



**U.S. DEPARTMENT OF EDUCATION**

***EDFacts* Submission System  
Release Notes**

**Version 10.2  
SY 2013-14**

**August 2014**

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## DOCUMENT CONTROL

### DOCUMENT INFORMATION

<b>Title:</b>	ESS Release Notes
<b>Revision:</b>	Version 10.2
<b>Issue Date:</b>	August 2014
	Unclassified – For Official Use Only
<b>Filename:</b>	ESS Release Notes-V10-2.doc

### DOCUMENT HISTORY

Version Number	Date	Summary of Change
10.2	August 2014	Includes updates related to ESS (Release) 10.2

### RELEASE AUTHORIZATION

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## PREFACE

This document provides a description of the technical enhancements to the *EDFacts* Submission System (ESS) Version 10.2 released in August 2014.

*EDFacts* is a centralized, coordinated repository of state reported, K through 12, educational data residing at the U.S. Department of Education. The *EDFacts* Submission System is an electronic system that facilitates the efficient and timely transmission of data from SEAs to the U.S. Department of Education.

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## 1.0 PURPOSE

The purpose of this document is to provide the users of the ED*Facts* Submission System (ESS) with up-to-date information about improvements, including new features, data quality measures, reporting enhancements, etc., that have been made to the system with the new software release.

This document lists all system enhancements and documentation updates that were implemented with the ESS 10.2 release. The majority of the enhancements include support for ongoing maintenance and enhancements of the ESS.

Where applicable, items are prefixed with corresponding System Information Request (SIR) numbers, which are defects or enhancement requests. For example, "Ticket 123" refers to the SIR opened by the software development team. "PSC 123456" refers to the SIR opened by the Partner Support Center. Only PSC change requests that are of interest to more than one SEA are included in these notes.

## 2.0 SUMMARY OF IMPROVEMENTS (RELEASE 10.2)

### 2.1 ESS Application Updates

The primary purpose of the ESS 10.2 (August 15<sup>th</sup>, 2014) release was to enhance the ED*Facts* Submission System (ESS) to allow for the collection of remaining SY 2013-14 file specification data that is due on or before August, 2014 and to implement data collection functionality and data quality updates related to this data.

SY 2013-14 file specifications are provided in an MS Excel document posted on [www.ed.gov](http://www.ed.gov) at the following links:

SY 2013-2014: <http://www2.ed.gov/about/inits/ed/edfacts/sy-2013-2014-due-dates.xls>

The following outline summarizes the enhancements implemented with ESS 10.2. Additional details for each of the following components are provided in the sections below.

- 1) Implement a total of 51 file specifications for SY 2013-14.
  - a) 42 file specifications were rolled over with no changes for SY 2013-14
  - b) 8 file specifications were rolled over with changes for SY 2013-14
  - c) 1 new file specification for SY 2013-14
- 2) ESS Data Framework was updated to include technical changes for SY 2013-14.
- 3) New Business Rules and updates to existing Business Rules.

#### 2.1.1 SY 2013-14 File Specifications for ESS 10.2 with no changes

The following table lists all of the SY 2013-14 file specifications that have been enabled in ESS 10.2 with no changes.

**Table 1 – SY 2013-14 File Specifications to be enabled with ESS 10.2 with no changes**

<b>File</b>	<b>SY 2013-14 File Specification Name</b>
005	Children with Disabilities (IDEA) Removal to Interim Alternative Educational Setting
006	Children with Disabilities (IDEA) Suspensions/Expulsions
007	Children with Disabilities (IDEA) Reasons for Unilateral Removal
009	Children with Disabilities (IDEA) Exiting Special Education
010	Public School Choice
050	Title III LEP English Language Proficiency Results
063	Teacher Quality in Elementary Classes
064	Teacher Quality in Core Secondary Classes
067	Title III Teachers
070	Special Education Teachers
082	CTE Concentrators Exiting
083	CTE Concentrators Graduates
088	Children with Disabilities (IDEA) Disciplinary Removals
099	Special Education Related Services Personnel
103	Accountability
106	Elementary/Middle Additional Indicator
107	High School Graduation Rate Indicator
108	Mathematics Participation Status
109	AMO Mathematics Status
110	Reading/ Language Arts Participation Status
111	AMO Reading/ Language Arts Status
112	Special Education Paraprofessionals
126	Title III Former LEP Students
128	Supplemental Educational Services
137	LEP English Language Proficiency Test
138	Title III LEP English Language Proficiency Test
139	LEP English Language Proficiency Results
142	CTE Concentrators Academic Achievement
143	Children with Disabilities (IDEA) Total Disciplinary Removals
144	Educational Services During Expulsion
152	Corrective Actions
153	Restructuring Actions
154	CTE Concentrators Graduation Rate
155	CTE Participants in Programs for Non-traditional
156	CTE Concentrators in Programs for Non-traditional
157	CTE Concentrators Technical Skills
158	CTE Concentrators Placement
164	PSC/SES Data
169	CTE Type of Placement

File	SY 2013-14 File Specification Name
170	LEA Subgrant Status
173	Status - Flexibility Subgroups
183	Title I Allocations - Flexibility

### 2.1.2 SY 2013-14 File Specifications with technical changes for ESS 10.2

The following table lists the technical changes that were approved for SY 2013-14 and were implemented with ESS 10.2.

**Table 2a – SY 2013-14 File Specifications to be enabled with ESS 10.2 with technical changes**

File	SY 2013-14 File Specification Name
043	Homeless Served (McKinney-Vento)
045	Immigrant
116	Title III LEP Students Served
118	Homeless Students Enrolled
121	Migrant Students Eligible - 12 Months
122	MEP Students Eligible and Served - Summer/Intersession
132	School End of SY Status
141	LEP Enrolled

### 2.1.3 SY 2013-14 New File Specification for ESS 10.2

The following table lists the SY 2013-14 New File Specification that was implemented with ESS 10.2.

**Table 3 – SY 2013-14 New File Specifications to be enabled with ESS 10.2**

File	SY 2013-14 File Specification Name
192	MEP Students Priority for Services

### 2.1.4 SY 2013-14 ESS Business Rule Updates

The following table lists the new and updated Business Rules for SY 2013-14 that were implemented with ESS 10.2.

Change Type	Rule IDs
Created New Business Rules	<p>Created the following new Business Rules for FS 144 - DG 683 Educational services during expulsion table`: S144-R02 and S144-R03</p> <p>Created the following new Business Rules for FS 122 - DG 635 MEP students eligible and served summer/intersession table: S122-R01</p> <p>Created the following new Business Rules for FS 005 - DG 512 Children with disabilities (IDEA) removal to interim alternative educational setting tables: S005-R14, S005-R15, S005-R16 and S005-R17</p>

Change Type	Rule IDs
	<p>Created the following new Business Rules for FS 006 - DG 475 Children with disabilities (IDEA) suspensions/expulsions tables: S006-R10 and S006-R11</p> <p>Created the following new Business Rules for FS 007 - DG 476 Children with disabilities (IDEA) reasons for unilateral removal table: S007-R10 and S007-R11</p> <p>Created the following new Business Rules for FS 143 - DG 682 Children with disabilities (IDEA) total disciplinary removals table: S143-R08 and S143-R09</p> <p>Created the following new Business Rules for FS 088 - DG 598 Children with disabilities (IDEA) disciplinary removals table: S088-R13</p>
Updated Existing Business Rules	Updated the following Business Rule to trigger at the SEA level (if a category combination is not present with a valid student count value): S052-R91

### 2.1.5 Accomplished Implementation Updates

The following table lists the new and updated Business Rules for SY 2013-14 that were implemented and accomplished outside of 10.2 release.

Change Type	Rule IDs
TO15 CLIN 0009	<p>SI Ticket 2326 was implemented earlier in TO15 CLIN 0009.</p> <p>This ticket entailed updates to business rules M-02 and M-04 to allow EUs to be in "Future" status for three consecutive years.</p>

All enabled Business Rules are included in the Business Rules Guide v10.2 posted on [www.ed.gov](http://www.ed.gov) at the following link:

<http://www.ed.gov/about/inits/ed/edfacts/business-rules-guide.html>

Version 10.2 of the Business Rules Guide will be posted to [www.ed.gov](http://www.ed.gov) shortly following the release of ESS 10.2. This Business Rules Guide will include any updated or newly enabled Business Rules and will not include any disabled Business Rules for SY 2013-14. The PSC will issue a broadcast message indicating when the updated Business Rules Guide is available.

### 2.1.6 Automated Email Updates

The following table lists the updated email templates that were implemented with the ESS 10.2 release.

Email Subject
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Email Subject
User ID and Password for ESS
Database/File Processing Error
Transmittal Received
Transmittal Processed with No Changes
Format Error in Transmittal
Validation Errors in Transmittal
Transmittal has been replaced by subsequent transmittals
Transmittal has no errors or warnings
File Submission Error Notification
ESS School Deletion
ESS LEA Deletion
ESS File Specification Data Deletion
Transmittal Process with DB Exception

**2.1.7 Accessing the Charter Authorizers data through the EU Profiles pages**

The following table lists the navigation functionality for viewing Charter Authorizer data submitted to ESS in the Education Unit Profile. This functionality was implemented with ESS 10.2 Release.

Change Type	Charter Authorizer
Navigation Functionality	In the Education Unit Profile, select the appropriate school year and click "Display State Only". The subsequent screen will display links to your SEA, Charter Authorizer and LEA data. Click the link labeled "Charter Authorizers" to charter authorizer data. Clicking on a specific charter authorizer will display its details.

**2.1.8 Updates on Submission Error Report**

The following table lists the new functionality that were implemented with the ESS 10.2 release.

Change Type	Submission Error Report
Navigation Functionality	SI ticket 180 was implemented. In the Submission Error Report, the 'Last Updated' column will display date and time stamp of the last update.
	SI ticket 1480 was implemented. In the Submission Error Report, click 'Detail' link. The Submission Errors Report Detail screen will allow the user to filter Submission

Change Type	Submission Error Report
	Errors and Warnings by error ID

## 2.2 ESS Documentation Updates

The following ESS documentation has significant updates that are being released with the ESS 10.2 Release.

- Business rule Repository (BRR) & Business Rule Guide (BRG)



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