

UNITED STATES DEPARTMENT OF EDUCATION OFFICE FOR CIVIL RIGHTS

500 WEST MADISON ST., SUITE 1475 CHICAGO, IL 60661-4544 REGION V ILLINOIS INDIANA IOWA MINNESOTA NORTH DAKOTA WISCONSIN

September 23, 2016

Mr. Forrest Claypool Chief Executive Officer Chicago Public Schools District #299 42 W. Madison Street Chicago, IL 60602

RE: OCR # 05-16-1226

Dear Mr. Claypool:

This is to advise you of the resolution of investigation of the above-referenced complaint against Chicago Public Schools District #299 (District), UNO Charter School Network, Inc. (UCSN) alleging discrimination on the basis of disability. The complaint alleged that:

- 1) UCSN's website is not accessible to students and adults with disabilities, including, but not limited to, vision impairments, print disabilities, physical impairments and hearing impairments. These web pages include UNO's homepage, board policy page, academic model page, and calendar/agenda page.
- 2) UCSN does not identify and provide notice of its Section 504 Coordinator or Section 504 grievance procedures.

OCR is responsible for enforcing section 504 of the Rehabilitation Act of 1973, as amended (Section 504), 29 U.S.C. § 794, *et seq.*, and its implementing regulations at 34 C.F.R. Part 104, which prohibit discrimination on the basis of disability in programs and activities of recipients of federal financial assistance. OCR also is responsible for enforcing Title II of the Americans with Disabilities Act of 1990, as amended (Title II), 42 U.S.C. § 12131, *et seq.*, and its implementing regulations at 28 C.F.R. Part 35, which prohibit discrimination on the basis of disability in programs, services, and activities of public entities. As a recipient of federal financial assistance from the Department and as a public entity, the District is subject to OCR's jurisdiction under Section 504 and Title II.

This letter summarizes the applicable legal standards, the information gathered during the investigation, and how the investigation was resolved.

Legal Authority

In an educational setting, Section 504 and its implementing regulation generally provide the same or greater protection than Title II and its implementing regulation. Where, as in this

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case, Title II does not offer greater protection than Section 504, OCR applies Section 504 standards.

Section 504 and Title II provide that no qualified persons with disabilities shall, on the basis of disability, be excluded from participation in, be denied the benefits of, or otherwise be subjected to discrimination by recipients of federal financial assistance or by public entities.¹ Persons with disabilities must have equal access to the programs, services, or activities unless doing so would fundamentally alter the nature of the programs, services, or activities, or would impose an undue burden.² Under Section 504 and Title II, individuals with disabilities must be afforded an opportunity that is equal to the opportunity afforded others to participate in or benefit from aids, benefits, and services³ and must be provided with aids, benefits, or services that provide an equal opportunity as others to achieve the same result or the same level of achievement.⁴ An individual with a disability, or a class of individuals with disabilities, may be provided with a different or separate aid, benefit, or service only if doing so is necessary to ensure that the aid, benefit, or service is as effective as that provided to others.⁵ In sum, programs, services, and activities—whether in a "brick and mortar," on-line, or other "virtual" context—must be operated in ways that comply with Section 504 and Title П.

Recipients and public entities must ensure equal access to the educational benefits and opportunities afforded by technology and equal treatment in the use of the technology for all individuals with disabilities who access their programs or activities, including members of the public.

Communication

Title II also requires public entities to take steps to ensure that communications with people with disabilities are as effective as communications with others, subject to the fundamental alteration and undue burden defenses.⁶

Section 504 Coordinator

The Section 504 regulations, at 34 C.F.R. § 104.7(a), requires recipients that employs 15 or more persons to designate at least one person to coordinate its efforts to comply with Section 504. Similarly, the Title II regulation, at 34 C.F.R. § 107(a), requires a public entity that employs 50 or more persons to designate at least one employee to coordinate its efforts to comply with Title II.

¹ 34 C.F.R. § 104.4 and 28 C.F.R. § 35.130 ² 28 C.F.R. § 35.164

³ 34 C.F.R. § 104.4(b)(1)(ii); 28 C.F.R. § 35.130(b)(1)(ii)

⁴ 34 C.F.R. § 104.4(b)(2); 28 C.F.R. § 35.130(b)(1)(iii)

⁵ 34 C.F.R. § 104.4(b)(1)(iv); 28 C.F.R. § 35.130(b)(1)(iv)

⁶ 28 C.F.R. § 35.160(a)(1)

Notice of Non-Discrimination

The Section 504 regulation at 34 C.F.R. § 104.8(a) requires recipients to provide notice of the employee designated to coordinate its efforts to comply with Section 504. It further requires recipients to notify participants, beneficiaries, applicants, employees and unions or professional organizations holding collective bargaining or professional agreements with the recipient that the recipient does not discriminate on the basis of disability in violation of Section 504. If a recipient publishes or uses recruitment materials or publications containing general information that it makes available to participants, beneficiaries, applicants, or employees, it shall include in those materials or publications a statement of the nondiscrimination policy. Similarly, the Title II regulation, at 35 C.F.R. § 35.106, requires public entities to make available to applicants, participants, beneficiaries, and other interested persons information regarding Title II and its applicability to the services, programs, or activities of the public entity, and make such information available to them in such manner as the entity finds necessary to apprise such persons of the protections against discrimination afforded to them by Title II.

Grievance Procedures

The Section 504 regulations, at 34 C.F.R. § 104.7(b), require that recipients that employ 15 or more employees adopt and publish grievance procedures that incorporate appropriate due process standards and provide for the prompt and equitable resolution of complaints. An applicable element of prompt and equitable is whether a recipient provides notice to participants, beneficiaries, applicants, or employees of the procedures, including where complaints may be filed. Similarly, the Title II regulation, at 34 C.F.R. § 35.107(b), requires a public entity that employs 50 or more persons to adopt and publish grievance procedures providing for prompt and equitable resolution of complaints.

OCR has identified a number of elements in evaluating whether a recipient's grievance procedures provide for the prompt and equitable resolution of complaints of discrimination. These include:

- notice to students and employees of the grievance procedures, including where complaints may be filed, as well as contact information for the recipient's Section 504 coordinator, including name, address and telephone number;
- adequate definitions of prohibited discrimination, with specific examples and an explanation that the procedures apply to complaints alleging discrimination carried out by employees, other students, or third parties;
- provisions for adequate, reliable, and impartial investigation of complaints, including the opportunity for both the complainant and individual(s) accused of discrimination to present witnesses and other evidence;

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- designated and reasonably prompt timeframes for the major stages of the complaint process;
- written notice to the parties, complainant and individual(s) accused of discrimination, of the outcome of the complaint; and
- an assurance that the recipient will take steps to prevent recurrence of any discrimination and to correct its effects on the complainant, and others, if appropriate.

Background

The UNO Charter School Network (UCSN) reported to OCR that it is a non-profit organization that was founded by the United Neighborhood Organization (UNO) in order to "bolster public education in Chicago as a way to effect positive change in predominantly Hispanic communities." According to UCSN, it retained UNO, as a separate non-profit entity, to provide management services for all schools operated by UCSN. The management agreement between UCSN and UNO ended in June 2015, and since that time, UCSN has assumed full responsibility for the management of its network of charter schools. The UCSN network currently operates 13 elementary schools and 3 high schools.

Factual Information

Allegation #1

UCSN stated that prior to the instant complaint it had not received any formal or informal complaints about the accessibility of its website. UCSN has no written policy regarding the creation, editing, or accessibility of its website. Shortly before UCSN ended its management relationship with UNO, the UCSN website experienced technical difficulties. UNO had maintained the website, and to ensure its functioning after the relationship between UNO and UCSN ended, UCSN hired a third-party vendor, Public Communications, Inc. (PCI), to restore the website. UCSN has no knowledge of any efforts to make its website accessible to individuals with disabilities, either before May 2015 or after the website was restored.

During the course of the investigation OCR examined a number of pages on UCSN's website to determine whether they are accessible to persons with disabilities. These web pages included:

- the homepage: <u>http://www.ucsnschools.org/</u>
- the Board policy page: <u>http://www.ucsnschools.org/about-us/policies/</u> and <u>http://www.boarddocs.com/il/ucsn/Board.nsf/Public</u>
- the academic policy page: <u>http://www.ucsnschools.org/our-approach/academic-model/</u> and
- the calendar-agenda page: <u>http://www.ucsnschools.org/calendar/</u>

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OCR conducted a preliminary evaluation of the above-listed pages and had concerns with the accessibility of certain features to persons with disabilities, including visually impaired persons (blind and low vision) and mobility impaired persons. These concerns include problems with missing form labels so visually impaired persons might not have access to forms on web pages, missing "alt tabs", descriptions or text labels for persons who are blind and use a screen reader, Skip Navigation" links were missing, and certain items had low contrast, which may render the item unreadable for persons with low vision. These barriers may deny persons with disabilities access to programs, services, and activities offered on the website and may impede UCSN's communications with persons with disabilities.

Allegation #2

UCSN informed OCR that it has designated its Director of Specialized Instruction to serve as UCSN's Section 504 Coordinator. UCSN's Section 504 Coordinator's name and contact information is not currently provided or available to students, parents, faculty, staff, and other interested individuals on-line or in UCSN's printed materials.

Allegation #3

UCSN provided OCR with proposed Section 504 grievance procedures for filing complaints of discrimination under Section 504. UCSN indicated that it would await OCR's approval before adopting and publishing its procedures.

Analysis and Conclusion

Allegation #1

Prior to the conclusion of OCR's investigation, UCSN expressed an interest in voluntarily resolving Allegation #1. In order to conclude OCR's investigation of this allegation, OCR would have had to conduct interviews, review documents, and examine a broader range of pages on UCSN's website. OCR would also have examined whether UCSN's information technology staff members and people responsible for uploading content or maintaining web pages had received training in website accessibility. In light of UCSN's willingness to address its website comprehensively without further investigation, OCR determined entering into a voluntary resolution agreement was appropriate.

Allegations #2 and #3

OCR determined that although UCSN has identified a Section 504/Title II Coordinator it has not provided notice of the designated Section 504/Title II Coordinator to students, parents, faculty, staff and others, as required by Section 504 and Title II. Additionally, OCR determined that UNO has not adopted grievance procedures that incorporate appropriate due process standards and provide for the prompt and equitable resolution of complaints, as required by Section 504 and Title II.

To resolve Allegation #1 and the noncompliance issues that OCR found with respect to Allegation #2 and Allegation #3, UCSN submitted a signed resolution agreement (Agreement) to OCR on September 23, 2016. UCSN committed to take actions such as:

- Selecting an auditor who has the requisite knowledge and experience to identify barriers to access on UCSN's website and conducting a thorough audit of existing online content and functionality;
- Making all new website content and functionality accessible to people with disabilities;
- Developing a corrective action plan to prioritize the removal of online barriers over a period;
- Posting a notice to persons with disabilities about how to request access to online information or functionality that is currently inaccessible;
- Providing website accessibility training to all appropriate personnel; and
- Providing notice of its designated Section 504/Title II Coordinator;
- Adopting and publishing grievance procedures that incorporate appropriate due process standards and provide for the prompt and equitable resolution of any complaints alleging any action prohibited by Section 504 or Title II.

This concludes OCR's investigation of the complaint. These findings should not be interpreted to address UCSN's compliance with any other regulatory provision or to address any issues other than those addressed in this letter. OCR will monitor UCSN's implementation of the Agreement. When OCR concludes that UCSN has fully implemented the terms of the Agreement and is in compliance with the statutes and regulations at issue in the case, OCR will terminate its monitoring and close the case. If UCSN fails to implement the Agreement, OCR may seek compliance with the federal civil rights laws through any means authorized by law, including by enforcing the specific terms of the Agreement.

This letter sets forth OCR's determination in an individual OCR case. This letter is not a formal statement of OCR policy and should not be relied upon, cited, or construed as such. OCR's formal policy statements are approved by a duly authorized OCR official and made available to the public. The complainant may have the right to file a private suit in federal court whether or not OCR finds a violation.

Please be advised that the District and UCSN may not harass, coerce, intimidate, discriminate or otherwise retaliate against any individual because he or she asserted a right or privilege under a law enforced by OCR or filed a complaint, testified, or participated in the complaint resolution process. If this happens, the individual may file a retaliation complaint with OCR.

Under the Freedom of Information Act, it may be necessary to release this document and related correspondence and records upon request. In the event that OCR receives such a request, OCR will seek to protect, to the extent provided by law, personally identifiable

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information that could reasonably be expected to constitute an unwarranted invasion of personal privacy if released.

Thank you for the assistance that UCSN's General Counsel/Chief Compliance Officer, XXXXXX and Assistant General Counsel, XXXXXX, extended to OCR in resolving this complaint. We look forward to receiving the UCSN's first report about its implementation of the Agreement, which is due by October 24, 2016. If you have any questions, please contact Lauren Lowe at Lauren.Lowe@ed.gov or (312) 730-1584.

Sincerely,

/**S**/

Aleeza M. Strubel Supervisory Attorney

Enclosure

cc: XXXXXXX General Counsel/Chief Compliance Officer

> XXXXXXX Assistant General Counsel