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ASSURANCE OF COMPLIANCE WITH TITLE IX OF THE
EDUCATION AMENDMENTS OF 1972 AND THE
REGULATION ISSUED BY THE DEPARTMENT OF
HEALTH, EDUCATION, AND WELFARE IN
IMPLEMENTATION THEREOF

[PLEASE READ EXPLANATION OF HEW FORM 639 BEFORE COMPLETING THIS DOCUMENT]

Pursuant to 45 C.F.R. §86.4, SAMFORD UNIVERSITY - Alabama
(insert name of Applicant or Recipient)

(hereinafter the "Applicant") gives this assurance in consideration of and for the purpose of obtaining Federal education grants, loans, contracts (except contracts of insurance or guaranty), property, discounts, or other Federal financial assistance to education programs or activities from the Department of Health, Education, and Welfare (hereinafter the "Department"), including payments or other assistance hereafter received pursuant to applications approved prior to the date of this assurance.

ARTICLE I—TYPE OF INSTITUTION SUBMITTING ASSURANCE

The Applicant is (check the following boxes where applicable):

- A state education agency.
- A local education agency.
- A publicly controlled educational institution or organization.
- A privately controlled educational institution or organization.
- A person, organization, group or other entity not primarily engaged in education. If this box is checked, insert primary purpose or activity of Applicant in the space provided below:

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- Claiming a religious exemption under 45 C.F.R. §86.12(b). (If religious exemption is claimed, attach statement by highest ranking official of Applicant identifying the specific provisions of 45 C.F.R. Part 86 which conflict with a specific religious tenet of the controlling religious organization.) (See attached request)

The Applicant offers one or more of the following programs or activities (check where applicable):

- Pre-school
- Kindergarten
- Elementary or Secondary
- Graduate
- Other (such as special programs for the handicapped even if provided on the pre-school, elementary or secondary level). If this box is checked, give brief description below:
- Undergraduate (including junior and community colleges)
- Vocational or Technical
- Professional

ARTICLE IV—DESIGNATION OF RESPONSIBLE EMPLOYEE AND ADOPTION OF GRIEVANCE PROCEDURES

(Check the appropriate box.)

[X] Pursuant to 45 C.F.R. §86.8, the Applicant has adopted grievance procedures and designated the following employee to coordinate its efforts to comply with Part 86 and has notified all of its students and employees of these grievance procedures and the following name, address, and telephone number of the designated employee:

Faculty and Academic Affairs:

Ruric E. Wheeler

Vice President for Academic Affairs

205-870-2718

Staff and Student Affairs:

H. Evan Zeiger

Vice President for Financial Affairs

205-870-2811

[] The Applicant is not presently receiving Federal financial assistance subject to Part 86 and, consequently, has not designated a responsible employee or adopted grievance procedures pursuant to 45 C.F.R. §86.8 but will do so immediately upon award of such assistance and will immediately notify the Director, its students and employees of the name, office address, and telephone number of the employee so designated.

ARTICLE V—SELF-EVALUATION

(Check the appropriate box.)

[X] The Applicant has completed a self-evaluation as required by 45 C.F.R. §86.3(c) and has not found it necessary to modify any of its policies and practices or to take any remedial steps to come into compliance with Part 86.

[] The Applicant has completed a self-evaluation as required by 45 C.F.R. §86.3(c) and has ceased to carry out any policies and practices which do not or may not meet the requirements of Part 86 and is taking any necessary remedial steps to eliminate the effects of any discrimination which resulted or may have resulted from adherence to such policies and practices.

[] The Applicant has not completed the self-evaluation required by 45 C.F.R. §86.3(c) but expects to have it completed by _____ (insert date)

[] The Applicant is not required to conduct a self-evaluation under 45 C.F.R. §86.3(c) since it did not receive any Federal financial assistance to which Part 86 applies prior to July 21, 1976.

Samford University

Date: September 28, 1976

(Insert name of Applicant)

By *R. E. Wright*

(This document must be signed by an official legally authorized to contractually bind the Applicant.)

President

(Insert title of authorized official.)

800 Lakeshore Drive

Birmingham, Alabama

In accordance with Section 86.12(b) of the Rules and Regulations implementing the Educational Amendments of 1972, we are hereby identifying the provisions of the rules and regulations which are not consistent with tenets of the Alabama Baptist Convention, the denominational agency which owns and operates Samford University. Although the possibility of a conflict between these rules and regulations and the tenets of the Alabama Baptist Convention in the day-by-day operation of Samford University is very small, we do note the possibility of a conflict. Thus, we are requesting an exemption from the following regulations in case a conflict should materialize in the future.

Section 86.21	Section 86.38
Section 86.22	Section 86.40
Section 86.23	Section 86.51
Section 86.31	Section 86.52
Section 86.32	Section 86.53
Section 86.34	Section 86.55
Section 86.36	Section 86.57
Section 86.37	Section 86.59



Birmingham, Alabama 35229 U.S.A.
THE PRESIDENT 205-870-2727

August 16, 1985

Mr. William H. Thomas
Regional Civil Rights Director
Region IV
Office for Civil Rights
United States Department of Education
101 Marietta Tower
Atlanta, GA 30323

Dear Mr. Thomas:

As promised in my letter of July 30, 1985, I am responding more specifically to your letter which was received in our office on July 8, 1985.

Because of the passage of time, Samford University does not now insist on the granting of its July 7, 1976 application for exemption from certain Title IX regulations. We, of course, reserve the right to renew our application in the future should a need arise for such exemptions.

We appreciate your calling to our attention the current status of this matter.

Very sincerely yours,

Thomas E. Corts
President

kr

- cc: Atley Kitchings
- Gerald A. Macon
- Laverne Farmer
- Ruric E. Wheeler
- Martha A. Cox