



U.S. DEPARTMENT OF EDUCATION

***EDFacts* Submission System
Release Notes**

**Version 10.1
SY 2013-14**

February 2014

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DOCUMENT HISTORY

Version Number	Date	Summary of Change
10.1	February 2014	Includes updates related to ESS (Release) 10.1

RELEASE AUTHORIZATION

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PREFACE

This document provides a description of the technical enhancements to the *EDFacts* Submission System (ESS) Version 10.1 released in February 2014.

EDFacts is a centralized, coordinated repository of state reported, K through 12, educational data residing at the U.S. Department of Education. The *EDFacts* Submission System is an electronic system that facilitates the efficient and timely transmission of data from SEAs to the U.S. Department of Education.

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1.0 PURPOSE

The purpose of this document is to provide the users of the ED*Facts* Submission System (ESS) with up-to-date information about improvements, including new features, data quality measures, reporting enhancements, etc., that have been made to the system with the new software release.

This document lists all system enhancements and documentation updates that were implemented with the ESS 10.1 release. The majority of the enhancements include support for ongoing maintenance and enhancements of the ESS.

Where applicable, items are prefixed with corresponding System Information Request (SIR) numbers, which are defects or enhancement requests. For example, "Ticket 123" refers to the SIR opened by the software development team. "PSC 123456" refers to the SIR opened by the Partner Support Center. Only PSC change requests that are of interest to more than one SEA are included in these notes.

2.0 SUMMARY OF IMPROVEMENTS (RELEASE 10.1)

2.1 ESS Application Updates

The primary purpose of the ESS 10.1 (February 27th, 2014) release was to enhance the ED*Facts* Submission System (ESS) to allow for the collection of remaining SY 2011-12 file specification data and SY 2013-14 file specification data that is due on or before February, 2014 and to implement data collection functionality and data quality updates related to this data.

Due dates for SY 2011-12 and SY 2013-14 file specifications are provided in an MS Excel document posted on www.ed.gov at the following links:

SY 2011-2012: <http://www2.ed.gov/about/inits/ed/edfacts/sy-2011-2012-due-dates.xls>

SY 2013-2014: <http://www2.ed.gov/about/inits/ed/edfacts/sy-2013-2014-due-dates.xls>

The following outline summarizes the enhancements implemented with ESS 10.1. Additional details for each of the following components are provided in the sections below.

- 1) Implement a total of 21 file specifications for SY 2011-12 and SY 2013-14.
 - a) 1 file specification was rolled over with technical changes for SY 2011-12
 - b) 13 file specifications were rolled over with no changes for SY 2013-14
 - c) 6 file specifications were rolled over with changes for SY 2013-14
 - d) 1 new file specification for SY 2013-14
- 2) ESS Data Framework was updated to include technical changes for SY 2011-12 and SY 2013-14.
- 3) New Business Rules and updates to existing Business Rules.

2.1.1 SY 2013-14 File Specifications for ESS 10.1 with no changes

The following table lists all of the SY 2013-14 file specifications that have been enabled in ESS 10.1 with no changes.

Table 1 – SY 2013-14 File Specifications to be enabled with ESS 10.1 with no changes

File	SY 2013-14 File Specification Name
002	Children with Disabilities (IDEA) School-Age
004	Children with Disabilities (IDEA) Not Participating in Assessments
033	Free and Reduced Price Lunch
059	Staff FTE
089	Children with Disabilities (IDEA) Early Childhood
160	High School Graduates Postsecondary Enrollment
161	High School Graduates Postsecondary Credits Earned
171	Academic Achievement - Flexibility Subgroups
172	Assessment Participation - Flexibility Subgroups
175	Academic Achievement in Mathematics
176	State Interventions - Flexibility
178	Academic Achievement in Reading / Language Arts
179	Academic Achievement in Science

2.1.2 SY 2011-12 and SY 2013-14 File Specifications with technical changes for ESS 10.1

The following table lists the technical changes that was approved for SY 2011-12 and was implemented with ESS 10.1.

Table 2 – SY 2011-12 File Specification to be enabled with ESS 10.1 with technical changes

File	SY 2011-12 File Specification Name
035	Federal Programs

The following table lists the technical changes that were approved for SY 2013-14 and were implemented with ESS 10.1.

Table 2a – SY 2013-14 File Specifications to be enabled with ESS 10.1 with technical changes

File	SY 2013-14 File Specification Name
046	LEP Students in LEP Program
052	Membership
129	CCD School

File	SY 2013-14 File Specification Name
185	Assessment Participation in Mathematics
188	Assessment Participation in Reading / Language Arts
189	Assessment Participation in Science

2.1.3 SY 2013-14 New File Specification for ESS 10.1

The following table lists the SY 2013-14 New File Specification that was implemented with ESS 10.1.

Table 3 – SY 2013-14 New File Specifications to be enabled with ESS 10.1

File	SY 2013-14 File Specification Name
191	Students Sent and Received

2.1.4 SY 2013-14 ESS Business Rule Updates

The following table lists the new and updated Business Rules for SY 2013-14 that were implemented with ESS 10.1.

Change Type	Rule IDs
Created New Business Rules	<p>Created the following new Business Rules for FS 002 - DG 74 Children with disabilities (IDEA) school age table: S002-R55, S002-R56, S002-R57 and S002-R58</p> <p>Created the following new Business Rules for FS 004 - DG 491 Children with disabilities (IDEA) not participating in assessments table: S004-R07 and S004-R08</p> <p>Created the following new Business Rules for FS 089 - DG 613 Children with disabilities (IDEA) early childhood table: S089-R32 and S089-R33</p> <p>Created the following new Business Rules for FS 052 - DG 39 Membership table: S052-R95, S052-R96, S052-R97 and S052-R98</p> <p>Created the following new Business Rules for FS 059 - DG 528 Staff FTE table: S059-R64 and S059-R65</p>
Updated Existing Business Rules	<p>Updated the following Business Rule to check EMAPS to determine if Ungraded is value for the state. If true, then apply the edit: S052-R15</p> <p>Updated the following Business Rule to eliminate zero edit for membership for grades 13 and AE: S052-R91</p> <p>Updated the following Business Rule to trigger at the LEA level (Sum the Teacher FTE counts for all schools within an LEA, sum for the</p>

Change Type	Rule IDs
	PY&CY): S052-R62 The following Business Rule is disabled for the SCH level (FS046) starting with SY 2013-2014: S046-R09

All enabled Business Rules are included in the Business Rules Guide v10.1 posted on www.ed.gov at the following link:

<http://www.ed.gov/about/inits/ed/edfacts/business-rules-guide.html>

Version 10.1 of the Business Rules Guide will be posted to www.ed.gov shortly following the release of ESS 10.1. This Business Rules Guide will include any updated or newly enabled Business Rules and will not include any disabled Business Rules for SY 2011-12 and SY 2013-14. The PSC will issue a broadcast message indicating when the updated Business Rules Guide is available.

2.1.5 Automated Email Updates

The following table lists the updated email templates that were implemented with the ESS 10.1 release.

Email Subject
User ID and Password for ESS
Database/File Processing Error
Transmittal Received
Transmittal Processed with No Changes
Format Error in Transmittal
Validation Errors in Transmittal
Transmittal has been replaced by subsequent transmittals
Transmittal has no errors or warnings
File Submission Error Notification
ESS School Deletion
ESS LEA Deletion
ESS File Specification Data Deletion
Transmittal Process with DB Exception

2.1.6 Accessing the Charter Authorizers data through the EU Profiles pages

The following table lists the navigation functionality for viewing Charter Authorizer data submitted to ESS in the Education Unit Profile. This functionality was implemented with ESS 10.1 Release.

Change Type	Charter Authorizer
Navigation Functionality	In the Education Unit Profile, select the appropriate school year and click "Display State Only". The subsequent screen will display links to your SEA, Charter Authorizer and LEA data. Click the link labeled "Charter Authorizers" to charter authorizer data. Clicking on a specific charter authorizer will display its details.

2.2 ESS Documentation Updates

The following ESS documentation has significant updates that are being released with the ESS 10.1 Release.

- Business rule Repository (BRR) & Business Rule Guide (BRG)
- ED*Facts* Workbook
- FAQs
- ESS User Guide



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