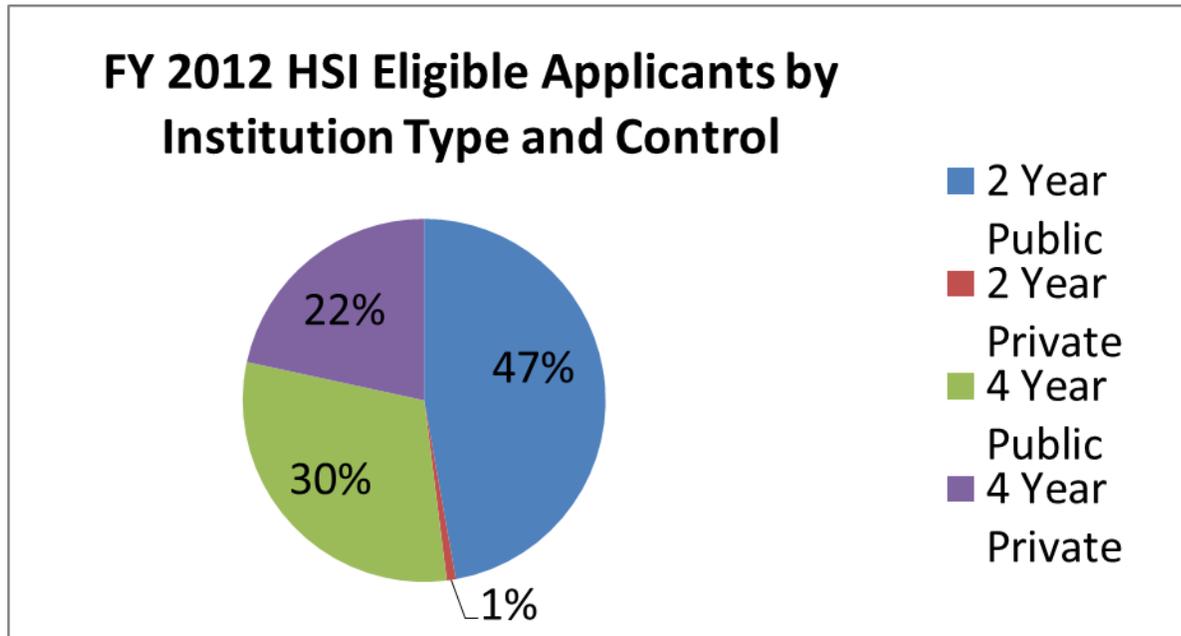
Others Not on the Map
Puerto Rico – 2 awards Guam – 0 awards
Federated States of Micronesia – 0 awards
Palau – 0 awards



Grant Applicants and Recipients by Institution Type and Control

A total of 125 HSIs were eligible to be read. Of these, 59 were two-year Public, one was a two-year Private IHE, 38 were four-year Public, and 27 were a four-year Private IHE.

Type and Control	Number of Applicants
Public Two-Year Institutions	59
Private Two-Year Institutions	1
Public Four-Year Institutions	38
Private Four-Year Institutions	27
Total	125



Of the 20 HSIs that were awarded grants this fiscal year, 14 were two-year Public, one was a two-year Private HSI, 4 were four-year Public, and one was a four-year Private HSI. The following graph provides the distribution of FY 2012 funds by institution type:

Type and Control	Number of Grantees
Public Two-Year Institutions	14
Private Two-Year Institutions	1
Public Four-Year Institutions	4
Private Four-Year Institutions	1
Total	20

