

RESOLUTION AGREEMENT
State University of New York at Albany
OCR Case Number 02-17-2405

In order to resolve Case No. 02-17-2405, the State University of New York at Albany (the University) assures the U.S. Department of Education, Office for Civil Rights (OCR), that it will take the following actions to comply with the requirements of Section 504 of the Rehabilitation Act of 1973 (Section 504), as amended, 29 U.S.C. Section 794, and its implementing regulation at 34 C.F.R. Part 104, and Title II of the Americans with Disabilities Act of 1990 (Title II), 42 U.S.C. Sections 12131 *et seq.*, and its implementing regulation at 28 C.F.R. Part 35, which prohibit discrimination on the basis of disability by recipients of Federal financial assistance and public entities, respectively.

This Resolution Agreement has been entered into voluntarily and does not constitute an admission by the University that it committed any wrongdoing, including, but not limited to, a violation of Section 504 and Title II and/or their implementing regulations.

Prior to the completion of OCR's investigation, the University agreed to resolve the issues of this investigation pursuant to Section 302 of OCR's Case Processing Manual. Accordingly, to resolve the issues of this investigation, the University agrees to take the following actions.

Assurances of Nondiscrimination. The University hereby reaffirms its commitment to ensure that people with disabilities have an opportunity equal to that of their nondisabled peers to participate in the University's programs, benefits, and services, including those delivered through electronic and information technology, except where doing so would impose an undue burden or create a fundamental alteration.

Benchmarks for Measuring Accessibility. For the purposes of this Agreement, the accessibility of online content and functionality will be measured according to the W3C's Web Content Accessibility Guidelines (WCAG) 2.0 Level AA and the Web Accessibility Initiative Accessible Rich Internet Applications Suite (WAI-ARIA) 1.0 for web content, which are incorporated by reference.

Adherence to these accessible technology standards is one way to ensure compliance with the University's underlying legal obligations to ensure that people with disabilities are able to acquire the same information, engage in the same interactions, and enjoy the same benefits and services within the same timeframe as their nondisabled peers, with substantially equivalent ease of use; that they are not excluded from participation in, denied the benefits of, or otherwise subjected to discrimination in any University programs, services, and activities delivered online, as required by Section 504 and Title II and their implementing regulations; and that they receive effective communication of the University's programs, services, and activities delivered online.

Remedies and Reporting Requirements

- 1) **Proposed Policies and Procedures Regarding New Online Content and Functionality.** By January 15, 2018, the University will submit to OCR for its review and approval proposed policies and procedures (“the Plan for New Content”) to ensure that all new, newly-added, or modified online content and functionality will be accessible to people with disabilities as measured by conformance to the Benchmarks for Measuring Accessibility set forth above, except where doing so would impose a fundamental alteration or undue burden.
 - a) When fundamental alteration or undue burden defenses apply, the Plan for New Content will require the University to provide equally effective alternative access. The Plan for New Content will require the University, in providing equally effective alternate access, to take any actions that do not result in a fundamental alteration or undue financial and administrative burdens, but nevertheless ensure that, to the maximum extent possible, individuals with disabilities receive the same benefits or services as their nondisabled peers. To provide equally effective alternate access, alternates are not required to produce the identical result or level of achievement for persons with and without disabilities, but must afford persons with disabilities equal opportunity to obtain the same result, to gain the same benefit, or to reach the same level of achievement, in the most integrated setting appropriate to the person’s needs.
 - b) The Plan for New Content must include sufficient quality assurance procedures, backed by adequate personnel and financial resources, for full implementation. This provision also applies to the University’s online content and functionality developed by, maintained by, or offered through a third-party vendor or by using open sources.
 - c) Within forty-five (45) days of receiving OCR’s approval of the Plan for New Content, the University will officially adopt the amended policies and procedures.

Reporting Requirement: Within sixty (60) days of receiving OCR’s approval, the University will submit to OCR the approved policies and procedures, evidence of their adoption and distribution, and a description of how they are being implemented.

- 2) **Undue Burden and Fundamental Alteration.** For any technology-related requirement in this Agreement for which the University asserts an undue burden or fundamental alteration defense, such assertion may only be made by the University President or by an individual designated by the University President and who has budgetary authority after considering all resources available for use in the funding and operation of the service, program, or activity, and must be accompanied by a written statement of the reasons for reaching that conclusion, including the cost of meeting the requirement and the available funding and other resources. The written statement will be certified by the determining official. If such a determination is made, the certifying official will describe in the written statement how it will provide equally effective alternate access, *i.e.*, other action that would not result in such an alteration or such burdens but would nevertheless ensure that,

to the maximum extent possible, individuals with disabilities receive the same benefits or services provided by the University as their nondisabled peers.

- 3) Audit and Corrective Action Plan. By January 15, 2018, the University will propose for OCR's review and approval the identity and bona fides of an Auditor (corporation or individual) to oversee the development and implementation of a Corrective Action Plan. The Auditor will ensure that the Corrective Action Plan includes all existing content and functionality on its website, including, but not limited to, the home page, all subordinate pages, and intranet pages and sites, including online content and functionality developed by, maintained by, or offered through a third party vendor or an open source. The Auditor will have sufficient knowledge and experience in website accessibility for people with disabilities to carry out all related tasks, including developing a Proposed Corrective Action Plan. The Audit will use the Benchmarks for Measuring Accessibility set out above, unless the University receives prior permission from OCR to use a different standard as a benchmark.

Reporting Requirement: By January 15, 2018, the University will submit the *bona fides* of its proposed Auditor to OCR for review and approval. OCR will evaluate whether the proposed Auditor has the requisite experience and knowledge to carry out an appropriate Audit and to develop a Proposed Corrective Action Plan.

- 4) Proposed Corrective Action Plan. By April 15, 2018, the University will submit to OCR for its review and approval a Corrective Action Plan to address all existing inaccessible content and functionality by rebuilding all content currently on its website, including, but not limited to, the home page, all subordinate pages, and intranet pages and sites. The Corrective Action plan will also address all inaccessible online content and functionality on the University's website developed by, maintained by, or offered through a third party vendor or an open source. This process will include transferring all existing online content to a new fully accessible template. The University will submit to OCR for its review and approval the proposed Corrective Action Plan. The proposed Corrective Action Plan will set out a detailed schedule for:
 - a) addressing problems, taking into account identified priorities, with all corrective actions to be completed within eighteen (18) months of the date OCR approved the Corrective Action Plan;
 - b) setting up systems of accountability and verifying claims of accessibility by vendors or open sources;
 - c) setting up a system of testing and accountability to maintain the accessibility of all online content and functionality on an ongoing basis; and
 - d) seeking input from members of the public with disabilities, including parents, students, employees, and others associated with the University, and other persons knowledgeable about website accessibility, regarding the accessibility of its online content and functionality.

- 5) Within thirty (30) days of receiving OCR's approval of the proposed Corrective Action Plan, the University will officially adopt and implement the Corrective Action Plan.

Reporting Requirements:

- a) Within forty-five (45) days of receiving OCR's approval of the proposed Corrective Action Plan, the University will submit to OCR the approved Corrective Action Plan, and documentation establishing that the approved Corrective Action Plan is being implemented according to the approved schedule.
 - b) Reports will be due every six months thereafter until the Corrective Action Plan has been completed.
- 6) Notice. By October 15, 2017, the University will submit to OCR for its review and approval a proposed Notice to persons with disabilities regarding how to request the webmaster or other appropriate person to provide access to (or notify the University regarding) online information or functionality that is currently inaccessible. The proposed notice will also include information or an accessible link to information instructing people how to file formal grievances under Section 504. Within 10 days of receiving OCR's approval of the proposed Notice, the University will officially adopt and prominently post the approved Notice on its home page and throughout its website (including all subordinate pages and intranet sites).

Reporting Requirement: Within fifteen (15) days of receiving OCR's approval of the University's proposed Notice, the University will provide documentation to OCR regarding the locations and content of its published Notice.

- 7) Training. Starting no later than sixty (60) days from the date of this Agreement, and annually thereafter until such time as OCR closes the monitoring of this Agreement, the University will deliver website accessibility training to all appropriate personnel, including, but not limited to: content developers, webmasters, procurement officials, and all others responsible for developing, loading, maintaining, or auditing web content and functionality.

Reporting Requirements:

- a) For each training session required by this Agreement, until such time as OCR closes the monitoring of this Agreement, the University will submit to OCR documentation that it has been delivered.
- b) The documentation will include a list of invitees and attendees, including titles; a description of the delivered training content; and the presenters' credentials for giving such training.

The University understands that by signing this Agreement, it agrees to provide the foregoing information in a timely manner in accordance with the reporting requirements of this Agreement. Further, the University understands that during the monitoring of this Agreement, if necessary, OCR may visit the University, interview staff and students, and request such additional reports or data as are necessary for OCR to determine whether the University has fulfilled the terms of this Agreement and is in compliance with Section 504 and its implementing regulation at 34 C.F.R. §104.21, and Title II implementing regulation at 28 C.F.R. §35.149. Upon completion of the obligations under this Agreement, OCR shall close and dismiss this case. The University understands and acknowledges that OCR may initiate administrative enforcement or judicial proceedings to enforce the specific terms and obligations of this Agreement. Before initiating administrative enforcement (34 C.F.R. §§ 100.9, 100.10), or judicial proceedings to enforce this Agreement, OCR shall give the University written notice of the alleged breach and sixty (60) calendar days to cure the alleged breach.

This Agreement will become effective immediately upon the signature of the University's representative below.

UNIVERSITY AT ALBANY

/s/
Kevin C. Wilcox, Associate Vice President
and Controller

9/15/2017
Date