

UNITED STATES DEPARTMENT OF EDUCATION OFFICE FOR CIVIL RIGHTS, REGION II

32 OLD SLIP, 26th FLOOR NEW YORK, NY 10005-2500

REGION II NEW JERSEY NEW YORK PUERTO RICO VIRGIN ISLANDS

December 26, 2017

Dr. Edwin M. Quezada Superintendent of Schools Yonkers Public Schools One Larkin Center Yonkers, New York 10701

Re: Case No. 02-17-1418 Yonkers Public Schools

Dear Dr. Quezada:

OCR is responsible for enforcing Title VI of the Civil Rights Act of 1964 (Title VI), as amended, 42 U.S.C. § 2000d et seq., and its implementing regulation at 34 C.F.R. Part 100, which prohibit discrimination on the basis of race, color or national origin in programs and activities receiving financial assistance from the U.S. Department of Education (the Department). In addition, OCR is responsible for enforcing Title IX of the Education Amendments of 1972 (Title IX), as amended, 20 U.S.C. § 1681 et seq., and its implementing regulation at 34 C.F.R. Part 106, which prohibit discrimination on the basis of sex in programs and activities receiving financial assistance from the Department. OCR also is responsible for enforcing Section 504 of the Rehabilitation Act of 1973 (Section 504), as amended, 29 U.S.C. § 794, and its implementing regulation at 34 C.F.R. Part 104, which prohibit discrimination on the basis of disability in programs and activities receiving financial assistance from the Department. Additionally, OCR is responsible for enforcing Title II of the Americans with Disabilities Act of 1990 (the ADA), 42 U.S.C. § 12131 et seq., and its implementing regulation at 28 C.F.R. Part 35. Under the ADA, OCR has jurisdiction over complaints alleging discrimination on the basis of disability that are filed against certain public entities. The District is a recipient of financial assistance from the Department and is a public elementary and secondary education system. Therefore, OCR has jurisdictional authority to investigate this complaint under Title VI, Title IX, Section 504 and the ADA.

The regulations implementing Section 504, at 34 C.F.R. § 104.61 and Title IX, at 34 C.F.R. § 106.71, incorporate by reference 34 C.F.R. § 100.7(e) of the regulation implementing Title VI, which provides that no recipient or other person shall intimidate, threaten, coerce, or discriminate against any individual for the purpose of interfering with any right or privilege secured by regulations enforced by OCR or because one has made a complaint, testified, assisted, or participated in any manner in an investigation, proceeding, or hearing held in

connection with a complaint. The regulation implementing the ADA contains a similar provision at 28 C.F.R. § 35.134. Therefore, OCR has jurisdiction to investigate the complainant's allegations of retaliation.

In analyzing whether retaliation occurred, OCR must first determine whether the three prima facie elements of retaliation can be established: (1) whether a recipient or other person subjected an individual to an adverse action; (2) whether the recipient or other person (a) knew that the individual engaged in a protected activity or (b) believed that the individual might engage in a protected activity in the future; and, (3) there is some evidence of a causal connection between the adverse action and protected activity. When a prima facie case of retaliation has been established, OCR then determines whether there is a facially legitimate, non-retaliatory reason for the adverse action; and if so, whether the facially legitimate, non-retaliatory reason is a pretext for retaliation.

In its investigation, OCR interviewed the complainant, a witness the complainant identified, and school administrators. OCR also reviewed information that the complainant and the District submitted. OCR made the following determinations.

The complainant alleged that the District retaliated against him for filing prior complaints with OCR against the District alleging retaliation and discrimination based on race, sex and disability, by prohibiting him from (a) attending and (b) XXXXXXXXXXX XXX XXX XXX XXXXXXXX at the school XXX XXXX XXXXXX graduation ceremony. The complainant further stated that during a graduation rehearsal, held on June 21, 2017, he informed one of the school's assistant principals (assistant principal 1),¹ who oversaw the graduation ceremony, that he would need a XXXXX XX XXXXXXXX XX XXXXXXXXXX XXX XXXXXXX XX XXXXXXXXXX XXXXXXXX. The complainant stated that assistant principal 1 advised him to contact the District's Principal of Special Assignment (special assignment principal) to make his request. The complainant stated that he immediately sent an electronic mail (email) message to the special assignment principal, inquiring whether he could have XXXXXX XX XXXXXX XXX XXXXXXXXX the graduation was taking place at the center and not on District property. The complainant stated that after not receiving a reply to his email, on the following day, he spoke with the school's principal (the principal), who confirmed that he could XXXXX XXX XXXXXXXX XX XXXXXXX XX graduation ceremony. The complainant stated that the following morning, assistant principal 1 told him that he had spoken did not need to attend the graduation ceremony.² The complainant stated that, as a result, he did not attend the graduation ceremony XXX XXX XXXXXXX XXXXXXX XXXXXXXX

¹ The District advised OCR that assistant principal 1 is now an administrator in the District's central office.

² The complainant stated that another assistant principal (assistant principal 2) also was present during the conversation.

time.

Later that evening, the special assignment principal forwarded the complainant's email to District administrators, including the principal, indicating that the complainant's request was one week past the deadline, and questioning why the complainant had not followed proper protocol by sending his request to assistant principal 1. The District's Public Records Officer, who was copied on the email, responded early the following morning on XXXX XXXX XXXX, stating that if the complainant was asked to XXXXXXX XXX The principal stated to OCR that X XXXXX XXX XXX XXXXXXXX XX XXX XXXXXXXX XX XXXX XXXXXXXXXXXX The principal also acknowledged that XX XXX XXX XXX XX XXX XXXXX.

Based on the above, with respect to the complainant's allegation that the District retaliated against him by prohibiting him from attending the graduation ceremony, OCR must often weigh conflicting evidence in light of the facts and circumstances of each case and determine whether the preponderance of the evidence substantiates the allegation. Here, the preponderance of the evidence did not substantiate the complainant's allegation that the assistant principal prohibited him from attending the graduation ceremony, as a list of all staff members who planned to attend the graduation was finalized on June 23, 2017; and, the complainant was listed as an attendee. Absent an adverse action, OCR does not proceed further retaliation analysis.

This letter should not be interpreted to address the District's compliance with any other regulatory provision or to address any issues other than those addressed in this letter. This letter sets forth OCR's determination in an individual OCR case. This letter is not a formal statement of OCR policy and should not be relied upon, cited, or construed as such. OCR's formal policy statements are approved by a duly authorized OCR official and made available to the public. The complainant may have the right to file a private suit in federal court whether or not OCR finds a violation.

Please be advised that the District may not harass, coerce, intimidate, or discriminate against any individual because he or she has filed a complaint or participated in the complaint resolution process. If this happens, the individual may file a complaint alleging such treatment.

Under the Freedom of Information Act, it may be necessary to release this document and related correspondence and records upon request. In the event that OCR receives such a request, it will seek to protect, to the extent provided by law, personally identifiable information that, if released, could reasonably be expected to constitute an unwarranted invasion of personal privacy.

If you have any questions about OCR's determination, please contact David Krieger, Senior Compliance Team Attorney, at (646) 428-3893 or <u>david.krieger@ed.gov</u>; or Janet Pfeffer, Senior Equal Opportunity Specialist, at (646) 428-3833 or <u>janet.pfeffer@ed.gov</u>.

Sincerely,

/s/

Timothy C. J. Blanchard

Encl.

cc: XXXX, Esq.