U.S. DEPARTMENT OF EDUCATION
ED*Facts* Submission System Release Notes

Version 19.0

SY 2021-22 and SY 2022-23

January 2023

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DOCUMENT CONTROL

DOCUMENT INFORMATION

Title:	ESS Release Notes
Issue Date:	January 2023

DOCUMENT HISTORY

Version Number	Date	Summary of Change
0.1	January 2023	Includes updates related to ESS (Release 19.0)

RELEASE AUTHORIZATION

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1.0   BACKGROUND

This document provides a description of the technical enhancements to the ED*Facts* Submission System (ESS) version 19.0 released in January 2023.

ED*Facts* is a centralized, coordinated repository of state reported, Pre-K through 12, educational data residing at the U.S. Department of Education (ED). ESS is an electronic system that facilitates the efficient and timely transmission of data from state education agencies (SEAs) to ED.

2.0   PURPOSE

The purpose of this document is to provide the users of ESS with up-to-date information about improvements, including new features, data quality measures, and reporting enhancements, that have been made to the system with the new software release.

This document lists all system enhancements and documentation updates implemented with this release. Most of the enhancements include support for ongoing maintenance and enhancements of the ESS.

Where applicable, items are prefixed with corresponding JIRA ticket numbers, which are defect or enhancement requests. For example, "Ticket–123" refers to the JIRA ticket. "PSC 123456" refers to the System Investigation Request (SIR) opened by the Partner Support Center (PSC). Only PSC change requests of interest to more than one SEA are included in these notes.

3.0   SUMMARY OF IMPROVEMENTS

3.1   Application Updates

The primary purpose of the ESS Release 19.0 is to enhance ESS to allow for the collection of school years (SYs) 2021-22 and SY 2022-23 file specification data due on or before February 2023 and to implement data collection functionality and data quality updates related to these data.

Due dates for SY 2021-22 and SY 2022-23 file specifications are posted on the Data Submission Organizer on the ED*Facts* Community of Practice site.

The following outline summarizes the enhancements implemented with this release. Additional details for each component are provided in the sections below.

1) 21 file specifications for SY 2021-22

   a) 17 file specifications were rolled over with no technical changes and enabled for submission in ESS

   b) 4 file specifications were rolled over with technical changes and enabled for submission in ESS

2) 10 file specifications for SY 2022-23

    a) 2 file specifications were rolled over with technical changes and enabled for submission in ESS

    b) 3 file specifications were rolled over with technical changes but will not be enabled for submission in ESS. These file specifications will be enabled for submission at a later time in EDPass

    c) 5 file specifications were rolled over with no technical changes but will not be enabled for submission in ESS. These file specifications will be enabled for submission at a later time in EDPass

3) No new file specifications were implemented in this release

4) No file specifications were discontinued in this release

5) Updated the ESS Data Framework to include technical changes for SY 2021-22 and SY 2022-23

6) Updated existing Business Rules

3.1.1 File Specifications with No Changes

The following tables list all the SY 2021-22 and SY 2022-23 file specifications that have been enabled in this release with no technical changes.

Table 1 – SY 2021-22 File Specifications enabled for submission in ESS with no changes

DG	DG Name	File	File Name
326	Dropouts tables	032	Dropouts
548	Title I SWP/TAS participation table	037	Title I Part A SWP/TAS Participation
306	Graduates/completers tables	040	Graduates/ Completers
628	N or D academic achievement table - state agency	113	N or D Academic Achievement - State Agency
656	N or D participation table for state agencies	119	N or D Participation - State Agency
629	N or D academic achievement table – LEA	125	N or D Academic Achievement - LEA
657	N or D participation table for LEAs	127	N or D Participation - LEA
614	REAP alternative funding status	131	LEA End of School Year Status
56	Economically disadvantaged students	132	Section 1003 Funds
794	School Improvement Funds 1003(a)	132	Section 1003 Funds
670	Title I participation table	134	Title I Part A Participation
695	Four-year adjusted cohort graduation rate table	150	Adjusted Cohort Graduation Rate

DG	DG Name	File	File Name
697	Five-year adjusted cohort graduation rate table	150	Adjusted Cohort Graduation Rate
755	Six-year adjusted cohort graduation rate table	150	Adjusted Cohort Graduation Rate
850	Seven-year adjusted cohort graduation rate table	150	Adjusted Cohort Graduation Rate
852	Eight-year adjusted cohort graduation rate table	150	Adjusted Cohort Graduation Rate
854	Nine-year adjusted cohort graduation rate table	150	Adjusted Cohort Graduation Rate
856	Ten-year adjusted cohort graduation rate table	150	Adjusted Cohort Graduation Rate
696	Cohorts for four-year adjusted cohort graduation rate table	151	Cohorts for Adjusted Cohort Graduation Rate
698	Cohorts for five-year adjusted cohort graduation rate table	151	Cohorts for Adjusted Cohort Graduation Rate
756	Cohorts for six-year adjusted cohort graduation rate table	151	Cohorts for Adjusted Cohort Graduation Rate
851	Cohorts for seven-year adjusted cohort graduation rate table	151	Cohorts for Adjusted Cohort Graduation Rate
853	Cohorts for eight-year adjusted cohort graduation rate table	151	Cohorts for Adjusted Cohort Graduation Rate
855	Cohorts for nine-year adjusted cohort graduation rate table	151	Cohorts for Adjusted Cohort Graduation Rate
857	Cohorts for ten-year adjusted cohort graduation rate table	151	Cohorts for Adjusted Cohort Graduation Rate
739	HS graduates postsecondary enrollment table	160	High School Graduates Postsecondary Enrollment
783	N or D academic and career and technical outcomes in programs table - state agency	180	N or D In Program Outcomes
782	N or D academic and career and technical outcomes in programs table - LEA	180	N or D In Program Outcomes
784	N or D academic and career and technical outcomes exited programs table - LEA	181	N or D Exited Programs Outcomes
785	N or D academic and career and technical outcomes exited programs table - state agency	181	N or D Exited Programs Outcomes
788	Parental involvement reservation	193	Title I Allocations
797	Title I, Part A Allocations	193	Title I Allocations
837	Progress achieving English language proficiency indicator status	205	Progress Achieving English Language Proficiency Indicator Status

Table 2 – SY 2022-23 File Specifications with no changes enabled for Submission in EDPass and not enabled for submission in ESS

DG	DG Name	File	File Name
18	Grades offered	039	Grades Offered
36	Persistently dangerous status	130	ESEA Status
798	Charter authorizer name	190	Charter Authorizer Directory
805	Charter authorizer address location	190	Charter Authorizer Directory
806	Charter authorizer address mailing	190	Charter Authorizer Directory
807	Charter authorizer type	190	Charter Authorizer Directory
808	Charter authorizer identifier (state)	190	Charter Authorizer Directory
825	Management organization name	196	Management Organization for Charter Schools Roster
826	Employer identification number (EIN)	196	Management Organization for Charter Schools Roster
827	Organization address location	196	Management Organization for Charter Schools Roster
828	Organization address mailing	196	Management Organization for Charter Schools Roster
829	Management organization type	196	Management Organization for Charter Schools Roster
833	Management Organization EIN	197	Crosswalk of Charter Schools to Management Organizations

3.1.2 File Specifications with Technical Changes

The following tables list all the SY 2021-22 and SY 2022-23 file specifications that have been enabled in this release with technical changes.

Table 3 – SY 2021-22 File Specifications enabled for submission in ESS with changes

DG	DG Name	File	File Name	Summary of Changes
834	Graduation rate indicator status table	199	Graduation Rate Indicator Status	Add a new category "Major Racial and Ethnic Groups (Accountability)" that has separate permitted values for "Native Hawaiian" and "Other Pacific Islander"
835	Academic achievement indicator status table	200	Academic Achievement Indicator Status	Add a new category "Major Racial and Ethnic Groups (Accountability)" that has separate permitted values for "Native Hawaiian" and "Other Pacific Islander"

DG	DG Name	File	File Name	Summary of Changes
836	Other academic indicator status table	201	Other Academic Indicator Status	Add a new category "Major Racial and Ethnic Groups (Accountability)" that has separate permitted values for "Native Hawaiian" and "Other Pacific Islander"
838	School quality or student success indicator status table	202	School Quality or Student Success Indicator Status	Add a new category "Major Racial and Ethnic Groups (Accountability)" that has separate permitted values for "Native Hawaiian" and "Other Pacific Islander"

Table 4 – SY 2022-23 File Specifications enabled for submission in ESS with changes

DG	DG Name	File	File Name	Summary of changes
842	Comprehensive support and targeted support schools	206	School Support and Improvement	Remove PV "Small school" from the following categories in EMDR: Comprehensive Support and Improvement Targeted Support and Improvement Additional Targeted Support and Improvement
866	Comprehensive support and improvement identification table	212	Identification School Support and Improvement	Update FS212 name to "Identification School Support and Improvement" Update DG name to "Comprehensive support and improvement identification table" Update category "Comprehensive Support Identification Type" name to "Comprehensive Support and Improvement Type", add PV "CSI school not exiting such status", and update PV "Additional targeted school not exiting such status" to "ATSI school not exiting such status"

DG	DG Name	File	File Name	Summary of changes
867	Targeted support and improvement identification table	212	Identification School Support and Improvement	Update DG name to "Targeted support and improvement identification table" Update category "Target Identification Subgroups" name to "Identification Subgroups" Update category "Identification Subgroups" PVs to remove "Underserved Race/Ethnicity" and add "State-defined subgroup 1", "State-defined subgroup 2", and "State-defined subgroup 3" Update category "Reason Applicability" PVs to remove "Reason does not apply"
888	Additional targeted support and improvement identification table	212	Identification School Support and Improvement	Add new DG: DG 888, DG name "Additional targeted support and improvement identification table", DG definition "The reasons for identification for additional targeted support and improvement (ATSI).", category set A "Identification Subgroups", "Reason Applicability" (both already exist)

Table 5 – SY 2022-23 File Specifications with changes enabled for submission in EDPass and not enabled for submission in ESS

DG	DG Name	File	File Name	Summary of changes
1	LEA identifier (NCES)	029	Directory	DG 1: update DG definition to "Also known as the NCES LEA ID. The seven-digit unique identifier assigned to the LEA by NCES consisting of the two-digit ANCI state code and a five-digit code unique within the state."
4	LEA identifier (state)	029	Directory	

DG	DG Name	File	File Name	Summary of changes
4N	LEA identifier (state) New	029	Directory	The updates were labels only in the File Specification document. No technical changes were made.
4P	Prior State LEA ID	029	Directory	The updates were labels only in the File Specification document. No technical changes were made.
5	School identifier (state)	029	Directory	
5N	School Identifier (State) New	029	Directory	The updates were labels only in the File Specification document. No technical changes were made.
5P	Prior State School ID	029	Directory	The updates were labels only in the File Specification document. No technical changes were made.
7	Education entity name	029	Directory	
8	Address mailing	029	Directory	
9	Address location	029	Directory	
10	Telephone - Education Entity	029	Directory	
11	Web Site Address	029	Directory	
16	Operational status – LEA	029	Directory	
16N	Operational Status – LEA New	029	Directory	
21	School type	029	Directory	
27	Charter status	029	Directory	
453	LEA type	029	Directory	Update the following PV descriptions in category "Local Education Agency Type": • "Supervisory union administrative center" to "Supervisory union" • "State agency" to "State operated agency" • "Federal agency" to "Federal operated agency" • "Other education agency" to "Other local education agency
458	Chief state school officer contact information	029	Directory	DG 458: retired (CSSO Retired) - Will Require modification to SEA Rollover script
529	School identifier (NCES)	029	Directory	DG 529: update DG definition to "Also known as the NCES School ID. The seven-digit unique identifier assigned to the school by NCES consisting of the two-digit

DG	DG Name	File	File Name	Summary of changes
				ANCI state code and a five-digit code unique within the state. This identifier can also be presented in a twelve-digit format (consisting of the two-digit ANCI state code, the unique five-digit code for the LEA and the unique five-digit code for the school)."
531	Updated operational status – school	029	Directory	
531N	operational status – school New	029	Directory	
551	Supervisory union identification number	029	Directory	
559	State code	029	Directory	
570	State agency number	029	Directory	
571	Effective date	029	Directory	
653	Charter LEA status	029	Directory	
669	Out of state indicator	029	Directory	
743	Reconstituted status	029	Directory	
804	Charter authorizer identifier (state)	029	Directory	DG 804: update DG definition to "The unique identifier assigned to an authorized public chartering agency by the SEA."
830	Charter contract ID number	198	Charter Contracts	Update DG definition to "The unique number an SEA assigns to the contract (or charter)."
831	Charter contract approval date	198	Charter Contracts	Update DG definition to "The effective date of the contract (or charter) issued by approved charter school authorizer authorizing the charter school to operate in the state under the state's charter school legislation."
832	Charter Contract Renewal Date	198	Charter Contracts	
845	State appropriations for charter School	207	State Appropriations for Charter Schools	Update DG name to "State appropriations for charter schools"

3.1.3 New File Specifications

There are no new file specifications in this release.

3.1.4 File Specifications Rolled Over and Deactivated

There are no rolled over and deactivated file specifications in this release.

3.1.5 ESS Business Rules Updates

The following table lists the new and updated Business Rules for SY 2021-22 that have been implemented in this release. There were no new Business Rules implemented for SY 2022-23 with this release.

Table 6 – SY 2021-22 Business Rules Updated

Change Type	Rule IDs
Updated Business Rule	The following Business Rule was updated for the SEA level for FS151 file starting with SY 2021-2022: S151-R02

All enabled Business Rules are included in the ED*Facts* Business Rules Single Inventory (BRSI) spreadsheet posted on the ED*Facts* Business Rules web page. PSC announces updates to the BRSI in the PSC Support Update following each ESS release.

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