

## Resolution Agreement

Case Nos. 09-15-2272 and 09-16-2279

University of California, Santa Cruz

In order to resolve the compliance concerns identified by the U.S. Department of Education, Office for Civil Rights (OCR), in the investigation of the above-referenced complaints filed against the University of California, Santa Cruz (the University or UCSC) as described by the letters from OCR dated September 21, 2017, pursuant to Title IX of the Education Amendments of 1972 (Title IX), and its implementing regulation, the University agrees to take the actions in this Resolution Agreement (Agreement).

### I. Self-Assessment of Title IX Investigations

- A. For all formal Title IX investigations occurring during the 2017-2018 UCSC academic year, the University will document and report to OCR the following:
  1. the date the student complaint was filed with the Title IX Office;
  2. the date each student party (i.e. Complainant and Respondent) were provided with notification letters of a formal investigation;
  3. the date (if available) that the Title IX Office provided notice to each student party of the outcome of the investigation;
  4. a narrative assessment of the capacity of the Title IX Office to resolve Title IX student complaints in a reasonable and prompt time frame, factoring in the complexity of the investigation and the severity of the harassment, and what steps (such as training, written guidance, and/or hiring additional staff,) the University will take or has taken to ensure prompt and equitable resolutions of complaints, if necessary, and the efficacy of those steps.
- B. Reporting Requirements:
  1. Within 60 calendar days of the date this resolution agreement is signed, the University will submit to OCR a spreadsheet that contains the information as described in Section I.A.1-3. By January 1, 2019, the University will provide OCR with an updated spreadsheet for all previously reported 2017 complaints.
  2. Within 60 calendar days of the date this resolution agreement is signed, the University will submit to OCR the assessment described in Section I.A.4. By January 1, 2019, the University will provide OCR with an updated assessment.

### II. Monitoring

The University understands that by signing this Agreement, it agrees to provide data and other information in a timely manner. Further the University understands that during the monitoring of this Agreement, OCR may visit the University, interview staff and students and request such additional reports or data as are necessary for OCR to determine whether the University has fulfilled the terms of this Agreement and is in compliance with Title IX and its implementing regulations, which were at issue in this case. Upon completion of the obligations under this Agreement, OCR shall close and dismiss this case.

The University understands and acknowledges that OCR may initiate administrative enforcement or judicial proceedings to enforce the specific terms and obligations of this Agreement. Before initiating administrative enforcement (34 C.F.R. §§ 100.9, 100.10), or judicial proceedings to enforce this Agreement, OCR shall give the University written notice of the alleged breach and sixty (60) calendar days to cure the alleged breach.

\_\_\_\_\_/s/\_\_\_\_\_  
Authorized Representative

04/11/2018  
\_\_\_\_\_  
Date