

# UNITED STATES DEPARTMENT OF EDUCATION OFFICE FOR CIVIL RIGHTS

REGION XV MICHIGAN OHIO

1350 EUCLID AVENUE, SUITE 325 CLEVELAND, OH 44115-1812

November 9, 2022

#### Via e-mail only to XXXXX

Janet K. Cooper Of Counsel Bricker & Eckler L.L.P. 312 N. Patterson Blvd., Suite 200 Dayton, Ohio 45402

Re: OCR Docket No. 15-22-1052

# Dear Attorney Cooper:

This letter is to notify you of the disposition of the above-referenced complaint filed on XXXXX XXXXX, with the U.S. Department of Education, Office for Civil Rights (OCR), against the Fremont City School District (the District) alleging that the District discriminated against two children (Student 1 and Student 2) on the basis of disability. Specifically, the Complainant alleged that:

- 1. From the beginning of the XXXXX school year until XXXXX XXXXX, the

  District did not implement Student 1's Section 504 plan with respect to XXXXX

  XXXXX XXXXX.
- 2. In XXXXX XXXXX, the District delayed evaluating Student 1 for XXXXX and then refused to conduct an evaluation XXXXX XXXXX XXXXX.
- 3. From XXXXX XXXXX until XXXXX XXXXX, the District did not implement Student 2's Section 504 plan with respect to XXXXX XXXXX XXXXX.

OCR enforces Section 504 of the Rehabilitation Act of 1973 (Section 504), 29 U.S.C. § 794, and its implementing regulation at 34 C.F.R. Part 104, which prohibit discrimination on the basis of disability by recipients of federal financial assistance. OCR also enforces Title II of the Americans with Disabilities Act of 1990 (Title II), 42 U.S.C. § 12131 *et seq.*, and its implementing regulation at 28 C.F.R. Part 35, which prohibit discrimination on the basis of disability by public entities. As a recipient of federal financial assistance from the Department and as a public entity, the District is subject to these laws.

Based on the complaint allegations, OCR opened an investigation of the following legal issues:

- Whether the District failed to provide a qualified student with a disability with a free appropriate public education (FAPE), in violation of the Section 504 implementing regulation at 34 C.F.R. § 104.33.
- Whether the District failed to take appropriate steps to ensure that communications with a participant with a disability are as effective as communications with others, in violation of the Title II implementing regulation at 28 C.F.R. § 35.160.
- Whether the District failed to conduct an evaluation of a student who, because of disability, needed or was believed to have needed special education or related services, in violation of Section 504's implementing regulation at 34 C.F.R. § 104.35(a).

To conduct its investigation, OCR reviewed information provided by the Complainant and the District and interviewed the Complainant and District staff. After a careful review and analysis of the information obtained during its investigation, OCR determined that it is appropriate to resolve Allegation ##1 and 3 under CPM Section 302 because OCR's investigation identified issues that can be addressed through a resolution agreement, and the District expressed an interest in resolving the allegations prior to the conclusion of OCR's investigation. Specifically, OCR's investigation identified issues with respect to the District's provision of effective communication under Title II. With respect to Allegation #2, OCR found that the preponderance of the evidence does not support a conclusion that the District violated Section 504 as alleged. The bases for OCR's determination are explained below.

#### Summary of OCR's Investigation—Allegations ##1 and 3 (Failure to Implement)

## **Summary of Facts—Student 1**

During the XXXXX school year, Student 1 was XXXXX XXXXX XXXXX XXXXX student who attended XXXXX XXXXX XXXXX. Student 1 began receiving services pursuant to a 504 plan in XXXXX due to XXXXX XXXXX XXXXX. Student 1's 504 plan for the relevant period of time—i.e., the from the beginning of the XXXXX school year—provides the following accommodation with respect to Student 1's XXXXX: "XXXXX XXXXX XXXXX XXXXX."

#### XXXXX - SENTENCE REMOVED - XXXXX.

From XXXXX XXXXX, XXXXX, to XXXXX XXXXX, XXXXX, Student 1 brought XXXXX XXXXX XXXXX XXXXX to class. On XXXXX XXXXX, new XXXXX XXXXX arrived for Students 1 and 2 and the XXXXX XXXXX XXXXX XXXXX XXXXX. OCR did not receive any information regarding issues with the XXXXX XXXXX XXXXX and the Complainant confirmed that these XXXXX seemed to be doing well.

## **Summary of Facts—Student 2**

District staff stated that Student 2's ELA teacher performs XXXXX XXXXX XXXXX XXXXX for Student 2's XXXXX XXXXX XXXXX XXXXX and the math teacher performs XXXXX XXXXX XXXXX when she returns from XXXXX. All of the teachers interviewed believed the training that the District provided was sufficient and felt comfortable performing XXXXX XXXXX XXXXX. All of Student 2's teachers stated that they received training on how XXXXX XXXXX XXXXX XXXXX XXXXX along with XXXXX XXXXX. The District provided documentation regarding XXXXXX XXXXX XXXXXX. All of the teachers believed the training was sufficient.

Student 2 also attended the XXXXX XXXXX event in which XXXXX XXXXX XXXXX XXXXX for approximately XXXXX XXXXX XXXXX.

#### **Applicable Regulatory Standards**

The Section 504 regulation, at 34 C.F.R. § 104.33, requires recipients to provide a FAPE to each qualified individual with a disability who is in the recipient's jurisdiction, regardless of the nature or the severity of the person's disability. An appropriate education for purposes of FAPE is defined as the provision of regular or special education and related aids and service that are designed to meet the individual educational needs of students with disabilities as adequately as the needs of nondisabled students are met, and that are developed in accordance with procedural requirements set forth in 34 C.F.R. §§ 104.34-104.36 regarding educational setting, evaluation, placement, and procedural safeguards, including notice.

In addition, the Title II regulation, at 28 C.F.R. § 35.160(a), requires that public entities take appropriate steps to ensure that communications with applicants, participants, members of the public, and companions with disabilities are as effective as communications with others. The Title II regulation, at 28 C.F.R. § 35.160(b), requires that a public entity furnish appropriate auxiliary aids and services where necessary to afford qualified individuals with disabilities, including applicants, participants, companions, and members of the public, an equal opportunity to participate in, and enjoy the benefits of, a service, program, or activity of a public entity. The Title II regulation states that the type of auxiliary aid or service necessary to ensure effective communication will vary in accordance with the method of communication used by the individual; the nature, length, and complexity of the communication involved; and the context in which the communication is taking place.

In determining what types of auxiliary aids and services are necessary, a public entity shall give primary consideration to the requests of individuals with disabilities. In order to be effective,

auxiliary aids and services must be provided in accessible formats, in a timely manner, and in such a way as to protect the privacy and independence of the individual with a disability.

When interpreting what constitutes "primary consideration," guidance provided by the Department of Justice in Appendix A to the regulation states:

As noted in the preamble to the 1991 Title II regulation and reaffirmed here:

The public entity shall honor the choice [of the individual with a disability] unless it can demonstrate that another effective means of communication exists or that use of the means chosen would not be required under § 35.164. Deference to the request of the individual with a disability is desirable because of the range of disabilities, the variety of auxiliary aids and services, and different circumstances requiring effective communication.

28 C.F.R. Part 35, App. A (2010). **Analysis and Conclusion** 

# **FAPE Analysis**

There is insufficient evidence for OCR to conclude that the District did not provide Students 1 and 2 with FAPE as it relates to XXXXX XXXXX XXXXX.

OCR next determines whether the recipient provided the students the required XXXXX and XXXXX. While the evidence shows that the District provided the students with the effective use of the XXXXX XXXXX or XXXXXX XXXXX XXXXX on XXXXXX XXXXX in their classes, there is sufficient evidence for OCR to conclude that the District did not provide the XXXXX XXXXX and XXXXXX for XXXXXX XXXXX during a XXXXXX XXXXX event on XXXXX XXXXX, XXXXX.

When OCR determines that a district did not provide the required XXXXX XXXXX XXXXX, it must consider whether this resulted in a denial of FAPE—i.e., had an educational impact on the student. While the evidence demonstrates the District did not provide Students 1 and 2 with the XXXXX XXXXX and XXXXXX on XXXXXX XXXXXX, this is insufficient for OCR to conclude that Students 1 and 2 were denied FAPE as a result.

evidence is insufficient to find that the failure to XXXXX XXXXX XXXXX and XXXXX for XXXXX XXXXX during XXXXX XXXXX XXXXX XXXXX xXXXX school year resulted in a denial of FAPE for Students 1 and 2.

Accordingly, with respect to FAPE, the evidence is insufficient for OCR to conclude that the District violated Section 504 and Title II, as alleged, with regard to Allegations ##1 and 3.

# **Effective Communications Analysis**

With respect to effective communication under Title II, the evidence indicates that, XXXXX XXXXX XXXXX XXXXX, the District took appropriate steps to provide and maintain XXXXX XXXXX XXXXX to ensure that XXXXX with the Student were as effective as XXXXX with others.

However, the evidence regarding the XXXXX event raises a concern that XXXXX with attendees to school events are as effective as XXXXX with individuals without disabilities. Based on the foregoing, OCR finds cause for concern that the District's XXXXX at this event were effective in violation of the Title II regulation at 28 C.F.R. § 35.160.

Accordingly, OCR has determined that it is appropriate to resolve Allegations ## 1 and 3 under CPM Section 302 because OCR's investigation to date has identified issues that can be addressed through a resolution agreement. On November 8, 2022, the District signed the enclosed Resolution Agreement, which, when fully implemented, will address the cause for concern in accordance with Title II. OCR will monitor the implementation of the Resolution Agreement.

# **Summary of OCR's Investigation—Allegation #2 (Evaluation)**

#### **Summary of Facts**

#### XXXXX - PARAGRAPH REMOVED – XXXXX

# **Applicable Regulatory Standards**

The regulation implementing Section 504, at 34 C.F.R. § 104.35(a), requires recipients of federal financial assistance that operate a public elementary or secondary program to conduct an evaluation of any student who, because of a disability, needs or is believed to need special education or related services.

The Section 504 regulation, at 34 C.F.R. § 104.3(j), defines a person with a disability, in relevant part, as one who has a mental or physical impairment that substantially limits one or more major life activities. Pursuant to Section 504 and Title II, as amended by the Americans with Disabilities Act Amendments Act of 2008, major life activities include, but are not limited to, learning, reading, concentrating, thinking, and communicating. An impairment need not prevent or severely or significantly restrict a major life activity to be considered substantially limiting.

Under Section 504, school districts must conduct an evaluation in a timely manner of any student who needs or is believed to need special education or related services because of a disability. When a school is aware of a student's disability, or has reason to suspect a student has a disability, and the student needs or is believed to need special education or related services, it would be a violation of Section 504 if the school delays or denies the evaluation. A student may have a disability and be eligible for Section 504 services, including modifications, even if the student earns good grades. This is because the student's impairment may substantially limit a major life activity regardless of whether the student performs well academically, and the student may need special education or related aids and services because of this disability. Rather than considering only how an impairment affects a student's ability to learn, school staff must also consider how the impairment affects any major life activity of the student and, if necessary, assess what is needed to ensure that students have an equal opportunity to participate in the school's programs.

Schools violate Section 504 when they deny or delay conducting an evaluation of a student when it would have been reasonable for a staff member to have suspected that a student has a disability and needs special education or related services because of that disability.

If the school suspects that a student has a disability and because of the disability needs special education or related aids and services, it would be a violation of Section 504 to delay the evaluation in order to first implement an intervention that is unrelated to the evaluation, or to determining the need for special education or related aids and services. Schools run afoul of the Section 504 obligation to evaluate for disability and need for special education or related services

when they: 1) rigidly insist on first implementing interventions before conducting an evaluation, or that each tier of a multi-tiered model of intervention must be implemented first, regardless of whether or not a disability is suspected and there are needs based on the disability; or 2) categorically require that data from an intervention strategy must be collected and incorporated as a necessary element of an evaluation.

A medical diagnosis alone does not necessarily trigger a school district's obligation to conduct an evaluation to determine the need for special education or related services or the proper educational placement of a student who does have such need. If a school district determines, based on the facts and circumstances of the individual case, that a medical assessment is necessary to conduct a Section 504 individual evaluation in order to determine whether a child has a disability under Section 504 and needs special education or related services because of a disability, the school district must ensure that the student receives this assessment at no cost to the student's parents.

The Section 504 regulation, at 34 C.F.R. § 104.35(c), provides that in interpreting evaluation data and in making placement decisions, the recipient must: (1) draw upon information from a variety of sources, including aptitude and achievement tests, teacher recommendations, physical condition, social or cultural background, and adaptive behavior; (2) establish procedures to ensure that information obtained from all such sources is documented and carefully considered; (3) ensure that the placement decision is made by a group of persons, including persons knowledgeable about the child, the meaning of the evaluation data, and the placement options; and (4) ensure that the placement decision is made in conformity with the educational setting requirements of 34 C.F.R. § 104.34.

The Section 504 regulation at 34 C.F.R. § 104.36 also requires a recipient school district to establish and implement, with respect to actions regarding the identification, evaluation, or educational placement of persons who, because of disability, need or are believed to need special instruction or related services, a system of procedural safeguards that includes notice, an opportunity for the parents or guardian of the person to examine relevant records, an impartial hearing with opportunity for participation by the person's parents or guardian and representation by counsel, and a review procedure.

Finally, the Section 504 regulation at 34 C.F.R. § 104.35(d) requires a recipient school district to establish procedures for the periodic reevaluation of students who have been provided special education and related services.

#### **Analysis and Conclusion**

There is insufficient evidence for OCR to conclude that the District delayed evaluating Student 1 for XXXXX in XXXXX and then refused to conduct an evaluation in XXXXX XXXXX.

In analyzing an allegation that a District delayed or denied an evaluation, OCR first considers whether the District had reason to suspect that a student has a disability and that, because of the disability, needs special education or related aids and services. Here, there is insufficient evidence for OCR to conclude that the District suspected Student 1 had a disability— XXXXX

Accordingly, there is insufficient evidence for OCR to conclude that the District violated Section 504 and Title II with respect to Allegation #2, as alleged.

This letter sets forth OCR's determination in an individual OCR case. This letter is not a formal statement of OCR policy and should not be relied upon, cited, or construed as such. OCR's formal policy statements are approved by a duly authorized OCR official and made available to the public. Individuals who file complaints with OCR may have the right to file a private suit in federal court whether or not OCR finds a violation.

Please be advised that the District must not harass, coerce, intimidate, discriminate, or otherwise retaliate against an individual because that individual asserts a right or privilege under a law enforced by OCR or files a complaint, testifies, assists, or participates in a proceeding under a law enforced by OCR. If this happens, the individual may file a retaliation complaint with OCR.

## Page 12 – Janet K. Cooper

Under the Freedom of Information Act, it may be necessary to release this document and related correspondence and records upon request. If OCR receives such a request, it will seek to protect, to the extent provided by law, personally identifiable information, that, if released, could reasonably be expected to constitute an unwarranted invasion of personal privacy.

The Complainant has a right to appeal OCR's determination with respect to Allegation #2 within 60 calendar days of the date indicated on this letter. In the appeal, the Complainant must explain why the factual information was incomplete or incorrect, the legal analysis was incorrect or the appropriate legal standard was not applied, and how correction of any error(s) would change the outcome of the case. Failure to do so may result in dismissal of the appeal. If the Complainant appeals OCR's determination, OCR will forward a copy of the appeal form or written statement to the District. The District has the option to submit to OCR a response to the appeal. The District must submit any response within 14 calendar days of the date that OCR forwarded a copy of the appeal to the District.

If you have questions about this letter, please contact me by telephone at XXXXX, or by e-mail at XXXXX.

Sincerely,

Nathaniel J. McDonald Supervisory Attorney/Team Leader