STATE FISCAL STABILIZATION FUND APPLICATION

PART 1: APPLICATION COVER SHEET
(CFDA Nos. 84.394 and 84.397)

Legal Name of Applicant (Office of the Governor): **Steven L. Beshear** **Governor**	Applicant's Mailing Address: **700 Capitol Avenue** **The Capitol Building** **Frankfort, Kentucky 40601**
State Contact for the Education Stabilization Fund (CFDA No. 84.394) Name: **Mary E. Lassiter** Position and Office: **State Budget Director** Contact's Mailing Address: **284 Capitol Annex** **Frankfort, Kentucky 40601** Telephone: **(502) 564-7300** Fax: **(502) 564-6684** E-mail address: **Mary. Lassiter@ky.gov**	State Contact for the Government Services Fund (CFDA No. 84.397) *(Enter "same" if the same individual will serve as the contact for both the Education Stabilization Fund and the Government Services Fund.)* Name: Position and Office: **Mary E. Lassiter** Contact's Mailing Address: **State Budget Director** **284 Capitol Annex** **Frankfort, Kentucky 40601** Telephone: **(502) 564-7300** Fax: **(502) 564-6684** E-mail address: **Mary. Lassiter@ky.gov**

To the best of my knowledge and belief, all of the information and data in this application are true and correct.

Governor or Authorized Representative of the Governor (Printed Name): **Steven L. Beshear, Governor**	Telephone: **(502) 564-2611**
Signature of Governor or Authorized Representative of the Governor:	Date: 4/29/11

Recommended Statement of Support from the Chief State School Officer *(Optional)*:

The State educational agency will cooperate with the Governor in the implementation of the State Fiscal Stabilization Fund program.

Chief State School Officer (Printed Name): **Terry Holliday, Commissioner**	Telephone: **(502) 564-3141**
Signature of the Chief State School Officer:	Date: 4-26-11

Form Approved OMB Number: 1810-0690; Expiration Date: 9/30/2009

PART 4, SECTION A: MAINTENANCE-OF-EFFORT (MOE) ASSURANCE

SPECIAL NOTES:

- In completing Part 4 of the application, please refer to Appendix C – Instructions for Part 4: Maintenance of Effort.
- The Governor or his/her authorized representative should check only those MOE requirements that he or she anticipates the State will meet. If the Governor or his/her authorized representative anticipates that the State will be unable to meet one or more of the requirements, he or she must sign the additional waiver assurance in Part 4, Section B.
- For the purpose of determining MOE, State support for public institutions of higher education (IHEs) must not include support for capital projects or for research and development or tuition and fees paid by students.

The Governor or his/her authorized representative assures the following *(check appropriate assurances that apply)*:

___X___ In FY 2009, the State will maintain State support for elementary and secondary education at least at the level of such support in FY 2006.

___X___ In FY 2010, the State will maintain State support for elementary and secondary education at least at the level of such support in FY 2006.

___X___ In FY 2011, the State will maintain State support for elementary and secondary education at least at the level of such support in FY 2006.

___X___ In FY 2009, the State will maintain State support for public IHEs at least at the level of such support in FY 2006.

_____ In FY 2010, the State will maintain State support for public IHEs at least at the level of such support in FY 2006.

_____ In FY 2011, the State will maintain State support for public IHEs at least at the level of such support in FY 2006.

---OR---

_____ To the best of his/her knowledge and based on the best available data, the State will be unable to meet any of the above-referenced maintenance-of-effort requirements.

Governor or Authorized Representative of the Governor (Printed Name):	
Steven L. Beshear, Governor	
Signature:	Date: 4/29/11

PART 4, SECTION B: MAINTENANCE-OF-EFFORT WAIVER ASSURANCE

SPECIAL NOTES:

- If a State anticipates that it will be unable to comply with one or more of the Stabilization program MOE requirements referenced in Part 4, Section A of the application, the State must provide the assurance below.
- States that anticipate meeting all of the Stabilization program MOE requirements should not complete the waiver assurance in this section of the application. *See* Appendix C – Instructions for Part 4: Maintenance of Effort. The criterion for a waiver of the MOE requirements is provided in Appendix C.
- The Department will be providing additional guidance to States regarding the process for applying for waivers of the Stabilization program MOE requirements.

The Governor or his/her authorized representative assures the following:

To the best of his/her knowledge and based on the best available data, the State meets or will meet the eligibility criterion for a MOE waiver for each of the Stabilization program MOE requirements that the Governor or his/her authorized representative anticipates the State will be unable to meet.

Governor or Authorized Representative of the Governor (Printed Name):	
Steven L. Beshear, Governor	
Signature:	Date: 4/29/11

PART 4, SECTION C: MAINTENANCE-OF-EFFORT BASELINE DATA

SPECIAL NOTES:

- A State has some flexibility in determining the "levels of State support" for MOE purposes. For example, for the purpose of the elementary and secondary education MOE requirements, a State may use the level of support that the State provides through its primary elementary and secondary funding formulae, or it may use other relevant data. *See* Appendix C – Instructions for Part 4: Maintenance of Effort.

1. **Levels of State support for elementary and secondary education** *(the amounts may reflect the levels of State support on either an aggregate basis or a per-student basis):*

FY 2006	$ 3,393,559,208
FY 2009*	$ 3,810,883,814
FY 2010*	$ 3,609,921,104
FY 2011*	$ 3,678,423,100

(* Provide data to the extent that data are currently available.)

2. **Levels of State support for public institutions of higher education** *(enter amounts for each year):*

FY 2006	$ 995,033,015
FY 2009*	$ 1,027,435,400
FY 2010*	$ 957,313,400
FY 2011*	$ 970,126,600

(* Provide data to the extent that data are currently available.)

Information on File with the U.S. Department of Education – No Further Action Necessary

3. **Additional Submission Requirements**: In an attachment to the application –

(a) Identify and describe the data sources used in determining the levels of State support for elementary and secondary education; - and -

(b) Identify and describe the data sources used in determining the levels of State support for public IHEs.

PART 5, SECTION A: STATE USES OF THE EDUCATION STABILIZATION FUND

SPECIAL NOTES:

- Section A of Part 5 requests data on the Education Stabilization Fund (CFDA No. 84.394). In completing this portion of the application, please refer to Appendix D – Instructions for Part 5: State Uses of Funds.
- At a later date, the Department will collect data on the levels of State support for elementary, secondary, and postsecondary education in FY 2011.
- These data may differ from the data in the levels of support for maintenance-of-effort purposes. *See* instructions in Appendix D.
- The term "postsecondary education" refers to public IHEs.

1. Levels of State Support for Elementary, Secondary, and Postsecondary Education

Provide the following data on the levels of State support for elementary, secondary, and postsecondary education:

(a) Level of State support for elementary and secondary education in FY 2008 provided through the State's primary elementary and secondary education funding formulae	$ 2,062,554,793
(b) Level of State support for public IHEs in FY 2008	$ 1,176,442,047
(c) Level of State support for elementary and secondary education in FY 2009 provided through the State's primary elementary and secondary education funding formulae	$ 2,071,799,494
(d) Level of State support for public IHEs in FY 2009	$ 1,183,731,457
(e) Level of State support for elementary and secondary education in FY 2010 provided through the State's primary elementary and secondary education funding formulae	$ 1,848,783,200
(f) Level of State support for public IHEs in FY 2010	$ 1,114,267,174
(g) Level of State support for elementary and secondary education in FY 2011 provided through the State's primary elementary and secondary education funding formulae	$ 1,852,856,400
(h) Level of State support for public IHEs in FY 2011	$ 1,099,745,684

4. Restoration Amounts

Based on the Worksheets included in Appendix D, calculate and provide the amount of Education Stabilization funds that the State will use to restore the levels of State support for elementary, secondary, and postsecondary education in FYs 2009, 2010 and 2011. As explained in the Instructions in Appendix D, a State must determine the amount of funds needed to restore fully the levels of State support for elementary, secondary, and postsecondary education in FY 2009 before determining the amount of funds available to restore the levels of such support in FY 2010.

SPECIAL NOTES:

- The calculations for these data must be based on the State's total Education Stabilization Fund allocation as reflected in Appendix A and not on the State's initial Education Stabilization Fund award.
- Although the State must follow the Instructions in Appendix D, in order to determine the amount of funds that LEAs and IHEs will receive under the program (i.e., the "restoration amounts"), the Governor has discretion in determining when to release these funds to LEAs and IHEs.

(a) Amount of the State's total Education Stabilization Fund allocation to be used to restore the level of State support for elementary and secondary education in FY 2009	$ 0
(b) Amount of the State's total Education Stabilization Fund allocation to be used to restore the level of State support for public IHEs in FY 2009	$ 0
(c) Amount of the State's total Education Stabilization Fund allocation to be used to restore the level of State support for elementary and secondary education in FY 2010	$ 223,038,700
(d) Amount of the State's total Education Stabilization Fund allocation to be used to restore the level of State support for public IHEs in FY 2010	$ 70,000,000
(e) Amount of the State's total Education Stabilization Fund allocation to be used to restore the level of State support for elementary and secondary education in FY 2011	$ 182,486,200
(f) Amount of the State's total Education Stabilization Fund allocation to be used to restore the level of State support for public IHEs in FY 2011	$ 57,272,683
(g) Amount of remaining funds, if any, awarded as subgrants to LEAs based on their proportionate shares of funding under Part A of Title I of the ESEA	$ 0

Attachment to the Commonwealth of Kentucky's Application

Part 5, Section A: State Uses of the Education Stabilization Fund
2. State's Primary Education Funding Formulae

Identify and describe each of the State's primary elementary and secondary education funding formulae that were used in determining the calculations provided above for the levels of State support for elementary and secondary education.

The primary elementary and secondary education funding formulae in the Commonwealth of Kentucky is the Support Education Excellence in Kentucky (SEEK) program. Under the SEEK calculation, a per student base funding level is established and augmented for special needs students, and for student transportation costs. The base calculation is adjusted for a minimum local tax effort against assessed property values.

State Fiscal Stabilization Fund (SFSF) Phase 2
Data Collection and Public Reporting Plan

Indicator/ Descriptor	Process for developing and implementing the means to fully collect and publicly report the data.	Milestones and Date of completion of milestone	Obstacles	Nature and frequency of progress reports	Amount/source of funds used	Agency responsible/ capacity/ assistance from other agencies/ agency ID	Budget	How the state will publicly report the plan and progress reports on the plan, including website where it will be posted
Descriptor (a) (1–2) Indicator (a) (3-7) Teacher and principal professional growth and evaluation systems and performance data	The state's plan for meeting the data reporting requirements of these indicators and descriptors includes both short-term and long-term activities. **Short term:** In order to provide current data while our new teacher and principal evaluation system is under development, the state will implement an interim, survey-based collection process that will produce data to be posted on the website within the September 30, 2011 time frame. The data will be updated annually through this process until the new system is ready to produce data. **Long term:** For the long term, the state is in the process of undertaking significant changes to the current teacher and principal evaluation system which will result in a uniform, statewide personnel evaluation system that is fully integrated with the Common Core standards, assessments based on those standards, and Kentucky's planned instructional improvement system (The Continuous Instructional Improvement Technology System or CIITS). The CIITS will provide a resource for connecting curriculum, assessment, instruction, professional learning and evaluation of teachers and	**Short term:** Development of the survey to collect the data from local school districts will be completed and disseminated to schools/districts by June 2010. Completed. Schools /districts will have the surveys completed and returned to the KDE by November 2010. In process. The vehicle for posting the data will be developed by KDE by December, 2010 and populated with the previous school year evaluation data by January 2011. In process. Yearly updating will occur consistent with the above timelines until implementation of the new system. **Long term:** >The development of the baseline evaluation	For system development – cost, development time, building sufficient capacity and support for effective implementation of the evaluation process. For reporting the summative data on line following implementation - none foreseen.	The work to report progress on milestones will occur quarterly. On completion, annual updating of reported data will take place. Progress reports will begin upon completion of the first quarter (July 1, 2010)	**Short term:** Anticipated expenditures are primarily staff time for data collection and reporting, which will be absorbed by current staff. **Long term:** $33M has been included in Kentucky's Race to the Top proposal to implement the evaluation system. $10M has been included in Kentucky's Race to the Top proposal to implement the CIITS. For reporting, state funds are sufficient to pay for staff time for data entry.	KDE is the responsible agency. Other external partners have begun developmen t of work for specific leadership roles (superintend ent, school board member) that will inform or be included in this effort.	**Short term:** Anticipated expenditures are primarily staff time for data collection and reporting, which will be absorbed by current staff. **Long term:** $33M total to implement the evaluation system. $10M to implement the CIITS. For reporting, state funds are sufficient to pay for staff time for data entry.	The information will be posted on the KDE State Fiscal Stabilization Fund website: Area A - Achieving Equity in Teacher Distribution

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	principals in one place. It will provide educational decision makers with data about student instructional outcomes, teacher effectiveness and leadership to inform continuous improvement. The system will allow for more accurate and timely reporting of both local and statewide data. Upon implementation of this system, the required information will be reported and annually updated on the KDE website.	instrument will be complete in May 2010. >Professional learning around the use of the system will follow in Summer/Fall 2010. In progress. >Volunteer districts, KDE and partners will continue development of the teacher and principal evaluation system beginning in the fall 2010 and through June 2011. >Field testing will occur during 2011-2012. >Performance evaluation information from the field test will be available mid-2012. >Statewide deployment is scheduled to occur during school year 2012 – 2013. >First year of statewide performance evaluations will be available at the end of the 2012 – 2013 school year. >After the						

State Fiscal Stabilization Fund (SFSF) Phase 2
Data Collection and Public Reporting Plan

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		evaluation data is available, it will be posted.						
Indicator (b) (1) Statewide Longitudinal Data System	Kentucky is currently in the process of continuing the expansion of its statewide longitudinal data system initiated in 2005 and has received an additional $2.9 M grant from the US Department of Education, Institute of Education Sciences to assist in this expansion. Over the last 3+ years Kentucky has built a robust data infrastructure that collects, integrates and stores data in a statewide data warehouse. The KY SLDS currently includes all but two elements of the America COMPETES Act, numbers 11 and 12. The KY SLDS infrastructure includes number 4, the capacity to communicate with higher education data systems, through the ETL system. The SLDS Extract, Transform, and Load (ETL) system is able to accept data in many forms, such as text files, direct connections, and restores from a backup. As early as 2008, High School Feedback Report data from Kentucky's Council on Postsecondary Education (CPE), including postsecondary enrollment information, postsecondary retention rate, and credit hours earned during the first year of college had been available in a report from the KY	**Expand KSLDS and Develop P-20 Shared Repository 8/19/09 - 6/30/10** >MOA between the KY agencies establishing governance - Completed 08/19/09. > Project Charter between the KY agencies. Completed 11/16/09. > Develop shared repository requirements – In progress. Anticipated complete 4/1/10. Completed 6/30/10. > Develop legal cross-agency data sharing agreements. In progress. Anticipated complete 12/31/10. Completed 6/15/10.	Legislative authorization of additional funding sufficient to support full implementation. Until legislative authorization of additional funding occurs, redirection of current sources of funding will continue in order to ensure full implementation of the statewide longitudinal data system.	The work to report progress on milestones will occur quarterly. On completion, annual updating of reported data will take place. Progress reports will begin upon completion of the first quarter (July 1, 2010)	$21M in Federal grant funds, approximately $6M in state funds.	KDE. Additional partners include CPE and EPSB, as well as other possible stakeholders .	$21M in Federal grant funds, approximately $6M in state funds.	The information will be posted on the KDE State Fiscal Stabilization Fund website: Area B – Improving Collection and Use of Data

State Fiscal Stabilization Fund (SFSF) Phase 2
Data Collection and Public Reporting Plan

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	SLDS. The current KY SLDS project seeks to refine the process of communication with higher education, enhance the data transfer methods and expand the sources of data from within the higher education environment which will be made available to the KY SLDS. Development and inclusion of the additional America COMPETES elements required will occur as a result of the work reflected by the milestones outlined at right, in accordance with the September 30, 2011 timeline for completion.	> Technical requirements for feeder systems. In Progress. Anticipated complete 06/30/10. Completed 6/30/10. > Data Profiling – In Progress. Anticipated complete 03/31/10. Completed 5/14/10. > Revised functional requirements and timeline. Anticipated complete 04/28/10. Completed 5/26/10. > Develop Scope of Work (SOW) with vendor partner to design technical components of the shared repository. In Progress. Anticipated complete 9/28/10. The P-20 Data Collaborative has decided not to engage a vendor partner for technical design. Update: the P-20						

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		Data collaborative is still in the process of hiring a database architect to perform the design of the system. Anticipation population of the system tables to begin 1/11/11. **Data Integration and Report Design 7/1/10 - 4/29/11** The above item has been clarified and broken out into several other components, each with their own expected end dates. -Finalize Report Requirements – This will be an ongoing activity, as needed. Due to delays in hiring staff, this and subsequent days are being pushed back. Expected complete 3/01/11. -Modify Feeder Systems – Expected complete 12/24/2010						

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		Update: Expected complete 03/01/11 -Specific Data Sharing Agreements – Expected complete 12/31/2010 Update: Complete 10/29/10 -Test Feeder Systems – Expected Complete 2/18/2011 Update: Expected complete 04/15/11 -Merge Data into Shared Repository – Expected complete 5/31/2011 -Develop, Test, and Implement Analytic Functions & Reports – Expected Complete 7/26/2011 >> Adult Education data including but not limited to program enrollment and GED start date 1/31/11 > Design analytics & reports. 1/24/11 –						

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		4/22/11. **Reporting, Analytics and Deployment 5/2/11 - 9/30/11 (Milestones outlined below will be completed within the above timeframe.)** > Reporting and analytics: Getting tools in the hands of users. > Develop SOW with vendor to develop, test & implement analytic functions & reports > Develop training plans > Configure analytic capabilities & develop reports > Test analytic capabilities & reports > Develop operational plans > Develop utilization communications						

State Fiscal Stabilization Fund (SFSF) Phase 2
Data Collection and Public Reporting Plan

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		plans > Roll out of the capabilities built into the system and training to users > Close out project and record lessons learned						
Indicator (b) (2) Indicator (b) (3) Providing student growth data and reports of individual teacher impact to reading and math teachers in testing grades.	Kentucky will not be able to provide student growth data on current and previous year students to teachers of reading and language arts in assessment grades in a manner that is timely and informs instructional programs until summer of 2013. Kentucky will not be able to provide, for teachers of reading/language arts and mathematics at assessment grades, with reports of individual teacher impact on student achievement on those assessments until summer of 2013. The ability to provide student growth data and teacher impact data requires the comparison of two data points on a student on the same measure (which includes a vertical scale) over a period of time. Because 2009 Senate Bill 1 mandated multiple changes to our statewide assessment system between school years 2008-2009 and 2012-2013, two data points on a	**Reporting:** Pursuant to state legislative mandate, 2009 Senate Bill 1, Kentucky will provide an interim test in 2010 – 11. In 2011 – 2012, a new Kentucky test with vertical scales will be administered. This will be the first year of administration of the new test, providing baseline data for student growth and individual teacher impact analysis and reporting. In 2012-2013, the new Kentucky test will be administered for the second year, providing the	Kentucky will be unable to report student growth data by the September 2011 deadline due to revisions in our assessment system explained in more detail in the Process column.	The work to report progress on milestones will occur quarterly. On completion, annual updating of reported data will take place. Progress reports will begin upon completion of the first quarter (July 1, 2010)	Sufficient state funding will be provided to revise the statewide assessment system. Current operational costs of the KYSLDS reported in (b) (1) above will be sufficient to provide the requested data. State funding is being requested In the event the state is not awarded Race to the Top funding.	KDE. Additional partners include CPE and EPSB, the National Technical Advisory Panel for Assessment and Accountability (NTAPAA) as well as other possible stakeholders.	Sufficient state funding will be provided to revise the statewide assessment system. Current operational costs of the KYSLDS reported in (b) (1) above will be sufficient to provide the requested data.	The information will be posted on the KDE State Fiscal Stabilization Fund website: Area B - Improving the Collection and Use of Data

Indicator/ Descriptor	Process for developing and implementing the means to fully collect and publicly report the data.	Milestones and Date of completion of milestone	Obstacles	Nature and frequency of progress reports	Amount/source of funds used	Agency responsible/ capacity/ assistance from other agencies/ agency ID	Budget	How the state will publicly report the plan and progress reports on the plan, including website where it will be posted
	student on the same measure (which includes a vertical scale) over a year's time will not be available until summer of 2013. Prior to the passage of Senate Bill 1, The Kentucky Core Content Test (KCCT) was in place. Since its inception in 1992, the purpose of the KCCT was to measure school program results rather than individual student results. Because it was designed to measure school results, it was developed as a matrix model where up to 12 different forms of the test were given to students with the scores combined to give a picture of the school's performance. While an individual student score is produced, it is based on only those items that are common across all the forms, because in order to provide a valid comparison, all the students must answer the same questions. In a matrix model, only some of the questions appear on every form. The Kentucky matrix model does not produce a vertical scale that can be used to compare students. SB 1 requires the development of new standards and implementation of a new assessment based on those standards beginning in the 2011-2012 school year. Until that time, Kentucky is required to use	second set of data needed to calculate the (b)(2) and (b)(3) student growth and individual teacher impact measures. 2012 -2013 is also the first year that Kentucky will produce a cohort graduation rate, so the data reported will be on cohort graduates. Per correspondence dated July 21, 2009, from Mr. Joseph C. Conaty, Assistant Secretary, USED, Kentucky has been granted an extension of the deadline to report its four year adjusted cohort graduation rate. In Summer 2013, Kentucky will publish yearly reports of student growth data and individual teacher impact data which meet federal requirements outlined in (b) (2) and (b) (3).						

State Fiscal Stabilization Fund (SFSF) Phase 2
Data Collection and Public Reporting Plan

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	interim assessment processes. Senate Bill 1 included a phase-out period for the KCCT (between 2008-2009 and 2011 – 2012). No funding was allocated to make changes in the assessment or develop an interim assessment, so during that period of time Kentucky will maintain a matrix system that will not produce the vertical scale required to measure growth over time. The new standards-based assessment system (which will include a vertical scale) will first be ready to come fully on line for the 2011-2012 school year. The second year of data will be provided in 2012-2013 and the first reports of student growth data and data regarding individual teacher impact will be available. By the summer of 2013, this assessment will provide the data required by indicators (b) (2) and (b) (3). The state is also making changes to its teacher and principal evaluation system, and expanding the capacity of its statewide longitudinal data system. These results will be included in the Continuous Instructional Improvement Technology System under development which will be linked to the KY SLDS. The data produced will then be used inform instruction and strengthen	**New assessment development:** In July 2010, Kentucky will be in process of RFP development to secure an assessment vendor. In process. In September 2010, the RFP will be published and vendors will be given an opportunity to respond. In process. In January 2011, a contract will be awarded to the successful bidder. From January 2011 to May 2012, the vendor will develop and publish the assessment. In May 2012 (school year 2011 – 2012), the new assessment will be administered statewide for the first year.						

State Fiscal Stabilization Fund (SFSF) Phase 2
Data Collection and Public Reporting Plan

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	teacher practice.	In May 2013 (school year 2012-2013), the new assessment will be administered statewide for the second year and will produce the required reports. Annual assessment will occur each subsequent year.						
Indicator (c) (10) Graduation rate	Per correspondence dated July 21, 2009, from Mr. Joseph C. Conaty, Assistant Secretary, USED, Kentucky has been granted an extension of the deadline to report its four year adjusted cohort graduation rate. The Kentucky Board of Education adopted a regulation change to enable the use of the Adjusted Freshman Graduation Rate (AFGR) and legislative approval is in process.	Per the USED correspondence, Kentucky will first be required to report its four-year adjusted cohort graduation rate with the results of assessments administered in 2012-2013 and include it in AYP determinations based on assessments administered in 2013 – 2014. Kentucky is approved to use the NCES Averaged Freshman Graduation Rate as its transitional rate until the state transitions to the four year adjusted cohort graduation	The letter from Mr. Conaty referenced in the Process section outlines an obstacle to reporting the graduation rate within the September 2011 deadline. Kentucky's first four-year cohort data will be available in 2012-2013 and will be reported at that time.	The work to report progress on milestones will occur quarterly. On completion, annual updating of reported data will take place. Progress reports will begin upon completion of the first quarter (July 1, 2010)	State funds are sufficient to pay for staff time.	KDE	State funds are sufficient to pay for staff time. No additional funds needed.	The information will be posted on the KDE State Fiscal Stabilization Fund website: Area C – Standards and Assessments

State Fiscal Stabilization Fund (SFSF) Phase 2
Data Collection and Public Reporting Plan

Indicator/ Descriptor	Process for developing and implementing the means to fully collect and publicly report the data.	Milestones and Date of completion of milestone	Obstacles	Nature and frequency of progress reports	Amount/source of funds used	Agency responsible/ capacity/ assistance from other agencies/ agency ID	Budget	How the state will publicly report the plan and progress reports on the plan, including website where it will be posted
		rate.						
Indicators (c) (11) and (c) (12) High School graduates (using a cohort graduation rate) >enrolling in an Institution of Higher Education (IHE) within 16 months and >receiving one year's worth of credit within two years	Kentucky is developing the means to collect and publicly report these data elements by September 30, 2011 but will not be far enough along in the collection of the required data to Implement public reporting by September 30, 2011. As early as 2008, KDE in conjunction with the Kentucky Council on Postsecondary Education developed a High School Feedback Report that provided, by high school, information on previous year graduates, including such things as postsecondary enrollment information, postsecondary retention rate information, and credit hours earned during the first year of college, which was available in a report from the KY SLDS. The High School Feedback Report is being updated and expanded to include the additional information required in Indicators (c) (11) and (12). As noted above in Indicator (c) (10), USED has granted Kentucky an extension of the deadline to report its four-year adjusted cohort graduation rate. Per the USED approval, Kentucky will first be required to report its four-year adjusted cohort graduation rate with the results of assessments administered in	**High School Feedback Report (HSFR) Revision Implementation 2/15/10 - 8/6/2010** >HSFR – Set up and begin performing the ETL (extract, transfer and load) of the data required for the for the HSFR from the source data systems to the Kentucky SLDS 3/23/10 - 4/29/10 Complete. >HSFR design – The report will be designed while the ETL is being conducted. The design will include items such as placement of data and other informational components. 3/22/10 - 5/10/10 Complete. >Develop HSFR test plan to ensure that the report meets the already defined requirements and the needs of stakeholders upon	The letter from Mr. Conaty referenced in the Process section outlines an obstacle to reporting the graduation rate within the September 2011 deadline. Kentucky's first four-year cohort data will be available in 2012-2013 and will be reported at that time.	The work to report progress on milestones will occur quarterly. On completion, annual updating of reported data will take place. Progress reports will begin upon completion of the first quarter (July 1, 2010)	Federal funds in the amount of $1.2M are budgeted for this item.	KDE. Additional partners include CPE and EPSB, as well as other possible stakeholders .	Federal funds in the amount of $1.2M are budgeted for this item.	The information will be posted on the KDE State Fiscal Stabilization Fund website: Area C – Standards and Assessments

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	2012-2013 and include it in AYP determinations based on assessments administered in 2013 – 2014. Kentucky is approved to use the NCES Averaged Freshman Graduation Rate as its transitional rate until the state transitions to the four year adjusted cohort graduation rate. The milestones at right illustrate the timelines for inclusion of the (c) (11) and (12) data into the KY SLDS and the resulting manifestation of related reports. While the initial data reported under those timelines will reflect graduates calculated under the current graduation rate, as Kentucky phases in the four-year adjusted cohort graduation rate, the data reported will reflect those students. As Indicators (c) (11) and (c) (12) require reporting of graduates based on the cohort rate, Kentucky will not have this data to report until after the September 11, 2011 time deadline. Kentucky is taking steps to assure the inclusion of data on students attending out-of-state and private institutions of higher education in the (c) (11) and (c) (12) data collection and reporting process. The Kentucky Higher Education Assistance Authority (KHEAA) maintains a contract	which the requirements are based. The test plan will define how User Acceptance Testing (UAT) will be carried out. 3/22/10 - 4/23/10 Complete. >Develop HSFR test cases for those taking part in the UAT. The test cases will be the "script" that the testers will follow in order to maintain consistency. 5/10/10 - 5/26/10 Complete. >Develop supporting documents which will inform the project team and stakeholders of the details and expected outcomes of the UAT. The supporting documents will also record the results of the tests. Complete. >Perform the "go live" readiness review to ensure						

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	with the National Student Clearinghouse, a vendor advertising collection of student post-secondary performance data from over 90% of the nation's public and private colleges and universities. The Kentucky Department of Education is in negotiations with KHEAA to share in the financial burden of the contract in order to be able to include the National Student Clearinghouse data in the KY SLDS for this reporting. The Kentucky SLDS already has a data domain specifically designed to accommodate data from the National Student Clearinghouse upon completion of negotiations.	that all components of the report are performing as expected and to identify any omissions before making the report available to users. 5/18/10 - 6/18/10. In progress, expected complete by 8/6/10. Update: Complete >The production implementation, which will occur within the dates listed, is the actual "turning on" of the report Expected complete by 8/6/2010. Update: Complete **Implementation of Public Reporting - Process/Timelines:** **Initial report** – October 2010 – Collection/ reporting of initial graduation data on 2010 non-cohort graduates. In process **October 2011** – Continued						

Indicator/ Descriptor	Process for developing and implementing the means to fully collect and publicly report the data.	Milestones and Date of completion of milestone	Obstacles	Nature and frequency of progress reports	Amount/source of funds used	Agency responsible/ capacity/ assistance from other agencies/ agency ID	Budget	How the state will publicly report the plan and progress reports on the plan, including website where it will be posted
		collection of graduation data (2011 grads) and collection of college enrollment data (within 16 months of diploma) on 2010 non-cohort graduates **October 2012 –** Continued collection of graduation data (2012 grads), college enrollment data (2011 grads) and college credit data (within two years of enrollment) on 2010 non-cohort grads **October 2013 –** Continued collection of graduation data (2013 grads), college enrollment data (2012 grads) and college credit data (within two years of enrollment) on 2011 non-cohort grads **October 2014 –** Continued						

Indicator/ Descriptor	Process for developing and implementing the means to fully collect and publicly report the data.	Milestones and Date of completion of milestone	Obstacles	Nature and frequency of progress reports	Amount/source of funds used	Agency responsible/ capacity/ assistance from other agencies/ agency ID	Budget	How the state will publicly report the plan and progress reports on the plan, including website where it will be posted
		collection of graduation data (2014 grads), college enrollment data (2013 grads) and college credit (within two years of enrollment) on 2012 non-cohort grads **October 2015 –** Continued collection of graduation data (2015 grads), college enrollment data (2014 grads) and college credit (within two years of enrollment) on 2013 **cohort** grads. **October 2016 –** Continues as above. Subsequent annual reports will occur yearly. The 2014-2015 report will be the first to provide a full set of data on students under a **cohort** graduation rate.						