



U.S. DEPARTMENT OF EDUCATION

***EDFacts* Submission System
Release Notes**

**Version 12.0
SY 2014-15 and 2015-16**

December 2015

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U.S. Department of Education

Arne Duncan
Secretary

EDFacts

Ross Santy
System Owner

December 2015

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Version Number	Date	Summary of Change
12.0	December 2015	Includes updates related to ESS (Release) 12.0

RELEASE AUTHORIZATION

Name	Signature
Author	Satya Gavaravarapu
QA	Matt K. Miglin
Release Authority	Steve Lenker

PREFACE

This document provides a description of the technical enhancements to the *EDFacts* Submission System (ESS) Version 12.0 released in December 2015.

EDFacts is a centralized, coordinated repository of state reported, K through 12, educational data residing at the U.S. Department of Education. The *EDFacts* Submission System is an electronic system that facilitates the efficient and timely transmission of data from state education agencies (SEAs) to the U.S. Department of Education.

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1.0 PURPOSE

The purpose of this document is to provide the users of the *EDFacts* Submission System (ESS) with up-to-date information about improvements, including new features, data quality measures, and reporting enhancements, that have been made to the system with the new software release.

This document lists all system enhancements and documentation updates that were implemented with the ESS 12.0 release. The majority of the enhancements include support for ongoing maintenance and enhancements of the ESS.

Where applicable, items are prefixed with corresponding System Information Request (SIR) numbers, which are defects or enhancement requests. For example, "Ticket 123" refers to the SIR opened by the software development team. "PSC 123456" refers to the SIR opened by the Partner Support Center (PSC). Only PSC change requests that are of interest to more than one SEA are included in these notes.

2.0 SUMMARY OF IMPROVEMENTS (RELEASE 12.0)

2.1 ESS Application Updates

The primary purpose of the ESS 12.0 (December, 2015) release is to enhance the *EDFacts* Submission System (ESS) to allow for the collection of remaining school year (SY) 2014-15 file specification data that are due on or before February 2016, to allow for the collection of the first SY 2015-16 file specification data due on or before February 11, 2016, and to implement data collection functionality and data quality updates related to this data.

SY 2014-15 and SY 2015-16 file specifications are posted on www.ed.gov at the following link: <https://edfacts.grads360.org/#program/data-submission-organizer>

The following outline summarizes the enhancements implemented with ESS 12.0. Additional details for each of the following components are provided in the sections below.

- 1) Implement a total of 29 file specifications for SY 2014-15.
 - a) 24 file specifications were rolled over with no changes for SY 2014-15
 - b) 5 file specifications were rolled over with changes for SY 2014-15
- 2) Implement a total of 4 file specifications for SY 2015-16.
 - a) 3 file specifications were rolled over with no changes for SY 2014-15
 - b) 1 file specification was rolled over with changes for SY 2014-15
- 3) Updates to the ESS Data Framework to include technical changes for SY 2014-15 and SY 2015-16.
- 4) Updates to existing Business Rules

- 5) New Business Rules for FS 029 and FS 144
- 6) Deactivate FS 041: Graduation Rate File Specification

2.1.1 SY 2014-15 and SY 2015-16 File Specifications for ESS 12.0 with no changes

The following table lists all of the SY 2014-15 file specifications that have been enabled in ESS 12.0 with no changes.

Table 1 – SY 2014-15 File Specifications to be enabled with ESS 12.0 with no changes

File	SY 2014-15 File Specification Name
030	Discipline Incidents
032	Dropouts
040	Graduates / Completers
054	MEP students served 12-month table
086	Students Involved with Firearms
094	Firearm Incidents
113	N or D Academic Achievement - State Agency
119	N or D participation table for state agency
125	N or D Academic Achievement - LEA
127	N or D Participation - LEA
131	LEA End of SY Status
134	Title I Part A Participation
145	MEP Services
150	Regulatory Adjusted-Cohort Graduation Rate
159	Average Scale Scores
163	Discipline Data
165	Migrant Data
166	Evaluation of Staff
174	Graduation Rates - Flexibility Subgroups
177	Graduation Cohorts - Flexibility Subgroups
180	N or D In Program Outcomes
181	N or D Exited Program Outcomes
182	N or D Transition Services
193	Title I Allocations

The following table lists all of the SY 2015-16 file specifications that have been enabled in ESS with Release 12.0 with no changes.

Table 1a – SY 2015-16 File Specifications to be enabled with ESS 12.0 with no changes

File	SY 2015-16 File Specification Name
029	Directory
039	Grades offered
130	ESEA Status

2.1.2 SY 2014-15 and SY 2015-16 File Specifications with technical changes for ESS 12.0

The following table lists the technical changes that were approved for SY 2014-15 and were implemented with ESS 12.0.

Table 2a – SY 2014-15 File Specifications to be enabled with ESS 12.0 with technical changes

File	SY 2014-15 File Specification Name
036	Title I Part A TAS Services
037	Title I Part A SWP/TAS Participation
065	Federally Funded Staff
151	Cohorts for Regulatory Adjusted-Cohort Graduation Rate
167	School Improvement Grants

The following table lists the technical changes that were approved for SY 2015-16 and were implemented with ESS 12.0.

Table 2b – SY 2015-16 File Specification to be enabled with ESS 12.0 with technical changes

File	SY 2015-16 File Specification Name
190	Charter School Authorizer Directory

2.1.3 SY 2014-15 Discontinued File Specification for ESS 12.0

The following table list the SY 2014-15 Discontinued File Specification that was implemented with ESS 12.0.

Table 3 – SY 2014-15 Discontinued File Specification to be disabled with ESS 12.0

File	SY 2014-15 File Specification Name
041	Graduation Rate

The changes to these file specs can be viewed in the file specification documents available on the ED Facts Initiative page

<http://www2.ed.gov/about/inits/ed/edfacts/sy-14-15-nonxml.html>

<http://www2.ed.gov/about/inits/ed/edfacts/sy-15-16-nonxml.html>

2.1.4 SY 2014-15 ESS Business Rule Updates

The following table lists the new and updated Business Rules for SY 2014-15 that were implemented with ESS 12.0.

Change Type	Rule IDs
Created New Business Rules	<p>Created the following new Business Rules for FS 029: S029-R46 and S029-R47</p> <p>Created the following new Business Rules for FS 144: S144-R05</p>
Updated Existing Business Rules	<p>Updated the following Business Rule to trigger only when the current year operational status is not NEW, ADDED, CLOSED, INACTIVE or FUTURE: S039-R04</p> <p>Updated the following Business Rule to trigger when another Education Unit in the same agency already exists in EDEN with this name for this school year: ER-92</p> <p>Updated the following Business Rules to first use location zip when available, then mailing zip: M-19 and M-20</p> <p>The following Business Rules are disabled for the LEA and SCH level (FS029) starting with SY 2015-2016: S029-R06, S029-R08, S029-R09, S029-R015, S039-R09, M-03 and M-09</p>

All enabled Business Rules are included in the *EDFacts Business Rules Guide v12.0* posted on www.ed.gov at the following link:

<http://www.ed.gov/about/inits/ed/edfacts/business-rules-guide.html>

Version 12.0 of the Business Rules Guide will be posted to www.ed.gov shortly following the release of ESS 12.0. This Business Rules Guide will include any updated or newly enabled Business Rules and will not include any disabled Business Rules for SY 2014-15 or SY 2015-16. The PSC will issue a broadcast message indicating when the updated Business Rules Guide is available.



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