



**U.S. DEPARTMENT OF EDUCATION**

***EDFacts* Submission System (ESS)  
User Guide**

**Version 16.0**

**November 2019**

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## DOCUMENT CONTROL

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## PREFACE

This User Guide assists new users of the EDFacts Submission System (ESS). It addresses the basic mechanics of system access and data submission. The Figures in this document use screen prints of the ESS to depict step-by-step instructions for ESS users.

This guide will be updated annually and when major system modifications affect user procedures.

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## 1 INTRODUCTION

*EDFacts* is a collaborative effort among the U.S. Department of Education (ED), state education agencies (SEAs), and industry partners to improve the quality and timeliness of education information. *EDFacts* is the foundation and primary collection system for elementary and secondary education data, and a centralized information management tool for ED and SEAs. By centralizing ED's data collection and business intelligence capabilities within one repository, *EDFacts* brings a new level of coordination and efficiency among Program Offices within ED, and reduces the burden put upon states to report data to ED.

### 1.1 Purpose

The purpose of this User Guide is to assist users accessing and using the *EDFacts* Submission System (ESS). This guide addresses the basic mechanics of system access and transmission submission. Each section describes a function of the system: Getting Started; Navigating the ESS; Transmitting Data; Checking Transmission Status; Accessing Error Reports and Progress Reports; Viewing Data; Locating Data Requirements; and, Changing Passwords. Throughout the document, bold italics indicate actions a user can take (e.g., a navigation hyperlink or button).

### 1.2 EDFacts Coordinators and System Users

**EDFacts Coordinators:** Each SEA designates one staff member to serve as the *EDFacts* Coordinator. The *EDFacts* Coordinator is the official contact for ED and is responsible for submitting the State Submission Plan. The *EDFacts* Coordinator is responsible for ensuring that the *EDFacts* files are submitted, that errors are corrected, and that files are approved for submission to the *EDFacts* Data Repository.

**SEA EDFacts Submitters:** The *EDFacts* Coordinator may designate SEA staff or contractors to serve as SEA submitters. These are usually programmers or contractors, who have the knowledge needed to extract the data from existing state systems, input the data into the *EDFacts* file formats, submit the data, and answer questions or address programming issues that arise in the submission of the data. The *EDFacts* Coordinator may also serve as one of the SEA Submitters.

Status email reports are sent to the SEA Submitter who transmitted the file. Either the responsible SEA Submitter or the *EDFacts* Coordinator may respond to the requests for SEA action on errors or warnings.

The Partner Support Center (PSC) will maintain the list of *EDFacts* Coordinators and SEA Submitters (including contact information and login IDs) and update the list when notified of changes by the SEA.

### 1.3 ESS Disclaimer

The ESS is a United States Government computer system operated and maintained by the U.S. Department of Education, which encourages its use by staff, researchers, and contractors. Activity on this system is subject to monitoring during systems administration and to protect the system from unauthorized use. Users are further advised that they have no expectation of privacy while using this system or in any material on this system. Unauthorized use of this system is a violation of federal law and can be punished with fines and imprisonment (P.L. 99-474). Anyone using this system expressly consents to such monitoring and acknowledges that unauthorized use may be reported to the proper authorities.

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## 2 GETTING STARTED

Users can access the link for the ESS from the [EDFacts Initiative Homepage](#). The ESS login page can also be accessed directly on the [ESS website](#).

### 2.1 Obtaining User Access

The ESS is a secure site and requires a *User ID* and *Password* to gain access to the functions described in this User Guide. To obtain a *User ID* and *Password*, contact the EDFacts Partner Support Center.

### 2.2 Partner Support Center (PSC)

ED provides a dedicated PSC to assist states with data submission, analysis, and reporting. Comprehensive user support ensures that the EDFacts user community understands the system and can use its functionality to its fullest extent. PSC's mission is to provide accurate and timely information to SEAs in a courteous, knowledgeable, and professional manner. PSC distributes email announcements on system shut downs, reminders of due dates, and technical tips. SEA staff members that use ESS automatically receive these emails.

The PSC is available for questions between 8:00 a.m. – 6:00 p.m. Eastern Time (ET) Monday through Friday, excluding Federal holidays. The PSC contact information can be located on the [EDFacts Initiative Contact Us](#) page and by using the information, as follows:

Telephone: 877-457-3336 (877-HLP-EDEN)  
Fax: 888-329-3336 (888-FAX-EDEN)  
Federal Relay Service: 800-877-0996 (Voice/TTY) / [federalrelay@sprint.com](mailto:federalrelay@sprint.com)  
Email: [eden\\_SS@ed.gov](mailto:eden_SS@ed.gov)  
Web: [PSC Self-Service Center](#)

### 2.3 Other Documentation for EDFacts

In addition to this user guide, the following documents are available to assist SEAs in submitting data through ESS. All the documents listed below are available on the [EDFacts Initiative website](#).

**[EDFacts Workbook](#)** – The workbook provides an overview of the data submitted, information, relevant regulations and legislation, standard data definitions, and an overview of the Directory file.

**[ESS Release Notes](#)** – These documents provide a description of the technical enhancements to each version of ESS.

**[ESS File Specifications](#)** – These documents provide technical instructions for building the files that are submitted through ESS. File specifications apply to a specific school year.

[EDFacts Business Rules](#) – This link contains the EDFacts Business Rules Single Inventory (BRSI). The BRSI is a single inventory for SEAs that contains data quality (DQ) business rules applied to EDFacts data throughout the lifecycle of that data. The BRSI contains an Excel spreadsheet describing each business rule, and a Word document detailing the terminology and other helpful information used in the BRSI.

[EDFacts FAQs](#) – This document contains answers to frequently asked questions (FAQs) by newer users.

[EDFacts Community](#) – Joining the [EDFacts Community](#) site allows Coordinators to participate in valuable conversations with peers, browse key discussions from the past, access or upload relevant resources to an open-source library, and engage in many other features made available on the site.

Contact PSC to join the EDFacts Community.

#### **Resources available on EDFacts Community:**

- [Data Submission Organizer](#): Lists the due date for each file specification by school year, as well as the level for which each file is submitted.
- [PSC Support Updates](#): Includes current and past issues in a newsletter format to states on how to effectively use EDFacts and understand related changes.
- [PSC Videos / Webinars](#): Contains links to recent Webinar recordings and presentation files from PSC training sessions conducted for states.
- [EDFacts Meeting Archive](#): Includes meeting materials from the EDFacts Data Conferences that are annually held by ED.
- [File Format Checker \(FFC\)](#): This tool can be installed locally for states to check for non-XML format errors prior to attempting to submit to ESS. The file format checker is available through the EDFacts Community or available upon request from PSC.
- [Featured Resources and Tools](#): Technical assistance documents on EDFacts related topics, coordination, and systems. Folders include the *EDFacts OMB Package Resources and Tools*, for the most recent OMB Package documentation.

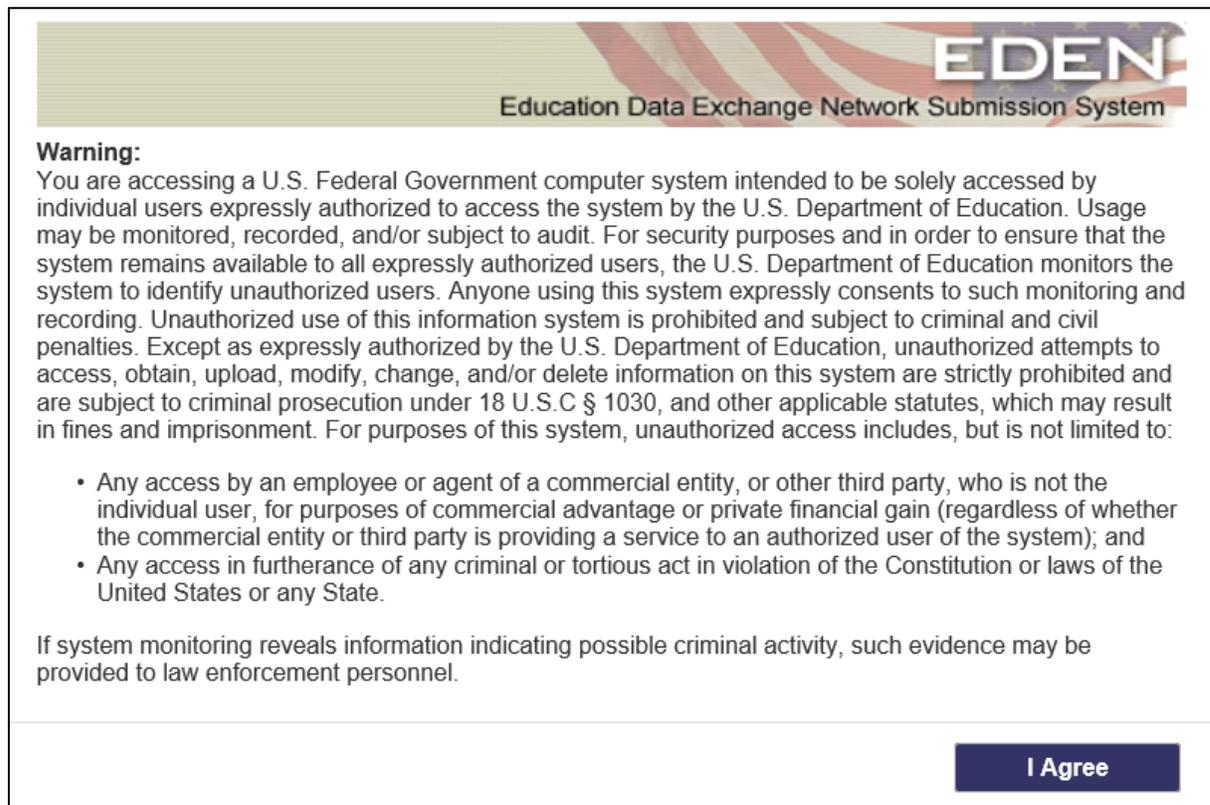
## **2.4 Transmittal vs. Submission**

The terms **transmittal** and **submission** are used throughout ESS and this document. Submission refers to data from ALL educational units whereas a transmission refers to data from one or more educational units. For example, a **transmittal** may contain one local education agency's (LEA's) Membership data. The Membership **submission** contains all the Membership data sent in for all LEAs in the state, regardless of how many files have been sent.

At the SEA level, the two terms mean the same thing.

### 3 NAVIGATING THE ESS

Access the ESS from the [EDFacts home page URL](#). After opening the ESS page, a notification will appear requiring users to accept the terms of the consent to monitoring before accessing the application (see fig. 3-1).



**Figure 3-1: EDFacts Terms of Consent to Monitoring Agreement**

**NOTE!** All screenshots in *this user guide* were taken in a test environment. Any data shown is fake test data.

After accepting the terms of consent to monitoring the *EDFacts Welcome* page will appear (see fig. 3-2). The *EDFacts Welcome* page contains the following sections:

An *Account Login* section on the left side panel. See below for additional information.

A hyperlink to the **Department of Education Home** page. Click on “U.S. Department of Education” in the upper left-hand corner of the page to open the ED web site in the application window.

The Paperwork Burden Statement. This contains information regarding the Paperwork Reduction Act of 1995, and information about the systems OMB control numbers.

There is also a hyperlink labeled **Disclaimer** on the right side of the green border below the Welcome to EDEN banner. Clicking the hyperlink will open a pop-up window that displays important user information regarding accessing EDFacts. Any time another URL is accessed from EDFacts, click the back arrow on the browser to return to the EDFacts application.

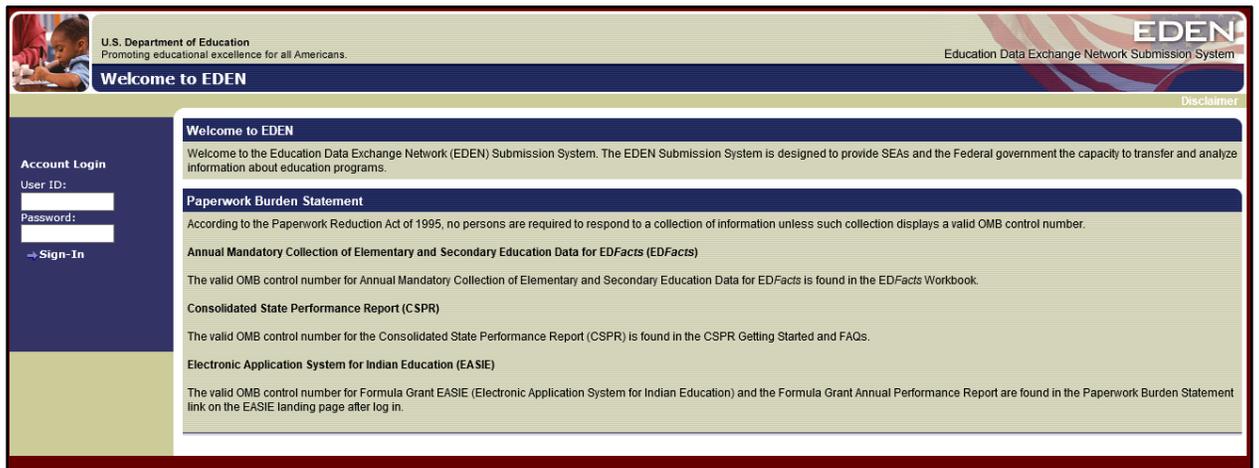


Figure 3-2: EDFacts Welcome Page

### 3.1 Logging in to ESS

To use the EDFacts Submission System application, log in by entering the *User ID* and *Password* in the appropriate fields on the left side of the screen and click the **Sign In** hyperlink.

**NOTE!** The *Password* is case sensitive.

### 3.2 Logging out of ESS

When finished using the application, close the browser or click on the **Logoff** link at the top right of any page.

### 3.3 Description of Functions

With successful login, the EDFacts Home page is displayed. The left side of the page changes to a navigation bar, which includes hyperlinks to functions of the application called sub-applications. These sub-applications include the following, and are described further within this document:

**Transmittal Authorization:** This sub-application is used to upload files into ESS.

**Transmittal Status Report:** This sub-application is a report tool used to view the status of each transmittal, the errors associated with each transmittal, and export the error report to Excel.

**Submission Error Report:** This sub-application is a report tool used to view any submission errors for the data submitted for the selected school year, starting with SY 2007-08.

**Submission Progress Report:** This sub-application is a report tool used to view the progress of the yearly submission process, as well as data submitted as part of a submission. A submission status can be viewed by SEA, LEA, or school level.

**Education Unit Profile:** This sub-application is used to view and verify data by SEA, LEA, or school.

**Data Framework:** This sub-application provides access to view the ESS data requirements, including: data groups and definitions; category sets associated with table data elements; and, permitted code values associated with categories or applicable data elements. This area also provides a direct link to file specifications documents associated with the ESS.

**Change Password:** This area is used to change the password, as needed.

To access a sub-application, click on the appropriate hyperlink on the navigation bar. The navigation bar is displayed on almost all the pages in the application, enabling access to another sub-application from virtually anywhere within EDFacts.

### 3.4 ESS Application Navigation Tips

A highlighted hyperlink indicates which section is displayed.

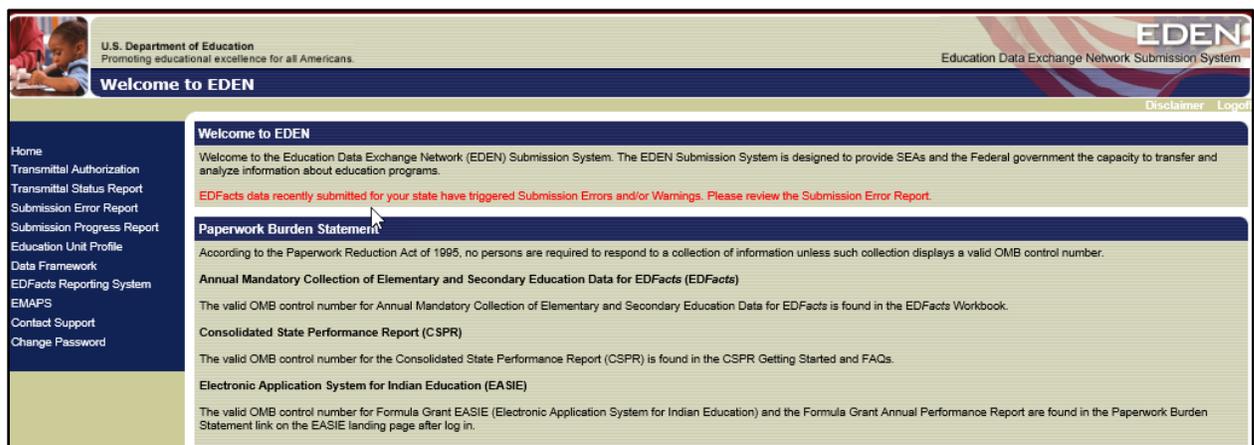


Figure 3-3: EDFacts Home Page

### 3.4.1 Navigation Bar

The navigation bar on the left side of the page contains hyperlinks to the sub-applications.

There are three additional sub-applications that appear on the navigation bar for some users. These sub-applications are hyperlinks to the:

- ▶ ***EDFacts Metadata and Process System (EMAPS)***,
- ▶ ***EDFacts Reporting System (ERS)***, and
- ▶ ***Formula Grant Electronic Application System for Indian Education (EASIE) Parts I, II, and III (Annual Performance Report (APR))***.

These sub-applications appear on the left side navigation bar only if a user has the appropriate access. Note: The EASIE sub-applications will only appear during the open windows. Please refer to the Getting Started documents for each individual sub-application for instructions on how to use each function.

### 3.4.2 Text Highlighting

Any text on a page that can be highlighted or underlined by positioning the cursor over it will perform some action once clicked. For example, a column heading on a report that can be highlighted indicates that a sort can be performed on the data in that column.

### 3.4.3 GO Hyperlinks

When highlighted and clicked, **GO** hyperlinks will initiate report filters or invoke the display of supplemental reports.

### 3.4.4 Back Function

When reports are displayed in additional windows (pop-up windows), use the **Back** function to move to a previous window in the pop-up frame. If there is no **Back** function provided, right click, and then use the **Back** function to move back to a previous window in the pop-up frame.

### 3.4.5 Report Filters

Several report filters are offered. For the *Transmittal Status Report*, the Submission Date, Transmittal Status, School Year, and/or a file specification may be used to define report content. For the *Submission Progress Report* and the *Education Unit Profile*, specifying a School Year (SY) and Educational Level (SEA, LEA, or school) are required to display the reports.

## 4 TRANSMITTING DATA: TRANSMISSION AUTHORIZATION

Data enters the ESS through transmission files.<sup>1</sup> Uploading a transmission file is accomplished by clicking **Transmission Authorization** on the navigation bar, which displays the *Transmission Authorization* page (see fig. 4-1).

### 4.1 Transmission Authorization

Once the *Transmission Authorization* page is displayed, follow the steps below to load a transmission file into the EDFacts application.

1. Click the **Choose File** button to display a Windows dialog box, through which a transmission file can be selected.
2. Highlight the desired file and click the **Open** button in the dialog box.
  - o The Transmission Authorization field will display the path of the selected file.
3. Click the **Upload File** hyperlink to load the transmission file into the application.

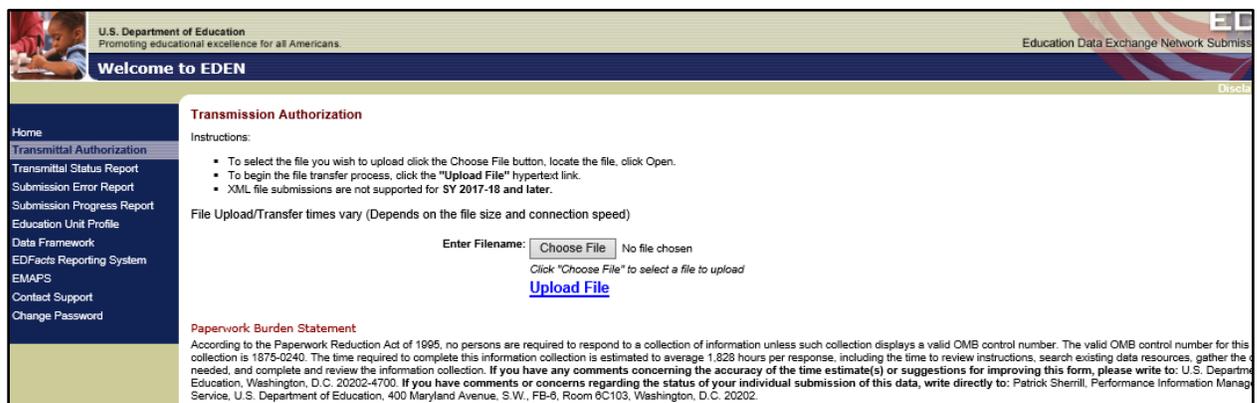


Figure 4-1: Transmission Authorization

While the file is uploading, a progress status box is displayed. Once the progress percentage is 100 percent, the file transfer is complete. The progress box can be closed by clicking the  button in the upper right corner, or by clicking on the box labeled **Close this window when submission is complete**. When the file transfer is complete, the following message will appear on the transmission page under **Upload File**: *"The file upload was successful. You will receive an email within 24 hours with the status of the file submission."*

### 4.2 File Size and Other Performance Related Topics

Performance testing on the ESS has shown that the ESS can successfully process a file of 300 megabytes; however, the EDFacts Portal is a shared environment where

<sup>1</sup> The layout and content of transmission files are defined by file specifications.

processing resources are often used by more than one SEA. This can result in the system being slow. To help manage burden, SEAs must submit files individually. Submitting outside the peak time of 8:00 a.m. to 5:00 p.m. ET is also helpful. Files can be submitted over the weekend; however, the system is down for a period of time on most Sundays for routine maintenance.

File processing time is also affected by validation checks. For most files, a series of validation checks occurs after the entire file is read into the EDFacts system. The records are then grouped according to their LEA, and then their school. At this point, validation proceeds through each education unit (LEA or school). The validation checks are to make sure that grand totals and subtotals are present, and that they are greater than or equal to their respective subtotals.

The EDFacts files can be quite large. File size can also affect processing time. While ED has upgraded the ESS to handle much larger files, local internet bandwidth bottlenecks can present problems when submitting very large files. To expedite file processing, files can be compressed or split into several smaller files. These solutions are discussed below.

#### 4.2.1 Compressing Large Files

The ESS recognizes files compressed with the Zip data compression algorithm. The ESS accepts Zip files and automatically extracts (i.e., decompresses) the file.

Plain text files, like the EDFacts files, are amenable to Zip file (\*.ZIP) compression. File size reductions of 50% to 95% are common with text files. There are a variety of commercial and free file compression utilities that create Zip files.

To be recognized as Zip files, compressed files must have the ZIP extension when uploaded to the ESS. The file name included in the Header Record must have the extension appropriate to the format of the uncompressed file.

#### 4.2.2 Splitting Large Files

If compression is insufficient to improve transmission performance, or it is not available, an alternative is to split large files into smaller files. A file must be split at an entity's (school or LEA) boundary; the data for a single education unit must not be split between files. For example, an SEA may submit two files with school-level membership data, perhaps one with one school to test the format, and a second file with all the other schools. The system will combine the data from the two files; however, the system cannot combine a file that contains some of the membership data for a school and a second file that contained the rest of the membership data for the same school. The second file's data for that school will overwrite the first file's data for that school.

Each of the resulting split files must be properly formatted according to the file specification. Each file must have a unique File ID and File Name. Each file must have a Header Record with the correct record count for the individual file.

### 4.3 Transmission Notifications

There are three types of transmission notification emails sent to the EDFacts Coordinator:

1. When a file is successfully transmitted, an email is sent to the EDFacts Coordinator indicating the submitted file was received. After receipt of the email, verify the status of the file through the *Transmittal Status Report* in the ESS.
2. Once the transmission is processed, the state will receive an email notification of the processing results.
3. If there is an error in the file submission process, the EDFacts Coordinator will receive an email detailing the issue.

**NOTE!** It is possible that a “received” email may be received after a “processing results” email. This may happen with smaller files that process quickly.

## 5 CHECKING TRANSMITTAL STATUS

The *Transmittal Status Report* checks the success or failure of a transmittal and can be used to view additional information about transmittal errors and warnings.

To access the *Transmittal Status Report*, click on the **Transmittal Status Report** hyperlink on the Navigation Bar (see fig.5-1). Initially, all transmittals are listed in a table on the lower portion of the page. The table displays the File Identifier, Transmittal File Name, Submission Date, File Type, File Specification Number and Name, Transmittal Status, and Last Action Date for each transmittal.

**NOTE!** The File Identifier comes from the header row of the file. If “Undetermined” is in the File Identifier column, it means that the system was unable to parse the header.

The screenshot shows the EDEN (Education Data Exchange Network) interface. At the top, it says 'U.S. Department of Education Promoting educational excellence for all Americans' and 'EDEN Education Data Exchange Network Submission System'. A navigation menu on the left lists options like 'Home', 'Transmittal Authorization', and 'Transmittal Status Report'. The main content area is titled 'Transmittal Status Report' and includes instructions: 'Use the drop down list and "GO" button to see data or reports associated with the transmittal.' There is a 'Filter Transmittals' section with a date picker set to '05/01/2019', a calendar for October 2019, and a dropdown for 'For School Year' set to '2018-2019'. Below the filter is a list of file specifications including 'NX002 - Children with Disabilities (IDEA) - School Age'. A 'GO' button is present. At the bottom, there is a table of transmittals with columns for File Identifier, Transmittal File Name, Submission Date, File Type, File Spec., Transmittal Status, Last Action Date, and Select file(s) to archive. Two rows of data are visible, both with a status of 'Transmittal OK'.

File Identifier	Transmittal File Name	Submission Date	File Type	File Spec.	Transmittal Status	Last Action Date	Select file(s) to archive
FS059_2019_14-18-56-623		May 28 2019 3:43PM	SCHOOL STAFF FTE	N059 - Staff FTE	Transmittal OK	May 28 2019 3:43PM	See Data GO <input type="checkbox"/>
FS059_2019_14-19-18-947		May 28 2019 3:41PM	LEA STAFF FTE	N059 - Staff FTE	Transmittal OK	May 28 2019 3:41PM	See Data GO <input type="checkbox"/>

Figure 5-1: Transmittal Status Reports

The *Transmittal Status Report* page is divided into two parts. The transmittal status appears at the lower portion of the page. If the transmittal status is not visible, scroll down to view the information. The upper part of the report contains tools to filter which transmittals appear in the report and to download the report to Excel.

### 5.1 Report Navigation

This section describes how to navigate within the *Transmittal Status Report*.

#### 5.1.1 Page Navigation

There are two ways to navigate a multi-page list of transmittals. Both use the navigation buttons on the report navigation bar. The report navigation bar is located at the top of the page between the list functions (**Show All Files**, **Archive Selected**

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*Files*, etc.) and the report column headings. A second report navigation bar is located at the bottom of the page.

On the left side of the navigation bar, **FIRST** and **LAST** buttons are available. On the right side of the report navigation bar, **PREV** and **NEXT** buttons are available. If the button is enabled, it will be green with red font. If it is not enabled, it will be gray with dark gray font, meaning there is no next or previous page. If both buttons are gray, there is only a single page for the report. The **FIRST** button is not enabled if the first page of the report is being displayed; the **LAST** button is not enabled if the last page of the report is being displayed. These buttons will display the first or last page in the report, as appropriate.

The second way to navigate within the list of transmittals is to use the **Go To Page** feature. If there are multiple pages, there will be a number with a drop-down list indicating which page of the report is being displayed, in the middle of the navigation bar. Using the drop-down will display the total number of pages in the report. Select any specific page number in the report by highlighting and clicking a page number, then clicking the **Go To Page** hyperlink to the left of the page number.

### 5.1.2 Sorting the Transmittal Status Report

The column headings in the *Transmittal Status Report* are self-explanatory. Column headings are highlighted when the cursor is placed over them. When a highlighted column heading is clicked, the data in the column are sorted. A second click reverses the sort order.

## 5.2 Report Functions

### 5.2.1 Download to Excel

To download the report data to an Excel workbook, click on the inverted triangle to the right of the calendar. The triangle is labeled with the words **Download Excel Spreadsheet**. If the data in the report have been filtered, the Excel spreadsheet will only contain the filtered data shown on that page.

### 5.2.2 Filter Reports

When accessing the *Transmittal Status Report*, the transmittals included in the report can be filtered by Submission Date and/or Transmittal Status. To filter the transmittals into more manageable groups, use the *Filter Transmittals* section at the upper-right side of the page.

- Filtering by date will display only those transmittals submitted from the selected date forward. To filter by date, enter a date, or select one from the calendar.

- Filtering by school year limits the list of transmittals to only those submitted for a school year. To filter by school year, select a school year from the drop-down list.
- Filtering by transmittal status limits the list of transmittals to only those with a specific transmittal status. To filter by status, select a specific transmission status from the “Containing the following” drop-down list (see fig. 5-3).
- Filtering by file specification will display files by file number at every level a given file was submitted. To select one or more file specifications from the list box, hold the “Ctrl” key while making selections. This filter can be combined with any of the other three filters (see fig. 5-2).

**Filter Transmittals**

Show only those transmittals:

On or after this date:

AND/OR

Containing the following:

For School Year:

For File Specification:

- All File Specifications
- N/X002 - Children with Disabilities (IDEA) - School Age
- N/X003 - Children with Disabilities (IDEA) Academic Achievement
- N/X004 - Children with Disabilities (IDEA) Not Participating in Assessm...
- N/X005 - Children with Disabilities (IDEA) Removal to Interim Alternati...

Sep	October 2019							Nov
Sun	Mon	Tue	Wed	Thu	Fri	Sat		
29	30	1	2	3	4	5		
6	7	8	9	10	11	12		
13	14	15	16	17	18	19		
20	21	22	23	24	25	26		
27	28	29	30	31	1	2		
3	4	5	6	7	8	9		

**Figure 5-2: Transmittal Report Filters**

After selecting a date, school year, transmittal status, and/or file specification filter, click on the **GO** hyperlink under the calendar. Clicking **GO** displays the filtered list of transmittals. Although the page may not appear to change, scroll down to see the report requested. If different filtering is desired, change the parameters at the top of the page and click **GO** again.

**NOTE!** There are two **GO** hyperlinks on this page: one for the **Filter Transmittals** section, and the right end of each row of the list of transmittals. See section 5.3.1 for functionality of the **GO** hyperlink at the end of each row in the list of transmittals.

**Transmittal Status Report**

**Instructions:**  
Use the drop down list and "GO" button to see data or reports associated with the transmittal.

**Filter Transmittals**

Show only those transmittals:

On or after this date:  
10/31/2018

AND/OR

Containing the following:

- Show ALL
- Received
- Format Error
- Validation Error
- Database Exception
- Transmittal OK
- Replaced
- No Changes
- ilities (IDEA) - School Age
- N/X003 - Children with Disabilities (IDEA) Academic Achievement
- N/X004 - Children with Disabilities (IDEA) Not Participating in Assessm...
- N/X005 - Children with Disabilities (IDEA) Removal to Interim Alternati...

GO

Transmittals from [Show all files](#)

Download Excel Spreadsheet

Oct	November 2018					Dec
Sun	Mon	Tue	Wed	Thu	Fri	Sat
28	29	30	31	1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	1
2	3	4	5	6	7	8

Figure 5-3: Transmittal Status Filter

### 5.2.3 Additional Report Functions

The *Transmittal Status Report* has four functions that can be highlighted and performed: These functions are shown on the bar at the top of the report.

**Show all files:** Displays all files that meet the filter criteria, including previously archived files.

**Archive selected file(s):** Removes the selected files from the display. Files are selected by using the "Select All" function or by checking an individual file's "Select File(s) to Archive" box – the right-most column of the list.

**Select All:** Checks the "Select File(s) to Archive" box for all the files in the filtered list.

**Clear All:** Unchecks the "Select file(s) to archive" box for all the files in the filtered list.

## 5.3 Transmittal Status

This section describes the possible transmittal statuses that may appear in the Transmittal Status column of the report. This section also includes a description of the error reports associated with these statuses.

**NOTE!** The system performs additional submission edit checks after the file is accepted into the ESS database.

### 5.3.1 Status Definitions

Within the *Transmittal Status Report*, each transmittal has a status indicating whether the transmittal was successful, or errors were issued. These statuses are defined below. Some statuses have additional actions that can be initiated. The descriptions below include information about any additional actions associated with

a status. To initiate an action, click the **GO** hyperlink next to the action shown in the column to the right of Last Action Date. If the **GO** hyperlink is not highlighted, then no action can be invoked.

**Received:** A Received status indicates that the transmittal has been received but has not yet been processed. When a transmittal is in a Received status, no further information for the transmittal is available. If a transmittal is in a Received status for more than 24 hours, contact PSC.

**Format Error:** A format error is issued when there is a fundamental problem with the transmittal and the software is unable to process the submitted file any further. For example, format errors will occur if a delimited file does not have the correct number of delimiters, or an incorrect file extension is used in the file name. For more information about the format errors, view the *Format Error Report* by clicking the **GO** hyperlink in the column to the right of Last Action Date. Format errors are the most serious error type and prevent any further processing of the file.

**NOTE!** Format errors must be corrected, and the file resubmitted, before the file can be loaded into the staging database.

**Validation Error:** Validation errors are issued when a data field fails a validation edit. For example, an invalid permitted value will cause a validation error. Validation errors are also issued when a data element value is determined to be inconsistent with other data within the referenced transmittal file. For example, a validation error is issued if the sum of male and female students = 200 and the total students = 150. Validation edits are performed once there are no format errors. To view the *Validation Errors Report*, highlight and click on the **GO** hyperlink in the column to the right of Last Action Date.

**NOTE!** Validation errors must be corrected, and the file resubmitted, before the file can be loaded into the staging database.

**Database Exception:** A database exception is an unexpected error. If a database exception occurs, report the instance to PSC.

**Transmittal OK:** A Transmittal OK status indicates that the data for the transmittal has been accepted into the staging database. To review the data for any transmittal that has been accepted into the staging database, click on the **GO** hyperlink to the right of the **See Data** field.

**Replaced:** A status of Replaced indicates that the transmittal shown has been replaced by a subsequent transmittal.

**No Changes:** A status of No Changes indicates that a transmittal was submitted that resulted in no changes to the data in the staging database.

## 5.3.2 Transmittal Error Reports

This section describes the error reports generated when there are transmittal errors. *Transmittal Error Reports* are available for transmittals with format and validation edit errors. They are accessed from the *Transmittal Status Report* by clicking the **GO** hyperlink for a specific transmittal.

Errors identified after a file is in the staging database (submission errors) are not identified in the *Transmittal Error Reports*. These errors appear on the *Submission Error Report*. Submission errors also need to be corrected; however, they do not stop the process of loading the file into the staging database.

### 5.3.2.1 Format Error Reports

A format error is issued when there is either a file format problem, or a data type mismatch is detected with the referenced transmittal file. The problem must be corrected, and the file resubmitted before the file can be loaded into the staging database. The *Format Error Report* (see fig.5-4) is used to identify the format problem. A single transmittal may have more than one format error; however, because file processing stops when the first format error is detected, the *Format Error Report* will show only one format error at a time. The transmittal will continue to be rejected until all format errors are corrected. If a transmittal contains a format error, it must be reviewed for additional format errors before it is retransmitted.

Format Errors Report					
Home	Transmittal From:	File Identifier:	Transmittal File:	Transmittal Date:	Reporting Period:
Transmittal Authorization		SEA 189	SEA189_sci.csv	Oct 25 2019 4:41PM	2018-2019
Transmittal Status Report	File Type:				
Submission Error Report	SEA SCIENCE ASSESSMENT PARTICIPATION				
Submission Progress Report	<p style="text-align: center;"> <a href="#">« FIRST »</a> <a href="#">LAST »</a> <span style="float: right;"> <a href="#">« PREVIOUS »</a> <a href="#">NEXT »</a> </span> </p>				
Education Unit Profile	<b>Summary of Error</b>				
Data Framework	ER-77 / Total Records in header does not match actual count of records imported				<b>Count</b>
EDFacts Reporting System					1
EMAPS	<p style="text-align: center;"> <a href="#">« FIRST »</a> <a href="#">LAST »</a> <span style="float: right;"> <a href="#">« PREVIOUS »</a> <a href="#">NEXT »</a> </span> </p>				
Contact Support	<p style="text-align: center;"> <a href="#">Return to Error and Warning Report</a> </p>				
Change Password	<p>Note: Files with errors cannot be loaded into the staging database. Please correct the problem and resubmit.</p>				

Figure 5-4: Format Errors Report

### 5.3.2.2 Validation Errors Report

A validation error is issued when a data field fails a validation edit. Validation edits are performed once there are no format errors. Validation errors must be corrected, and the file resubmitted, before the file can be loaded into the staging database. The *Validation Errors Report* identifies the failed edits. The first page of the *Validation Errors Report* (see fig. 5-5) displays a summary count for each error type (i.e., the number of times the specific error type has occurred for the transmittal) triggered by the transmittal.

**Validation Errors Report**

Transmittal From:	File Identifier:	Transmittal File:	Transmittal Date:	School Year
	CHAR 197	_CHAR197JU.csv	Sep 8 2019 3:27PM	2018-2019

File Type: SCH CHAR ORG CROSSWALK

Summary of Business Rule Errors	Count	Count Type
ER-11 / Submitted State School ID 150162990003C is not found in EDEN.	1	
ER-11 / Submitted State School ID 150162990005C is not found in EDEN.	1	
ER-11 / Submitted State School ID 150162990006C is not found in EDEN.	1	
ER-11 / Submitted State School ID 150162990008C is not found in EDEN.	1	
ER-11 / Submitted State School ID 150162990204C is not found in EDEN.	1	
ER-11 / Submitted State School ID 150162990205C is not found in EDEN.	1	
ER-11 / Submitted State School ID 150162990208C is not found in EDEN.	1	
ER-11 / Submitted State School ID 150162990234C is not found in EDEN.	1	

Note: Files with errors cannot be loaded into the staging database. Please correct the problem and resubmit.

Figure 5-5: Validation Errors Report

To view a more detailed explanation of an error, highlight and click on the description of the error. This will display an *Error Message Documentation* pop-up window (see fig. 5-6). Click the **CLOSE** button to close the pop-up window. More detailed error explanations, including potential error causes and error resolution, are available in the [EDFacts Business Rules Single Inventory](#).

**EDEN**  
Error Message Documentation

**Error Message:** File submission includes multiple rows of data for the same educational unit.

**Abbreviation:** ER-103 DUPDATA

**Message Type:** Validation Edit

**Explanation:** File submission includes multiple rows of data for the same educational unit.

**CLOSE**

Figure 5-6: Error Message Documentation

Unlike format errors, all validation errors are identified when the transmittal is processed, however, there is a maximum number (1,000) of validation errors that can be processed for a single transmittal. Once the threshold is reached for a transmittal, processing for that transmittal is terminated.

Located at the bottom of the *Format Errors Report* is the **Return to Errors and Warnings Report** tab.

The ***Return to Errors and Warnings Report*** tab takes the user back to the *Transmittal Status Reports*. The browser **BACK** button also will eventually return to the *Validation Errors Summary Report* page, depending on how many pages in the *Validation Errors Summary Report* detail list have been reviewed.

## 6 SUBMISSION ERROR REPORT

The *Submission Error Report* provides access to the results of submission edits and warnings. Submission edits and warnings ensure that the data meet or exceed an acceptable level of reasonability by checking the values entered in a field against other similar values in the same file or across files. If a discrepancy is found (i.e., a value falls outside of the acceptable range), a submission error or warning is issued. Unlike format and validation edits, submission edits and warnings are applied to the data after they are in the staging database.

To access the *Submission Error Report*, click the ***Submission Error Report*** hyperlink on the Navigation Bar (see fig. 6-1). The report opens on the ***State Data*** tab with submission errors or warnings for the most recent school year. If there are no such files, the *Submission Error Report* does not display files. This is different from the *Transmittal Status Report*, which shows the transmittal status for all files. Files with errors and warnings for previous school years can be viewed by changing the school year in the drop-down list at the top left corner of the report. View the same information for LEA and school files with submission errors or warnings by selecting the appropriate tab at the top of the table (***LEA Data*** or ***School Data*** instead of ***State Data***).

***NOTE!*** The information behind the ***Reports*** tab is different. The ***Reports*** tab provides access to match edit reports.

### 6.1 The Data Tabs

The ***State Data***, ***LEA Data***, and ***School Data*** tabs provide detailed information about the errors and warnings in each of the files displayed. Behind these tabs is a table listing all the files with errors or warnings. For each file, the table displays the File Submission Name, Specification Number, Last Submission Date (the date and time of the last submission of that file loaded into ESS), Error Count, and Warning Count. The table also includes a ***Detail*** hyperlink and ***Download*** hyperlink for each file. The functions of these hyperlinks are described below.

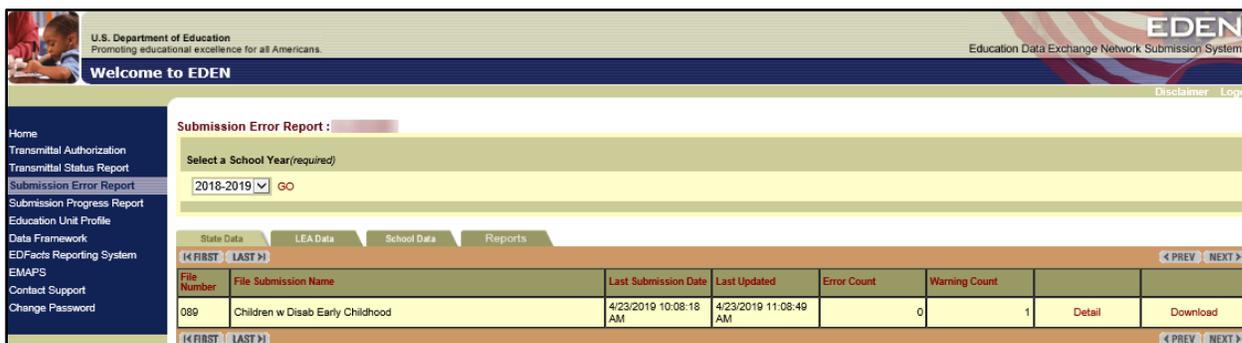


Figure 6-1: Submission Error Report Data Tabs

### 6.1.1 Details

Clicking on the **Detail** hyperlink for a file opens the *Submission Error Report Detail* page (see fig. 6-2). The *Submission Error Report Detail* page displays the specific submission errors and warning triggered for a file. It lists the **Rule Code**, **Error Message**, and **Error Value** for each error or warning triggered.

- The **Rule Code** allows the user to reference the rule in the [EDFacts Business Rules Single Inventory](#).
- The **Error Message** provides a brief description of the problem.
- The **Error Value** identifies the permitted value affected by the rule.

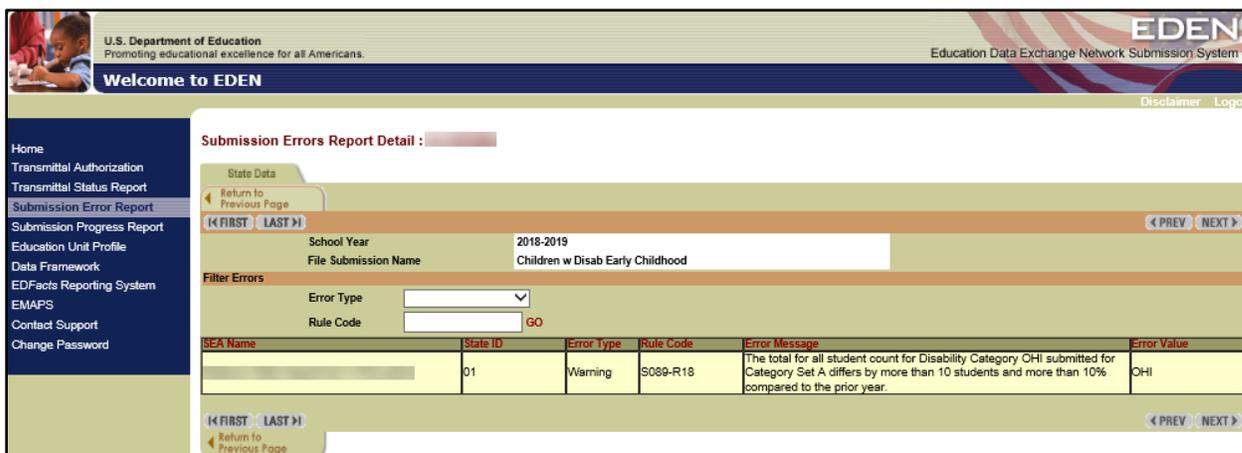


Figure 6-2: Submission Error Report Details Page

**NOTE!** The default *Submission Error Report* displays at state data level. Make sure to review the submission error reports by clicking each reporting level’s tab.

## 6.1.2 Download

Clicking the **Download** hyperlink for a file opens the **Submission Error Report File Download** pop-up box (see fig. 6-3). This pop-up box allows the user to open and view or save the Submission Error Report Detail as a Comma Separated Value (CSV) file. CSV files can be read by Excel and other spreadsheet software.

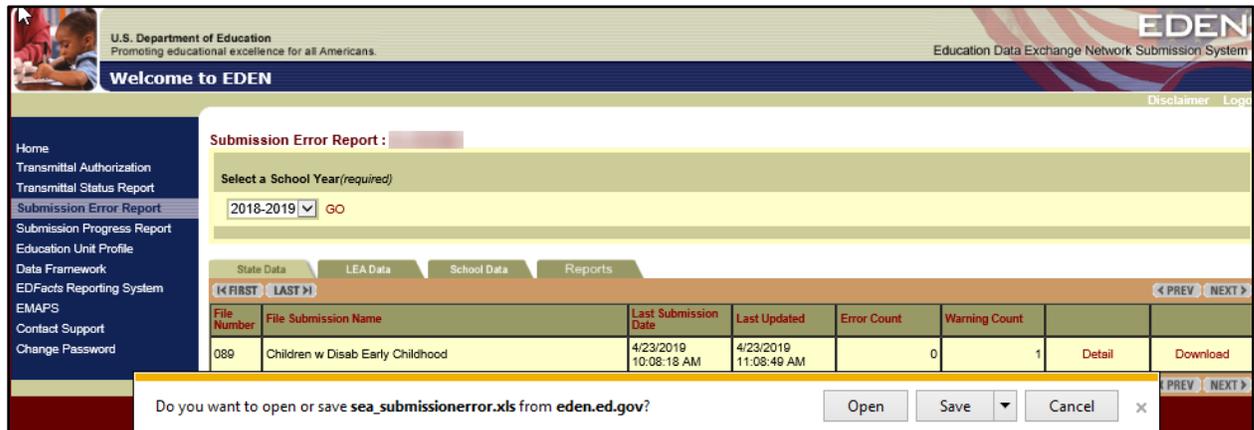


Figure 6-3: Submission Error Report Download Pop-up Box

Before downloading the error report, select the appropriate **Education Level** tab and **School Year**.

## 6.2 The Reports Tab

On the **Reports** tab, a table of the reports is available (see fig. 6-4). Currently, the table includes links to display the **Match Error Report** and **Summary Report**.

- The **Match Error Report** shows the errors identified when the current school year's directory data (universe) are compared with the previous year's directory data. For example, it identifies schools with NCES School IDs that are different from the previous year's IDs.
- The **Summary Report** shows all the Common Core of Data (CCD) information the state has submitted, summarized in multiple ways. The report is not complete until all data are submitted, but preliminary pieces of information, such as Membership and Staff FTE, are available as soon as they are submitted to ESS. The **Summary Report** is used to review the data submitted for consistency and data quality.

The **Reports** tab displays the date the report was generated, and the amount of time it took to generate the report. There are also two hyperlinks for the report, which are used to regenerate the report or to view the report. Each of these functions is described below.

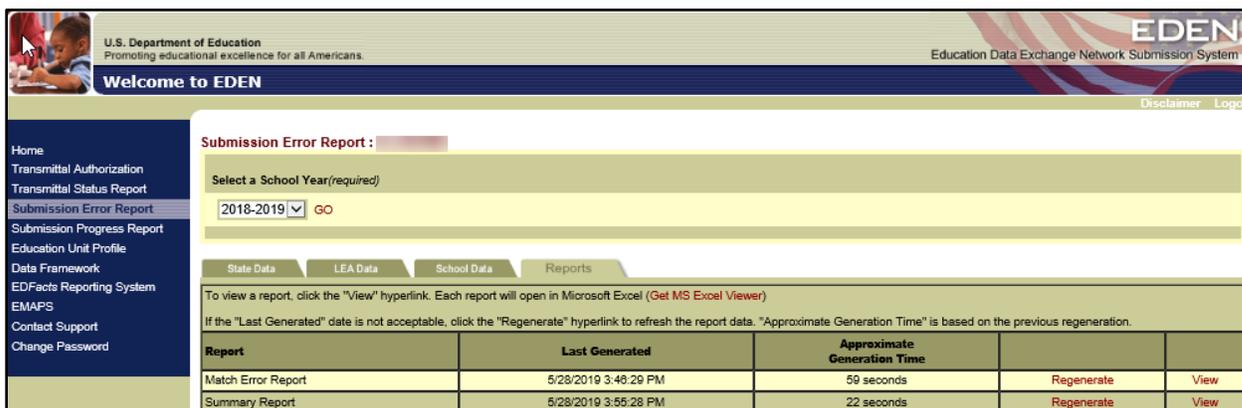


Figure 6-4: Reports

### 6.2.1 Regenerate

Clicking the **Regenerate** hyperlink allows the user to regenerate the Match Error Report (see fig. 6-5). While the error report is generating, the **Last Generated** field will change its status to Pending until a new report is available.

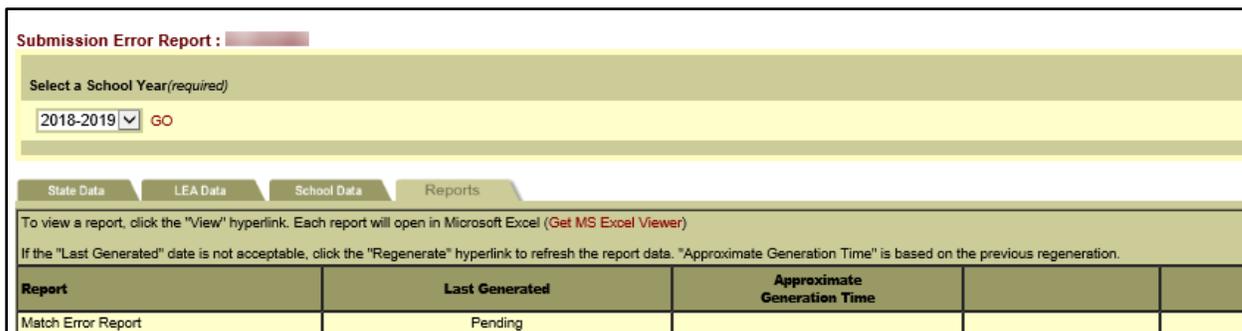


Figure 6-5: Submission Error Report Regenerate Link

### 6.2.2 View

Clicking the **View** hyperlink displays a pop-up box used to view the *Match* or *Summary Reports*, depending on which report is selected. The *Match Report* identifies where the directory data has errors and opens in an Excel spreadsheet. If desired, the user can then save the file (see fig. 6-6).

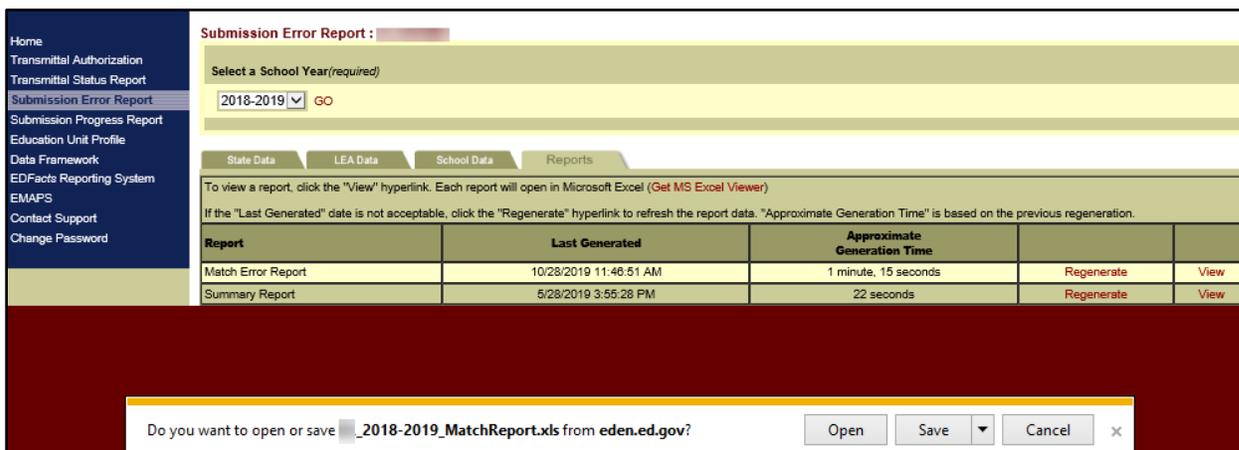


Figure 6-6: Submission Error Report View Link

### 6.2.3 CCD Edit Reports

If the SEA does not have any Directory match errors, but other data have submission errors, three rows will display below the *Summary Report* row. These are the SEA, LEA, and School *Edit Report* rows (see fig. 6-7). These reports contain submission errors about CCD data only and should be the same CCD-related errors found on the respective *Submission Error Report* tabs.

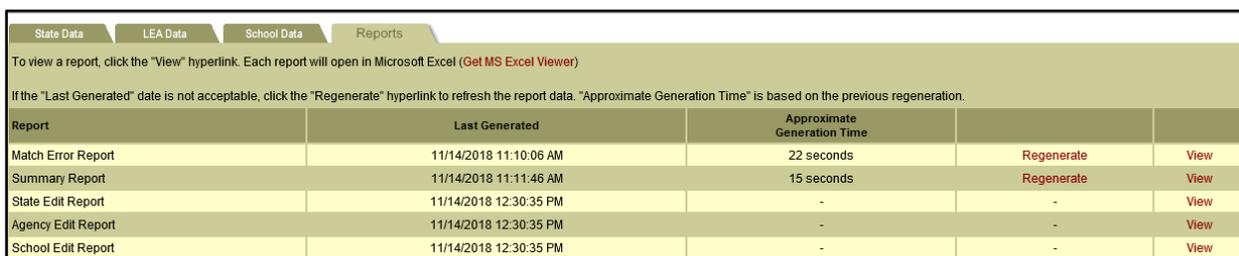


Figure 6-7: CCD Edit Report Rows

To review these reports, click on the row’s **View** link to open or save the Excel spreadsheet (see fig. 6-8).



Figure 6-8: Viewing CCD Edit Reports

If **Open** is selected, a multi-worksheet Excel spreadsheet (see fig. 6-9) will open, which provides a summary page (worksheet) and several worksheets containing the types of errors identified in the CCD data. The summary page **Instructions** tab lists

which worksheets contain errors, and the number of errors; only the pages with errors need to be reviewed.

Once all the Match Errors have been fixed, review each level (SEA, LEA, and school) of the *Edit Reports*.

**NOTE!** Match errors can be viewed in ESS and corrected as necessary but should be responded to in the DMS. For additional information, reference the [DMS User Guide](#).

	A	B	C	D	E	F	G	H	I	J	K	L	M
1	Instructions												
	Please review all the worksheets provided in this Edit report. - Correct all errors with a "Y" under the Must Fix column (critical errors). - Once the critical errors have been corrected, correct the non-critical errors (warnings) if the data is in error. - If you have questions about this process, the Edit report, data being displayed on the Edit report, or errors or warnings received, please contact the EDFacts Partner Support Center at:  Telephone: 877-467-3336 (877-HLP-EDEN) Fax: 888-329-3336 (888-FAX-EDEN) TTY/TDD: 888-403-3336 (888-403-EDEN) or E-mail: eden_ss@ed.gov												
2		Edit Failures											
3	Invalid Data	0											
4	Verify Missing	0											
5	Verify Change	0											
6	Current to Prior % Diff	0											
7	Total to Sum of Detail	0											
8	Related Field Totals	0											
9	Gradespan Issues	0											
10	Closed-Inactive-New with Data	0											
11	Statewide Issues	0											
12	Missing Data	0											
13	Duplicate Info	0											
14													
15													
16													
17													
18													
19													

Figure 6-9: CCD Edit Reports Content

### 6.3 Submission Edits Processing Information

Unlike the *Match Error Report*, the submission edits are processed every few hours, as needed. As a result, the errors and warning information in the *Submission Error Report* may not reflect data in files submitted (or resubmitted) and will not be reflected on the report until the submission edits have been run again, which could be the following day. Similarly, when an error is corrected, it may not be reflected on the report until the following day.

A maximum number of 1,000 errors or warnings can be identified in the course of processing a file. Once 1,000 errors or warnings are identified, further processing of that file will end. For example, if an inappropriate string is included in every record in a file, the processing will stop after 1,000 errors are identified. The [EDFacts Business Rules Single Inventory](#) document contains all the reasonability edits in ESS.



## 7 SUBMISSION PROGRESS REPORT

The *Submission Progress Report* allows the user to view where a state is in the yearly submission process and approve or hold a submission type for transfer into the data repository. The status of submissions can be viewed by SEA, LEA, or school level.

Click the **Submission Progress Report** hyperlink on the Navigation Bar (see fig. 7-1) to access the *Submission Progress Report*. The report opens on the submission progress for the state-level data; however, the submission status information will not display until a school year is selected from the drop-down list. To view the submission progress for LEA and school data, select the appropriate tab at the top of the table (**LEA Data** or **School Data**) and follow the same instructions.

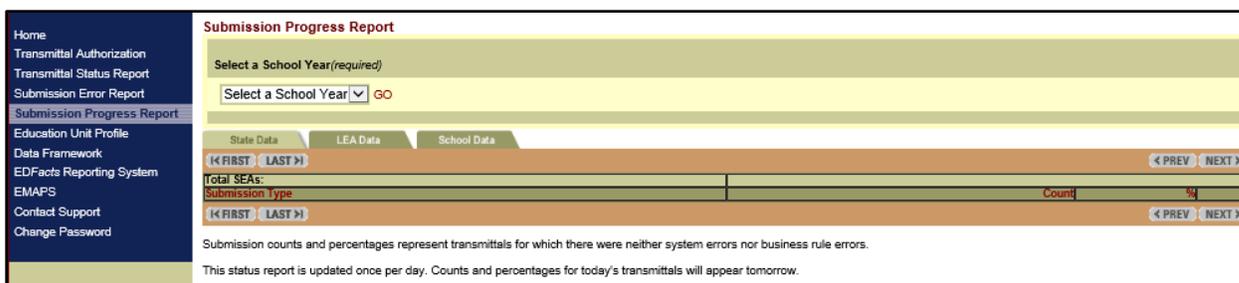


Figure 7-1: State Submission Progress

### 7.1 Submission Progress Report

Once on the *Submission Progress Report* page for the desired education unit type (SEA, LEA, or school), select the desired school Year from the drop-down list provided and click **GO**. This will display a report of the submission status for each submission type (see fig. 7-2). The submission type corresponds with the table in the *EDFacts* database where the data are stored. Scroll down to view the report.

LEA Submission Progress Report as of 10/28/2019 :

Select a School Year (required)  
2018-2019

State Data | LEA Data | School Data

< FIRST | LAST > | < PREV | NEXT >

Total LEAs: 177	Count	%	
Submission Type			
Staff FTE Tables	177	100.000	See Data <input type="button" value="GO"/>
Children with Disabilities (IDEA) School Age Tables	169	95.480	See Data <input type="button" value="GO"/>
Children with Disabilities (IDEA) Removal Table	0	.000	No Action
Children with Disabilities (IDEA) Exiting Special Education Tables	0	.000	No Action
Children with Disabilities (IDEA) Reasons for Unilateral Removal Table	0	.000	No Action
Children with Disabilities (IDEA) Suspensions/Expulsions Table	0	.000	No Action
Immigrant Table	0	.000	No Action
Title III LEP English language proficiency results table	0	.000	No Action

Figure 7-2: Submission Progress Report (LEA)

Navigation buttons (i.e., **FIRST/LAST**, **PREV/NEXT**) appear at the top of the report below the education level tabs. These navigation buttons operate the same as on the *Transmittal Status Report*.

The contents of the report can be sorted by the contents of each column. To sort the report, click on a column heading. Clicking once will sort the data in ascending sequence; clicking a second time will sort the data in descending sequence.

Displayed in the top left corner of the report is the total number of the education units selected. At the SEA level, this count should always be one. In the screenshot of the *LEA Submission Progress Report* (see fig. 7-2), it shows that there are 5 LEAs in the selected state. For each submission type, the report also shows how many education units (SEA, LEAs, or schools, as appropriate) have been submitted to the staging database. The percentage of the education units submitted for that file will be displayed in the % column.

Use the tabs at the top of the report to view submission status for different education unit levels. Click on the **State Data**, **LEA Data**, or **School Data** tabs.

### 7.1.1 See Data

If data are available for a submission type, **See Data** is available from the drop-down list to the right of the Status (%) column.

1. **Select the Education Unit:** Clicking **GO** on the *Submission Progress Report* brings up a pop-up window with the names of all the education units with data for that reporting level (SEAs, LEAs, or schools) (see fig. 7-3). Click on the name of an education unit to see its data.

LEA Submission Progress Report			
State: <input type="text"/>	FIPS State Code: <input type="text"/>		
State Agency Name: <input type="text"/> State Department of Education	School Year: 2018-2019		
Refine Your Search <input type="button" value="GO"/>			
<input type="button" value="◀ FIRST"/> <input type="button" value="LAST ▶"/>		Go To Page <input type="text" value="1"/> of 4	<input type="button" value="◀ PREV"/> <input type="button" value="NEXT ▶"/>
LEA Name	NCES LEA ID	State LEA ID	City
Acceleration Day and Evening Academy	0100197	800	Mobile
Al Inst Deaf And Blind	0100009	600	Talladega
Alabama Clinical Schools	0100183	709	Birmingham
Alabama School of Fine Arts	0100018	207	Birmingham
Alabama Youth Services	0100002	210	Mt Meigs

Figure 7-3: List of Education Units (LEAs)

**NOTE!** Use the **Back** function to move to a previous window in the pop-up frame. If there is no **Back** function provided, right click and then click **Back** to return to a previous window in the pop-up frame.

2. **Select a Data Group.** After clicking **GO** for an education unit, the next pop-up window lists the data groups available for the submission type and education unit selected (see fig. 7-4). This window displays the data group name, ID, and file specification numbers.

**State Submission Progress Report: Data Groups**

< Back

State Agency Name: [Redacted] File Name: Staff FTE File Spec #: 059  
 School Year: 2018-2019

Name	ID	Table Type	Value
Staff FTE table	528	FTESTAFF	<a href="#">See Data</a>

< Back

Figure 7-4: State Data Submission Progress Report: Data Groups

3. **Select a Category Set.** To access data for this data group, click the **See Data** link in the Value column. The next screen lists the Category Sets, Subtotals, and Total, where applicable, associated with the selected data group (see fig. 7-5). Subtotals and the Total are listed as Type “Total,” while Category Sets are Type “Detail.”

**State Submission Progress Report: Staff FTE Tables**

< Back

State Agency Name: [Redacted] File Name: Staff FTE File Spec #: 059  
 School Year: 2018-2019

Category Set	Type	Value
Staff Category (CCD)	Detail	<a href="#">See Data</a>
Total for Staff FTE Tables	Total	<a href="#">See Data</a>

< Back

Figure 7-5: State Data Submission Progress Report: Staff FTE Tables (Category Sets)

4. **View Data.** Click the **See Data** link from a category-sets page list displays, in alphabetical order, the permitted values for the selected category set. Click the **See Data** link for a category set (on the right-hand side of the screen) to display the results of the submissions that are currently available (see fig. 7-6).

**State Submission Progress Report: Category Set Data**

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State:  School Year: 2018-2019  
 State Agency Name:  State Agency Number:  FIPS State Code:

Staff Category	StaffCount	Percentage
All Other Support Staff	13182.95	17.23%
Elementary School Counselors	950.78	1.24%
Elementary Teachers	16897.25	22.09%
Instructional Coordinators and Supervisors to the Staff	58.47	0.07%
Kindergarten Teachers	4537.67	5.93%
LEA Administrative Support Staff	2076.49	2.71%
LEA Administrators	1091.71	1.43%
Librarians/Media Specialists	1322.28	1.73%
Library/Media Support Staff	188.94	0.25%
Paraprofessionals/Instructional Aides	6508.89	8.51%
Pre-Kindergarten Teachers	987.96	1.29%
School Administrative Support Staff	2241.53	2.93%
School Administrators	3954.23	5.17%
School Counselors	155.49	0.20%
Secondary School Counselors	663.17	0.87%
Secondary Teachers	19690.76	25.74%
Student Support Services Staff	1988.18	2.60%
Ungraded Teachers	0.00	0.00%

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Figure 7-6: State Data Submission Progress Report (Permitted Values)

## 8 EDUCATION UNIT PROFILE

### 8.1 Purpose of the Education Unit Profile

The Education Unit Profile is used to view and verify SEA, LEA, and school level data. Click on the **Education Unit Profile** hyperlink in the Navigation Bar to view the Education Unit Profile (EUP) (see fig. 8-1).

### 8.2 Accessing State Data

The screenshot shows the 'Education Unit Profile' page. On the left is a navigation menu with items: Home, Transmittal Authorization, Transmittal Status Report, Submission Error Report, Submission Progress Report, Education Unit Profile (highlighted), Data Framework, EDFacts Reporting System, EMAPS, Contact Support, and Change Password. The main content area has a title 'Education Unit Profile' and a form with the following elements: a 'Select a School Year (required)' dropdown menu, a 'Select a Results List' section with three radio buttons: 'Display State Only' (selected), 'Display Local Education Agencies', and 'Display Schools', and a 'GO' button.

Figure 8-1: Education Unit Profile

To access state-level data, mark the **Display State Only** button at the bottom of the page, under the Select a Results List area.

**NOTE!** **Display State Only** is the default EU level.

Select a school year from the **Select a School Year** drop-down list (see fig. 8-2) and click **GO**.

This screenshot is similar to Figure 8-1 but shows the '2018-2019' year selected in the 'Select a School Year' dropdown. The 'Display State Only' radio button remains selected, and the 'GO' button is visible.

Figure 8-2: Education Unit Profile

After clicking **GO**, the next screen is the *State Education Unit Profile: Search Results* page (see fig. 8-3). Click the **State Agency Name** hyperlink to access state-level data by file submission.

**NOTE!** There is only one State Agency in ESS for each state.

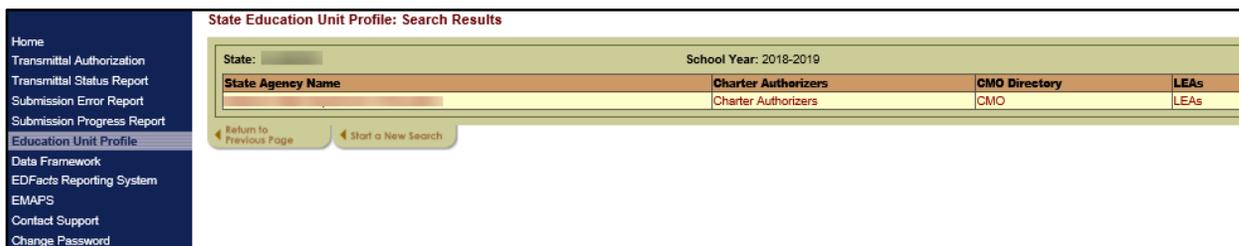


Figure 8-3: Education Unit Profile: Search Results

Use the navigation tabs at the bottom of the page to **Return to Previous Page** or to **Start a New Search**. Except for the main *Education Unit Profile* page, these navigation buttons appear at the bottom of all pages.

Click on the **State Agency Name** hyperlink to open the *State Education Unit Profile: File Submissions* page (see fig. 8-4). In alphabetical order by name, this page lists all the files collected by EDFacts for that school year. It also lists the file number, data collection period, and the date and time of the most recent submission for the selected school year. Click on a column heading to sort the list of files by that characteristic.

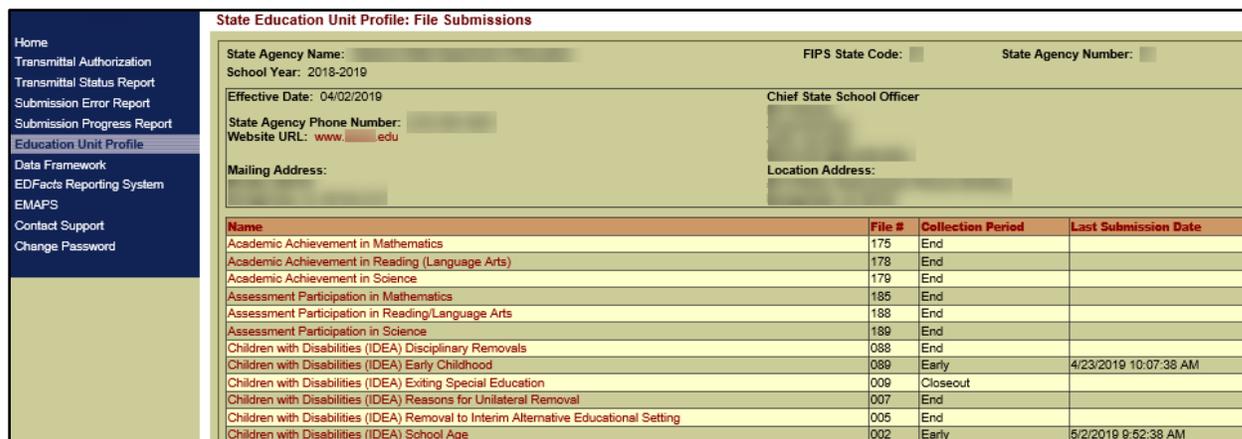


Figure 8-4: Education Unit Profile: File Submissions

The *State Education Unit Profile: File Submissions* page also displays information about the SEA including its name, physical address, and Chief State School Officer (CSSO) information. If no effective date was submitted through an SEA directory submission, the default effective date (10/01/2003) is displayed.

To view the data for a specific file, click the name of the file. If the last submission date is blank, no data were submitted, and therefore are not available for viewing.

Clicking on the file name opens the *State Education Unit Profile: Data Groups* page (see fig. 8-5). Information at the top of the page describes the selections made so far: SEA’s name, the school year selected, and the name and number of the file selected. This description is followed by a list of the data groups in the specification

for this file, the date and time the data were most recently modified, and the **See Data** hyperlink. Click the **See Data** hyperlink for the data group to be viewed.

Historical information can be found in the Directory file from the *State Education Unit Profile: Data Groups* page by clicking the **Directory** file name.

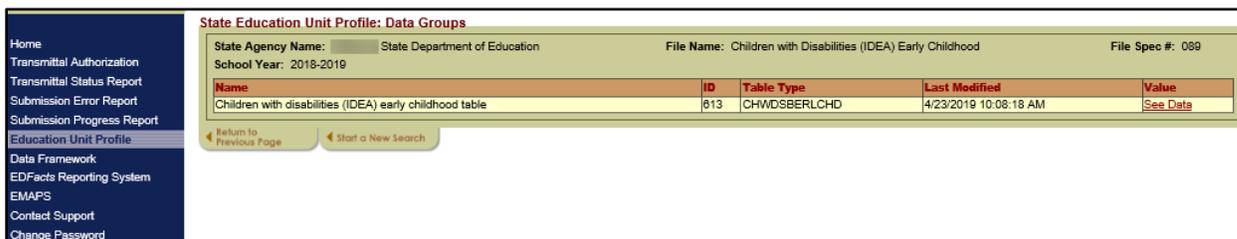


Figure 8-5: Education Unit Profile: Data Groups

Clicking the **See Data** hyperlink displays an alphabetical list of all the data category sets for the selected data group. Clicking on a column heading sorts the list of files by that characteristic.

The name of the selected data group is listed at the top of the display. In the screenshot below (see fig. 8-6), the EUP shows the category sets for data group 704, the *CTE concentrators in programs for non-traditional* table. To access data for a specific category set, click the **See Data** link to the right of the category set name.

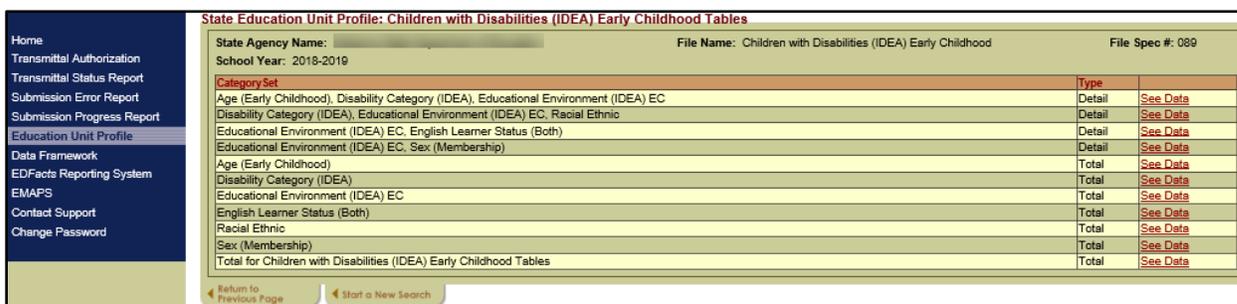


Figure 8-6: Education Unit Profile: Data Categories

After clicking the **See Data** hyperlink, data are displayed for each combination of permitted values in the selected category set. In the screenshot below (see fig. 8-7) the data for the Educational Unit Total is shown. These data can be sorted by clicking on the column headings.

State Education Unit Profile: Category Set Data

State:	School Year: 2018-2019	
State Agency Name:	State Agency Number: FIPS State Code:	
Sex	StudentCount	Percentage
Male	5581	69.45%
Female	2465	30.56%

Return to Previous Page Start a New Search

Figure 8-7: Education Unit Profile Data

### 8.3 Accessing Local Education Agency (LEA) Data

The steps for accessing LEA data are similar to those for accessing SEA data, with a few more options to specify an LEA. To access LEA level data, mark the **Display Local Education Agencies** button at the bottom of the main *Education Unit Profile* page, under the *Select a Results List* field.

Select a school year period from the **Select a School Year** drop-down list (see fig. 8-8), and then click **GO**.

Education Unit Profile

Select a School Year (required)

2018-2019

Select a Results List

Display State Only
  Display Local Education Agencies
  Display Schools

GO

Figure 8-8: Education Unit Profile

After clicking **GO**, the next screen is the *LEA Education Unit Profile: Search* page (see fig. 8-9), where additional search criteria can be entered to access specific LEAs or all LEAs within the state.

On the *LEA Education Unit Profile: Search* page, either click the **Display Local Education Agencies** hyperlink to display all LEAs in the state or use **Search** criteria to access specific LEAs. Search criteria include LEA Name, NCES Identifier, State Identifier, County Name, City, Zip, or Operational Status (see fig. 8-9). Click **GO** after entering the search criteria.

Figure 8-9: LEA Education Unit Profile Search Page

After clicking **GO**, the *Education Unit Profile: Search Results* page (see fig. 8-10) displays an alphabetical list of all LEAs that met the search criteria. This page displays the LEA Name, State LEA ID, NCES LEA ID, County, School Year Start Status, and a Schools hyperlink, which will display the schools associated with the LEA. The list of LEAs can be sorted by clicking on any of these column headings.

LEA Name	State LEA ID	NCES LEA ID	County	School Year Start Status	Schools
Birmingham City	114	0100390		Open	Schools

Figure 8-10: LEA Education Unit Profile Search Results

To view the data for an LEA, click on the LEA name.

The list of all schools for an LEA can be accessed from the *LEA Education Unit Profile: Search Results* page by clicking the **Schools** hyperlink to the right of the LEA name. However, it is recommended to access school data using the **Display Schools** portal on the main *Education Unit Profile* page.

After an LEA is selected by clicking on its name, the *LEA Education Unit Profile: File Submissions* page will open (see fig. 8-11). This page lists all the files collected by EDFacts, in alphabetical order. It also lists the File #, Collection Period, and the Last Submission Date and time of the most recent submission for the selected school year. Click a column heading to sort the list of files by that characteristic.

Name	File #	Collection Period	Last Submission Date
Academic Achievement in Mathematics	175	End	
Academic Achievement in Reading (Language Arts)	178	End	
Academic Achievement in Science	179	End	
Assessment Participation in Mathematics	185	End	
Assessment Participation in Reading/Language Arts	188	End	
Assessment Participation in Science	189	End	
Children with Disabilities (IDEA) Disciplinary Removals	088	End	
Children with Disabilities (IDEA) Early Childhood	089	Early	4/23/2019 10:09:30 AM

Figure 8-11: LEA Education Unit Profile File Submissions

The *LEA Education Unit Profile: File Submissions* page also displays information about the specific LEA selected (e.g., name and address, telephone number, Operational Status). If the effective date was not submitted through an LEA directory submission, a default effective date is displayed.

To view the data, click the name of the file. If the last submission date is blank, no data were submitted, and therefore are not available for viewing.

Clicking on the file name opens the *LEA Education Unit Profile: Data Groups* page (see fig. 8-12). Information at the top of the page describes the selections made so far: LEA’s name, the reference period selected, and the name and number of the file selected. This description is followed by a list of the data groups in the specification for this file, the date and time the data were most recently modified, and the **See Data** hyperlink. Click the **See Data** hyperlink for the data group to be viewed.

The historical information can be found in the Directory file, from the *LEA Education Unit Profile: Data Groups* page by clicking the **Directory** file name.

LEA Name	State LEA ID	NCES LEA ID	County	School Year Start Status	Schools
Birmingham City	114			Open	Schools

Figure 8-12: LEA Education Unit Profile Data Groups

Clicking the **See Data** hyperlink opens an alphabetical list of all the data category sets for the selected data group. Click a column heading to sort the list of files by that characteristic.

The name of the selected data group is listed at the top of the display. In the screenshot below (see fig. 8-13), the category set for data group 519, the *Immigrant* table is displayed. To access data for a specific category set, click the **See Data** link to the right of the category set name.



Figure 8-13: LEA Education Unit Profile Data Categories

After clicking the **See Data** hyperlink, data are displayed for each combination of permitted values in the selected category set. In the screenshot below (see fig.8-14), the data for category set *Language (Native)* is displayed. Data can be sorted by clicking on the column headings.

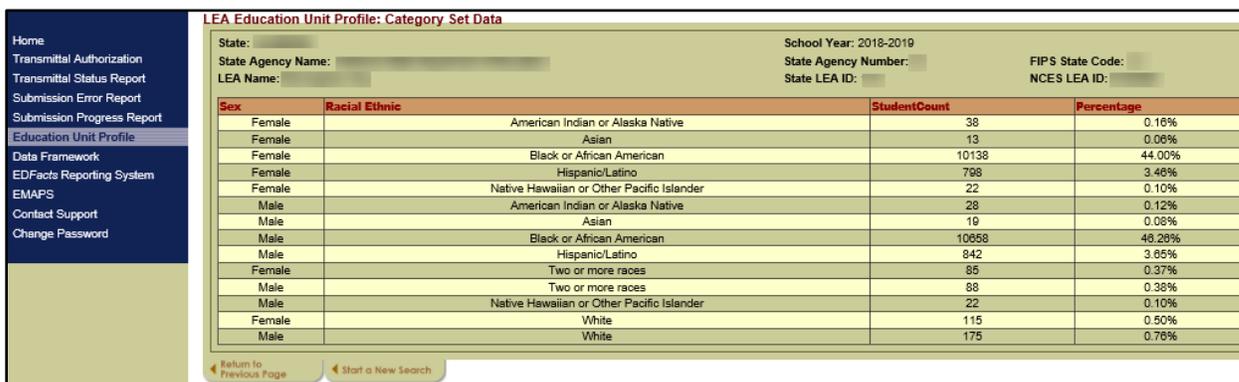


Figure 8-14: LEA Education Unit Profile Data

### 8.4 Accessing School Level Data

The steps for accessing school level data are similar to those for accessing LEA data and with similar options. To access school level data, mark the **Display Schools** button at the bottom of the main *Education Unit Profile* page, under the *Select a Results List* area.

Select a school year from the **Select a School Year** drop-down list (see fig. 8-15) and then click **GO**.

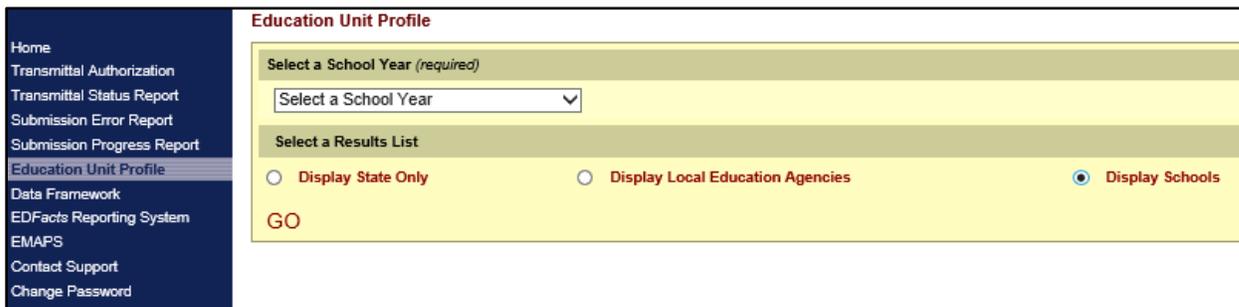


Figure 8-15: Education Unit Profile

Click **GO** to open the *School Education Unit Profile: Search* page (see fig. 8-16), where additional search criteria can be entered to access a specific school, or view data for all schools in the state.

On the *School Education Unit Profile: Search* page (see fig. 8-16), either click the **Display Schools** hyperlink to display all schools in the state or use search criteria to access a specific school. As shown in the screenshot below (see fig. 8-16), search criteria include School Name, NCES Identifier, SEA Identifier, or Operational Status. Click **GO** after entering the search criteria.

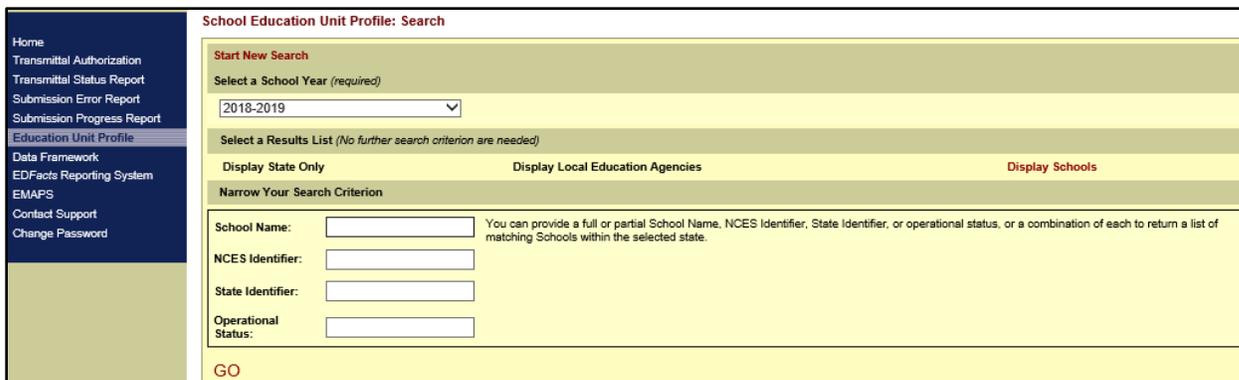


Figure 8-16: School Education Unit Profile Search Page

After clicking **GO**, the *School Education Unit Profile: Search Results* page (see fig. 8-17) lists all the schools returned in the search. It also lists the file NCES School ID, State School ID, LEA Name, NCES LEA ID, State LEA ID, School Year Start Status, and Charter Status. Click a column heading to sort the list of files by that characteristic.

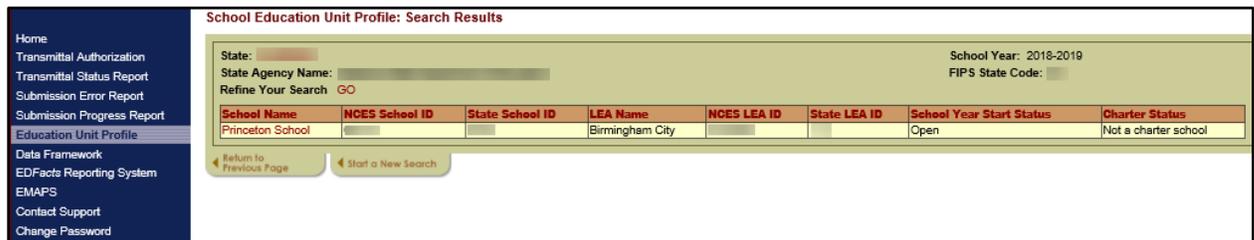


Figure 8-17: School Education Unit Profile Search Results

To view the data for a school, click on the school name.

After a school is selected by clicking on its name, the *School Education Unit Profile: File Submissions* page will open (see fig. 8-18). This page lists all the files collected by EDFacts, in alphabetical order. It also lists the File #, Collection Period, and the Last Submission Date and time of the most recent submission for the selected school year. Click a column heading to sort the list of files by that characteristic.

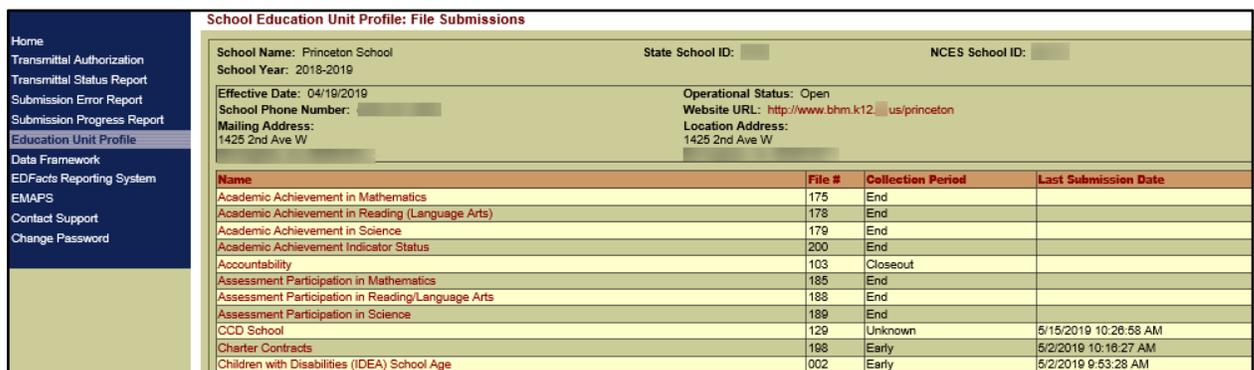


Figure 8-18: School Education Unit Profile File Submissions

The *School Education Unit Profile: File Submissions* page also displays information about the specific school selected (e.g., name, address, telephone number, Operational Status). If the effective date was not submitted through a school directory submission, a default effective date is displayed.

To view the data, click the name of the file. If the *Last Submission Date* is blank, no data were submitted and therefore are not available for viewing.

Clicking on the file name opens the *Education Unit Profile: Data Groups* page (see fig. 8-19). Information at the top of the page describes the selections made so far: the school’s name, the reference period selected, and the name and number of the file selected. This description is followed by a list of the data groups in the specification for this file, by Name, File Spec #, Collection Period, and the Last

Submission Date and time the data were most recently modified. Click the **See Data** hyperlink for the data group to be viewed.

The historical information can be found in the Directory file from the *Education Unit Profile: Data Groups* page by clicking the **Directory** file name.

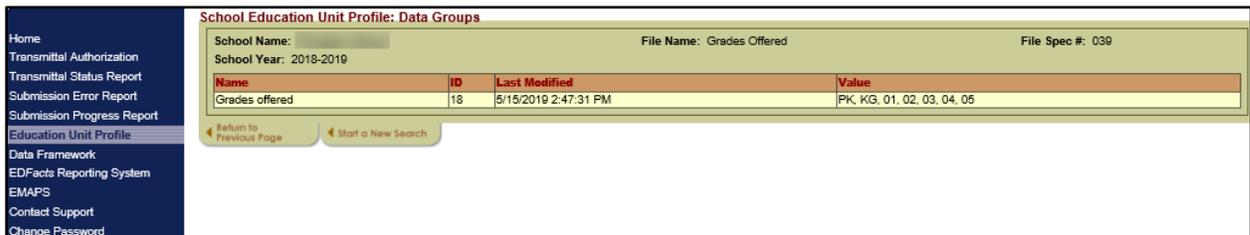


Figure 8-19: School Education Unit Profile Data Groups

Clicking the **See Data** hyperlink opens an alphabetical list of all the data category sets for the selected data group. Click a column heading to sort the list of files by that characteristic.

The name of the selected data group is listed at the top of the display. In the screenshot below (see fig. 8-20), the category sets for data group 814, the *Chronic Absenteeism* table, are displayed. To access data for a specific category set, click the **See Data** link to the right of the category set name.

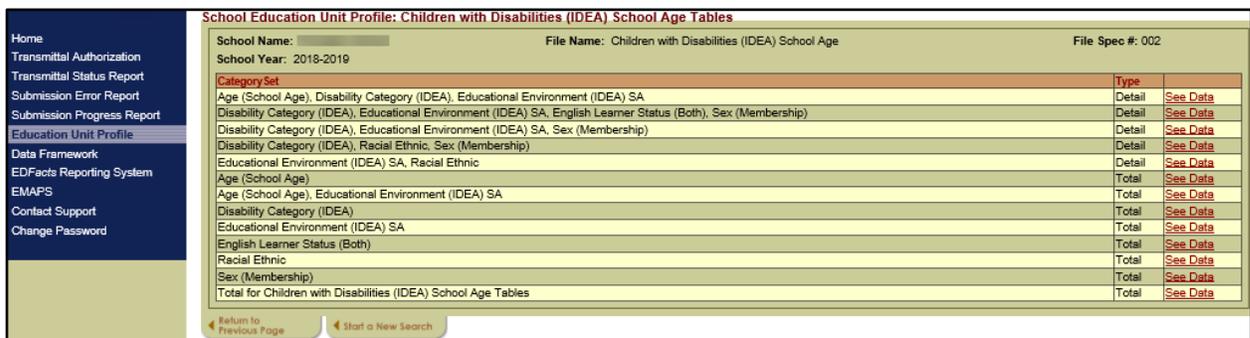


Figure 8-20: School Education Unit Profile Data Categories

After clicking the **See Data** hyperlink, data are displayed for each combination of permitted values in the selected category set. In the screenshot below (see fig. 8-21), the data for the *Grade Level* category set are displayed. Data can be sorted by clicking on the column headings.

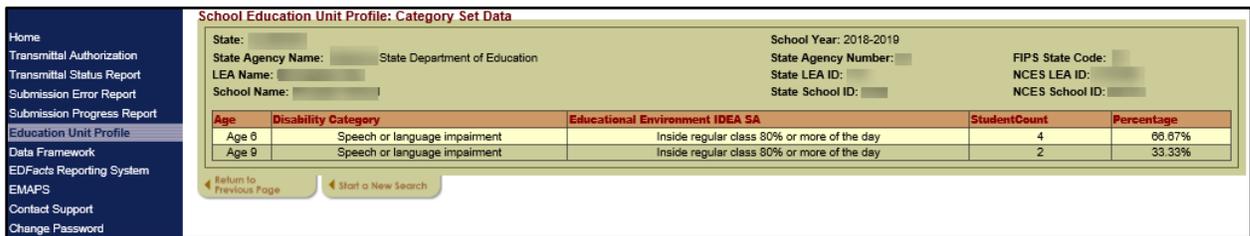


Figure 8-21: School Education Unit Profile Data

### 8.5 Accessing Historical Directory Information

The historical directory information can be accessed to see previous information on selected directory data groups. For example, this feature will display any changes to the Chief State School Officer, address of the SEA, operational status, or NCES IDs, at the LEA or school level. To see directory information, click on the applicable **Directory** hyperlink for the educational unit level desired.

- *State Education Unit Profile: File Submissions* (see fig. 8-22);
- *LEA Education Unit Profile: File Submissions*; or
- *School Education Unit Profile: File Submissions*.

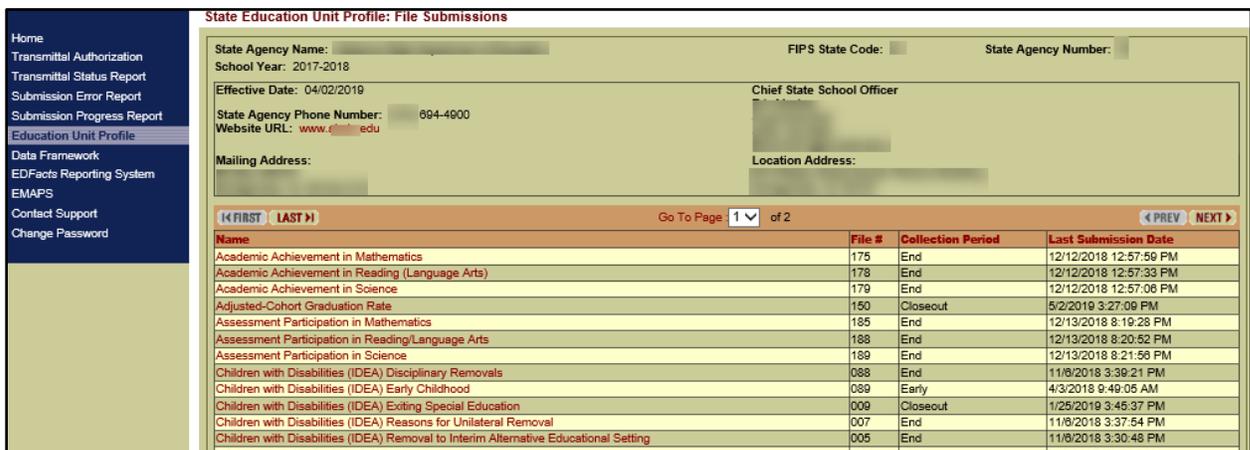


Figure 8-22: State Education Unit Profile: File Submission

Click the **Directory** hyperlink to open an alphabetical list of all the data groups within the Directory. Click on a column heading to sort the list of data groups by that characteristic.

In the screenshot below (see fig. 8-23), the data groups in the Directory at the SEA level are displayed. To access the history of any of the data groups, click the **History** link to the right of the data group name.

Name	ID	Last Modified	Value	History
Address location	9	4/2/2019 11:58:11 AM	[Redacted]	<a href="#">History</a>
Address mailing	8	1/26/2018 3:02:10 PM	[Redacted]	<a href="#">History</a>
Chief state school officer contact information	458	4/2/2019 11:58:12 AM	[Redacted]	<a href="#">History</a>
Education entity name	7	4/2/2019 11:58:11 AM	State Department of Education	<a href="#">History</a>
State agency number	570	10/5/2008 2:15:02 PM	[Redacted]	<a href="#">History</a>
State code	559	4/2/2019 11:58:12 AM	[Redacted]	
Telephone - education entity	10	4/2/2019 11:58:11 AM	[Redacted]	<a href="#">History</a>
Web site address	11	4/2/2019 11:58:11 AM	www.edu	<a href="#">History</a>

Figure 8-23: State Education Unit Profile: Directory Data Group

After clicking the **History** link, the data are displayed. For example, data for the Chief State School Officer Contact Information for the state of Euphoria is listed (see fig. 8-24).

Value	Effective Date	File Transmission	Submitted
[Redacted]	4/2/2019 11:58:12 AM	SEADIRECTORYv1.csv	4/2/2019 11:58:12 AM
[Redacted]	1/26/2018 3:02:10 PM	C029_SEA.csv	1/26/2018 3:02:10 PM
[Redacted]	1/23/2012 3:07:50 PM	SEADIRECTORYVMSTR12.xml	1/23/2012 3:07:50 PM
[Redacted]	10/1/2003	SEADIRECTORYv100508.xml	10/5/2008 2:15:02 PM

Figure 8-24: State Education Unit Profile: Chief State School Officer Contact Information

## 8.6 Accessing Charter Authorizer Data

With the addition of file 190 (Charter Authorizer Directory), the Charter Authorizer data can be viewed from the Education Unit Profile. However, the Charter Authorizer data is not available from the *State Education Unit Profile: File Submissions* screen, as with all other files.

To access Charter Authorizer Directory data for the state, mark **Display State Only** at the bottom of the main *Education Unit Profile* page, Select **School Year** from the drop-down list, and click **GO** (see fig. 8-25).

Figure 8-25: Education Unit Profile Main

On the *State Education Unit Profile: Search Results* screen, click **Charter Authorizers** (see fig. 8-26)

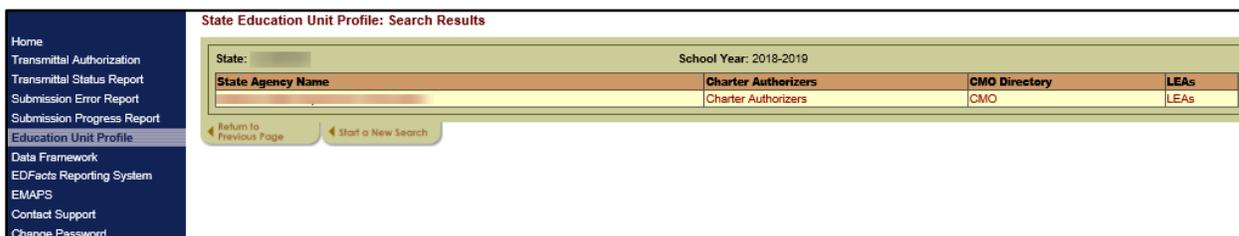


Figure 8-26: State Education Unit Profile: Charter Authorizers link

The *Charter Authorizer Education Unit Profile: Search Results* screen (see fig. 8-27) displays columns containing Charter Authorizer Name and State Charter Authorizer ID. Click on either column heading to sort the data.

To access an individual Charter Authorizer’s data, click on the name of the Authorizer.

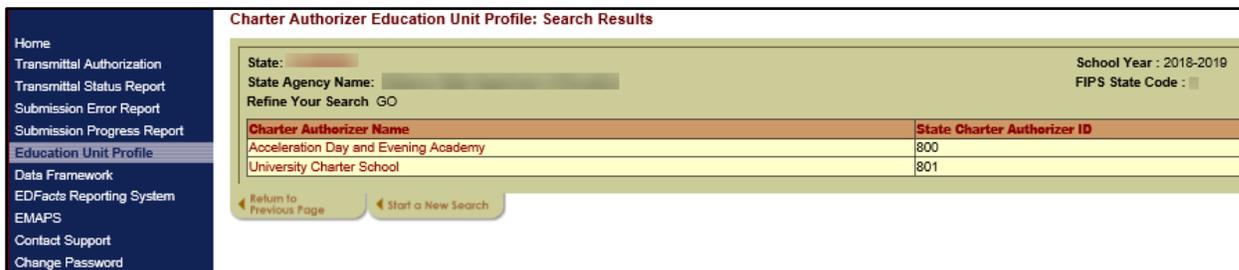


Figure 8-27: Charter Authorizer Education Unit Profile: Search Results

Data for the Authorizer chosen will display (see fig. 8-28). Each data group will be displayed.

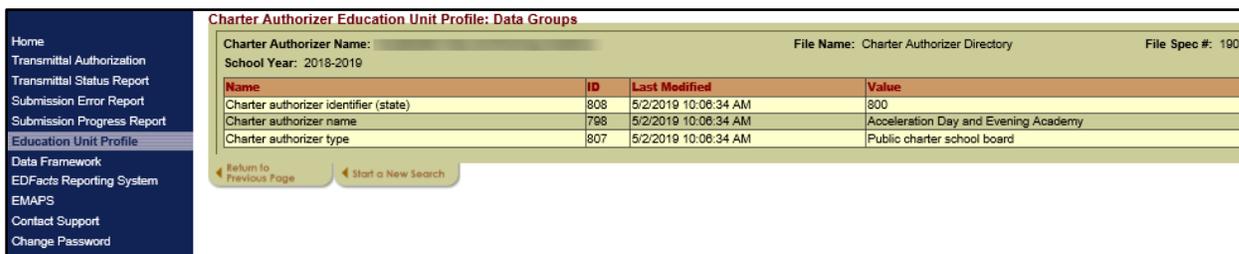


Figure 8-28: Charter Authorizer Education Unit Profile: Data Groups

Click **Return to Previous Page** to return to the list of the state’s Charter Authorizers (see fig. 8-29).

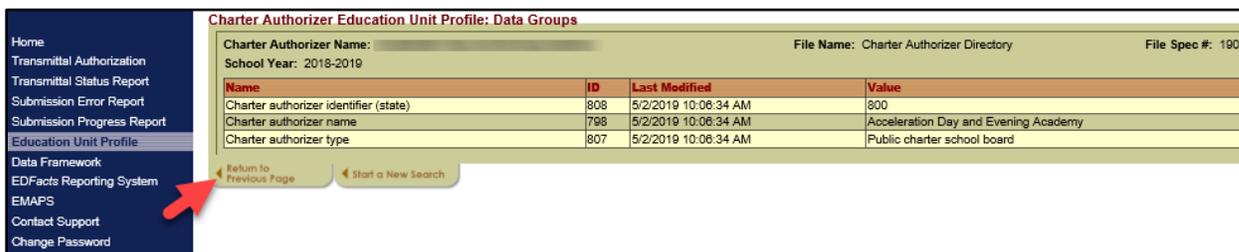


Figure 8-29: Charter Authorizer Education Unit Profile: Data Groups: Return to Previous Page

### 8.7 Accessing Charter Management Organization Data

With the addition of file 196 (Management Organizations Directory), the Charter Management Organization data can be viewed from the Education Unit Profile. However, the Charter Management Organization data is not available from the *State Education Unit Profile: File Submissions* screen, as with all other files.

To access Charter Management Organization Directory data for the state, mark **Display State Only** at the bottom of the main *Education Unit Profile* page, Select **School Year** from the drop-down list, and click **GO** (see fig. 8-30).

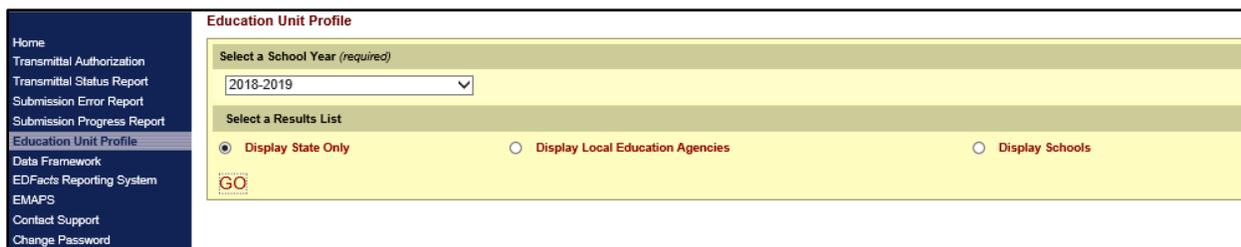


Figure 8-30: Education Unit Profile Main

On the State Education Unit Profile: Search Results screen, click **CMO** (see fig. 8-31)

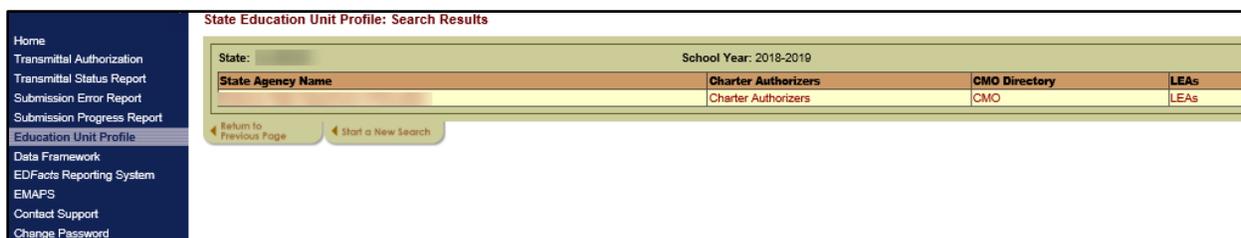


Figure 8-31: State Education Unit Profile: Charter Management Organization link

The *Charter Management Organization Directory: Search Results* screen (see fig. 8-32) displays columns containing *Charter Org Name* and *State ManagementOrg EMP ID Num*. Click on either column heading to sort the data.

To access an individual Charter Management Organization’s data, click on the name of the organization.

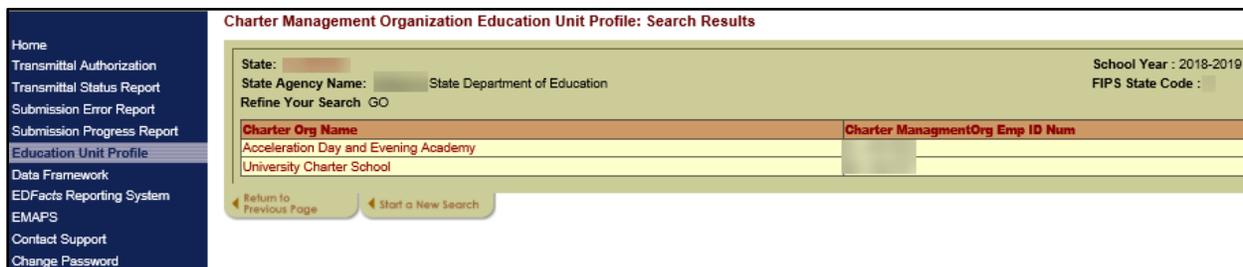


Figure 8-32: Charter Management Organization Education Unit Profile: Search Results

Data for the management organization chosen will display (see fig. 8-33). Each data group will be displayed.

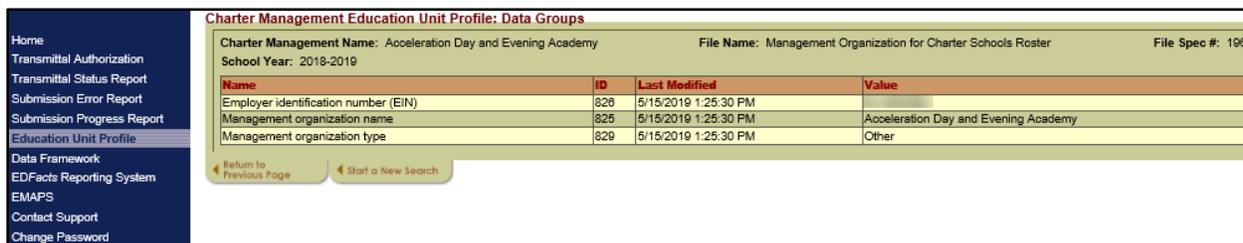


Figure 8-33: Charter Management Education Unit Profile: Data Groups

Click **Return to Previous Page** to return to the list of the state’s Charter Authorizers (see fig. 8-33).

## 9 DATA FRAMEWORK

### 9.1 Purpose of Data Framework

The Data Framework sub-application provides the ability to view the underlying data requirements, data groups and definitions, category sets associated with table data groups, and permitted code values associated with categories or applicable data groups. This area also provides a direct link to online file specification documentation associated with the ESS.

### 9.2 Accessing the Data Framework

To invoke the Data Framework sub-application, click on the **Data Framework** left menu option. This will display the *Data Framework* page (see fig. 9-1). On the *Data Framework* page, select **Data Groups**, **Categories**, **Permitted Codes**, or **File Specification**.

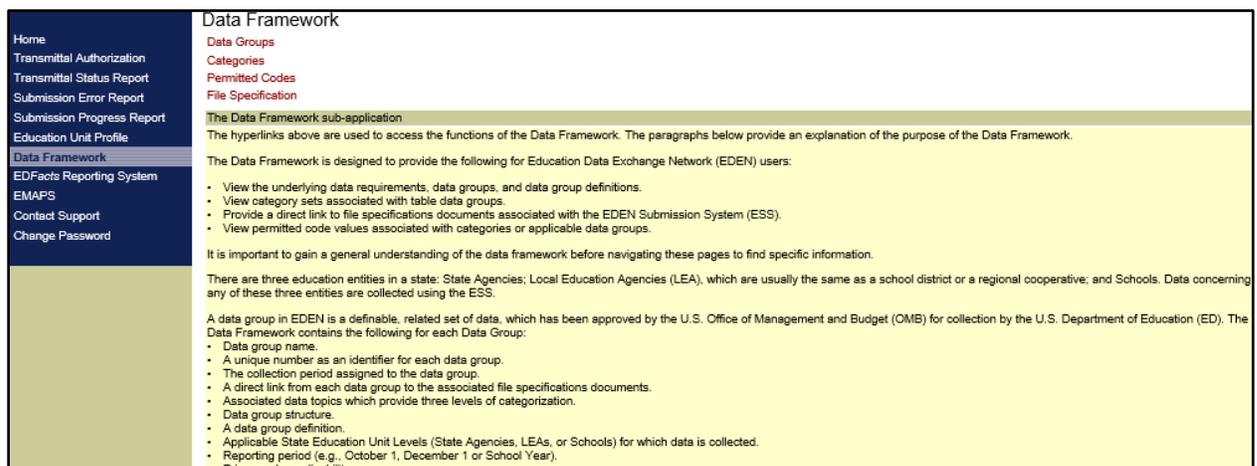


Figure 9-1: Data Framework

### 9.3 Using the Data Framework Sub-application

This section provides instructional information pertaining to the Data Framework sub-application, and specifically highlights the interrelationships between all areas within the data framework. The terms used in this section are the terms that are currently used in the application. The display will show the associations list used to view the following information.

#### 9.3.1 Data Groups

A data group in *EDFacts* is a definable, related set of data, which has been approved by the U.S. Office of Management and Budget (OMB), for collection by the U.S. Department of Education. Access the *Data Groups List* page by clicking on the

**Data Groups** hyperlink (see fig. 9-2). The upper section of the page contains fields used to filter the data groups into more manageable sections. The following is a list of search options:

**School Year:** A school year must be selected.

**Show Only:** Allows searching by all school years or a specific School Year, AND all file types or a specific file type.

**Search:** Allows a free-form field search. There are two specific search options:

- **Search by Name:** Allows a search of the data group name for specific text strings.
- **Search by ID:** Allows a search for the unique identifier for each data element.

**NOTE!** Partial searches are accepted. For example, entering “St” will return a list of all Data Groups with “st” in the name.

After refining each of the first two required search criteria in the list above (*School Year* and *Show Only*), enter more specific selection criteria (*Search By Name* or *Search By ID*) to further narrow down the results. Click on **GO** to view results once all search criteria are defined. To change the selection criteria, click **Reset** to return the search panel to all default values.

Data Group ID	Data Group Name	Data Group Structure	Collection Period	File Spec Number	File Specification
583	Academic achievement in mathematics table	Table Type	End	175	Academic Achievement in Mathematics
584	Academic achievement in reading/language arts table	Table Type	End	178	Academic Achievement in Reading (Language Arts)
585	Academic achievement in science table	Table Type	End	179	Academic Achievement in Science
835	Academic achievement indicator status table	Table Type w Permitted Codes	End	200	Academic Achievement Indicator Status
9	Address location	Multiple Components	Early	029	Directory
8	Address mailing	Multiple Components	Early	029	Directory
588	Assessment participation in mathematics table	Table Type	End	185	Assessment Participation in Mathematics
589	Assessment participation in reading/language arts table	Table Type	End	188	Assessment Participation in Reading/Language Arts
590	Assessment participation in science table	Table Type	End	189	Assessment Participation in Science
805	Charter authorizer address location	Multiple Components	Early	190	Charter Authorizer Directory
806	Charter authorizer address mailing	Multiple Components	Early	190	Charter Authorizer Directory
808	Charter authorizer identifier (state)	Single Component	Early	190	Charter Authorizer Directory
798	Charter authorizer name	Single Component	Early	190	Charter Authorizer Directory
807	Charter authorizer type	Permitted Codes	Early	190	Charter Authorizer Directory
831	Charter contract approval date	Single Component	Early	198	Charter Contracts

**Figure 9-2: Data Group Search**

Once **GO** is clicked, a list of data groups that fit the selection criteria will be displayed. From this screen (see fig. 9-2), the Data Group ID, Data Group Name, Data Group Structure, Collection Period (e.g., End, Early, Closeout), and File Specification Number and name will be displayed. To view the file specification documentation associated with each data element, click on the File Specification name hyperlink.

To view more information for a Data Group, click the **Data Group Name** hyperlink (see fig. 9-3). Each Data Group contains the following information:

**Data Group:** Data group name.

**ID:** A unique number as an identifier for each data group.

**School Year:** The school year of the collection that contains this data group.

**Collection Period:** The collection period assigned to the data group (i.e., Beginning, End).

**Data Group Structure:** Links to Permitted Codes, Table Types, Data Elements with Codes, Data Element Components, and Program Presence.

**Definition:** The data group definition.

**Education Unit Level(s):** The education unit levels (SEAs, LEAs, and/or schools) for which data is collected for the data element.

**Reporting Period:** The period for which the data group is reported. Data elements are usually reported for the entire school year (cumulative), or as a snapshot on or close to a specific date (i.e., November 1, December 1).

**Privacy Rule:** An indicator of whether the Privacy Rule applies.

**Civil Rights Data Collection:** An indicator of whether the data group applies to the Office for Civil Rights (OCR) data collection (CRDC).

**OCR Comment:** If applicable, comments pertaining to the Civil Rights Data Collection.

**State Defined:** An indicator of whether the state defines any aspect of the data group.

**Comment:** General comments applicable to the data group.

**File Specification:** The number and name of the file specification, which links to that data group.

**Principal Office Steward:** Clicking on the **Principal Office Steward** hyperlink will show the Principal Office Steward responsible for the data group.

<a href="#">Home</a> <a href="#">Transmittal Authorization</a> <a href="#">Transmittal Status Report</a> <a href="#">Submission Error Report</a> <a href="#">Submission Progress Report</a> <a href="#">Education Unit Profile</a> <a href="#">Data Framework</a> <a href="#">EDFacts Reporting System</a> <a href="#">EMAPS</a> <a href="#">Contact Support</a> <a href="#">Change Password</a>	<h3>Data Group Detail</h3> <p><a href="#">Data Framework</a> &gt; <a href="#">Data Group List</a> &gt; <a href="#">Data Group Detail</a></p> <p><b>Data Group:</b> Charter LEA status</p> <p><b>ID:</b> 853</p> <p><b>School Year:</b> 2018-2019</p> <p><b>Collection Period:</b> Early</p> <p><b>Data Group Structure:</b> Permitted Codes</p> <table border="0"> <tr> <td>Table Type</td> <td>Table Type with Codes</td> <td><b>Data Element with Codes</b></td> <td>Data Element Components</td> <td>Program Presence</td> </tr> </table> <p><b>Definition:</b> The status of a charter district as an LEA for purposes of federal programs.</p> <p><b>Education Unit Level(s):</b> <input type="checkbox"/> SEA <input checked="" type="checkbox"/> LEA <input type="checkbox"/> School</p> <p><b>Reporting Period:</b> October 1</p> <p><b>Privacy Rule:</b> No</p> <p><b>Civil Rights Data Collection:</b></p> <p><b>OCR Comment:</b></p> <p><b>State Defined:</b> No</p> <p><b>Comment:</b></p> <p><b>File Specification:</b> <b>N029 - Directory</b></p>	Table Type	Table Type with Codes	<b>Data Element with Codes</b>	Data Element Components	Program Presence
Table Type	Table Type with Codes	<b>Data Element with Codes</b>	Data Element Components	Program Presence		

Figure 9-3: ESS Data Element Information

## 9.3.2 Categories

Return to the main *Data Framework* page and click on the **Categories** hyperlink, to display the *Categories* page (see fig. 9-4). The upper section of the page contains fields used to filter the data groups into more manageable sections.

A Category characterizes a count, dollar value, rate, or program presence for the table entries of a table or list for each EDFacts data group. A Category is a grouping that an SEA uses to aggregate data before the SEA sends the data to ED.

Below are some of the specific categories that are used for student data:

- Grade Level
- Age
- Migrant Status
- Homeless Status
- English Proficiency Level

Below are some of the specific categories that are used for staff and teacher data:

- Qualification Status (Teacher)
- Staff Category
- Certification Status

Categories have been defined for other groupings such as programs, assessments, and incidents of discipline.

A Category Set is a group or combination of Categories that are used together. For example, the *Student Membership* table data group has a category set consisting of the following three (3) categories: Grade Level (membership), Racial Ethnic, and Sex (Membership). EDFacts does not accept separate transactions to report such a set of data for a specific education unit, but rather, receives the entire data group

from the SEA. In other words, all the data for a complete *Student Membership* table for one or more education units is transmitted in a single submission.

The following is a list of search options:

- **School Year:** A school year must be selected.
- **Find Results:** Allows a free-form field search.

**NOTE!** Partial searches are accepted. For example, entering “St” will return a list of all Categories with “st” in the name.

After entering the selected search criteria, click on **GO** to view the results.

The screenshot shows the 'Categories' page in the ESS system. On the left is a navigation menu with options like 'Home', 'Transmittal Authorization', 'Data Framework', and 'EDFacts Reporting System'. The main content area has a search form with 'School Year' set to '2018-2019' and a 'Find Results' field. Below the form, there are navigation buttons for 'Go' and 'Reset', and a 'Select a Category below to view associated Permitted Codes and Category Sets.' instruction. A table of categories is displayed with columns for Category, Purpose, Abbreviation, and Definition. The table lists various categories such as 'Academic Subject', 'Age', 'Age Group', 'Age/Grade', 'Assessed First Time', 'Assessment Administered', 'Basis of Exit', 'Certification Status', and 'Diploma/Credential (Expanded)'. Each row provides a brief description of the category's purpose and abbreviation.

Category	Purpose	Abbreviation	Definition
Academic Subject	Assessment	ACADSUBASSES	The description of the content or subject area of an assessment.
Academic Subject	Assessment - no science	ACADSUBASSESNOSCI	The description of the content or subject area of an assessment.
Age	PK	AGEPK	The age ranges of children birth through 5 (not Kindergarten).
Age	School Age	AGESA	The discrete age of children (students) who are school age on the state specified child count date.
Age	Early Childhood	AGEEC	The discrete age of children (students) who are in early childhood on the state specified child count date.
Age	Exiting	AGEEXITSPED	The discrete age of children (students) on the date of the most recent IDEA child count prior to exiting special education.
Age Group		AGEGROUP	The age range of children (students).
Age/Grade	w/o 13 and BT2	AGEGRDWO13BT3	The discrete age or grade level (primary instructional level) of students (children).
Age/Grade	Basic	AGE3TOGRADE13	The age group or grade level (primary instructional level) of children (students).
Age/Grade	w/o 13	AGEGRDWO13	The discrete age or grade level (primary instructional level) of students (children).
Assessed First Time		FIRSTASSESS	An indication that students are taking the English language proficiency assessment for the first time.
Assessment Administered		ASMTADMINSTRD	The types of assessments administered.
Basis of Exit		BASISEXIT	The reason children who were in special education at the start of the reporting period, but were not in special education at the end of the reporting period.
Certification Status		CERTSTATUS	An indication number of whether an educator holds the certification or licensure required by their assignment.
Diploma/Credential (Expanded)		DIPLCREDTYPEX	The type of high school credential received by high school completers.

Figure 9-4: ESS Categories

Once **GO** is clicked, a list of categories that fit the selection criteria will be displayed. From this screen (see fig. 9-4), the Category, Purpose, Abbreviation, and Definition will be displayed.

To view more information for a Category, click the name of a **Category** hyperlink from the *Data Framework* page (see fig. 9-4), which will open the *Category Detail* page (see fig. 9-5). This page will contain details for the Permitted Code Value, Code Abbreviation, Definition, Data Group, Table Type, and Category Set.

[Home](#)  
[Transmittal Authorization](#)  
[Transmittal Status Report](#)  
[Submission Error Report](#)  
[Submission Progress Report](#)  
[Education Unit Profile](#)  
[Data Framework](#)  
[EDFacts Reporting System](#)  
[EMAPS](#)  
[Contact Support](#)  
[Change Password](#)

**Category Detail**

[Data Framework](#) > [Categories](#) > [Category Detail](#)

**Category:** Disability Status

**Purpose:** IDEA or ADA

**Abbreviation:** DISABSTATADA

**Comments:** For each DG, the state decides to report all data by either IDEA or ADA

**Definition:** An indication that students have disability status under either the Individuals with Disabilities Education Act (IDEA) or the Americans with Disabilities Act (ADA).

**School Year:** 2018-2019

**Permitted Codes Associated:**

Permitted Code Value	Code Abbreviation	Definition
ADA status	DISADA	Student with disability status according to American Disability Act (ADA).
Children with one or more disabilities (IDEA)	WDIS	Children with one or more disabilities (IDEA)
Missing	MISSING	Missing

**Category Sets Associated:**

Data Group	Table Type	Category Set
<a href="#">CTE concentrators academic achievement table</a>	Concentrators Academic Attainment Table	Academic Subject (Assessment - no science), Disability Status (IDEA or ADA), Proficiency Status
<a href="#">CTE concentrators exiting table</a>	Vocational Concentrators Tables	Disability Status (IDEA or ADA)
<a href="#">CTE concentrators graduates table</a>	Vocational Concentrator Graduates Tables	Diploma/Credential (Expanded), Disability Status (IDEA or ADA)
<a href="#">CTE concentrators in graduate rate table</a>	CTE Concentrators in Graduation Rate Table	Disability Status (IDEA or ADA), Inclusion Type
<a href="#">CTE concentrators in programs for non-traditional table</a>	CTE Concentrators in Programs for Non-Traditional Table	Disability Status (IDEA or ADA), Representation Status
<a href="#">CTE concentrators placement table</a>	CTE Concentrators Placement Table	Disability Status (IDEA or ADA), Placement Status
<a href="#">CTE concentrators technical skills table</a>	CTE Concentrators Technical Skills Table	Disability Status (IDEA or ADA), Test Result
<a href="#">CTE participants in programs for non-traditional table</a>	CTE Participants in Programs for Non-Traditional Table	Disability Status (IDEA or ADA), Representation Status

Figure 9-5: ESS Category Details

At the bottom portion of the screen, hyperlinks are provided under “Category Sets Associated” to other data groups that use this category.

### 9.3.3 Permitted Codes and Associations

Return to the main *Data Framework* page and click on the **Permitted Codes** hyperlink to display the *Permitted Codes and Associations List* page (see fig. 9-6). The upper section of the page contains fields used to filter the data groups into more manageable sections. The following is a list of search options.

Permitted Code, also referred to as Permitted Code Value, is a possible value that can be reported for certain data associated with a data element. Some categories will contain a count for each Permitted Value, while others may have Permitted Codes Values. Example: Proficiency Status has Permitted Code Values of Proficient and Not Proficient.

- **School Year:** A school year must be selected.
- **Find Results:** Allows a free-form field search.

**NOTE!** Partial searches are accepted. For example, entering “St” will return a list of all Permitted Codes with “st” in the name.

After entering the selected search criteria, click on **GO** to view the results.

Permitted Code Value	Code Abbreviation	Definition
1 day or less	LTOREQ1	1 day or less
2 through 10 days	2TO10	2 through 10 days
3 through 5	3TO5	3 through 5
8 through 21	8TO21	8 through 21
Abkhazian	abk	Abkhazian
Achinese	ace	Achinese
Acoli	ach	Acoli
ADA status	DISADA	Student with disability status according to American Disability Act (ADA).
Adangme	ada	Adangme
Added	4	Added
Added	4	In previous SY was not reported even though it was in operation. In current SY is in operation.
Additional targeted support and improvement school	TSIOTHER	Additional targeted support and improvement school
Additional targeted support school not exiting such status	CSIOTHER	Additional targeted support school not exiting such status
Adult Education	AE	Adult Education
Advanced training	ADVTRAIN	Advanced training

Figure 9-6: Permitted Code Value

To view more information for a Permitted Code, click the name of a **Permitted Code Value** hyperlink (see fig. 9-7). Scroll to the bottom of the screen to see the information associated with the Permitted Code. This information includes:

- Non-Table Type Data Groups Associated
- Categories Associated
- Program Presence Type Data Elements Associated

This information will vary according to Permitted Code.

Permitted Code Selected: Age 16

Non-Table Type Data Groups Associated:  
None

Categories Associated:  
Age (Exiting)  
Age (School Age)

Program Presence Type Data Elements Associated:  
None

Figure 9-7: Permitted Code Value Associations

### 9.3.4 File Specifications

This section provides online access to the most up-to-date file specifications for the school year selected. To access this section of ESS, return to the main *Data Framework* page and click on the **File Specification** hyperlink to display the list of file specifications (see fig. 9-8).

File Specification Name	File ID	Last Updated Date	File Specification Type	School Year
Academic Achievement in Mathematics	N175	12/08/2018	Non-XML	2018-2019
Academic Achievement in Reading (Language Arts)	N178	12/08/2018	Non-XML	2018-2019
Academic Achievement in Science	N179	12/08/2018	Non-XML	2018-2019
Academic Achievement Indicator Status	N200	03/07/2019	Non-XML	2018-2019
Accountability	N103	04/19/2019	Non-XML	2018-2019
Assessment Participation in Mathematics	N185	12/13/2018	Non-XML	2018-2019
Assessment Participation in Reading/Language Arts	N188	12/13/2018	Non-XML	2018-2019
Assessment Participation in Science	N189	12/21/2018	Non-XML	2018-2019
CCD School	N129	12/19/2018	Non-XML	2018-2019
Charter Authorizer Directory	N160	10/04/2018	Non-XML	2018-2019
Charter Contracts	N168	10/10/2018	Non-XML	2018-2019
Children with Disabilities (IDEA) Disciplinary Removals	N088	03/05/2019	Non-XML	2018-2019
Children with Disabilities (IDEA) Early Childhood	N089	12/04/2018	Non-XML	2018-2019
Children with Disabilities (IDEA) Exiting Special Education	N009	04/08/2019	Non-XML	2018-2019
Children with Disabilities (IDEA) Reasons for Unilateral Removal	N007	04/08/2019	Non-XML	2018-2019
Children with Disabilities (IDEA) Removal to Interim Alternative Educational Setting	N005	04/08/2019	Non-XML	2018-2019

Figure 9-8: ESS File Specifications

Clicking on the name of a file specification will display the *File Specification Document Links page* (see fig. 9-9), which contains a link to the most current version of the file specification for the selected year. To view the file specification, click on the **Current Version** button at the bottom of the page. This will open the *EDFacts Initiative page* in a pop-up window, with the list of file specifications documents for the selected year.

File Specification Document Links	
Data Framework > File Specification List > File Specification Document Links	
Name:	Accountability
ID:	N103
File Specification:	Non-XML
School Year:	2018-2019
<span style="background-color: #90EE90; padding: 2px 10px; border: 1px solid black;">Current Version</span>	

Figure 9-9: File Specification Document Links

## 10 CHANGING PASSWORDS

### 10.1 How to Change Passwords

For security reasons, state users are encouraged to change their password as soon as possible after they receive their initial password assignment from PSC.

To Change a password:

1. Click on **Change Password** on the navigation bar. This will display the *Change Password* screen (see fig. 10-1).

Figure 10-1:  
ESS

Home  
Transmittal Authorization  
Transmittal Status Report  
Submission Error Report  
Submission Progress Report  
Education Unit Profile  
Data Framework  
EDFacts Reporting System  
EMAPS  
Contact Support  
Change Password

### Change Password

Your password must contain a combination of:

- At least 1 lower case letter.
- At least 1 upper case letter.
- At least 1 numeral.
- At least 1 punctuation character (e.g. !@#\$%).
- Must be between 12 and 15 characters long and cannot contain spaces.
- It cannot contain your UserName or full name or be any of your prior 12 passwords..

Old Password:

New Password:

Confirm New Password:

Enter

Change Password Screen

2. Type the new password in the *New Password* field. Then, re-type the new password in the *Confirm New Password* field.
3. Click on the **Enter** button to confirm.

The following are the rules for passwords:

Maximum password age is 90 days. Users will be prompted to change their password once it has expired.

Passwords must contain a combination of:

- At least 1 lower case letter.
- At least 1 upper case letter.
- At least 1 numeral.
- At least 1 punctuation character.
- Must be between 12 and 15 characters long and cannot contain spaces.
- Passwords cannot contain the User ID or full name or be any of the prior 12 passwords.



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