



***OFFICE OF SAFE AND HEALTHY STUDENTS  
STUDENT SUPPORT AND ACADEMIC ENRICHMENT  
PROGRAM OVERVIEW & UPDATES***

**COMBINED FEDERAL PROGRAMS MEETING**

DECEMBER 6 – 7, 2018 | WASHINGTON, DC

# OBJECTIVES

During this session, the Office of Safe and Healthy Students (OSHS) will provide participants with:

- An understanding of the Student Support and Academic Enrichment (SSAE) Title IV-A program;
- Information on examples of current State-level activities;
- An understanding of key technical assistance activities; and
- An opportunity to interact with program staff and attorneys.



# AGENDA

- Student Support and Academic Enrichment Program (Title IV-A)
  - Role of the State Educational Agency (SEA)
  - Role of the Local Educational Agency (LEA)
  - Transferability
- Use of State Activities Funds
  - What practices are being implemented with State activity funds?
- Title IV-A Program Updates
- Title IV-A Contacts
- Q&A Session with Program Attorneys



# PRESENTERS

## **Office of Safe and Healthy Students**

- Paul Kesner, Acting Director
- Ivonne I. Jaime, Team Member, Title IV-A Program

## **Office of General Counsel**

- Lisa Harris, Program Attorney
- Amy Lustig, Program Attorney
- Rachel Peternith, Program Attorney





# Student Support and Academic Enrichment Program (Title IV-A)



# PURPOSE OF TITLE IV-A

## ESEA SECTION 4101

Improve students' academic achievement by increasing the capacity of SEAs, LEAs, and local communities to:

- provide all students with access to a ***well-rounded education***;
- improve ***school conditions*** for student learning; and
- ***improve the use of technology*** in order to improve the academic achievement and digital literacy of all students.



# ROLE OF THE SEA

TODAY'S PRESENTATION WILL FOCUS ON . . .

- Distribution of funds
  - Formula and competitive options
  
- Determining allowable costs
  - Allowable activities
  - Prohibitions in use of funds
  
- Reporting requirements



# ROLE OF THE SEA

## DISTRIBUTION OF TITLE IV-A FUNDS: ESEA SECTIONS 4101(a) AND (b)

<b>Not Less than 95%</b>	An SEA must reserve at least 95 percent of its SSAE program allocation for <b>subgrants</b> to LEAs.
<b>Up to 1%</b>	An SEA must not use more than one percent of its SSAE program allocation for <b>administrative costs</b> .
<b>Remainder for State-Level Activities</b>	An SEA uses the amount remaining after these reservations for activities and programs designed to meet the purposes of SSAE program, which could include <b>technical assistance and other activities described in section 4104(b) of the ESEA</b> .



# ROLE OF STATE EDUCATIONAL AGENCY

## FORMULA AND COMPETITIVE OPTIONS

- The Title IV-A statutory authority only provides for formula grants.
- The Consolidated Appropriations Act of 2017 added an option for States to distribute Title IV-A funds competitively. ***This option was only available for FY 2017 funds. Nine States indicated in their consolidated State plans that funds would be competed.***



# ROLE OF STATE EDUCATIONAL AGENCY

## FORMULA AND COMPETITIVE OPTIONS, CONT.

- The Consolidated Appropriations Act of 2018 does not include this option. ***FY 2018 subawards must be made via formula.***
- The Department of Defense and Labor, Health and Human Services, and Education Appropriations Act of 2019 also does not include competitive option. ***FY 2019 subawards must be made via formula. Total appropriation of 1.17 billion.***



# ROLE OF THE SEA

## FACTORS TO CONSIDER WHEN DETERMINING ALLOWABILITY

**The SEA's determination of allowability of SSAE funds will depend on a number of factors:**

- Meeting all statutory requirements.
  - Whether a proposed activity is consistent with the purposes of at least one of the three content areas in the Title IV-A program (well-rounded education, safe and healthy students, or the effective use of technology).
- Are costs allowable under the Uniform Guidance?
  - Reasonable and necessary for performance of the grant.
  - Allocable to the grant (i.e., it is chargeable to the grant award in proportion to the benefits received by the grant award as a result of the cost).

Continues . . .



# ROLE OF THE SEA

## FACTORS TO CONSIDER WHEN DETERMINING ALLOWABILITY, CONT.

- Proposed use of funds for the activity must supplement, and not supplant, other non-Federal funds that would otherwise be used to pay for the allowable activity.
- SEAs must check to ensure that the activity is not one of the prohibited activities in section 4001(b) or 8526 of the ESEA, as amended by the ESSA.

NOTE: Process outlined in FAQs Question #20:

[https://safesupportivelearning.ed.gov/sites/default/files/SSAE\\_Webinars1-3\\_QAs\\_5.26.17\\_final.pdf](https://safesupportivelearning.ed.gov/sites/default/files/SSAE_Webinars1-3_QAs_5.26.17_final.pdf)



# ALLOWABLE ACTIVITIES

WELL-ROUNDED EDUCATIONAL OPPORTUNITIES: ESEA SECTION 4107(a)

Programs and activities that support a well-rounded education **may** include for example:

- Science, technology, engineering, and mathematics, including computer science (STEM)
- Music and the arts
- Foreign language instruction
- Accelerated learning programs
- American history, civics, economics, geography, social studies, or government education
- Environmental education
- Reimbursing low-income student to cover part or all of the costs of accelerated learning examination fees
- Other programs that contribute to well-rounded education



# ALLOWABLE ACTIVITIES

## SAFE AND HEALTHY STUDENTS: ESEA SECTION 4108

Generally, Title IV-A program funds may be used under section 4108 for ***any program or activity that fosters safe, healthy, supportive, and drug-free school environments***, including direct student services and professional development and training for school staff.



# ALLOWABLE ACTIVITIES

SAFE AND HEALTHY STUDENTS: ESEA SECTION 4108, CONT.

The authorized LEA activities in section 4108 may be categorized by topic as: 1) ***Safe and supportive learning environments***; and 2) ***Student physical and mental health***, including substance abuse prevention. Three of the authorized activities--mentoring and school counseling, schoolwide positive behavioral interventions, and pay for success initiatives--are ***cross-cutting*** and are applicable to both topics.



# ALLOWABLE ACTIVITIES

## SAFE AND HEALTHY STUDENTS: SECTION 4108, CONT.

Safe and Supportive Schools	Student Physical and Mental Health
<ul style="list-style-type: none"> <li>• Preventing Bullying and Harassment</li> <li>• Relationship-Building Skills</li> <li>• School Dropout Prevention</li> <li>• Re-Entry Programs and Transition Services for Justice Involved Youth</li> <li>• School Readiness and Academic Success</li> <li>• Child Sexual Abuse Awareness and Prevention</li> <li>• Reducing Use of Exclusionary Discipline Practices and Promoting Supportive School Discipline</li> <li>• Suicide Prevention</li> <li>• Violence Prevention, Crisis Management and Conflict Resolution</li> <li>• Preventing Human Trafficking</li> <li>• Building School and Community Relationships</li> <li>• Culturally Responsive Teaching and Professional Development of Implicit Bias</li> </ul>	<ul style="list-style-type: none"> <li>• Drug and Violence Prevention</li> <li>• Health and Safety Practices in School or Athletic Programs</li> <li>• School-Based Health and Mental Health Services</li> <li>• Healthy, Active Lifestyle, Nutritional Education</li> <li>• Physical Activities</li> <li>• Trauma-Informed Classroom Management</li> <li>• Preventing Use of Alcohol, Tobacco, Marijuana, Smokeless Tobacco, Electronic Cigarettes</li> <li>• Chronic Disease Management</li> </ul>
<p><b>Cross Cutting Authorized Topics</b>            Mentoring and School Counseling            Schoolwide Positive Behavioral Interventions            Pay for Success Initiatives aligned with the purposes of <i>Title IV</i></p>	

NOTE: This chart is a summary of topics and is not an exhaustive list.



# ALLOWABLE ACTIVITIES

## EFFECTIVE USE OF TECHNOLOGY: ESEA SECTION 4109(a)

Program funds may be used to provide educators, school leaders, and administrators with the professional learning tools, devices, content and resources to do the following activities, among other allowable uses:

- Provide personalized learning;
- Discover, adapt, and share high-quality resources;
- Implement blended learning strategies; and
- Implement school- and district-wide approaches to inform instruction, support teacher collaboration, and personalized learning.



# ALLOWABLE ACTIVITIES

## EFFECTIVE USE OF TECHNOLOGY: ESEA SECTION 4109(a), CONT.

Funds can also be used to:

- Help educators learn how to use technology to increase the engagement of English Learner (EL) students;
- Develop or implement specialized or rigorous academic courses using technology; and
- Support professional learning for STEM, including computer science.



# ALLOWABLE ACTIVITIES

## EFFECTIVE USE OF TECHNOLOGY: ESEA SECTION 4109(b)

A Special Rule in the Title IV-A program states that no more than 15 percent of funds for activities to support the effective use of technology may be used "for purchasing technology infrastructure as described in subsection (a)(2)(B), which includes technology infrastructure purchased for the activities under subsection (a)(4)(A)." To clarify, LEAs or consortiums of LEAs may not spend more than 15 percent of funding in this content area on devices, equipment, software applications, platforms, digital instructional resources and/or other one-time IT purchases.



# PROHIBITIONS IN TITLE IV, PART A

## ESEA SECTION 4001(b) AND (c)

- No funds under this Title may be used for medical services or drug treatment or rehabilitation, except for integrated student supports, specialized instructional support services, or referral to treatment for impacted students, which may include students who are victims of, or witnesses to, crime or who illegally use drugs.
- No child shall be required to obtain a prescription for a controlled substance, as defined in section 102 of the Controlled Substance Act (21 U.S.C. 802) as a condition of:
  - Receiving an evaluation or other services described under this title;
  - Attending a school receiving assistance under this title.



# PROHIBITIONS IN ESEA, TITLE VIII

## ESEA SECTION 8526

No ESEA funds may be used—

- For construction, renovation, or repair of any school facility, except as authorized under this Act;
- For transportation unless otherwise authorized under this Act;
- To develop or distribute materials, or operate programs or courses of instruction directed at youth, that are designed to promote or encourage sexual activity, whether homosexual or heterosexual;



# PROHIBITIONS IN ESEA, TITLE VIII, CONT.

## ESEA SECTION 8526

- To distribute or to aid in the distribution by any organization of legally obscene materials to minors on school grounds; to provide sex education or HIV-prevention education in schools unless that instruction is age appropriate and includes the health benefits of abstinence; or
- To operate a program of contraceptive distribution in schools.



# TRANSPORTATION COSTS

ESEA prohibits “transportation” costs “unless otherwise authorized under this Act.” The Department interprets the prohibited “transportation” to refer only to costs associated with getting *students to and from school* for the regular school day (these costs would also generally be unallowable due to supplanting concerns) and not costs associated with transporting students to an allowable Title IV-Part A activity. Accordingly, the cost of transportation *to and from a specific and allowable Title IV, Part A activity*, such as a robotics competition, would not be prohibited by Section 8526.



# ROLE OF THE SEA

## REPORTING REQUIREMENT: ESEA SECTION 4104(a)(2)

Each State that receives an allotment shall publicly report on how Title IV-A funds:

- Are being spent by LEAs or consortium of LEAs; and
- The degree to which the LEAs or consortium of LEAs have made process toward meeting the Title IV-A program objectives and outcomes established in their application.



# ROLE OF THE LEA

TODAY'S PRESENTATION WILL FOCUS ON . . .

- Consultation with stakeholders
- Comprehensive needs assessment
- Application assurances



# ROLE OF THE LEA

## OVERVIEW: ESEA SECTION 4106

- During the design and development of applications, an LEA or consortium of LEAs must engage in ***consultation with stakeholders*** in the area served by the LEA.
- An LEA that receives at least \$30,000 in Title IV-A program funds must conduct a ***comprehensive needs assessment***.
- LEAs or consortium of LEAs are required to submit an application to the SEA to receive their Title IV-A allocation. Each application shall include that the LEA will:



# ROLE OF THE LEA

## OVERVIEW: ESEA SECTION 4106, CONT.

- **prioritize the distribution** of funds to schools based upon the criteria in ESEA section 4106(e)(2)(A);
- comply with section 8501 (regarding equitable participation by private school children and teachers);
- **distribute funds in accordance with required percentages** (awards of \$30,000 or greater)—at least 20% for well-rounded education, 20% for safe and healthy students, and some portion for technology; and
- **Annually report** to the State on how funds are being utilized.



# STAKEHOLDER ENGAGEMENT PROCESS

## ESEA SECTION 4106(c)

Stakeholders to be consulted on the LEA's application must include, but are not limited to, the following:

- Parents
- Specialized instructional support personnel
- Teachers
- Indian tribes and tribal organizations (when applicable)
- Principals
- Local government representatives
- Students
- Others with relevant and demonstrated experience
- School Leaders
- Community-based organizations
- Charter school teachers, principals, and other school leaders (when applicable)



# NEED ASSESSMENT REQUIREMENTS

## ESEA SECTION 4106(d)

- An LEA or consortium of LEAs that receives at least \$30,000 in Title IV-A program funds must conduct a comprehensive needs assessment that includes, at a minimum, an evaluation of need for improvement in the three content areas:
  - Well-Rounded Educational Opportunities
  - Safe and Healthy Students
  - Effective Use of Technology
- The LEA must conduct such needs assessment at least once every three years.



# PRIORITIZE FUND DISTRIBUTION

## ESEA SECTION 4106(e)(2)(A)

LEAs or a consortium of LEAs must prioritize the distribution of funds to schools served by the LEA based on one or more of the following criteria—

- Are among the schools with the ***greatest needs***;
- Have the ***highest percentages or numbers of children counted under section 1124(c)*** (i.e., children counted for purposes of basic grants to LEAs under Title I, Part A of the ESEA);
- Are identified for ***comprehensive support and improvement*** under section 1111(c)(4)(D)(i) (i.e., are among the lowest-achieving schools);
- Are implementing ***targeted support and improvement plans*** as described in section 1111(d)(2) (i.e., have consistently underperforming student subgroups); or
- Are identified as a ***persistently dangerous*** public elementary school or secondary school under section 8532. (ESEA section 4106(e)(2)(A)).



# FUNDING ALLOCATIONS

## ESEA SECTION 4106(e)(2)(C)-(E)

Informed by the results of the stakeholder engagement process and the comprehensive needs assessment, the LEA or a consortium of LEAs must use:

- At least 20 percent of funds for activities to support well-rounded educational opportunities;
- At least 20 percent of funds for activities to support safe and healthy students; and
- A portion of funds for activities to support effective use of technology.

NOTE: Only applies to LEAs that receive an award of \$30,000 or greater.



# ANNUAL REPORT

## SECTION 4106(e)(2)(F) OF THE ESEA

LEAs or consortium of LEAs *will annually report* to the State for inclusion in the State's public reporting how funds are being used to meet the requirements of the three content areas.



# TRANSFERABILITY

## STATE LEVEL—ESEA SECTION 5103(a)

### Funds may be transferred from:

- Title II, Part A – Supporting effective instruction state grants
- Title IV, Part A – Student support and academic enrichment grants
- Title IV, Part B – 21<sup>st</sup> Century Community Learning Centers

### Funds may be transferred to:

- Title I, Part A – Improving basic programs operated by LEAs
- Title I, Part C – Education of migratory children
- Title I, Part D – Prevention and intervention programs for children and youth who are neglected, delinquent, or at-risk
- Title II, Part A – Supporting effective instruction state grants
- Title III, Part A – State grants for English language acquisition and language enhancement
- Title IV, Part A – Student support and academic enrichment grants
- Title IV, Part B – 21<sup>st</sup> Century Community Learning Centers
- Title V, Part B – Rural education



# TRANSFERABILITY

## LEA LEVEL—ESEA SECTION 5103(b)

Funds may be transferred **from**:

- Title II, Part A – Supporting effective instruction state grants
- Title IV, Part A – Student support and academic enrichment grants

Funds may be transferred **to**:

- Title I, Part A – Improving basic programs operated by LEAs
- Title I, Part C – Education of migratory children
- Title I, Part D – Prevention and intervention programs for children and youth who are neglected, delinquent, or at-risk
- Title II, Part A – Supporting effective instruction state grants
- Title III, Part A – State grants for English language acquisition and language enhancement
- Title IV, Part A – Student support and academic enrichment grants
- Title V, Part B – Rural education



# TRANSFERABILITY

## CONSULTATION

Under Section 5103(e)(2), SEAs or LEAs that transfer funds must conduct consultations with non-public schools (consistent with section 8501) prior to transferring funds from a program that provides for equitable services.





# Use of State Activities Funds



# STATE-LEVEL ACTIVITIES

## PROCESS FOR CONDUCTING CONSOLIDATED STATE PLAN REVIEW

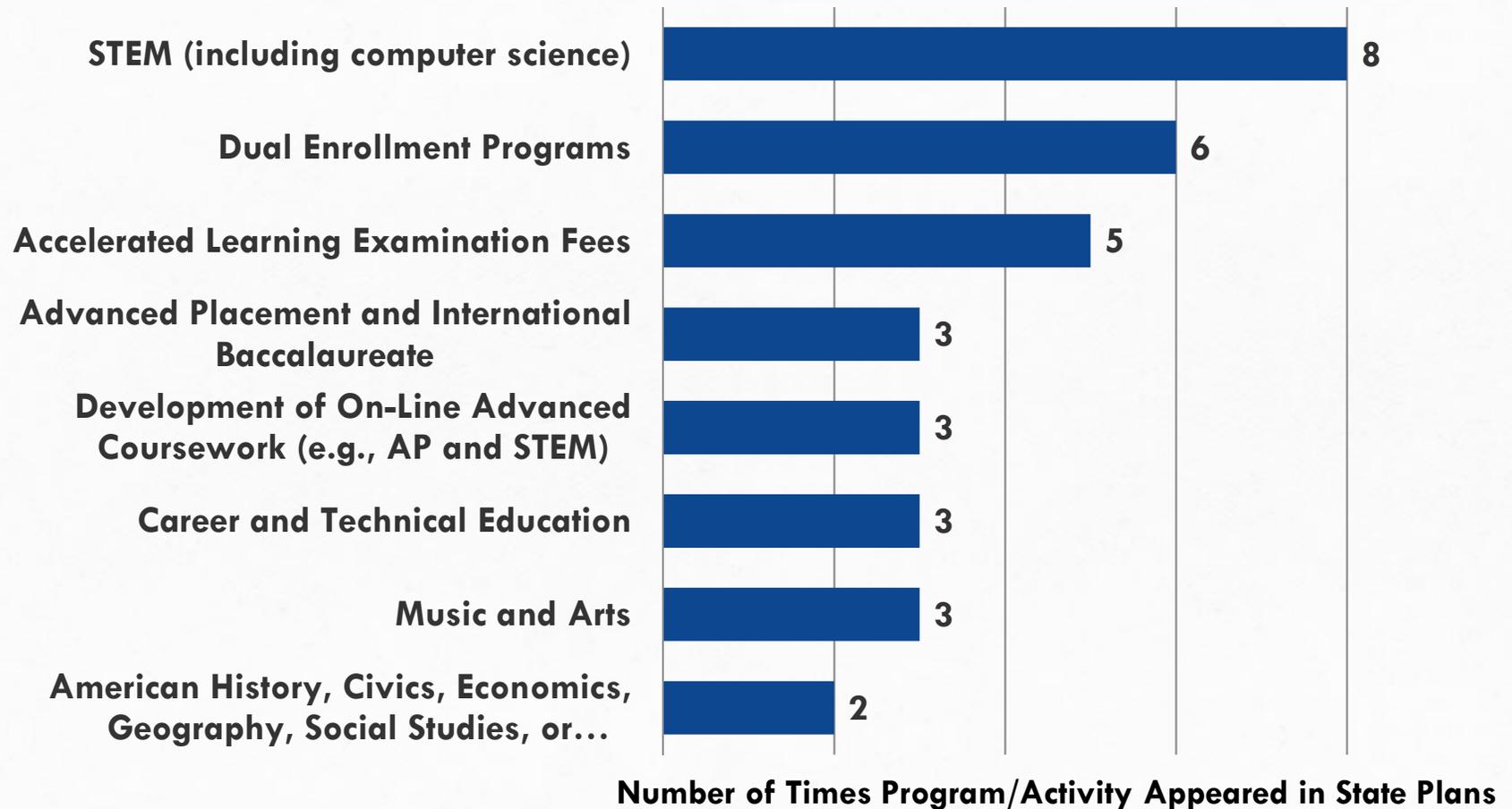
- Conducted review of the 52 (50 States, DC, and PR) consolidated State plans submitted to the Department.
- Analyzed plans to determine which State-level activities would be supported with Title IV-A funds.
  - 25 (out of 47 States) named specific programs/activities for supporting LEAs in their applications.
  - 3 States are transferring their State-activity funds.
  - 2 applications required Title IV-A revisions and could not be included in the analysis.

NOTE: Analysis conducted in January 2018.



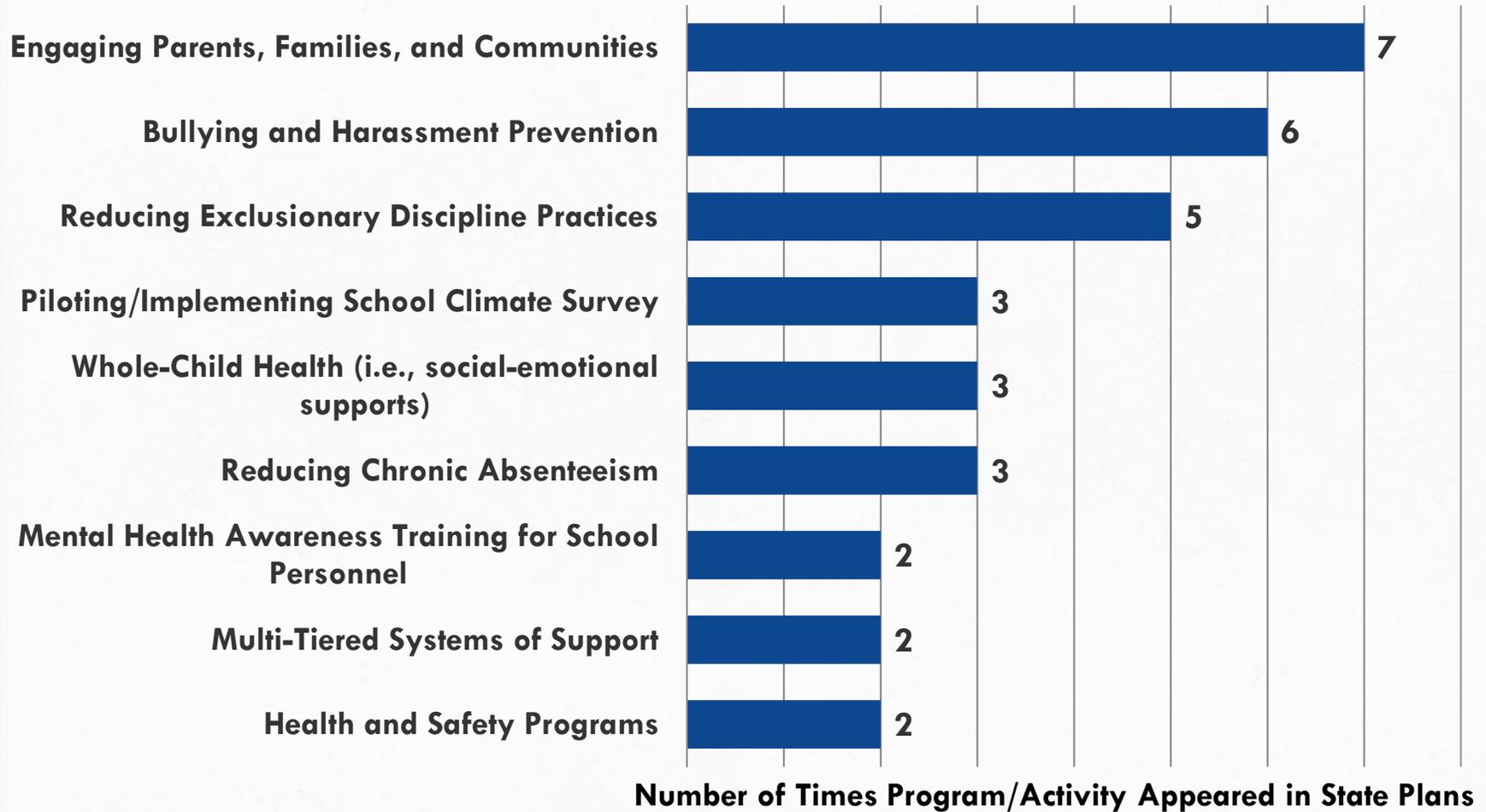
# STATE-LEVEL ACTIVITIES

## WELL-ROUNDED EDUCATION



# STATE-LEVEL ACTIVITIES

## SAFE AND HEALTHY STUDENTS



# STATE-LEVEL ACTIVITIES

## EFFECTIVE USE OF TECHNOLOGY





# Title IV-A Program Updates



# TITLE IV-A TECHNICAL ASSISTANCE EFFORTS

## INTERIM SSAE TITLE IV-A TECHNICAL ASSISTANCE CENTER

National Center on Safe Supportive Learning Environments



Safe Supportive Learning

Engagement | Safety | Environment

The National Center on Safe Supportive Learning Environments offers information and technical assistance to States, districts, schools, institutions of higher learning, and communities focused on improving student supports and academic enrichment.

<https://safesupportivelearning.ed.gov/>



# TITLE IV-A TECHNICAL ASSISTANCE EFFORTS

## STATE COORDINATOR PORTAL



### Student Support and Academic Enrichment Title IV, Part A State Coordinator Portal

Log In

#### Welcome to the Student Support and Academic Enrichment, Title IV, Part A State Coordinator Portal

The Student Support and Academic Enrichment, Title IV, Part A (SSAE Title IV-A) State Coordinator Portal is a technical assistance initiative designed to support the collaboration of State Coordinators administering and implementing the SSAE Title IV-A. You can come to this site to:

- find resources grantees have developed or are using,
- engage in discussions with peers, and
- access contact information that can assist you in administering and implementing the program.

This site was developed and is maintained by the National Center on Safe Supportive Learning Environments (NCSSLE).

NCSSLE  
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#### Join the Network

[Sign Up](#)

#### Already a Member?

[Log In](#)

If you no longer wish to participate in the portal, send an email to  
[NCSSLE@air.org](mailto:NCSSLE@air.org).

<https://titleivpartastatecoordinatorportal.ed.gov/>



# TITLE IV-A PROGRAM UPDATES

## NEW SSAE TITLE IV-A TECHNICAL ASSISTANCE CENTER

The new SSAE TA Center (name TBD) *will deliver high quality technical assistance, training, and support to SEAs to increase their capacity to assist LEAs in the implementation of Title IV-A A* and effectively carry out their responsibilities in making allocations to LEAs (sections 4104(a)(1)), use of administrative costs reservation (section 4104(a)(2)), and use of state activities reservation (section 4104(b)).



# TITLE IV-A PROGRAM UPDATES

## NEW SSAE TITLE IV-A TECHNICAL ASSISTANCE CENTER, CONT.

### Major tasks will include:

- Conducting an *annual needs assessment* of each SEA's capacity to assist LEAs, and, based on this assessment, developing a customized technical assistance plan for each SEA.
- *Identifying and developing resources, training, and other materials* to assist SEAs in supporting allowable LEA activities under the three Title IV-Part A content areas, including, but not limited to, evidence-based programs and effective implementation strategies and practices.



# TITLE IV-A PROGRAM UPDATES

## NEW SSAE TITLE IV-A TECHNICAL ASSISTANCE CENTER, CONT.

- ***Developing a network of subject matter experts*** to provide direct assistance to SEAs.
- ***Continuing a community of practice*** for all Title IV-Part A State coordinators to communicate, share ideas, and make materials and resources available to participants.



# TITLE IV-A PROGRAM UPDATES

## CONSOLIDATE STATE PERFORMANCE REPORT (CSPR) 2018-2019 SY

- The CSPR is the required annual reporting tool for each State, the Bureau of Indian Education, District of Columbia, and Puerto Rico as authorized under Section 8303 of ESEA.
- Title IV-A is currently not one of the programs included in the CSPR, but it will be added in the **2018-2019 school year data collection**. The data submission deadline is usually the February of the following year (2020).



# TITLE IV-A PROGRAM UPDATES

CONSOLIDATED STATE PERFORMANCE REPORT (CSPR) 2018-2019 SY, CONT.

- Draft indicators:

Content Area	Amount of Funds Spend
Well-Rounded Education	
Safe and Healthy Students	
Effective Use of Technology	

Content Area	Number of LEAs Spending Funds
Well-Rounded Education	
Safe and Healthy Students	
Effective Use of Technology	
Any	



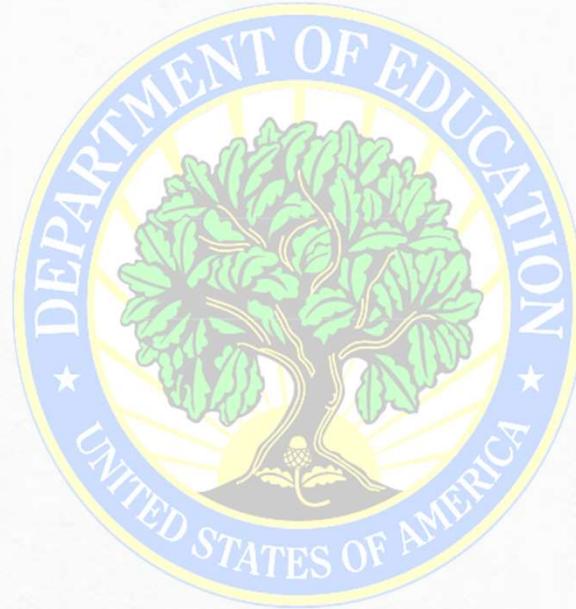
# TITLE IV-A PROGRAM UPDATES

## SSAE TITLE IV-A IMPLEMENTATION STUDY

The purpose of the study is to describe the early implementation of SSAE Title IV-A grants.

- The study will include interviews of representatives from all states and a nationally representative sample of districts to examine:
  - the services and activities that states and districts are implementing with SSAE funds, and
  - how states and districts are making decisions about how to use SSAE funds.





# Title IV-A Program Contact Information



# TITLE IV-A PROGRAM CONTACT INFORMATION

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# Q&A Session with Program Attorneys



# SESSION EVALUATION

PLEASE GIVE US YOUR FEEDBACK!

During this session, the Office of Safe and Healthy Students (OSHS) will provide participants with:

- An understanding of the Student Support and Academic Enrichment (SSAE) Title IV-A program;
- Information on examples of current State-level activities; and
- An understanding of key technical assistance activities.



