Resolution Agreement Vermont Agency of Education OCR Case No. 01-16-1130

The Office for Civil Rights (OCR) of the U.S. Department of Education initiated an investigation into an allegation that the Vermont Agency of Education (VT AOE) violated Section 504 of the Rehabilitation Act of 1973 (Section 504) and that statute's implementing regulations at 34 C.F.R. Part 104, and Title II of the Americans with Disabilities Act of 1990 (Title II) and that statute's implementing regulations at 28 C.F.R. Part 35.

Prior to the completion of OCR's investigation, VT AOE agreed to resolve the issues of this investigation pursuant to Section 302 of OCR's *Case Processing Manual* (CPM). This resolution does not constitute an admission that the VT AOE is not in compliance with Section 504, Title II, and/or their implementing regulations. Accordingly, to resolve the issues of this investigation, VT AOE agrees to take the actions set forth below.

Assurances of Nondiscrimination: VT AOE hereby reaffirms its commitment to ensure that people with disabilities have an opportunity equal to that of their nondisabled peers to participate in VT AOE's programs, benefits, and services, including those delivered through electronic and information technology, except where doing so would impose an undue burden or create a fundamental alteration.

Benchmarks for Measuring Accessibility: For the purposes of this Agreement, the accessibility of online content and functionality will be measured according to the World Wide Web Consortium's (W3C's) Web Content Accessibility Guidelines (WCAG) 2.0 Level AA and the Web Accessibility Initiative Accessible Rich Internet Applications Suite (WAI-ARIA) 1.1 for web content, which are incorporated by reference.

Adherence to these accessible technology standards is one way to ensure compliance with VT AOE's underlying legal obligations to ensure people with disabilities are able to acquire the same information, engage in the same interactions, and enjoy the same benefits and services within the same timeframe as their nondisabled peers, with substantially equivalent ease of use; that they are not excluded from participation in, denied the benefits of, or otherwise subjected to discrimination in any of VT AOE's programs, services, and activities delivered online, as required by Section 504 and Title II and those statutes' implementing regulations; and that they receive effective communications with VT AOE's programs, services, and activities delivered online.

Remedies and Reporting

1. Proposed Policies and Procedures Regarding New Online Content and Functionality. By August 1, 2018, VT AOE will submit to OCR for its review and approval proposed policies and procedures ("the Plan for New Content") to ensure that all new, newly added, or modified online content and functionality will be accessible to people with disabilities as measured by conformance to the Benchmarks for Measuring Accessibility set forth above, except where doing so would impose a fundamental alteration or undue burden.

- a) When fundamental alteration or undue burden defenses apply, the Plan for New Content will require VT AOE to provide equally effective alternative access. The Plan for New Content will require VT AOE, in providing equally effective alternate access, to take any actions that do not result in a fundamental alteration or undue financial and administrative burdens, but nevertheless ensure that, to the maximum extent possible, individuals with disabilities receive the same benefits or services as their nondisabled peers. To provide equally effective alternate access, alternates are not required to produce the identical result or level of achievement for persons with and without disabilities, but must afford persons with disabilities equal opportunity to obtain the same result, to gain the same benefit, or to reach the same level of achievement, in the most integrated setting appropriate to the person's needs.
- b) The Plan for New Content must include sufficient quality assurance procedures, backed by adequate personnel and financial resources, for full implementation. This provision also applies to VT AOE's online content and functionality developed by, maintained by, or offered through a third-party vendor, or by using open sources.
- c) Reporting: Within forty-five (45) calendar days of receiving OCR's approval, VT AOE will submit to OCR the approved policies and procedures, evidence of their adoption and distribution, and a description of how they are being implemented.
- 2. <u>Undue Burden and Fundamental Alteration</u>. For any technology-related requirement in this Agreement for which VT AOE asserts an undue burden or fundamental alteration defense, such assertion may only be made by VT AOE's superintendent, or by an individual designated by the superintendent and who has budgetary authority, after considering all resources available for use in the funding and operation of the service, program, or activity. If VT AOE asserts either defense, VT AOE must provide a written statement of the reasons for reaching that conclusion, including the cost of meeting the requirement, the available funding, and other resources. The written statement will be certified by the superintendent or designee with budgetary authority. If such a determination is made, VT AOE will describe in the written statement how it will provide equally effective alternate access, *i.e.*, other action that would result in such an alteration or such burdens but would nevertheless ensure that, to the maximum extent possible, individuals with disabilities receive the same benefits or services provided by VT AOE as their nondisabled peers.
- 3. Audit of Existing Content and Functionality. VT AOE will propose for OCR's review and approval the identity and professional credentials of an Auditor (corporation or individual) to audit all content and functionality on its website, including, but not limited to, the home page, all subordinate pages, and intranet pages and sites, to identify any online content or functionality that is inaccessible to persons with disabilities, including online content and functionality developed by, maintained by, or offered through a third-party vendor or an open source. The Auditor, whether a third-party consultant or District employee, will have sufficient knowledge and experience in website accessibility for people with disabilities to carry out all related tasks, including developing a Proposed Corrective Action Plan. The Audit will use the Benchmarks for Measuring Accessibility set out above, unless VT AOE receives prior permission from OCR to use a different standard as a benchmark. During the Audit, VT AOE will also seek input from members

- of the public with disabilities, including parents, students, employees, and others associated with VT AOE, and other persons knowledgeable about website accessibility, regarding the accessibility of its online content and functionality.
- a) Reporting: By August 1, 2018, VT AOE will submit the professional credentials of its proposed Auditor to OCR for review and approval. OCR will evaluate whether the proposed Auditor has the requisite experience and knowledge to carry out an appropriate Audit and to develop a Proposed Corrective Action Plan. Within ninety (90) calendar days of receiving OCR's approval of the proposed Auditor, VT AOE will submit to OCR documentation of the steps taken by the Auditor during the Audit, a description of the outreach it undertook and the input it received, and a detailed accounting of the results of the Audit.
- 4. Proposed Corrective Action Plan. Within thirty (30) calendar days of VT AOE's submission of the Audit to OCR, VT AOE will submit to OCR for its review and approval a proposed Corrective Action Plan to address all inaccessible content and functionality identified during VT AOE's Audit. The proposed Corrective Action Plan will set out a detailed schedule for: (1) addressing problems, taking into account identified priorities, with all corrective actions to be completed within eighteen (18) months of the date OCR approved the Corrective Action Plan; (2) setting up systems of accountability and verifying claims of accessibility by vendors or open sources; and (3) setting up a system of testing and accountability to maintain the accessibility of all online content and functionality on an ongoing basis.
 - a) Reporting: Within forty-five (45) calendar days of receiving OCR's approval of the proposed Corrective Action Plan, VT AOE will submit to OCR the approved Corrective Action Plan, and documentation establishing that the approved Corrective Action Plan is being implemented according to the approved schedule. Reports will be due every six months thereafter until the Corrective Action Plan has been completed.
- 5. Notice. By August 1, 2018, VT AOE will submit to OCR for review and approval a proposed Notice to persons with disabilities regarding how to request the webmaster or other appropriate person to provide access to (or notify VT AOE regarding) online information or functionality that is currently inaccessible. The proposed notice will also include information or an accessible link to information instructing people how to file formal grievances under Section 504 and Title II. Within ten (10) calendar days of receiving OCR's approval of the proposed Notice, VT AOE will officially adopt and prominently post the approved Notice on its home page and throughout its website (including all subordinate pages and intranet sites).
 - a) Reporting. Within fifteen (15) calendar days of receiving OCR's approval of VT AOE's proposed Notice, VT AOE will provide documentation to OCR regarding the locations and content of its published Notice, and ensure the applicable Notice is linked from every page.
- 6. <u>Training</u>. Starting no later than ninety (90) calendar days from VT AOE's initial submission of the Audit to OCR, and annually thereafter, VT AOE will deliver website accessibility

training to all appropriate personnel, including, but not limited to: content developers, webmasters, procurement officials, and all others responsible for developing, loading, maintaining, or auditing web content and functionality. The training will include information about how to comply with the Benchmarks for Measuring Accessibility set forth above.

a) Reporting: Within 90 calendar days of conducting each training session required by this Agreement, until such time as OCR closes its monitoring of this Agreement, VT AOE will submit to OCR documentation that the training has been delivered. The documentation will include a list of invitees and attendees and their position titles, a description of the delivered training content, and the presenters' credentials for providing such training.

VT AOE understands that by signing this Agreement, it agrees to provide data and other information in a timely manner in accordance with the reporting requirements of this Agreement. Further, VT AOE understands that during the monitoring of this Agreement, if necessary, OCR may visit VT AOE, interview staff members, and request such additional reports or data, including simulated website accounts and passwords, as are necessary for OCR to determine whether VT AOE has fulfilled the terms of this Agreement and is in compliance with the regulations implementing Section 504 at 34 C.F.R. § 104.4 and the regulations implementing Title II at 28 C.F.R. §§ 35.130 and 35.160(a), which were at issue in this case.

VT AOE understands that OCR will not close the monitoring of this Agreement until OCR determines that VT AOE has fulfilled the terms of this Agreement in compliance with the regulations implementing Section 504, at 34 C.F.R. §§ 104.4 (a) and (b), and the regulation implementing Title II at 28 C.F.R. §§ 35.130 and 35.160 (a), which were at issue in this case. Upon completion of the obligations under this Agreement, OCR shall close this case.

VT AOE understands and acknowledges that OCR may initiate administrative enforcement or judicial proceedings to enforce the specific terms and obligations of this Agreement. Before initiating administrative enforcement (34 C.F.R. §§ 100.9 and 100.10), or judicial proceedings to enforce this Agreement, OCR shall give VT AOE written notice of the alleged breach and sixty (60) calendar days to cure the alleged breach.

The Agreement will become effective immediately upon the signature of VT AOE's representative below.

| /s/ | February 26, 2018 | |
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| Secretary | Date | |
| Vermont Agency of Education | | |