U.S. DEPARTMENT OF EDUCATION

ED*Facts* Submission System Release Notes

Version 11.0
SY 2013-14

December 2014

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DOCUMENT HISTORY

Version Number	Date	Summary of Change
11.0	December 2014	Includes updates related to ESS (Release) 11.0

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PREFACE

This document provides a description of the technical enhancements to the ED*Facts* Submission System (ESS) Version 11.0 released in December 2014.

ED*Facts* is a centralized, coordinated repository of state reported, K through 12, educational data residing at the U.S. Department of Education. The ED*Facts* Submission System is an electronic system that facilitates the efficient and timely transmission of data from SEAs to the U.S. Department of Education.

CONTENTS

1.0   PURPOSE

The purpose of this document is to provide users of the ED*Facts* Submission System (ESS) with up-to-date information about improvements, including new features, data quality measures, reporting enhancements, etc., that have been made to the system with the new software release.

This document lists all system enhancements and documentation updates that were implemented with the ESS 11.0 release. The majority of the enhancements include support for ongoing maintenance and enhancements of the ESS.

Where applicable, items are prefixed with corresponding System Information Request (SIR) numbers, which are defects or enhancement requests. For example, "Ticket 123" refers to the SIR opened by the software development team. "PSC 123456" refers to the SIR opened by the Partner Support Center (PSC). Only PSC change requests that are of interest to more than one SEA are included in these notes.

2.0   SUMMARY OF IMPROVEMENTS (RELEASE 11.0)

2.1   ESS Application Updates

The primary purpose of the ESS 11.0 (December 2014) release is to enhance the ESS to allow for the collection of remaining SY 2013-14 and SY 2014-15 file specification data that is due on or after January 2015, and to implement data collection functionality and data quality updates related to this data.

Due dates for SY 2013-14 and SY 2014-15 file specifications are provided in an MS Excel document posted on www.ed.gov at the following links:

SY 2013-2014: http://www2.ed.gov/about/inits/ed/edfacts/sy-2013-2014-due-dates.xls
SY 2014-2015: http://www2.ed.gov/about/inits/ed/edfacts/sy-2014-2015-due-dates.xls

The following outline summarizes the enhancements implemented with ESS 11.0. Additional details for each of the following components are provided in the sections below.

1) Implement a total of 34 file specifications for SY 2013-14 and SY 2014-15.
    a) 21 file specifications were rolled over with no changes for SY 2013-14
    b) 8 file specifications were rolled over with changes for SY 2013-14
    c) 1 new file specification for SY 2013-14
    d) 4 file specifications were rolled over with no changes for SY 2014-15

2) ESS Data Framework was updated to include technical changes for SY 2013-14 and SY 2014-15.

3) New Business Rules and updates to existing Business Rules.

a) 1 validation edit
b) 7 match edits added
c) 12 submission edits added
d) 4 submission edits removed

Additional detail on business rule additions are included in section 2.1.4 of this document and in the ED*Facts* Business Rules Guide 11.0.

2.1.1 SY 2013-14 and SY2014-15 File Specifications for ESS 11.0 with no changes

The following table lists all of the SY 2013-14 file specifications that have been enabled in ESS 11.0 with no changes.

Table 1 – SY 2013-14 File Specifications to be enabled with ESS 11.0 with no changes

File	SY 2013-14 File Specification Name
030	Discipline Incidents
036	Title I Part A TAS Services
037	Title I Part A SWP/TAS Participation
040	Graduates / Completers
041	Graduation Rate
094	Firearm Incidents
113	N or D Academic Achievement - State Agency
125	N or D Academic Achievement - LEA
134	Title I Part A Participation
150	Regulatory Adjusted-Cohort Graduation Rate
151	Cohorts for Regulatory Adjusted-Cohort
159	Average Scale Scores
163	Discipline Data
165	Migrant Data
166	Evaluation of Staff
167	School Improvement Grants
174	Graduation Rates - Flexibility Subgroups
177	Graduation Cohorts - Flexibility Subgroups
180	N or D In Program Outcomes
181	N or D Exited Program Outcomes
182	N or D Transition Services

The following table lists all of the SY 2014-15 file specifications that have been enabled in ESS with Release 11.0 with no changes.

Table 1a – SY 2014-15 File Specifications to be enabled with ESS 11.0 with no changes

File	SY 2014-15 File Specification Name
029	Directory
039	Grades offered
130	ESEA Status
190	Charter School Authorizer Directory

2.1.2 SY 2013-14 File Specifications with technical changes for ESS 11.0

The following table lists the technical changes that were approved for SY 2013-14 and were implemented with ESS 11.0.

Table 2a – SY 2013-14 File Specifications to be enabled with ESS 11.0 with technical changes

File	SY 2013-14 File Specification Name
032	Dropouts
054	MEP Students Served - 12 Months
065	Federally Funded Staff
086	Students Involved with Firearms
119	N or D Participation - State Agency
127	N or D Participation - LEA
131	LEA End of SY Status
145	MEP Services

2.1.3 SY 2013-14 New File Specification for ESS 11.0

The following table lists the SY 2013-14 New File Specification that was implemented with ESS 11.0.

Table 3 – SY 2013-14 New File Specifications to be enabled with ESS 11.0

File	SY 2013-14 File Specification Name
193	Title I Allocations

2.1.4 SY 2013-14 ESS Business Rule Updates

The following table lists the new and updated Business Rules for SY 2013-14 and SY2014-15 that were implemented with ESS 11.0.

Change Type	Rule IDs
Created New Business Rules	Created the following new Business Rule for FS 190 - DG 798 Charter School Authorizer Name: **ER-126**

Change Type	Rule IDs
	Created the following new Business Rules for FS 190 - DG 808 **Charter School Authorizer Unique ID**: S190-R01
	Created the following new Business Rules for FS 029 - DG 804 Charter authorizer identifier (primary and additional): S029-R44 and S029-R45
	Created the following new Business Rules for FS029: M-15, M-16, M-17, M-18, M-19, M-20 and M-21
	Created the following new Business Rules for FS 150:
	S150-R01, S150-R02, S150-R03, S150-R04, S150-R05, S150-R06 and S150-R07
	Created the following new Business Rules for FS 151:
	S151-R01 and S151-R02
Updated Existing Business Rules	The following Business Rule are disabled for the LEA and SCH level (FS029) starting with SY 2014-2015:
	S029-R01 (LEA), S029-R02 (LEA), S029-R011 (SCH) and S029-R012 (SCH)

All enabled Business Rules are included in the Business Rules Guide v11.0 posted on www.ed.gov at the following link:

http://www.ed.gov/about/inits/ed/edfacts/business-rules-guide.html

Version 11.0 of the Business Rules Guide will be posted to www.ed.gov shortly following the release of ESS 11.0. This Business Rules Guide will include any updated or newly enabled Business Rules and will not include any disabled Business Rules for SY 2013-14. The PSC will issue a broadcast message indicating when the updated Business Rules Guide is available.

2.1.5 Automated Email Updates

The following table lists the updated email templates that were implemented with the ESS 11.0 release.

Email Subject
User ID and Password for ESS
Database/File Processing Error
Transmittal Received
Transmittal Processed with No Changes
Format Error in Transmittal

Email Subject
Validation Errors in Transmittal
Transmittal has been replaced by subsequent transmittals
Transmittal has no errors or warnings
File Submission Error Notification
ESS School Deletion
ESS LEA Deletion
ESS File Specification Data Deletion
Transmittal Process with DB Exception

2.2   ESS Documentation Updates

The following ESS documentation has significant updates that are being released with the ESS 11.0 Release.

-   Business rule Repository (BRR) & Business Rule Guide (BRG)

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